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SOAH DOCKET NO. 473-17-1641.WS
PUC DOCKET NO. 46256

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APPLICATION OF LIBERTY
UTILITIES (WOODMARK SEWER)
CORP. (CCN NO. 20679), LIBERTY
UTILITIES (TALL TIMBERS SEWER)
CORP. (CCN NO. 20694), AND
LIBERTY UTILITIES (SUB) CORP. TO
CHANGE RATES FOR SEWER
SERVICES IN SMITH COUNTY,
TEXAS

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BEFORE THE STATE OFFICE

OF

ADMINISTRATIVE HEARINGS

OFFICE OF PUBLIC UTILITY COUNSEL'S
NINTH REQUEST FOR INFORMATION TO
LIBERTY UTILITIES (WOODMARK SEWER) CORP.,
LIBERTY UTILITIES (TALL TIMBERS SEWER) CORP.
AND LIBERTY UTILITIES (SUB) CORP.

The Office of Public Utility Counsel (OPUC) files and submits this Ninth Request for Information to Liberty Utilities (Woodmark Sewer) Corp., Liberty Utilities (Tall Timbers Sewer) Corp. and Liberty Utilities (Sub) Corp. ("Liberty Utilities") in the captioned proceeding.

Under Commission Procedural Rules 22.141-.145, 16 Tex. Admin. Code Ch. 22, OPUC requests that Liberty Utilities, as defined herein, provide the following information and answer the following questions under oath. Please answer the questions and sub-questions in the order in which they are listed and in sufficient detail to provide a complete and accurate answer to the question.

Definitions

As used in this introduction and in these questions,

(1) "Liberty Utilities", the "Company", and "Applicant" refer to Liberty Utilities (Woodmark Sewer) Corp., Liberty Utilities (Tall Timbers Sewer) Corp. and Liberty Utilities (Sub) Corp. and their affiliates;

(2) "You", "yours" and "your" refer to Liberty Utilities (as defined above), including its directors, officers, employees, consultants, agents, and attorneys.

(3) "Document" and "documents" mean any written, recorded, filmed, or graphic matter, whether produced, reproduced, or on paper, cards, tapes, film, electronic facsimile,

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computer storage device or any other media, including, but not limited to, electronic mail (e-mail), memoranda, notes, analyses, minutes, records, photographs, correspondence, telegrams, diaries, bookkeeping entries, financial statements, tax returns, checks, check stubs, reports, studies, charts, graphs, statements, notebooks, handwritten notes, applications, contracts, agreements, books, pamphlets, periodicals, appointment calendars, records and recordings of oral conversations, work papers, observations, commercial practice manuals, reports and summaries of interviews, reports of consultants, appraisals, forecasts, tape recordings, or any form of recording that is capable of being transcribed into written form.

Instructions

1. The Definitions, Instructions, and Claim of Privilege set out in this Request for Information apply to these questions.
2. In answering these questions, furnish all information that is available to you, including information in the possession of your agents, employees, and representatives, all others from whom you may freely obtain it, and your attorneys and their investigators.
3. Please answer each question based upon your knowledge, information, or belief, and any answer that is based upon information or belief should state that it is given on that basis.
4. If you have possession, custody, or control (as defined by Tex. R. Civ. P. 192.7(b)) of the originals of these documents requested, please produce the originals or a complete copy of the originals and all copies that are different in any way from the original, whether by interlineation, receipt stamp, or notation.
5. If you do not have possession, custody, or control of the originals of the documents requested, please produce copies of the documents, however made, in your possession, custody, or control. If any document requested is not in your possession or subject to your control, please explain why not, and give the present location and custodian of any copy or summary of the document.
6. If any question appears confusing, please request clarification from the undersigned counsel.
7. In providing your responses, please start each response on a separate page and type, at the top of the page, the question that is being answered.
8. As part of the response to each question, please state, at the bottom of the answer, the name and job position of each person who participated in any way, other than providing clerical

assistance, in the preparing of the answer. If the question has sub-parts, please identify the person or persons by sub-part. Please also state the name of the witness in this docket who will sponsor the answer to the question and who can vouch for the truth of the answer. If the question has sub-parts, please identify the witness or witnesses by sub-part.

9. Rather than waiting to provide all of the responses at the same time, please provide individual responses as each becomes available.
10. These questions are continuing in nature. If there is a change in circumstances or facts or if you receive or generate additional information that changes your answer between the time of your original response and the time of the hearings, then you should submit, under oath, a supplemental response to your earlier answer.
11. If you consider any question to be unduly burdensome, or if the response would require the production of a voluminous amount of material, please call the undersigned counsel as soon as possible in order to discuss the situation and to try to resolve the problem. Likewise, if you object to any of the questions on the grounds that the question seeks confidential information, or on any other grounds, please call the undersigned counsel as soon as possible.
12. If the response to any question is voluminous, please provide separately an index to the materials contained in the response.
13. If the information requested is included in previously furnished exhibits, workpapers, or responses to other discovery inquiries or otherwise, in hard copy or electronic format, please furnish specific references thereto, including Bates Stamp page citations and detailed cross-references.
14. To the extent that a question asks for the production of copyrighted material, it is sufficient to provide a listing of such material, indicating the title, publisher, author, edition, and page references relied on or otherwise relevant to the question.

Claim of Privilege

If any document is withheld under any claim of privilege, please furnish a list identifying each document for which a privilege is claimed, together with the following information: date, sender, recipients, recipients of copies, subject matter of the document, and the basis upon which such privilege is claimed.

SOAH Docket No. 473-17-1641.WS
PUC Docket No. 46256
OPUC's Ninth Request For Information To
Liberty Utilities

- 9-1. What is APUC capital structure including the debt issued to finance its acquisition of Empire Direct Company?
- 9-2. Has APUC issued and/or refinanced any debt since December 31, 2016? If yes, what is APUC's cost of debt?
- 9-3. Has Liberty Utilities issued and/or refinanced any debt since December 31, 2016? If yes, what is Liberty Utilities' cost of debt?
- 9-4. Please provide a copy of the Regulatory Research Associates reports cited in Mr. Fairchild's schedule BHF-R-10.

July 13, 2017

Respectfully submitted,

Tonya Baer
Public Counsel
State Bar No. 24026771

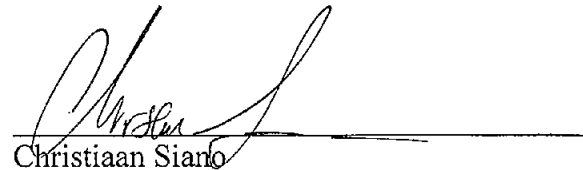


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CERTIFICATE OF SERVICE
SOAH Docket No. 473-17-1641.WS
PUC Docket No. 46256

I certify that today, July 13, 2017, I served a true copy of the foregoing Office of Public Utility Counsel's Ninth Request for Information to Liberty Utilities (Woodmark Sewer) Corp., Liberty Utilities (Tall Timbers Sewer) Corp. and Liberty Utilities (Sub) Corp. on all parties of record via United States First-Class Mail, hand-delivery, facsimile or electronic mail.



Christiaan Siano