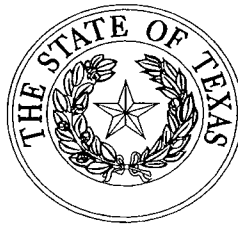




Control Number: 45185



Item Number: 219



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**CLASS "A" WATER COMPANY
PUC ANNUAL REPORT
OF**

CCN Number
Water 12983; Sewer 20899

Official Company Name:

Monarch Utilities I, L.P.

D/B/A Name(s)

45185

Address:

12535 Reed Road Sugar Land, TX 77478
Address City State Zip

**TO THE
PUBLIC UTILITY COMMISSION
OF TEXAS**

For the Year Ended 2015

Telephone Number 281-207-5800

Fax Number 281-207-5940

E-Mail gfrietag@swwc.com

Web Site Address www.swwc.com

Officer to whom correspondence
concerning this report should be addressed:

Charles Proffitt
First Name Last Name
President
Title

12535 Reed Road Sugar Land Texas 77478
Address City State Zip

219

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GENERAL INSTRUCTIONS-Class A Utilities Only

1. This annual report (earnings report) is required by 16 TAC §24.73 and is used to monitor utility earnings and compile annual financial information to monitor financial conditions of Texas investor owned utilities. Each utility shall submit its report to the Commission in the form and manner prescribed herein. The reported information should reflect the 12-month period ending December 31. The report shall be filed not later than June 1 of the following year. Only one report needs to be completed for each Certificate of Convenience and Necessity (CCN) Number regardless of the number of Public Water Systems and/or number of Sewer Systems affiliated with each CCN. However, if you have multiple water CCNs or multiple sewer CCNs, a separate report must be submitted for each CCN, along with a summary report for all Texas water and sewer operations for affiliated entities. The summary should be submitted along with all the affiliated reports. If the utility possesses a water and sewer CCN (not multiples), then the utility may combine the water and sewer operations into one report, although water and sewer operations must be separately reported within that report.
2. Four copies of this report shall be prepared by each utility. Three copies should be mailed or delivered to the Filing Clerk of Central Records at the Commission's offices in Austin, TX by June 1 of the year following the calendar year. The other copy should be retained by the utility for reference. Additionally, the utility shall file an unaltered electronic version (i.e. in native Microsoft Excel format) with all cell references, formulas, links and other electronic information intact) of the information contained in the required schedules and report along with the hard copies. All water and sewer utilities are required by statute to complete and file this annual report. Note, if a utility has a water and sewer CCN and provides both water and sewer service, the utility shall file one annual report which contains information for both the water and sewer utility service. Each sheet of the Excel file is pre-formatted to calculate certain items based on company specific inputs. Any supporting documents or workpapers filed in PDF format should be searchable. For specific instructions regarding the electronic filing of the report, please visit the Commission's website at: <http://www.puc.state.tx.us/industry/filings/FilingProceed.aspx>, and 16 TAC §22.72(g).
3. Pencil entries will not be permitted on hard copy.
4. All accounting terms and phrases used in this report are to be interpreted in accordance with the effective applicable NARUC Uniform System of Accounts prescribed by this Commission, as set forth in 16 TAC §24.72 with detailed sub accounts listed in the form. The Texas Water Code defines Class A utility as a public utility that provides retail water or sewer utility service through 10,000 or more taps or connections. Class A utilities must use the NARUC system of accounts for utilities with operating revenues exceeding \$1 million.
5. Standard accounting procedures shall apply in determining the nature of any entry (e.g. entries of a reverse or contrary character shall be indicated by a parentheses around the number).
6. The report shall be filed consisting of data relative to a calendar year basis (December 31 year end). Revenues and expenses shall include a column for normalization adjustments to produce a normalized net income. All normalization adjustments must be explained with all assumptions defined and rational/best practices referenced.
7. If this report is made for a period less than the calendar year, the period covered must be clearly stated on the front cover and elsewhere throughout the report where the period covered is shown. When operations cease during the year because of the disposition of property, the balance sheet and supporting schedules should consist of balances and items immediately prior to transfer (for accounting purposes). Provide a full explanation.
8. All instructions shall be followed and each question shall be answered fully and accurately. Sufficient answers shall appear to show that no question or schedule has been overlooked. The expression "none" or "not applicable" shall be given as the answer to any particular inquiry or schedule where it truly and completely states the fact. Unless otherwise indicated, no information will be accepted which incorporates by reference information from another document or report. Where information called for herein is not given, state fully the reason for its omission.

GENERAL INSTRUCTIONS

(Continued)

9. Whenever schedules call for comparison of figures of a previous year, the figures reported must be based upon those shown by the annual report of the previous year or an appropriate explanation given why different figures were used.
10. One copy of the latest annual financial report issued by a certified public accountant should be submitted with this report. If the utility is a member of a group, both parent and subsidiary's annual report should be submitted.
11. Throughout this report money items will be rounded off to the nearest dollar.
12. In the space provided at the top of each page insert the name of the utility and the year to which this report relates.
13. On Schedule 400, column (g), the reporting entity is required to make adjustments to normalize income and expenses for the purpose of determining positive differences between the annual revenues and costs of each utility. Adjusting normalization entries should include adjustments to
 - (1) avoid double counting in affiliates or entities under common control,
 - (2) to record depreciation at the commission's approved rates, amortization at the amount approved in the utility's last rate proceeding in which deferred costs and the amortization of deferred costs are established,
 - (3) to remove any expenses not allowed by the Texas Water Code, or disallowed in previous dockets.
 - (4) other normalization adjustments must be accompanied by all best practice references and an explanation.
14. IF YOU ARE UNDER COMMON CONTROL with other water or sewer utilities operating in Texas, you must file a consolidated income statement and balance sheet including all entities under common control in Texas. These statements should be in addition to the information provided in the-schedules for the utility reporting.
15. Unless otherwise indicated, the information required in this report shall be taken from the reporting entity's financial statements, accounts and other records. Any deviation from records must be fully explained.

EXCERPT FROM TEXAS WATER CODE**CHAPTER 13.136(b)**

(b) The utility commission by rule shall require each utility to annually file a service, financial, and normalized earnings report in a form and at times specified by utility commission rule. The report must include information sufficient to enable the utility commission to properly monitor utilities in this state. The utility commission shall make available to the public information in the report the utility does not file as confidential.

GENERAL UTILITY INFORMATION

1. Name and title of officer having custody of the general books of account and address of the office where such books are kept.

Charles Profilet, President
12535 Reed Road
Sugar Land, TX 77478

2. Name of State under the laws of which the utility is incorporated and the date of incorporation. If incorporated under a special law, give reference to such law. If not incorporated, state that fact and give the type of organization and date organized.

Texas, July 29, 2004

3. If at any time during the year the property of the utility was held by a receiver or trustee, give: (a) name of receiver or trustee, (b) date such receiver or trustee took possession, (c) the authority by which the receivership or trusteeship was created and, (d) date when possession by receiver or trustee ceased.

N/A

4. State the type(s) of utility service(s) and provide a list of other service(s) furnished by the utility during the year by state and country in each state and country where the utility provides service.

Monarch Utilities I, LP provides water supply and sewage collection and treatment services for residential, commercial, and irrigation use in Texas, USA.

5. Provide a list of all affiliates including name(s), address(es), state(s) and country(ies) in which the affiliate does business, and contact information.

See attachment GUI-5, Page 3A

6. Provide a list of all entities under common control with the reporting utility including name(s), address(es), and contact information for each state or country in which the entity does business.

See attachment GUI-6, Page 3B

7. Provide a general description of improvements completed since the last annual report for water and wastewater systems.

Monarch added approximately \$928k in capital additions in 2015, primarily in pumping and transportation equipment.

Monarch Utilities I, L.P.

For the Year Ended 2015

List of Affiliates

Attachment GUI-5

Name of Affiliate	Address	State where Affiliate Does Business	Country where Affiliate Does Business	Contact Information
SWWC Utilities, Inc	12535 Reed Road Sugar Land, TX 77478	Texas, Alabama, Oklahoma	USA	George Frietag 281-207-5800
Metro-H2O, Utilities, Inc.	12535 Reed Road Sugar Land, TX 77478	Texas	USA	George Frietag 281-207-5800
Suburban Water Systems	1325 N. Grand Ave, Suite 100 Covina, CA 91724	California	USA	Brian Bahr 626-543-2552

Monarch Utilities I, L.P.
 Entities Under Common Control
 Attachment GUI-6

For the Year Ended 2015

Name of Affiliate	Address	State where Affiliate Does Business	Country where Affiliate Does Business	Contact Information
SWWC Utilities, Inc.	12535 Reed Road Sugar Land, TX 77478	Texas, Alabama, Oklahoma	USA	George Frietag 281-207-5800
New Mexico Utilities, Inc.	12535 Reed Road Sugar Land, TX 77478	Texas	USA	George Frietag 281-207-5800
Metro-H2O, Utilities, Inc.	12535 Reed Road Sugar Land, TX 77478	Texas	USA	George Frietag 281-207-5800
Suburban Water Systems	1325 N. Grand Ave, Suite 100 Covina, CA 91724	California	USA	Brian Bahr 626-543-2552

MATERIAL CHANGES DURING YEAR

Hereunder give particulars concerning the items indicated below. Make the statements explicit and precise, and number them in accordance with the inquiries. Each inquiry must be answered. However, if the word "None" states the fact, it may be used in answering any inquiry, or if information is given elsewhere in the report which answers any inquiry, reference to such other schedule will be sufficient.

1. Changes in, and additions to franchise rights; describing (a) the actual consideration given therefore, and (b) from whom acquired. If acquired without the payment of any consideration, state that fact.

None

2. Acquisition of other companies, reorganization, merger or consolidation with other companies; give names of companies involved, particulars concerning the transactions, and reference to commission authorization, including docket numbers for commission reviewed transactions.

None

3. Purchase or sale of operating units, such as sources, treatment & storage facilities, transmission & distribution systems, etc., specify items, parties, effective dates and also reference to Commission authorization, including docket numbers for commission reviewed transactions.

Sold Blue Mound water and sewer systems to City of Blue Mound
Bill of Sale and Assignment effective September 11, 2015
Docket 44535

4. Material leaseholds acquired, given, assigned, or surrendered, effective dates, lengths of terms, names of parties, rents, Commission authorization, (docket numbers), if any, and other conditions.

None

5. Material extensions of systems, including Commission authorization (docket number), giving location, new territory covered by distribution system, and dates of beginning operations. Give, also the number of new customers of each class, and for each class of customers the estimated annual revenues.

None

6. Estimated increase or decrease in annual revenues due to important rate changes, and the approximate extent to that such a change is reflected in revenues for the reporting year.

None

7. Material wage scale changes, showing dates of changes, effect on operating expenses for the year, and estimated annual effect of such wage scale changes on operating expenses.

None

8. Obligations incurred or assumed by respondent as guarantor for the performance by another of any agreement or obligation, excluding ordinary commercial paper maturing on demand or not later than one year after date of issue, and giving Commission authorization, (docket number), if any.

None

9. Changes in articles of incorporation or amendments to charters; explain the nature and purpose of such changes or amendments.

None

MATERIAL CHANGES DURING YEAR

10. Other material changes not elsewhere provided for should be listed on this and the following blank sheet provided.

None

11. Provide the utility's calculation and logic in choosing a materiality level.

Monarch does not prescribe and has not calculated a materiality threshold for accounting purposes. The accounting records are maintained and transactions are recorded based on the accounting principles generally accepted in the United States of America and by NARUC.

DEFINITIONS

“Accounts” means the accounts prescribed in the National Association of Regulatory Utility Commissioners (NARUC) System of Accounts.

“Amortization” means the gradual extinguishment of an amount in an account by distributing such amount over a fixed period, which may be over the life of the asset or liability to which it applies, or over the period during which it is anticipated the benefit will be realized.

“Book Cost” means the amount at which property is recorded in the applicable account without deduction of related provisions for accrued depreciation, amortization, or for other purposes.

“Control” (including the terms; “controlling,” “controlled by,” and “under common control with”) means the possession, directly or indirectly, of the power to direct or cause the direction of the management and policies of a company, whether such power is exercised through one or more intermediary companies, or alone, or in conjunction with, or pursuant to an agreement, and whether such power is established through a majority or minority ownership or voting of securities, common directors, officers, or stockholders, voting trusts, holding trusts, affiliated companies, contract or any other direct or indirect means.

“Cost” means the amount of money actually paid for property or service. When the consideration given is other than cash, the value of such consideration shall be determined on a cash basis.

“Debt Expense” means all expenses in connection with the issuance and initial sale of evidences of debt, such as fees for drafting mortgages and trust deeds; fees and taxes for issuing or recording evidences of debt; cost of engraving and printing bonds and certificates of indebtedness; fees paid trustees; specific costs of obtaining governmental authority; fees for legal services; fees and commissions paid underwriters, brokers, and salesmen or marketing such evidences of debt; fees and expenses of listing on exchanges; and other like costs.

“Depreciation”, as applied to depreciable utility plant, means the loss in service value not restored by current maintenance, incurred in connection with the consumption or prospective retirement of the utility plant in the course of providing service. This includes causes which are known to be in current operation and against which the utility is not protected by insurance. Among the causes to be given consideration are wear and tear, decay, action of the elements, inadequacy, obsolescence, changes in the art, changes in demand, and requirements of regulatory bodies.

“Distribution Mains”, mean any pipes whose primary purpose is to distribute treated water throughout a community, and whose components include arterial or primary feeders, secondary feeders, and the distribution grid works.

DEFINITIONS (Continued)

“Investment Advances” means advances, represented by notes or by book accounts only, with respect to which it is mutually agreed or intended between the creditor and debtor that they shall be settled by the issuance of securities or shall not be subject to current settlement.

“Minor Items of Property” means the associated parts or items of which retirement units are composed.

“Net Salvage Value” means the salvage value of property retired less the cost of removal.

“NARUC” means the National Association of Regulatory Utility Commissioners

“Nominally Issued”, as applied to securities issued or assumed by the utility means those which have been signed, certified, or otherwise executed, and placed with the proper officer for sale and delivery, or pledged, or otherwise placed in some special fund of the utility, but which have not been sold, or issued directly to trustees of sinking funds in accordance with contractual requirements.

“Original Cost”, as applied to utility plant, means the actual money cost, or the actual money value of any consideration paid other than money, of the property at the time it was dedicated to public use, whether by the utility that is the present owner or by a predecessor.

“Property Retired”, as applied to utility plant, means property which has been removed, sold, abandoned, destroyed, or which for any cause has been permanently withdrawn from service.

“Replacing or Replacement”, when not otherwise indicated in the context, means the construction or installation of utility plant in place of property retired, together with the removal of the property retired.

“Retained Earnings” means the accumulated net income of the utility less distributions to stockholders and transfers to other capital accounts, and other adjustments.

“Salvage Value” means the amount received for property retired, less any expenses incurred in connection with the sale or in preparing the property for sale, or, if retained, the amount at which the material recoverable is chargeable to materials and supplies, or other appropriate account.

“Straight-Line Remaining Life Method”, as applied to depreciation accounting, means the plan under which the service value of property is charged to operating expenses (and to clearing accounts if used), and credited to the accumulated depreciation account through equal annual changes during its service life. "Remaining Life" implies that estimates of the future life and salvage shall be reexamined periodically and that depreciation rates will be corrected to reflect any changes in these estimates.

DEFINITIONS
(Continued)

“Supply Main” means any main, pipe, or aqueduct or canal whose primary purpose is to convey raw untreated water from one unit to another unit in the source of supply and pumping plant, and to the water treatment equipment.

“Transmission Main” means any pipes whose primary purpose is to convey treated water from the water treatment equipment or pumping station to the distribution system serving a community and generally provides no service connections with customers.

“Utility” as used herein and when not otherwise indicated in the context, means any public utility to which the uniform system of accounts is applicable.

(Company Name)

101. AFFILIATES - COMPANIES CONTROLLED BY REPORTING ENTITY

Show below the names of all corporations, business trusts, and similar organizations, controlled directly or indirectly by at any time during the reporting year.

1. If control ceased prior to end of the year, give particulars in a footnote.
2. If control was by other means than a direct holding of voting rights, state in a footnote the manner in which control was held, naming any intermediates involved.
3. If control was held jointly with one or more other interests, state the fact in a footnote and name the other interests.

Line No.	Name of Company Controlled (a)	Kind of Business (b)	Street Address (c)	City (d)	State (e)	Zip (f)	Voting % of Stock (g)	Footnote Ref. (h)
1	Monarch Utilities I, L.P does not control any companies.							
2								
3								
4								
5								
6								
7								
8								
9								
10								

4. If the reporting entity is **controlled by** another entity or entities not listed above, list the controlling entity(ies) below.

1	SouthWest Water Company	Controlling Entity	12535 Reed Road	Sugar Land	TX	77478		1
2	Monarch Utilities, Inc.	Controlling Entity	12535 Reed Road	Sugar Land	TX	77478	99%	1, 4
3	Texas Water Services Group, LLC	General Partner	12535 Reed Road	Sugar Land	TX	77478	1%	1, 5

FOOTNOTES:

1. Direct control is that which is exercised without interposition of an intermediary.
2. Indirect control is that which is exercised without interposition of an intermediary which exercises direct control. Control may exist by mutual agreement or understanding between two or more parties who together have control within the meaning of the definition of control in the NARUC System of Accounts, regardless of the relative voting rights of each party.
3. Joint control is that in which neither interest can effectively control or direct action without the consent of the other, as where the voting control is equally divided between two holders, or each party holds a veto power over the other. Joint control may exist by mutual agreement or understanding between two or more parties who together have control within the meaning of the definition of control in the NARUC System of Accounts, regardless of the relative voting rights of each party.
4. Monarch Utilities, Inc. is a wholly-owned subsidiary of SouthWest Water Company.
5. Texas Water Services Group, LLC is a wholly-owned subsidiary of Monarch Utilities, Inc.

(Company Name)

102. OFFICERS

Line No.	Official Title & Name (a)	Principal Business Address						
		Street Address (b)	City (c)	State (d)	Zip (e)	Telephone (f)	Fax (g)	Email (h)
1	President							
2	Charles W. Profilet, Jr.	12535 Reed Road	Sugar Land	TX	77478	(281) 207-5800		cprofilet@swwc.com
3								
4	CFO							
5	Kirk Michael	12535 Reed Road	Sugar Land	TX	77478	(281) 207-5800		kmichael@swwc.com
6								
7	V.P. General Counsel & Secretary							
8	William K. Dix	1325 North Grand Avenue, Suite 100	Covina	CA	91724-4044	626-543-2558		kdix@swwc.com
9								
10	Treasurer							
11	Kirk Michael	12535 Reed Road	Sugar Land	TX	77478	(281) 207-5800		kmichael@swwc.com
12								
13								
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22								
23								
24								
25								

201-W. WATER UTILITY PLANT IN SERVICE - Account No. 101.0

- 1 Report by prescribed accounts the original cost of utility plant in service and the additions and retirements of such plant during the year
2 Do not include as adjustments, corrections to additions and retirements for the current or preceding year. Such items should be included in appropriate Column (c) or (d)
3 Credit adjustments in Column (e) should be shown in red, or in black enclosed in parenthesis. State in a footnote the general character of any adjustments in Column (e)
4 Submit, in a footnote, an explanation of amounts included in Columns (e) and/or (f), Line 34, for lowering or changing the location of mains

Line No	Account Number and Title (a)	Balance Previous Year (b)	Additions (c)	Retirements (d)	Adjustments +/- (e)	Balance End of Year (f)
1	.1 INTANGIBLE PLANT	XXX	XXX	XXX	XXX	XXX
2	301 10 Organization	675,695				675,695
2	302 10 Franchises					-
3	339 10 Other Plant and Miscellaneous Equipment					-
3	Total Intangible Plant	675,695	-	-	-	675,695
4	.2 SOURCE OF SUPPLY AND PUMPING PLANT	XXX	XXX	XXX	XXX	XXX
4	303 20 Land and Land Rights					-
5	304 20 Structures and Improvements	371,428		958		370,470
5	305 20 Collection and Impounding Reservoirs					-
6	306 20 Lake, Rivers and Other Intakes					-
6	307 20 Wells and Springs	15,285,215	6,160	276,476		15,014,899
7	308 20 Infiltration Galleries and Tunnels					-
7	309 20 Supply Mains	26,781				26,781
8	310 20 Power Generation Equipment	5,610,392	119	109,899		5,500,612
8	311 20 Pumping Equipment	6,701,560	214,531	351,069	(2,218)	6,562,804
9	339 20 Other Plant and Miscellaneous Equipment	13,415	4,359	-	-	17,774
9	Total Source of Supply and Pumping Plant	28,008,790	225,169	738,402	(2,218)	27,493,338
10	.3 WATER TREATMENT EQUIPMENT	XXX	XXX	XXX	XXX	XXX
10	303 30 Land and Land Rights					-
11	304 30 Structures and Improvements	7,929,264	3,660	142,775		7,790,149
11	310 30 Power Generation Equipment					-
12	311 30 Pumping Equipment		(218)		2,218	2,000
12	320 30 Water Treatment Equipment	5,419,318	63,813	93,191		5,389,940
13	339 30 Other Plant and Miscellaneous Equipment					-
13	349 30 Instrumentation					-
14	350 30 Sewer Treatment Equipment					-
14	Total Water Treatment Equipment	13,348,582	67,255	235,966	2,218	13,182,089
15	.4 TRANSMISSION AND DISTRIBUTION PLANT	XXX	XXX	XXX	XXX	XXX
15	303 40 Land and Land Rights					-
16	304 40 Structures and Improvements	26,428				26,428
16	310 40 Power Generation Equipment					-
17	311 40 Pumping Equipment	77,310	4,294			81,604
17	330 40 Distribution Reservoirs and Standpipes	13,143,986	63,584	500,817	16,870	12,723,622
18	331 40 Transmission and Distribution Mains	45,308,126	83,868	757,406		44,634,588
18	333 40 Services	301,841	17,699	4,213		315,327
19	334 40 Meters and Meter Installations	5,118,562	859	168,821		4,950,600
19	335 40 Hydrants	377,175	-	51,904		325,270
20	336 40 Backflow Prevention Devices					-
20	339 40 Other Plant and Miscellaneous Equipment					-
21	Total Transmission and Distribution Plant	64,353,428	170,304	1,483,162	16,870	63,057,439
21	.5 GENERAL PLANT	XXX	XXX	XXX	XXX	XXX
22	303 50 Land and Land Rights	1,641,883		45,000		1,596,883
22	304 50 Structures and Improvements	2,003,423	3,482	16,594		1,990,312
23	340 50 Office Furniture and Equipment	881,887	54,303	23,042		913,149
23	341 50 Transportation Equipment	958,358	486,596	23,031	(177,195)	1,244,729
24	342 50 Stores Equipment					-
24	343 50 Tools, Shop and Garage Equipment		5,024			5,024
25	344 50 Laboratory Furniture & Equipment	105,562				105,562
25	345 50 Power Operated Equipment	217,225	3,701	2,165		218,762
26	346 50 Communication Equipment	410,089	3,006	10,795		402,300
26	347 50 Miscellaneous Equipment	223,807	(109)	31,686		192,012
27	348 50 Other Tangible Plant					-
27	Total General Plant	6,442,235	556,003	152,312	(177,195)	6,668,731
28	TOTAL WATER PLANT-IN-SERVICE	112,828,729	1,018,730	2,609,842	(160,325)	111,077,293

201-S. SEWER UTILITY PLANT IN SERVICE - Account No. 101.0

- 1 Report by prescribed accounts the original cost of utility plant in service and the additions and retirements of such plant during the year
- 2 Do not include as adjustments, corrections to additions and retirements for the current or preceding year. Such items should be included in appropriate Column (c) or (d)
- 3 Credit adjustments in Column (e) should be shown in red, or in black enclosed in parenthesis. State in a footnote the general character of any adjustments in Column (e)
- 4 Submit, in a footnote, an explanation of amounts included in Columns (e) and/or (f), Line 34, for lowering or changing the location of mains

Line No	Account Number and Title (a)	Balance Previous Year (b)	Additions (c)	Retirements (d)	Adjustments +/- (e)	Balance End of Year (f)
1	.1 INTANGIBLE PLANT	XXX	XXX	XXX	XXX	XXX
2	351 10 Organization					-
2	352 10 Franchises					-
3	.2 COLLECTION SYSTEM					
3	353 20 Land and Right-of-Ways					-
4	354 20 Pump Station Structures and Improvements	2,991,225	(247)	-		2,990,978
4	355 20 Pump Station Power Generation Equipment	1,553,994	6,454	4,789		1,555,658
5	356 20 Pump Station Power Protection and Control Devices					-
5	359 20 Collection Sewers - Pressure					-
6	360 20 Pump Station Force Mains	2,239,798	2,487	398,922		1,843,363
6	361 20 Collection Sewers - Gravity	5,565,452		273,229		5,292,223
7	362 20 Special Collection Structures	136,104				136,104
7	363 20 Services to Customers	23,117	3,722	20,750		6,089
8	364 20 Customer's Flow Measuring Devices	54,771	(850)	-		53,922
8	365 20 Customer's Flow Measuring Installations					-
9	366 20 Customer's Grinder Pump Units					-
9	367 20 Customer's Grinder Pump Unit Installations					-
10	370 20 Receiving Wells					-
10	371 20 Pump Station Pumping Equipment					-
11	389 20 Other Plant and Misc. Equipment					-
11	396 20 Pump Station Communication Equipment					-
12	398 20 Other Tangible Plant					-
12	.3 WATER TREATMENT PLANT					
13	354 30 Structures and Improvements					-
13	355 30 Pump Station Power Generation Equipment					-
14	370 30 Receiving Wells	777,925		84,399		693,526
14	371 30 Pump Station Pumping Equipment	678,177	14,982	12,661		680,497
15	.4 TREATMENT and DISPOSAL FACILITIES					
15	353 40 Land and Right-of-Ways	24,093				24,093
16	354 40 Structures and Improvements	50,306				50,306
16	355 40 Power Generation Equipment					-
17	356 40 Power Protection and Control Devices & Appurtenances					-
17	371 40 Pumping Equipment					-
18	380 40 Treatment and Disposal Equipment	3,437,039	2,021	-		3,439,059
18	381 40 Yard Piping	2,876,518	1,970	2,474		2,876,014
19	382 40 Outfall Line and Headwall					-
19	385 40 Instrumentation and Computer Equipment					-
20	389 40 Other Plant and Miscellaneous Equipment					-
20	.5 RECLAIMED WATER TREATMENT PLANT					
21	354 50 Structures and Improvements					-
21	371 50 Pumping Equipment					-
22	380 50 Treatment and Disposal Equipment					-
22	.6 RECLAIMED WATER DISTRIBUTION PLANT					
23	367 60 Customer's Grinder Pump Unit Installations					-
23	.7 GENERAL PLANT					
24	354 70 Structures and Improvements					-
24	385 70 Instrumentation and Computer Equipment					-
25	390 70 Office Furniture and Equipment	109,150				109,150
25	391 70 Transportation Equipment					-
26	392 70 Stores Equipment					-
26	393 70 Tools, Shop and Garage Equipment					-
27	394 70 Laboratory Equipment					-
27	395 70 Power Operated Equipment	220,012	1,316			221,328
28	396 70 Communication Equipment	54,215	(317)	-		53,898
28	397 70 Miscellaneous Equipment	2,363				2,363
29	398 70 Other Tangible Plant					-
29	399 70 Safety Equipment					-
30						
30						
31	TOTAL WASTEWATER UTILITY PLANT ACCOUNT	20,794,258	31,537	797,224	-	20,028,571

150. RATE BASE AND EARNINGS CALCULATION

RATE BASE SUMMARY

Line No.	Description	Water (a)	Sewer (b)	Total (c)=(a) + (b)	Reference
1	Additions:	xxxx	xxxx	xxxx	
2	Utility plant	111,077,293	20,028,571	131,105,863	201
3	Materials and supplies	367,008.21	59,115	426,124	200
4	Working cash (capital) (attach schedule)			-	200-1
5	Prepayments	90,760	13,143	103,903	200
6	Other (attach schedule or itemize)			-	
7	TOTAL ADDITIONS (Add Lines 2 through 6)	111,535,061	20,100,829	131,635,890	
8	Deductions:	xxxx	xxxx	xxxx	
9	Reserve for depreciation (Accumulated)	45,916,744	7,746,930	53,663,674	202
10	Advances for construction	514,949	82,663	597,612	200
11	Contributions in aid of construction	3,738,582	1,148,901	4,887,483	200
12	Accumulated deferred income taxes			-	200
13	Accumulated deferred investment tax credits			-	200
14	Other (attach schedule or itemize)			-	
15	TOTAL DEDUCTIONS (Add lines 9 through 14)	50,170,275	8,978,494	59,148,769	
16	RATE BASE (Line 7, less Line 15)	61,364,786	11,122,335	72,487,121	

EARNINGS CALCULATION

(Except for the cells in **blue** below, the values in this table will populate automatically after completion of Schedules 400 and 200-3)

17	Return (Note 1)	6,727,114
18	Rate of Return (Line 17/Line 16)	9.28%
19	<u>Earned</u> Return on Ending Equity (Notes 2, 3)	10.96%

Note 1 [Schedule 400, Line 59, Column (h)] + [Schedule 400, Line 51, Column (h)] - [Schedule 400, Line 45, Column (h)] - [Schedule 400, Line 58, Column (h)]

Note 2 Based on reported capital structure on Schedule 200 3 as reflected in "Capital Structure" section below

Note 3 Line 19 (above) will automatically calculate correctly only after Schedule 200 3, Schedule 400, and the rate-base portion of this schedule (above) have been completed

Capital Structure & Weighted-Average Cost of Capital

	Balance	Percent of Total	Cost	Weighted Cost of Capital
	(a)	(b)	(c)	(d)=(b) x (c)
Long-Term Debt (balance carries over from tab 200-3)	24,831,561	30.29%	5.41%	1.64%
Common Equity* (balance carries over from tab 200-3)	57,150,655	69.71%	0.00%	0.00%
Total	81,982,216	100.00%		1.64%

*If the company currently has an authorized return on equity (ROE), enter that ROE in column (c) and provide the docket number in which that ROE was granted here =====>>

n/a

If the company does not currently have an authorized ROE, enter in column (c) the average of the most current ROE values for all other Class A Utilities with authorized ROE values.

n/a

If the company does not currently have an authorized ROE, enter in the box that follows the ROE that the company believes reflects its current cost of equity (this will in virtually every circumstance be different from the ROE that is actually earned) and place an "X" here=====>>

10.75%

(Company Name)

200-1. BALANCE SHEET
UTILITY PLANT AND OTHER DEBITS

Balances at Beginning of Year must be consistent with balances at end of previous year

Line No.	Account Number and Title (a)	Schedule No. (b)	Balance End of Year (d)	Balance Beginning of Year (e)
1	UTILITY PLANT		xxx	
2	101.0 Utility Plant in Service	201	131,105,863	133,622,986
3	102.0 Utility Plant Leased To Others	202		
4	103.0 Property Held for Future Use	203		
5	104.0 Utility Plant Purchased or Sold			
6	105.0 Construction Work in Progress	204	2,850,787	694,431
7	106.0 Completed Construction Not Classified		(54,511)	75,397
8	Total Utility Plant		133,902,139	134,392,814
9	ACCUMULATED DEPRECIATION		xxx	xxx
10	108.1 Utility Plant in Service	205	53,663,674	52,408,387
11	108.2 Utility Plant Leased to Others	205		
12	108.3 Property Held for Future Use	205		
13	Total Accumulated Depreciation		53,663,674	52,408,387
14	ACCUMULATED AMORTIZATION		xxx	xxx
15	110.1 Utility Plant In Service			
16	110.2 Utility Plant Leased to Others			
17	Total Accumulated Amortization			
18	UTILITY PLANT ADJUSTMENTS		xxx	xxx
19	114.0 Utility Plant Acquisition Adjustments	203		
20	115.0 Accumulated Amortization of Utility Plant Acquisition Adjustments			
21	116.0 Other Utility Plant Adjustments			
22	Total Utility Plant Adjustments			
23	117.0 Pending Reclass of Utility Plant			
24	TOTAL NET UTILITY PLANT		80,238,465	81,984,427
25	OTHER PROPERTY AND INVESTMENTS		xxx	xxx
26	OTHER PROPERTY		xxx	xxx
27	121.0 Non-Utility Property			
28	122.0 Accumulated Depreciation & Amortization of Non-Utility Property			
29	Total Other Property			
30	INVESTMENTS		xxx	xxx
31	123.0 Investments in Affiliated Companies			
32	123.1 Other Investments			
33	123.2 Sinking Funds			
34	123.3 Other Special Funds			
35	124.0 Utility Investments			
36	125.0 Other Investments			
37	126.0 Sinking Funds			
38	127.0 Other Special Funds		2,541	9,841
39	Total Investments		2,541	9,841
40	TOTAL OTHER PROPERTY AND INVESTMENTS		2,541	9,841

(Company Name)

200-2. BALANCE SHEET
CURRENT ASSETS AND OTHER DEBITS

Balances at Beginning of Year must be consistent with balances at end of previous year

Line No.	Account Number and Title (a)	Schedule No. (b)	Balance End of Year (d)	Balance Beginning of Year (d)
1	CURRENT AND ACCRUED ASSETS		XXX	XXX
2	131.1 Cash on Hand			
3	131.2 Cash in Bank		139,612	36,129
4	132.0 Special Deposits - Interest and Dividends			
5	133.0 Other Special Deposits		7,340	5,075
6	134.0 Working Funds			
7	135.0 Temporary Cash Investments			
8	141.0 Customers Accounts Receivable		2,999,043	2,873,175
9	142.0 Other Accounts Receivable			
10	143.0 Accumulated Provision for Uncollectible Accounts-Credit		(167,835)	(82,578)
11	144.0 Notes Receivable			
12	145.0 Accounts Receivable from Affiliated Company		11,114,056	1,511,470
13	146.0 Notes Receivable from Affiliated Company			
14	151.0 Plant Materials and Supplies		426,124	296,429
15	152.0 Merchandise			
16	153.0 Other Materials and Supplies			
17	161.0 Stores Expense			
18	162.0 Prepayments		103,903	113,752
19	171.0 Accrued Interest & Dividends Receivable		191,936	198,620
20	172.0 Rents Receivable			
21	173.0 Accrued Utility Revenues			
22	174.0 Miscellaneous Current & Accrued Assets		(75,000)	
23	TOTAL CURRENT & ACCRUED ASSETS		14,739,179	4,952,072
24	DEFERRED DEBITS		XXX	XXX
25	181.0 Unamortized Debt Discount and Expense		168,738	179,916
26	182.0 Extraordinary Property Losses			
27	183.0 Preliminary Survey and Investigation Charges			
28	184.0 Clearing Accounts	204		
29	185.0 Temporary Facilities			
30	186.1 Deferred Rate Case Expense		240,562	
31	186.2 Other Deferred Debits		1,364,766	1,300,544
32	186.3 Regulatory Assets			
33	187.0 Research & Development Expenditures			
34	190.1 Accumulated Deferred Federal Income Taxes			
35	190.2 Accumulated Deferred State Income Taxes			
36	TOTAL DEFERRED DEBITS		1,774,066	1,480,460
37	TOTAL ASSETS & OTHER DEBITS		96,754,251	88,426,800

(Company Name)

200-3. BALANCE SHEET
EQUITY AND LONG TERM LIABILITIES

Balances at Beginning of Year must be consistent with balances at end of previous year

Line No.	Account Number and Title (a)	Schedule No. (b)	Balance End of Year (d)	Balance Beginning of Year (d)
1	EQUITY CAPITAL & LIABILITIES		XXX	XXX
2	EQUITY CAPITAL		XXX	XXX
3	201.0 Common Stock Issued		1,000	1,000
4	202.0 Common Stock Subscribed			
5	203.0 Common Stock Liability for Conversion			
6	207.0 Premium on Capital Stock			
7	209.0 Reduction in Par or Stated Value of Capital Stock			
8	210.0 Gain on Resale or Cancellation of Reacquired Capital Stock			
9	211.0 Other Paid-In Capital		58,188,000	58,188,000
10	212.0 Discount on Capital Stock			
11	213.0 Capital Stock Expense			
12	214.0 Appropriated Retained Earnings	205		
13	215.0 Unappropriated Retained Earnings	205	(1,038,345)	(6,463,094)
14	216.0 Reacquired Capital Stock			
15	218.0 Proprietary Capital (proprietorships & partnerships)			
16	TOTAL EQUITY CAPITAL		57,150,655	51,725,906
17	LONG-TERM DEBT		XXX	XXX
18	221.0 Bonds			
19	222.0 Reacquired Bonds			
20	223.0 Advances from Affiliated Companies	208		
21	224.0 Other Long-term Debt	206	24,831,561	25,538,238
22	TOTAL LONG-TERM DEBT		24,831,561	25,538,238

200-4. BALANCE SHEET
CURRENT LIABILITIES AND OTHER CREDITS

Balances at Beginning of Year must be consistent with balances at end of previous year

Line No	Account Number and Title (a)	Schedule No (b)	Balance End of Year (d)	Balance Beginning of Year (d)
1	CURRENT AND ACCRUED LIABILITIES		XXX	XXX
2	231.00 Accounts Payable		1,085,717	794,553
3	232.00 Notes Payable	206		
4	233.00 Accounts Payable to Affiliated Companies	208		
5	234.00 Notes Payable to Affiliated Companies	206		
6	235.00 Customers' Deposits-Billing		584,234	572,419
7	236.11 Accrued Taxes, Taxes Other Than Income		538,538	363,680
8	236.12 Accrued Taxes, Income Taxes		169,256	85,343
9	236.20 Accrued Taxes, Other Income & Deductions			
10	237.10 Accrued Interest on Long-term Debt		137,960	143,122
11	237.20 Accrued Interest on Other Liabilities		443	2,115
12	238.00 Accrued Dividends			
13	239.00 Matured Long-term Debt		822,631	822,631
14	240.00 Matured Interest			
15	241.00 Miscellaneous Current and Accrued Liabilities		4,100,563	1,129,583
16	TOTAL CURRENT AND ACCRUED LIABILITIES		7,439,341	3,913,447
17	DEFERRED CREDITS		XXX	XXX
18	251.00 Unamortized Premium on Debt			
19	252.00 Advances for Construction		817,598	1,528,689
20	252.10 Accumulated Amortization of Advances for Construction		(219,987)	(280,838)
21	253.00 Other Deferred Credits		1,847,598	1,743,607
22	255.10 Accumulated Deferred Investment Tax Credit (Utility Operations)			
23	255.20 Accumulated Deferred Investment Tax Credit (Non-Utility Operations)			
24	TOTAL DEFERRED CREDITS		2,445,210	2,991,458
25	OPERATING RESERVES		XXX	XXX
26	261.00 Property Insurance Reserve			
27	262.00 Injuries & Damages Reserve			
28	263.00 Pensions & Benefits Reserve			
29	265.00 Miscellaneous Operating Reserve			
30	TOTAL OPERATING RESERVES			
31	CONTRIBUTIONS IN AID OF CONSTRUCTION (CIAC)		XXX	XXX
32	271.10 Customer Contributions		92,583	59,732
33	271.20 Developer Contributions		5,499,472	4,783,935
34	271.30 Grant(s) in Aid			
35	271.40 Other			
36	272.00 Accumulated Amortization		(704,572)	(585,917)
37	TOTAL NET (CIAC)		4,887,483	4,257,750
38	ACCUMULATED DEFERRED INCOME TAXES		XXX	XXX
39	281.00 Accelerated Amortization			
40	282.00 Liberalized Depreciation			
41	283.00 Other			
42	TOTAL ACCUMULATED DEFERRED INCOME TAXES			
43	TOTAL LIABILITIES & OTHER CREDITS		96,754,251	88,426,800

(Company Name)

201-W. WATER UTILITY PLANT IN SERVICE - Account No. 101.0

- 1 Report by prescribed accounts the original cost of utility plant in service and the additions and retirements of such plant during the year
 2 Do not include as adjustments, corrections to additions and retirements for the current or preceding year. Such items should be included in appropriate Column (c) or (d)
 3 Credit adjustments in Column (e) should be shown in red, or in black enclosed in parenthesis. State in a footnote the general character of any adjustments in Column (e)
 4 Submit, in a footnote, an explanation of amounts included in Columns (e) and/or (f), Line 34, for lowering or changing the location of mains

Line No	Account Number and Title (a)	Balance Previous Year (b)	Additions (c)	Retirements (d)	Adjustments +/- (e)	Balance End of Year (f)
1	.1 INTANGIBLE PLANT	XXX	XXX	XXX	XXX	XXX
2	301 10 Organization	675,695				675,695
2	302 10 Franchises					-
3	339 10 Other Plant and Miscellaneous Equipment					-
3	Total Intangible Plant	675,695	-	-	-	675,695
4	.2 SOURCE OF SUPPLY AND PUMPING PLANT	XXX	XXX	XXX	XXX	XXX
4	303 20 Land and Land Rights					-
5	304 20 Structures and Improvements	371,428		958		370,470
5	305 20 Collection and Impounding Reservoirs					-
6	306 20 Lake, Rivers and Other Intakes					-
6	307 20 Wells and Springs	15,285,215	8,479	275,512		15,018,182
7	308 20 Infiltration Galleries and Tunnels					-
7	309 20 Supply Mains	26,781				26,781
8	310 20 Power Generation Equipment	5,610,392	870	112,625		5,498,637
8	311 20 Pumping Equipment	6,701,560	180,810	383,916		6,498,454
9	339 20 Other Plant and Miscellaneous Equipment	13,415		-	-	13,415
9	Total Source of Supply and Pumping Plant	28,008,790	190,159	773,011	-	27,425,938
10	.3 WATER TREATMENT EQUIPMENT	XXX	XXX	XXX	XXX	XXX
10	303 30 Land and Land Rights					-
11	304 30 Structures and Improvements	7,929,264	71,587	142,775		7,858,076
11	310 30 Power Generation Equipment					-
12	311 30 Pumping Equipment					-
12	320 30 Water Treatment Equipment	5,419,318	27,252	88,817		5,357,754
13	339 30 Other Plant and Miscellaneous Equipment					-
13	349 30 Instrumentation					-
14	350 30 Sewer Treatment Equipment					-
14	Total Water Treatment Equipment	13,348,582	98,839	231,591	-	13,215,829
15	.4 TRANSMISSION AND DISTRIBUTION PLANT	XXX	XXX	XXX	XXX	XXX
15	303 40 Land and Land Rights					-
16	304 40 Structures and Improvements	26,428				26,428
16	310 40 Power Generation Equipment					-
17	311 40 Pumping Equipment	77,310				77,310
17	330 40 Distribution Reservoirs and Standpipes	13,143,986	120,428	504,622		12,759,792
18	331 40 Transmission and Distribution Mains	45,308,126	404,598	754,255		44,958,470
18	333 40 Services	301,841	274,604	4,341		572,104
19	334 40 Meters and Meter Installations	5,118,562	58,587	169,255		5,007,894
19	335 40 Hydrants	377,175	20,237	51,904		345,508
20	336 40 Backflow Prevention Devices					-
20	339 40 Other Plant and Miscellaneous Equipment					-
21	Total Transmission and Distribution Plant	64,353,428	878,454	1,484,378	-	63,747,504
21	.5 GENERAL PLANT	XXX	XXX	XXX	XXX	XXX
22	303 50 Land and Land Rights	1,641,883		45,000		1,596,883
22	304 50 Structures and Improvements	2,003,423	6,836	16,859		1,993,401
23	340 50 Office Furniture and Equipment	881,887		23,042		858,846
23	341 50 Transportation Equipment	958,358		372,594		585,764
24	342 50 Stores Equipment					-
24	343 50 Tools, Shop and Garage Equipment					-
25	344 50 Laboratory Furniture & Equipment	105,562				105,562
25	345 50 Power Operated Equipment	217,225	211	2,605		214,831
26	346 50 Communication Equipment	410,089		11,474		398,614
26	347 50 Miscellaneous Equipment	223,807		41,395		182,412
27	348 50 Other Tangible Plant					-
27	Total General Plant	6,442,235	7,047	512,970	-	5,936,312
28	TOTAL WATER PLANT-IN-SERVICE	112,828,729	1,174,500	3,001,949	-	111,001,279

(Company Name)

201-S. SEWER UTILITY PLANT IN SERVICE - Account No. 101.0

- 1 Report by prescribed accounts the original cost of utility plant in service and the additions and retirements of such plant during the year
- 2 Do not include as adjustments, corrections to additions and retirements for the current or preceding year. Such items should be included in appropriate Column (c) or (d)
- 3 Credit adjustments in Column (e) should be shown in red, or in black enclosed in parenthesis. State in a footnote the general character of any adjustments in Column (e)
- 4 Submit, in a footnote, an explanation of amounts included in Columns (e) and/or (f), Line 34, for lowering or changing the location of mains

Line No	Account Number and Title (a)	Balance Previous Year (b)	Additions (c)	Retirements (d)	Adjustments +/- (e)	Balance End of Year (f)
1	.1 INTANGIBLE PLANT	XXX	XXX	XXX	XXX	XXX
2	351 10 Organization					-
2	352 10 Franchises					-
3	.2 COLLECTION SYSTEM					
3	353 20 Land and Right-of-Ways					-
4	354 20 Pump Station Structures and Improvements	2,991,225	1,970	247		2,992,947
4	355 20 Pump Station Power Generation Equipment	1,553,994	97,489	5,409		1,646,074
5	356 20 Pump Station Power Protection and Control Devices					-
5	359 20 Collection Sewers - Pressure					-
6	360 20 Pump Station Force Mains	2,239,798	2,967	398,922		1,843,843
6	361 20 Collection Sewers - Gravity	5,565,452	2,110	273,229		5,294,333
7	362 20 Special Collection Structures	136,104				136,104
7	363 20 Services to Customers	23,117		20,750		2,367
8	364 20 Customer's Flow Measuring Devices	54,771	151	850		54,073
8	365 20 Customer's Flow Measuring Installations					-
9	366 20 Customer's Grinder Pump Units					-
9	367 20 Customer's Grinder Pump Unit Installations					-
10	370 20 Receiving Wells					-
10	371 20 Pump Station Pumping Equipment					-
11	389 20 Other Plant and Misc. Equipment					-
11	396 20 Pump Station Communication Equipment					-
12	398 20 Other Tangible Plant					-
12	.3 WATER TREATMENT PLANT					
13	354 30 Structures and Improvements					-
13	355 30 Pump Station Power Generation Equipment					-
14	370 30 Receiving Wells	777,925		84,399		693,526
14	371 30 Pump Station Pumping Equipment	678,177	2,102	15,771		664,507
15	.4 TREATMENT and DISPOSAL FACILITIES					
15	353 40 Land and Right-of-Ways	24,093				24,093
16	354 40 Structures and Improvements	50,306				50,306
16	355 40 Power Generation Equipment					-
17	356 40 Power Protection and Control Devices & Appurtenances					-
17	371 40 Pumping Equipment					-
18	380 40 Treatment and Disposal Equipment	3,437,039	72	242		3,436,869
18	381 40 Yard Piping	2,876,518	4,952	2,474		2,878,997
19	382 40 Outfall Line and Headwall					-
19	385 40 Instrumentation and Computer Equipment					-
20	389 40 Other Plant and Miscellaneous Equipment					-
20	.5 RECLAIMED WATER TREATMENT PLANT					
21	354 50 Structures and Improvements					-
21	371 50 Pumping Equipment					-
22	380 50 Treatment and Disposal Equipment					-
22	.6 RECLAIMED WATER DISTRIBUTION PLANT					
23	367 60 Customer's Grinder Pump Unit Installations					-
23	.7 GENERAL PLANT					
24	354 70 Structures and Improvements					-
24	385 70 Instrumentation and Computer Equipment					-
25	390 70 Office Furniture and Equipment	109,150				109,150
25	391 70 Transportation Equipment					-
26	392 70 Stores Equipment					-
26	393 70 Tools, Shop and Garage Equipment					-
27	394 70 Laboratory Equipment					-
27	395 70 Power Operated Equipment	220,012	1,123			221,135
28	396 70 Communication Equipment	54,215		317		53,898
28	397 70 Miscellaneous Equipment	2,363				2,363
29	398 70 Other Tangible Plant					-
29	399 70 Safety Equipment					-
30						
30						
31	TOTAL WASTEWATER UTILITY PLANT ACCOUNT	20,794,258	112,936	802,609	-	20,104,585

(Company Name)

202. ACCUMULATED DEPRECIATION OF UTILITY PLANT - WATER AND SEWER

- 1 Report below an analysis of the changes in accumulated depreciation during the year and the amounts applicable to prescribed functional classifications
 2 Explain and give particulars of important adjustments during the year

Line No	Item (a)	Water Plant	Sewer Plant
		Water Plant (b)	Sewer Plant (c)
1	Balance Beginning of Year	45,159,597	7,248,790
2	Credits During Year	XXXXX	XXXXX
3	Depreciation Provisions charged to	XXXXX	XXXXX
4	403 Depreciation	2,650,393	499,581
5	413 Income from Utility Plant Leased to Others	-	
6		-	
7	Total Depreciation Provisions	2,650,393	499,581
8	Recoveries from Insurance		
9	Salvage Realized from Retirements		
10	Other Credits (Describe)		
11			
12	Total Credits During Year	-	
13	Total Credits	2,650,393	499,581
14	Debits During Year	XXXXX	XXXXX
15	Retirement of Utility Plant	1,619,464	278,376
16	Cost of Removal	(4,650)	1,497
17	Other Debits (Describe)		
18		-	
19	Total Debits During Year	1,614,814	279,873
20	Balance at End of Year	46,195,176	7,468,498

Describe the basis upon which depreciation provisions for the year were determined and attach worksheets showing the computations made in arriving at the annual provisions

203-W. WATER UTILITY PLANT ACQUISITIONS ADJUSTMENTS - Account No. 114.0

Line No	Item (a)	Project No 1 Amount (b)	Project No 2 Amount (c)	Project No 3 Amount (d)	Project No 4 Amount (e)	Totals (f)
1	Book Plant - Net					
2	PUC Difference (Ratemaking)					
3	Less Contributions (Net)					
4	Net Utility Plant Acquired					
5	Purchase Price					
6	Acquisition Adjustment					
7						
8						

203-S. SEWER UTILITY PLANT ACQUISITIONS ADJUSTMENTS - Account No. 114.0

Line No	Item (a)	Project No 1 Amount (b)	Project No 2 Amount (c)	Project No 3 Amount (d)	Project No 4 Amount (e)	Totals (f)
1	Book Plant - Net					
2	PUC Difference (Ratemaking)					
3	Less Contributions (Net)					
4	Net Utility Plant Acquired					
5	Purchase Price					
6	Acquisition Adjustment					
7						
8						

(Company Name)

204. CLEARING ACCOUNTS SUPPORTING SCHEDULE - Account No. 184.0

This Schedule should include a breakdown of the accounts that constitute the ending balance in Account No. 184.0 - Clearing Accounts.

Line No.	Account No. (a)	Balance at Beg. of Year (b)	Additions (c)	Reductions or Deletions (d)	Adjustments (e)	Balance at End of Year (f)
1	N/A					
2						
3						
4						
5						
6						
7						
8	TOTALS					

205. STATEMENT OF RETAINED EARNINGS SUPPORTING SCHEDULE

Account Nos. 214.0 and 215.0

1. Dividends should be shown for each class and series of capital stock. Show amounts of dividends per share.
2. Show separately the state and federal income tax effect of items shown in Account No. 409.0.

Line No.	Item (a)	Amounts (b)
1	Unappropriated Retained Earnings Account No. 215.0:	XXXXXX
2	Balance Beginning of Year	(6,463,094)
3	Changes to Account:	XXXXXX
4	Adjustments to Retained Earnings *	
5	Credits	
6	Debits	
7	Balance Transferred From Income	5,424,749
8	Total Unappropriated Retained Earnings	(1,038,345)
9		
10	Appropriated Retained Earnings Account No. 214.0:	XXXXXX
11	Total Appropriations of Retained Earnings	
12	Dividends Declared:	XXXXXX
13	Preferred Stock Dividends Declared	
14	Common Stock Dividend Declared	
15	Total Dividends Declared	
16	Total Appropriated Retained Earnings	
17	Total Retained Earnings	(1,038,345)

* Indicate whether or not the adjustment was Commission Approved.

Notes to Retained Earnings (for any adjusting journal entries other than closing prior year's income):

(Company Name)

206. LONG-TERM DEBT - Account Nos. 221.0, 222.0, and 224.0

(Excluding Advances from Affiliated Companies)

- 1 Give below the particulars indicated of the long-term debt at end of year represented by unmatured obligations issued or assumed by the respondent, exclusive of advances from affiliated companies
- 2 Group entries according to accounts and show the total for each account
- 3 For obligations assumed by the respondent show in Column (a) the name of the issuing company and the class and series of such obligations
- 4 For Receivers' Certificates show the name of the court and date of court order under which such certificates were issued
- 5 If respondent has pledged any of its long-term debt securities give particulars in a footnote, including name of the pledge and purpose of pledge
- 6 If interest expense was incurred during the year on any obligations retired or reacquired before end of year, include such interest expense in Column (g)
- 7 If interest has matured but is unpaid on any obligation, state in a footnote the class, series and principal amount of such obligation and the amount of interest matured thereon

Line No	Class and Series of Obligations (a)	Nominal Date of Issue (b)	Date of Maturity (c)	Principal Amount Authorized (d)	Outstanding Per Balance Sheet* (e)	Interest For Year		Held By Respondent	
						Rate (f)	Amount (g)	As Reacquired Lg -Term Debt (h)	In Sinking & Other Funds (i)
1		XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX
2	7 37% fixed rate term loan due 2022	7/01/2009	4/30/2022	15,400,000	4,106,668	7 37%	367,200		
3	5 77% fixed rate term loan due 2022	7/01/2009	5/31/2022	1,000,000	285,089	5 77%	19,886		
4	6 10% fixed rate term loan, balloon pmt 2031	09/01/2005	6/30/2031	20,000,000	20,000,000	6 10%	1,236,944		
5	Long-Term Port-Capital Lease Obligations	Various	Various	1,077,970	439,805	4 00%	31,446		
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20	TOTAL OBLIGATIONS			37,477,970	24,831,561		1,655,476		

* Total amount outstanding without reduction for amount held by respondent

(Company Name)

207. NOTES PAYABLE SUPPORTING SCHEDULE - Account No. 232.0

This schedule should include a breakdown of the accounts that constitute the ending balance in Account No. 232.0 - Notes Payable.

Line No.	Name of Creditor (a)	Description of Transaction (b)	Date of Issue (c)	Date of Maturity (d)	Amount at End of Year (e)	Interest Rate Per Annum (f)
1	N/A					
2						
3						
4						
5						
6						
7						
8				TOTAL		

**208. ACCOUNTS PAYABLE TO AFFILIATED COMPANIES
SUPPORTING SCHEDULE - Account No. 233.0**

This schedule should include a breakdown of the accounts that constitute the ending balance in Account No. 233.0 - Accounts Payable to Affiliated Companies.

Line No.	Name of Affiliated Company (a)	Description of Transaction (b)	Date of Issue (c)	Date of Maturity (d)	Amount at End of Year (e)	Interest Rate Per Annum (f)
1						
2						
3						
4						
5						
6						
7						
8				TOTAL	-	

(Company Name)

**400. INCOME STATEMENT
REVENUES AND EXPENSES**

Line No	Account Number and Title (a)	Schedule No (c)	Balance End of Year (d)	Normalization ¹ Adjustment (g)	End of Year Normalized Amount (h)
1	400 0 Operating Revenues-Water	401-W	24,630,145		24,630,145
	500 0 Operating Revenues-Sewer	401-S	4,202,944		4,202,944
2					
3	UTILITY OPERATING EXPENSES		XXX	XXX	XXX
4	401 0 Operating Expenses		19,258,854		19,258,854
5	403 0 Depreciation Expense		3,031,319		3,031,319
6	406 0 Amortization of Utility Plant Acquisition Adjustment				
7	407 1 Amortization of Limited Term Plant				
8	407 2 Amortization of Property Losses				
9	407 3 Amortization of Other Utility Plant				
10	407 4 Amortization of Regulatory Assets				
11	408 0 Taxes Other Than Income		963,761		963,761
12	409 10 Federal Income Taxes, Utility Operating Income		2,921,018		2,921,018
13	409 11 State Income Taxes, Utility Operating Income		149,150		149,150
14	409 12 Local Income Taxes, Utility Operating Income				
15	410 0 Deferred Income Tax				
16	410 10 Federal				
17	410 11 State				
18	Total Deferred Income Tax				
19	411 1 Provision for Deferred Income Taxes - Credit, Utility Operating Income		XXX	XXX	XXX
20	Tax Credits				
21	412 1 Investment Tax Credit, Deferred to Future Periods, Utility Operating Income		XXX	XXX	XXX
22	412 2 Investment Tax Credits, Restored to Operating Income, Utility Operating Income		XXX	XXX	XXX
23	Total Tax Credits				
24	TOTAL UTILITY OPERATING EXPENSES		26,324,102		26,324,102
25					
26	NET UTILITY OPERATING INCOME (LOSS)		2,508,987		2,508,987
27					
28	OTHER OPERATING INCOME (LOSS)		XXX	XXX	XXX
29	413 0 Income from Utility Plant Leased to Others				
30	414 0 Gains (Losses) from Disposition of Utility Property		4,298,789		4,298,789
31	TOTAL OTHER OPERATING INCOME (LOSS)		4,298,789		4,298,789
32					
33	NON-OPERATING INCOME		XXX	XXX	XXX
34	415 0 Revenues from Merchandising, Jobbing and Contract Work				
35	419.0 Interest & Dividend Income				
36	420 0 Allowance for Funds Used During Construction (AFUDC)				
37	421 0 Non-Utility Income		(16,180)		(16,180)
38	TOTAL NON-OPERATING INCOME		(16,180)		(16,180)
39					
40	NON-OPERATING DEDUCTIONS		XXX	XXX	XXX
41	408 2 Taxes Other Than Income, Other Income and Deductions (attach schedule)				
42	409.2 Income Taxes, Other Income and Deductions				
43	416 0 Costs & Expenses of Merchandising, Jobbing and Contract Work				
44	426 0 Miscellaneous Non-Utility Expenses		56,511		56,511
45	TOTAL NON-OPERATING INCOME & DEDUCTIONS		40,331		40,331
46					
47	INTEREST EXPENSE		XXX	XXX	XXX
48	427 0 Interest Expense		1,331,518		1,331,518
49	428 0 Amortization of Debt Discount & Expenses		11,178		11,178
50	429 0 Amortization of Premium on Debt				
51	TOTAL INTEREST EXPENSE		1,342,696		1,342,696
52					
53	EXTRAORDINARY ITEMS		XXX	XXX	XXX
54	433 0 Income				
55	434 0 Deductions				
56	409 3 Income Taxes				
57	409.4 Other				
58	TOTAL EXTRAORDINARY ITEMS				
59	NET INCOME (LOSS)		5,424,749		5,424,749

¹ Attach an explanation and calculations for all normalized adjustments. The reporting entity must use any normalization adjustment methodologies that were approved in the entity's most recent rate proceeding.

(Company Name)

401-W. WATER OPERATING REVENUES SUPPORTING SCHEDULE - Account No. 400.0

This schedule is breakdown of the accounts that constitute the ending balance in Account No. 400.0 - Operating Revenues (Water).

Schedules 401-W and 401-S totals should equal Schedule 400.

Line No.	Account Number and Title (a)	Schedule No. (b)	Balance End of Year (c)	Normalization ¹ Adjustment (e)	Normalized Amount (e)
1	WATER SALES REVENUE				
2	460.0 Unmetered Water Revenue				
3	460.1 Residential				
4	460.2 Commercial				
5	460.3 Industrial				
6	460.4 Public				
7	460.5 Other				
8					
9	Total Unmetered Water Revenue				
10					
11	461.0 Metered Water Revenue				
12	461.1 Residential		21,329,664		21,329,664
13	461.2 Commercial		1,168,279		1,168,279
14	461.3 Industrial				
15	461.4 Public				
16	461.5 Multiple Family Dwellings		224,603		224,603
17	461.6 Other (Irrigation)		67,674		67,674
18					
19	Total Metered Water Revenue		22,790,221		22,790,221
20					
21	462.1 Public Fire Protection		3,606		3,606
22	462.2 Private Fire Protection				
23	464.0 Other Sales to Public (Special Contracts)	404			
24					
25					
26	TOTAL WATER SALES		22,793,827		22,793,827
27					
28	OTHER WATER REVENUES				
29					
30	466.0 Sales for Resale	405	693,111		693,111
31	467.0 Interdepartmental Sales				
32	470.0 Forfeited Discounts				
33	471.0 Miscellaneous Service Revenues		1,072,503		1,072,503
34	472.0 Rents from Water Property				
35	473.0 Interdepartmental Rents				
36	474.0 Other Water Revenues	406	70,704		70,704
37					
38	TOTAL OTHER WATER REVENUES		1,836,318		1,836,318
39					
40	TOTAL WATER SALES & OTHER REVENUES		24,630,145		24,630,145

¹ Attach an explanation and calculations for all normalized.

401-S. SEWER OPERATING REVENUES SUPPORTING SCHEDULE - Account No. 400.0

This schedule should include a breakdown of the accounts that constitute the ending balance in Account No 400 0 - Operating Revenues

Line No	Account Number and Title (a)	Schedule No (b)	Balance End of Year (c)	Normalization Adjustment	Normalized Amount
1	SEWER SALES REVENUE		XXX		
2	521.0 Flat Rate Revenues - General Customers	402	XXX		
3	521.1 Residential				
4	521.2 Commercial				
5	521.3 Industrial				
6	521.4 Public Authorities				
7	521.5 Multiple Family Dwelling Revenues				
8	521.6 Other Revenues (Availability)				
9					
10	Total Unmetered Sewer Revenue		0		
11					
12	522.0 Measured Revenues - General Customers	402	XXX		
13	522.1 Residential		3,739,794		3,739,794
14	522.2 Commercial		178,717		178,717
15	522.3 Industrial				
16	522.4 Public Authorities				
17	522.5 Multiple Family Dwellings Revenues		33,620		33,620
18	523.0 Revenues from Public Authorities	404			
19	524.0 Revenues from Other Systems	404	70,396		70,396
20	525.0 Interdepartmental Revenues	404			
21					
22	Total Measured Sewer Revenue		4,022,527		4,022,527
23					
24	OTHER SEWER REVENUES		XXX		
25	530.0 Guaranteed Revenues	406			
26	531.0 Sale of Biosolids				
27	532.0 Forfeited Discounts				
28	534.0 Rents from Sewer Property	406			
29	535.0 Interdepartmental Rents				
30	536.0 Other Sewer Revenues	406	180,416		180,416
31	536.1 Reserve Capacity Fees				
32	536.2 Sludge Processing Fees				
33	536.3 Sewer Processing Surcharges				
34					
35	TOTAL SEWER SALES ¹		4,022,527		4,022,527
36					
37	RECLAIMED WATER SALES		XXX		
38	540.0 Flat Rate Reuse Revenues				
39	540.1 Residential Reuse Revenues				
40	540.2 Commercial Reuse Revenues				
41	540.3 Industrial Reuse Revenues				
42	540.4 Reuse Revenues from Public Authorities				
43	541.0 Measured Reuse Revenue				
39	541.1 Residential Reuse Revenues				
40	541.2 Commercial Reuse Revenues				
41	541.3 Industrial Reuse Revenues				
42	541.4 Reuse Revenues from Public Authorities				
43	544.0 Reuse Revenues from Other Systems				
44					
45	Total Reclaimed Water Sales		0		
46					
47	TOTAL SEWER SALES & OTHER REVENUES		4,202,944		4,202,944

402-W. OPERATING REVENUES SUPPORTING SCHEDULE - CUSTOMER DATA - WATER

Customers should be reported on the basis of number of meters, (except where multiple customers have one meter) plus number of flat rate accounts. Where separate meter readings are added for billing purposes, one customer shall be counted for each group of meters so added.

Line No	Customer Classes (a)	Customers End of Previous Year (b)	Customers End of Current Year (c)	Normalization Adjustment (c)	Normalized Customer Count Current Year (c)
1	Unmetered Sales	XXX	XXX	XXX	XXX
2	Residential				
3	Other (specify)				
4					
5					
6					
12	Total Unmetered Sales				
13					
14	Metered Sales	XXX	XXX	XXX	XXX
15	5/8" or 3/4"	22,611	22,547		22,547
16	3/4"	52	50		50
17	1"	152	149		149
18	1 1/2"	26	25		25
19	2"	52	47		47
20	List all additional meter sizes				
21	3"	6	3		3
22	4"	2	2		2
23	8"	1	1		1
24					
25	Total Metered Sales	22,902	22,824		22,824

402-S. OPERATING REVENUES SUPPORTING SCHEDULE - CUSTOMER DATA - SEWER

Customers should be reported on the basis of number of meters, (except where multiple customers have one meter) plus number of flat rate accounts. Where separate meter readings are added for billing purposes, one customer shall be counted for each group of meters so added.

Line No	Customer Classes (a)	Customers End of Previous Year (b)	Customers End of Current Year (c)	Normalization Adjustment (c)	Normalized Customer Count Current Year (c)
1	Unmetered Sales	XXX	XXX	XXX	XXX
2	Residential				
3	Other (specify)				
4	Commercial				
5	Multi-Family				
6					
7	Total Unmetered Sales				
8					
9	Metered Sales, if any	XXX	XXX	XXX	XXX
10	5/8" or 3/4"	4,314	3,615		3,615
11	3/4"	21	21		21
12	1"	22	19		19
13	1 1/2"	9	7		7
14	2"	12	8		8
15	List all additional meter sizes				
16	4"	2	1		1
17					
18					
19					
20	Total Metered Sales	4,380	3,671		3,671

(Company Name)

403. OPERATING REVENUES SUPPORTING SCHEDULE - GALLONS SOLD

1. Report below the gallons sold for the current year and the previous year for each customer class.
2. How the quantities of water sold to unmetered flat-rate customers were determined should be explained in a footnote.

Line No.	Account (a)	Gallons Sold Previous Year (000 omitted) (b)	Gallons Sold Current Year (000 omitted) (c)	Normalization Adjustment (000 omitted) (c)	Normalized Gallons Sold Current Year (000 omitted) (c)
1	WATER SALES:				
2	Unmetered Sales	XXX	XXX	XXX	XXX
3	Residential				
4	Non-Residential				
5	Total Unmetered Sales				
6					
7	Metered Sales	XXX	XXX	XXX	XXX
8	5/8" or 3/4"	1,133,071	1,136,994		1,136,994
9	3/4"	2,732	2,796		2,796
10	1"	23,354	23,976		23,976
11	1 1/2"	9,222	11,280		11,280
12	2"	35,188	35,942		35,942
13	List all additional meter sizes:				
14	3"	4,715	4,535		4,535
15	4"	7,305	5,104		5,104
16	8"	56,719	62,071		62,071
17	Total Metered Sales	1,272,306	1,282,698		1,282,698
18					
19	Interdepartmental Sales				
20					
21	Total Water Sales	1,272,306	1,282,698		1,282,698

FOOTNOTES: Please explain any rates set not using meter size.

If metering is provided on sewer service, please provide a similar schedule.

(Company Name)

405. SALES FOR RESALE SUPPORTING SCHEDULE - Account No. 466.0

- 1 Designate by asterisk in Column (a) purchases which are affiliated with respondent
- 2 The entries on Lines 1 to 8 under Columns (h) to (s) must correspond to the entries on the same lines under Columns (a) to (g). The totals of Columns (h) to (s), inclusive, must agree with respective quantities reported in Column (e)

Line No	Name of Purchaser (a)	Point of Delivery (b)	Service* Capacity (c)	Quantity of Water Sold (1,000-Gal) (e)	Revenues \$ (f)	Revenue \$ Per (1,000-Gal) (g)
1	LAKESHORE UTILITY Acct 1000006959	Esquire Estates II, Mabank	2"	1,325	14,435	10.89
2	SOUTH BUDA WCID #1	Meter vault off Robert S Light Blvd , Buda	8"	47,315	644,289	13.62
3	LAKESHORE UTILITY Acct 1000021732	Cedar View Estates, Mabank	2"	3,788	34,388	9.08
4						
5						
6						
7						
8						
Totals				52,428	693,111	13.22

* Size of meter from which delivery is made

(Company Name)

406. OTHER WATER REVENUES SUPPORTING SCHEDULE - Account No. 474.0

Provide a breakdown of Other Water Revenues - Account No. 474.0 not shown in any other revenue account.

Line No.	Description (a)	Amounts (b)
1	Unbilled Revenues	\$ 63,204
2	Engineering Plan Review Fees	\$ 7,500
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15		
16		
17	TOTALS	\$ 70,704

(Company Name)

407-W. WATER OPERATION AND MAINTENANCE EXPENSE ACCOUNTS

Line No	Account Number and Title (a)	Schedule No (b)	Amount of Operating Expenses			Amount Disallowed previous rate case (f) Docket #
			Current Year (c)	Normalized Adjustment (d)	Normalized Amount (e)	
1	Salaries and Wages		XXX	XXX	XXX	
2	601 0 Employees	409	2,372,722		2,372,722	
3	603 0 Officers, Directors and Majority Stockholders	409				
4	Total Salaries and Wages		2,372,722		2,372,722	
5	604 0 Employee Pensions and Benefits	409-A	752,388		752,388	
6	610 0 Purchased Water	408	2,362,516.26		2,362,516	
7	615 0 Purchased Power		837,673		837,673	
8	616 0 Fuel for Power Production					
9	618 0 Chemicals		466,017		466,017	
10	620 0 Materials and Supplies		1,555,115		1,555,115	
11	Contractual Services		XXX	XXX	XXX	XXX
12	631 0 Engineering		(10,658)		(10,658)	
13	632 0 Accounting					
14	633 0 Legal		13,815		13,815	
15	634 0 Management Fees					
16	635 0 Testing		206,818		206,818	
17	636 0 Other - Maintenance		760,184		760,184	
18	Total Contractual Services		970,159		970,159	
19	641 0 Rental of Building/Real Property		95,982		95,982	
20	642 0 Rental of Equipment		38,526		38,526	
21	650 0 Transportation Expenses		453,015		453,015	
22	Insurance				XXX	XXX
23	656 0 Vehicle		22,189		22,189	
24	657 0 General Liability					
25	658 0 Workman's Compensation					
26	659 0 Other		39,947		39,947	
27	Total Insurance		62,136		62,136	
28	660 0 Advertising Expense - Other than Conservation		9,817		9,817	
29	666 0 Regulatory Commission Expenses-Amort Rate Case Expense					
30	667 0 Regulatory Commission Expenses-Other		202,556		202,556	
31	668 0 Water Resource Conservation Expense					
32	670 0 Bad Debt Expense		355,372		355,372	
33	Miscellaneous Expenses		XXX	XXX	XXX	XXX
34	675 0 Miscellaneous Other		5,775,492		5,775,492	
35	675 1 Membership Dues					
36	675 2 Registration Fees for Conventions & Meetings of Industry					
37	675 3 Communication Services					
38	675 4 Trustee Fees and Bank Charges					
39	675 5 Stockholders Expenses					
40	675 6 Office Expenses and Utilities					
41	675 7 Uniforms					
42	675 8 Director's Fees and Expenses					
43	675 9 Mailing					
44	675 10 Subscriptions					
45	675 11 Write off of expenditures for preliminary surveys, plans, investigations etc , included in Account 183 0 - Preliminary Survey and Investigation Charges, relative to projects which have been abandoned		XXX	XXX	XXX	XXX
			XXX	XXX	XXX	XXX
			XXX	XXX	XXX	XXX
46	675 12 Travel					
47	675 13 Education					
48	675 14 Charitable Contributions					
49	Total Miscellaneous Expenses		5,775,492		5,775,492	
50	Total Water Operation and Maintenance Expense Accounts		16,309,486		16,309,486	

(Company Name)

407-S. SEWER OPERATION AND MAINTENANCE EXPENSE ACCOUNTS

Line No	Account Number and Title (a)	Schedule No (b)	Amount of Operating Expenses			Amount Disallowed previous rate case (f)
			Current Year (c)	Normalized Adjustment (d)	Normalized Amount (e)	
1	Salaries and Wages		XXX	XXX	XXX	Docket #
2	701 0 Employees	409	344,081		344,081	
3	703 0 Officers, Directors and Majority Stockholders	409				
4	Total Salaries and Wages		344,081	0	344,081	0
5	704 0 Employee Pensions and Benefits	409-A	125,073		125,073	
6	710 0 Purchased Sewer Treatment		284,432		284,432	
7	711 0 Sludge Removal Expense		122,665			
8	715 0 Purchased Power		143,764		143,764	
9	716 0 Fuel for Power Production					
10	718 0 Chemicals		42,613		42,613	
11	720 0 Materials and Supplies		222,767		222,767	
12	Contractual Services		XXX	XXX	XXX	XXX
13	731 0 Engineering		(2,059)			
14	732 0 Accounting				0	
15	733 0 Legal		399		399	
16	734 0 Management Fees				0	
17	735 0 Testing		57,491		57,491	
18	736 0 Other - Maintenance		99,928		99,928	
19	Total Contractual Services		155,759	0	157,818	0
20	741 0 Rental of Building/Real Property		15,625		15,625	
21	742 0 Rental of Equipment		6,190		6,190	
22	750 0 Transportation Expenses		73,740		73,740	
23	Insurance		XXX	XXX	XXX	XXX
24	756 0 Vehicle		3,612		3,612	
25	757 0 General Liability				0	
26	758 0 Workman's Compensation				0	
27	759 0 Other		6,769		6,769	
28	Total Insurance		10,382	0	10,382	0
29	760 0 Advertising Expense - Other than Conservation		247		247	
30	766 0 Regulatory Commission Expenses-Amort of Rate Case Expense					
31	767 0 Regulatory Commission Expenses-Other		32,974		32,974	
32	770 0 Bad Debt Expense		57,554		57,554	
33	Miscellaneous Expenses		XXX	XXX	XXX	
34	775 0 Miscellaneous Other		1,311,502		1,311,502	XXX
35	775 1 Membership Dues					
36	775 2 Registration Fees for Conventions & Meetings of Industry					
37	775 3 Communication Services					
38	775 4 Trustee Fees and Bank Charges					
39	775 5 Stockholders Expenses					
40	775 6 Office Expenses and Utilities					
41	775 7 Uniforms					
42	775 8 Director's Fees and Expenses					
43	775 9 Mailing					
44	775 10 Subscriptions					
45	775 11 Write off of expenditures for preliminary surveys, plans, investigations etc , included in Account 183 0 - Preliminary Survey & Investigation Charges, relative to abandoned projects		XXX	XXX	XXX	XXX
			XXX	XXX	XXX	XXX
46	775 12 Travel					
47	775 13 Education					
48	775 14 Charitable Contributions					
49	Total Miscellaneous Expenses		1,311,502	0	1,311,502	0
50	Total Wastewater Operation and Maintenance Expense Accounts		2,949,367	0	2,828,762	0

(Company Name)

408. WATER PURCHASED FOR RESALE SUPPORTING SCHEDULE - Account No. 610.0

1 Designate by asterisk in Column (a) vendors which are affiliated with respondent

2 The entries on Lines 1 to 8 under Columns (h) to (s) must correspond to the entries on the same lines under Columns (a) to (g) The totals of Columns (h) to (s), inclusive, must agree with respective quantities reported in Column (e)

Line No	Name of Vendor (a)	Point of Delivery (b)	Service* Capacity (c)	Pressure @ Point of Delivery (d)	Quantity of Water Purch (1,000-Gal) (e)	Cost of Purchased Water (f)	Cost Per (1,000-Gal) \$ (g)
1	See attached Schedule 408a						
2							
3							
4							
5							
6							
7							
8							
				TOTALS			

Monarch Utilities I, L.P.

For the Year Ended 2015

408a. DETAIL OF PURCHASED WATER

Line

No.	Vendor	January	February	March	April	May	June	July	August	September	October	November	December	Grand Total
1	ACTON MUNICIPAL UTILITY DIST	13,628.80	15,184.99	9,702.85	31,294.67	9,846.64	22,392.68	28,139.56	31,546.29	24,589.34	27,621.75	(847.99)	42,549.12	255,648.70
2	Aqua on Wheels											2,164.52	72.15	2,236.67
3	BETHESDA WATER SUPPLY CORP	251.78	(349.25)		12.19	-			714.85	66.32	10.40			706.29
4	BRAZORIA COUNTY GROUNDWTR DIST	39.49	15.36	31.22	13.32	25.42	23.09	35.45	32.55	40.41	9.40	23.68	24.49	313.88
5	Brazos River Authority	3,475.00	3,475.00	3,475.00	3,475.00	3,475.00	3,475.00	3,475.00	3,475.00		7,050.00	3,525.00	3,525.00	41,900.00
6	CITY OF DENISON WATER UTILITIES	297.37	9,852.57	(2,020.77)	7,496.82	5,467.98	1,439.90	4,477.47	6,796.25	5,155.55	4,131.36	3,194.58	4,639.20	50,928.28
7	CITY OF GRANBURY	88,917.31	25,658.32	(92,582.24)	(285.04)	(81.85)	2,772.52	730.79	297.97	576.96	636.34	169.18	1,492.59	28,302.85
8	CITY OF POTTSBORO	4,086.18	42,083.16	9,469.73	10,513.07	17,287.43	16,411.80	19,963.53	33,381.04	34,840.28	26,536.99	13,241.39	9,765.63	237,580.23
9	CITY OF RHOME	5,865.78	(12,094.11)	(36.96)	10,193.78	690.98	5,787.45	13,758.37	21,549.32	16,919.17	16,120.87	3,830.35	2,669.17	85,254.17
10	CITY OF WILLIS				526.61	1,109.85	620.69	2,134.66	1,590.74	18.55	19.17	(19.17)		6,001.10
11	DWAYNE GATES											392.00	(331.44)	60.56
12	GUADALUPE - BLANCO RIVER AUTHORITY	34,891.40	34,423.37	55,939.19	34,538.15	41,323.71	37,133.11	37,374.74	53,991.49	37,838.82	36,847.02	38,440.43	36,637.75	479,379.18
13	H202U	(20,500.00)												(20,500.00)
14	H202U (DBA)	5,500.00		15,950.00	11,330.00	6,930.00				27,625.00				67,335.00
15	HAYS TRINITY GROUNDWATER CONSERVATI									130.00				130.00
16	IMMEL'S DAIRY SERVICE INC.										675.00			675.00
17	JOHNSON COUNTY SPECIAL UTILITY DIST	3,366.97	7,138.94	6,115.40	15,416.67	13,764.31	4,534.49	12,046.00	29,168.37	15,268.73	17,726.67	1,510.58	18,667.45	144,724.58
18	JUS-RAYN	1,811.18	1,464.94	(10,126.88)	1,895.09	100.00	-	4,660.35	100.00	100.00	6,217.96	2,271.61	1,926.33	10,420.58
19	LONE STAR GROUNDWATER CONSERVATION			2,277.69			2,277.69					829.02	3,177.66	8,562.06
20	LOWER TRINITY GROUNDWATER CONSERVAT	41.19	343.48	207.46	167.79	202.28	195.75	316.28	231.23	223.79	517.40	293.58	303.36	3,043.59
21	MOUNTAIN PEAK SPECIAL								1,300.51	90.11	162.39	(63.73)	294.72	1,784.00
22	NECHES & TRINITY VALLEYS GROUNDWATE	116.35	134.05	143.74	191.40	159.56	154.39	1,129.79	777.40	171.67	479.50	245.37	253.53	3,956.75
23	NORTH TEXAS GROUNDWATER CONSERVATIO	267.40	(82.07)	205.94	195.30	65.97	157.60	387.87	483.11		1,332.54	1,321.72	335.84	4,671.22
24	NORTHERN TRINITY GROUNDWATER	(3,099.40)	3,623.20	914.07	903.45	933.60	903.47	876.87	925.30	895.44	925.29	895.45	925.29	9,622.03
25	NORTHWEST GRAYSON COUNTY WCID #1	5,427.35	3,199.56	4,148.83	3,601.20	4,027.35	4,951.34	4,991.44	5,854.03	5,536.68	4,474.73	4,234.12	-	50,446.63
26	PRAIRIELANDS GROUNDWATER CONSERVATI	2,530.62	2,828.67	1,979.15	5,713.81	(942.46)	2,228.88	3,604.69	4,147.37	7,729.75	(769.04)	2,794.40	2,503.33	34,349.17
27	QUICKSILVER RESOURCES, INC.	48.13	(160.61)	75.49	59.00	78.80	55.40	(55.40)		77.96	(77.96)			100.81
28	Red River Authority	490.00	3,788.49	(2,346.04)		428.63	(7,784.38)	10,246.05	2,752.95	809.40	(12,984.34)	8,570.67	(9,031.69)	(5,060.26)
29	RED RIVER AUTHORITY OF TEXAS	9,797.80	246.10	7,832.46	10,285.07	4,194.23	11,079.95	3,372.22	12,279.33	13,281.27	16,064.56	1,793.13	12,183.36	102,409.48
30	RED RIVER GROUNDWATER	765.28	(262.31)	583.63	553.49	326.92	504.80	521.64	815.99	562.53	581.27	1,345.73	735.15	7,034.12
31	SAN JACINTO RIVER AUTHORITY	23,469.89	24,314.94	25,270.55	23,653.60	24,000.52	23,152.59	27,728.38	32,828.92	35,558.35	33,553.07	37,267.77	20,278.19	331,076.77
32	SOUTHEAST TEXAS GCD (GROUNDWATER)	55.82	36.21	40.09	61.52	45.96	44.47	57.09	48.78	47.21	98.32	59.29	61.27	656.03
33	TARRANT REGIONAL WATER DIST	11,311.72	12,935.84	11,894.52	12,808.99	49,474.65	12,600.61	22,256.04	20,616.99	634.00	17,457.06	17,759.31	21,972.85	211,722.58
34	TRINITY RURAL WATER SUPPLY COR	8,243.06	309.00	4,125.69	3,367.38	3,972.77	3,082.34	3,946.37	704.93	10,419.46	10,872.38	16,043.15	(11,424.09)	53,662.44
35	UPPER NECHES RIVER MUNICIPAL	416.67	416.67	416.67	416.67	416.67	416.67	416.67	416.67	416.67	416.67	-	5,000.00	9,166.70
36	UPPER TRINITY GCD	4,530.74	3,899.54	4,317.42	4,178.12	4,353.95	4,196.09	812.11	3,830.86	3,707.29	3,830.88	3,707.30	3,705.24	45,069.54
37	Grand Total	206,043.88	182,424.05	58,003.90	192,577.12	191,678.87	152,808.39	207,404.03	270,658.24	243,330.71	220,537.65	164,992.44	172,911.45	2,263,370.73

409-A. EMPLOYEE PENSIONS AND BENEFITS SUPPORTING SCHEDULE
Account No. 604.0

This schedule should include a breakdown of the accounts that constitute the ending balance in Account No. 604.0 - Employee Pensions and Benefits.

Line No.	Benefit Type (a)	Total Water Expenses for Current Year (b)	Total Wastewater Expense for Current Year (b)
1	Pension		
2	Life Insurance		
3	Health Insurance	544,979	88,718
4	Dental	in Health Insurance	
5	Eye Care	in Health Insurance	
6	Prescriptions	in Health Insurance	
7	Employee Recognition		
8	Physicals		
9	Tuition Assistance		
10	Death Benefits		
11	Other Post Employee Benefits		
12	401K	87,775	14,289
13	Employee Stock Option Program		
14	Others (Specify)		
15	Training and Seminars	38,052	8,875
16	Uniforms and Clothing	32,595	5,349
17	Auto Allowance	7,252	1,181
18	Cell Phone Allowance	5,358	740
19	Employee Cost - Other	5,006	815
20	Health & Safety	681	111
22	Benefits Expense - Other	30,690	4,995
23	TOTALS	752,388	125,073

(Company Name)

410. EMPLOYEE AND PAYROLL STATISTICS

1. Show hereunder the details called for concerning the number of officers and employees at the beginning and end of the year, and the aggregate salaries and wages for the year.
2. The data shall be itemized according to the department payroll classification maintained by Respondent at the end of the year (such as, for example, executive, accounting, treasury, engineering, etc).

Line No	Payroll Classification (a)	Number End of Year (b)	Aggregate Salaries and Wages for the Year (c)
1	Field Operations	60	2,716,804
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			
29			
30			
31			
32			
33			
34			
35			
36			
37			
38			
39			
40		60	2,716,804

(Company Name)

417-W. WATER AMORTIZATION EXPENSES SUPPORTING SCHEDULE - Account Nos. 406.0, 407.1, 407.2 and 407.3**Amortization of Utility Plant Acquisition Adjustment - Account No. 406.0**

Line No	Year Incurred Date (a)	Name of Company Acquired (b)	Total Acquisition Adjustment (c)	Yearly Amortization (d)
1				
2				
3				
4				
5				
6				
7		TOTALS		

Amortization of Property Losses - Account No. 407.2

Line No	Year Incurred Date (a)	Identify Each Item (b)	Total Adjustment (c)	Yearly Amortization (d)
1				
2				
3				
4				
5				
6				
7		TOTALS		

Amortization of Limited Term Plant - Account No. 407.1

Line No	Year Incurred Date (a)	Description of Plant (b)	Total Adjustment (c)	Yearly Amortization (d)
1				
2				
3				
4				
5				
6				
7		TOTALS		

Amortization of Other Utility Plant - Account No. 407.3

Line No.	Year Incurred Date (a)	Plant Item Amortized (b)	Total Adjustment (c)	Yearly Amortization (d)
1	10/2012 - 10/2013	Intangibles - Contracts	111,977	32,511
2				
3				
4				
5				
6				
7		TOTALS	111,977	32,511

(Company Name)

417-S. SEWER AMORTIZATION EXPENSES SUPPORTING SCHEDULE - Account Nos. 406.0, 407.1, 407.2 and 407.3**Amortization of Utility Plant Acquisition Adjustment - Account No. 406.0**

Line No	Year Incurred Date (a)	Name of Company Acquired (b)	Total Acquisition Adjustment (c)	Yearly Amortization (d)
1				
2				
3				
4				
5				
6				
7		TOTALS	0	0

Amortization of Property Losses - Account No. 407.2

Line No	Year Incurred Date (a)	Identify Each Item (b)	Total Adjustment (c)	Yearly Amortization (d)
1				
2				
3				
4				
5				
6				
7		TOTALS	0	0

Amortization of Limited Term Plant - Account No. 407.1

Line No	Year Incurred Date (a)	Description of Plant (b)	Total Adjustment (c)	Yearly Amortization (d)
1				
2				
3				
4				
5				
6	0		\$0	\$0
7		TOTALS	\$0	\$0

Amortization of Other Utility Plant - Account No. 407.3

Line No	Year Incurred Date (a)	Plant Item Amortized (b)	Total Adjustment (c)	Yearly Amortization (d)
1				
2				
3				
4				
5				
6				
7		TOTALS	0	0

(Company Name)

**500. WATER PRODUCTION - WATER DELIVERED
INTO SYSTEM DURING YEAR**

Every estimated value shall be supported by such detailed information as will permit a ready identification, analysis, & verification of all relevant facts. The Company shall be prepared to furnish to the Commission this detailed information.

Line No.	Description (a)	(Gallons) (b)	(gpd) (c)
1	Water Delivered for Distribution & Sale:		
2	Water Produced from Company Sources	1,585,431,283	4,343,647
3	Water Purchased from Other Independent Utilities	297,785,059	815,849
4	Total Water Delivered	1,883,216,342	5,159,497
5	Metered Sales:		
6	Residential	1,282,697,700	3,514,240
7	Commercial		
8	Industrial		
9	Public		
10	Other Water Utilities		
11	Private Fire Protection		
12	Public Fire Protection		
13	Other Metered Sales Identify _____		
14	Total Metered Sales	1,282,697,700	3,514,240
15	Unmetered Sales:		
16	Residential		
17	Commercial		
18	Industrial		
19	Private Fire Protection		
20	Public Fire Protection		
21	Other Unmetered Sales Identify _____		
21	Total Unmetered Sales		
22	Total Sales	1,282,697,700	3,514,240
23	Non-Revenue Usage Allowances:		
24	Authorized Unmetered Usage:		
25	Main Flushing	424,309,799	1,162,493
26	Blow-off Use		
27	Others: Identify _____		
28	Unauthorized Use		
29	Unavoidable Leakage _____ gpd/mile of main		
30	Adjustments:		
31	Located & Repaired Breaks in Mains & Services		
32	Others Identify _____		
33	Total Allowances & Adjustments	424,309,799	1,162,493
34	Unaccounted-for-Water	176,208,843	
35	Percentage Unaccounted-for-Water	9.4%	

(Company Name)

502. TCEQ LATEST INSPECTION REPORTS**List all the public water systems owned by the reporting entity below.**

Attach copies of the most current public water system (PWS) inspection report completed by the TCEQ for each system owned.

PWS Name	PWS Number
1 Acton (Royal Oaks)	1110055
2 Aurora Vista	2490051
3 Beachwood Estates	1070069
4 Benbrook Hills	2200313
5 Blue Mound	2200005
6 Blue Water Cove	2040059
7 Briarwood Harbor	1070220
8 Callendar Lake	2340007
9 Camelot Forest	1610058
10 Carolynn Estates/Michael's Cove/Pinnacle	1070106
11 Cedar Valley	2040045
12 Cherokee Shores	1070206
13 Chesswood	1870088
14 Coldspring Terrace	2040031
15 Comanche Cove	1110060
16 Comanche Harbor/Ports O Call	1110022
17 Countrywood	1870138
18 Crowley	1260011
19 Crystal Springs	1700331
20 Decker Hills/Champions Glen	1700386
21 Denton Creek Estates	610015
22 Falcon Crest Addition	1260076
23 Garden Acres	1870160
24 Govenor's Point	2040008
25 Granbury Acres	1110109
26 Green Acres	1840120
27 Harbor Point	2280035
28 Hideaway Bay Estates	1110002
29 Highsaw Water	1070124
30 Holiday Shores	200029
31 Holiday Villages of Fork	2500058
32 Holiday Villages of Livingston/Hidden Cove	2040067
33 Holiday Villages of Medina/Comb with Lake Medina	100037
34 Hulon Lakes/Woodcreek Valley/Hilltop	1700014
35 Indian Hills Harbor	1580063

36 Ivanhoe	2290010
37 Lake Medina Shores/Holiday Villages of Medina	100037
38 Lakeway Harbor	2120064
39 Lollilop	1070039
40 Longhorn Valley	1870152
41 Markum Ranch Estates	2200281
42 Metroplex Homesteads	1260074
43 Montego Bay Estates	1110044
44 Midway	0610022
45 Nolan River Estates	1260099
46 Oak Trail Shores/Arrowhead Shores	1110004
47 Oak Wood	1700454
48 Phillips Acres	1870146
49 Pine Harbor	2120035
50 Pine Trail Shores	1070162
51 Pinwah Pines	1870130
52 Plum Creek	1050028
53 Ponderosa Addition	610175
54 Rancho Brazos	1110036
55 Raywood	1460041
56 Ridgecrest Estates - Johnson	1260035
57 Ridgecrest Grayson County	910035
58 River Oaks Ranch	1050099
59 Rocky Point Estates "A"/"B"	910038
60 Serenity Woods/Pine Loch	1700483
61 Shepherd Hills Estates	2040061
62 Sherwood Shores	910040
63 Silver Saddle	2200299
64 Southern Acres	1260094
65 Spanish Park Estates	1840026
66 Stonecrest Estates	610059
67 Sundance	1260025
68 Tanglewood	910052
69 Tex-Rides Fifth	1260037
70 Tower Terrace	360069
71 Triple H Estates	1260116
72 Twin Creeks Addition	1260038
73 West Meadows	1260063
74 West Park Village	1260077
75 Western Hills Harbor	1110005
76 Western Lake Estates	1840014
77 Westside Rural	2200079
78 Westview - Parker County	1840105
79 Westwood Beach	1070085
80 Wynnwood Haven	610037

615. Affiliated Transactions

Charges by an affiliate to the Reporting Utility

Name of Affiliated company: SWWC Utilities, Inc.

NARUC Account and/or type of service*		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)
675/775	Miscellaneous Expenses	\$3,443,530	\$ -	\$ 3,443,530

Charges by an Reporting Utility to Affiliates

Name of Affiliated company: _____

NARUC Account and/or type of service		Total Affiliated Company	Total Texas	Total for reporting entity
Account #	Account name or type of service	(Dollars transacted)	(Dollars transacted)	(Dollars transacted)
See Attachment 615a. Charges by an Affiliate to the Reporting Utility				

*Report affiliated transactions by NARUC account for each affiliate.

615a. Charges by an Affiliate to the Reporting Utility**Charges by an Affiliate to the Reporting Utility**Name of Affiliated company Southwest Water Company

NARUC Account and/or type of service		Total Texas
Account # Account name or type of service		(Dollars transacted)
	Administrative & Pass Thru Costs	0
	Employee Costs	2,366,860
	General & Administrative - Other	488,341
	Insurance Expense	33,795
	Lease Expense	87,575
	Materials & Supplies	0
	Other Operating Expenses	28,232
	Production & Treatment	-
	Professional Fees	293,146
	Repairs & Maintenance	65,926
	Taxes - Other	21,126
	Travel & Entertainment	53,496
	Vehicle Expense	(14,268)
	Depreciation and Amortization	19,300
		<u>\$ 3,443,530</u>

Name of Affiliated company. SWWC Utilities, Inc.

NARUC Account and/or type of service		Total Texas
Account # Account name or type of service		(Dollars transacted)
403	Depreciation Expense	\$ 47,528
408	Taxes Other than Income	323
408.11	Property Taxes	1,605
408.12	Payroll Taxes	125,831
414	Gains/Losses from Disposition of Utility P	(1,018)
601/701	Salaries and Wages - Employees	1,692,105
604/704	Employee Pensions and Benefits	364,340
711	Sludge Removal Expense	96
615/715	Purchased Power	(14)
618/718	Chemicals	(168)
620/720	Materials and Supplies	388,031
632/732	Contractual Services - Accounting	979
633/733	Contractual Services - Legal	38,395
636/736	Contractual Services - Other	344,256
641/741	Rental of Building/Real Property	145,257
642/742	Rental of Equipment	12,717
650/750	Transportation Expense	36,061
656/756	Insurance - Vehicle	2,369
657/757	Insurance - General Liability	73,745
658/758	Insurance - Workman's Compensation	69,956
659/759	Insurance - Other	146,820
660/760	Advertising Expense	30,890
667/767	Regulatory Commission Expense - Other	4,103
670/770	Bad Debt Expense	394
675/775	Miscellaneous Expenses	34,018
		<u>\$ 3,558,619</u>

620. Historical Financial Statistics
(Texas-Jurisdictional Basis)

Note: If Texas-jurisdictional data from audited financial statements cannot be provided and/or unaudited financial statement are used, the company should provide a complete identification and description of the alternative data used as the basis for the ratio calculations. All calculations should be provided.

Line	Fiscal Year:	(2011)	(2012)	(2013)	(2014)	Monitoring Period (2015)
1	Total Debt as a Percent of Total Capital	46.25%	35.92%	35.30%	33.88%	30.06%
2						
3	Construction Work in Progress as a Percent of Net Plant	2.19%	0.52%	0.58%	0.86%	3.68%
4						
5	Construction Expenditures as a	11.94%	2.83%	3.14%	2.79%	3.70%
6	Percent of Average Total Capital					
7						
8	Pre-Tax Interest Coverage	0.82	-1.10	0.62	2.21	4.06
9						
10	Fixed Charge Coverage	0.83	-0.95	0.67	2.09	3.79
11						
12	Return on Average Common Equity	1.67%	11.40%	0.77%	-3.22%	-14.81%

VERIFICATION

OATH

(To be made by the officer having control of the accounting of the respondent)

State of Texas

County of FORT BEND as

Charles W. Profilet, Jr. makes oath and says that he/she is President
(Name of affiant) (Official title of affiant)

of Monarch Utilities I, L.P.
(Exact legal title or name of the respondent)

The signed officer has reviewed the report

Based on the officer's knowledge, the report does not contain any untrue statements of a material fact or omit to state a material fact necessary in order to make the statements made, in light of the circumstances under which such statements were made, not misleading

Based on such officer's knowledge, the financial statements, and other financial information included in the report, fairly present in all material respects the financial condition and results of operations of the issuer as of, and for, the periods presented in the report

He/she swears that all other statements contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of time from and including 01/01/2015 to and including 12/31/2015

Subscribed and sworn to and before me, a NOTARY
in and for the State and County above-named, this 20th day of NOV 2017

Charles W. Profilet, Jr.
(Signature of affiant)

My commission expires Joan Glover
(Signature of officer authorized to administer oaths)

SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of Texas

County of FORT BEND as

Charles W. Profilet, Jr. makes oath and says that he is President
(Name of affiant) (Official title of affiant)

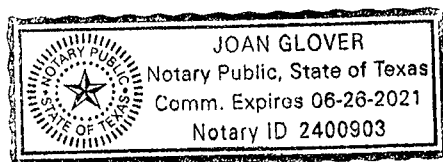
of Monarch Utilities I, L.P.
(Exact legal title or name of the respondent)

that he has carefully examined the foregoing report, that he swears that all statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above named respondent during the period of time from and including January 1, 2015, to and including December 31, 2015

Subscribed and sworn to before me, a NOTARY
in and for the State and County above-named, this 20th day of NOV 2017

Charles W. Profilet, Jr.
(Signature of affiant)

My commission expires Joan Glover
(Signature of officer authorized to administer oaths)



SouthWest Water Company
Balance Sheet for Texas Utility Operations
at December 31, 2015

- UNAUDITED -

	2015	2014
ASSETS:		
Current Assets.		
Cash and Cash Equivalents	329,915	93,831
Accounts Receivable - Net	4,801,871	4,819,544
Prepaid Expenses & Other Current Assets -Inventory	929,125	786,757
Total Current Assets	<u>6,060,911</u>	<u>5,700,132</u>
Property, Plant and Equipment		
PP&E Cost	238,277,390	232,522,838
Construction Work in Progress	4,319,666	5,064,231
Total Plant and Equipment	<u>242,597,056</u>	<u>237,587,069</u>
PP&E Accumulated Depreciation	(91,843,330)	(87,552,246)
Total Property, Plant and Equipment, net	<u>150,753,726</u>	<u>150,034,823</u>
Other Non-Current Assets		
Goodwill	-	-
Intangible Assets	20,774,405	18,359,019
Other Assets	2,152,532	1,886,727
Total Other Non-Current Assets	<u>22,926,937</u>	<u>20,245,746</u>
TOTAL ASSETS:	<u>179,741,574</u>	<u>175,980,701</u>
LIABILITIES AND EQUITY:		
Current Liabilities.		
Accounts Payable	1,307,558	1,092,255
Long Term Debt, Current Portion	1,213,606	1,128,598
Accrued Interest Payable	140,483	146,893
Accrued Taxes	1,446,357	1,118,701
Deposit Liabilities - ST	1,417,231	1,333,629
Other Short Term Liabilities	1,186,321	970,115
Payroll & Related Payable	910,471	820,039
	<u>7,622,027</u>	<u>6,610,230</u>
Non-Current Liabilities		
Contributions in Aid of Construction (CIAC)	33,672,580	30,617,895
Deferred Income Taxes	5,410,354	5,410,354
Long Term Debt, Less Current Portion	25,335,782	26,015,478
Deposit Liabilities - Non-Current	728,743	1,708,523
Other Liabilities and Deferred Credits	11,096,481	21,932,952
Total Non-Current Liabilities	<u>76,243,940</u>	<u>85,685,202</u>
Total Liabilities	<u>83,865,967</u>	<u>92,295,432</u>
Equity		
Additional Paid in Capital	78,576,902	78,576,902
Retained Earnings (Accumulated Deficit)	5,108,368	(389,802)
Profit/Loss Current Year	12,190,337	5,498,169
Total Equity	<u>95,875,607</u>	<u>83,685,269</u>
TOTAL LIABILITIES AND EQUITY	<u>179,741,574</u>	<u>175,980,701</u>

NOTE These financial statements are presented in accordance with generally accepted accounting principles, i.e. per book. They have not been prepared according to the NARUC chart of accounts and no adjustments have been made to the amounts presented.

SouthWest Water Company
Statement of Operations
for the Year Ended December 31, 2015
- UNAUDITED -

	2015	2014
Operating Revenue	48,651,893	46,539,730
Operations and Maintenance		
Employee Costs - Salaries & Wages	7,792,707	7,639,968 00
Employee Costs - Payroll Taxes	611,015	584,456 00
Employee Costs - Employee Benefits	1,791,518	1,789,248 00
Employee Costs - Other Employee Costs	498,140	475,929 00
Repairs & Maintenance	1,478,693	1,449,188 00
Vehicle Expense	428,000	774,261 00
Subcontract	317,453	357,520.00
Materials & Supplies	1,160,536	1,130,581 00
Production & Treatment	8,652,956	8,238,029 00
Travel & Entertainment	81,617	152,267 00
Insurance Expense	509,448	449,513 00
Lease Expense	651,098	733,714 00
Professional Fees	521,179	630,686 00
Taxes - Other	1,593,191	1,472,750 00
General & Administrative - Other	(2,559,543)	2,137,612 00
Administrative & Pass Thru Costs	33,522	3,964 00
Other Operating Expenses	554,910	509,046 00
Allocated Expenses	5,538,212	5,877,491 00
Total Operations and Maintenance	29,654,652	34,406,223
Depreciation and Amortization	5,375,255	5,296,524
Other Income/Expense		
Interest Income	(788)	(4,846)
Interest Expense	1,283,287	1,320,660
Other, Net	-	(38,000)
Total Other Income/Expense, net	1,282,499	1,277,814
Provision/(Benefit) From Income Taxes	149,150	61,000
Net Income/Expense	12,190,337	5,498,169

NOTE: These financial statements are presented in accordance with generally accepted accounting principles, i.e. per book. They have not been prepared according to the NARUC chart of accounts and no adjustments have been made to the amounts presented.