

25822

12/28/2005

I am interested in *water and sewer* service to my property located near/on CR352. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,


Signature

Kenneth Krebs
299 CR 352
Gainesville, Texas 76240
(940) 668-8163

APP0382

10/18/2005

I am interested in water and sewer service to my property located near/on CR 446.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Charles Neu
Signature

CHARLES NEU
Name

2104 CR 446
Address

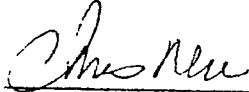
Hainesville, TX 76240
City, State, Zip

940-665-8705
Phone Number

October 17, 2005

I am interested in water and sewer service to my property located near/on 926 CR 404.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,



Signature

CHRIS NEU

Name

926 CR 404

Address

BAIRDSON, TEXAS 76210

City, State, Zip

940-665-4712

Phone Number

October 12, 2005

I am interested in *water and sewer* service to my property located on County Road 306. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

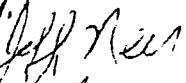

Elroy Neu

Elroy Neu
452 CR 306
Gainesville, Texas 76240
940-665-6070

October 12, 2005

I am interested in *water and sewer* service to my property located on Hwy 82. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,



Jeff and Diane Neu
919 East JM Lindsay Blvd.
Gainesville, Texas 76240
940-665-8412

October 17, 2005

I am interested in *water and sewer* service to my property located near/on CR 446.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Tommy Neu
Signature

Tommy Neu
Name

2074 CR 446
Address

Gainesville, Texas 76240
City, State, Zip

940-665-7615
Phone Number

10/18/2005

I am interested in water and sewer service to my property located near/on CR 405
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Lawrence Neusch
Signature

LAWRENCE NEUSCH
Name

758 CR 405
Address

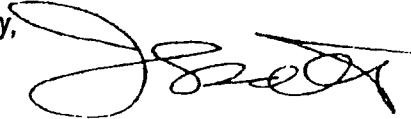
GAINESVILLE, TEXAS 76240
City, State, Zip

940-668-7182
Phone Number

September 15, 2005

I am interested in *water and sewer* service to my property located on FM 1199. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

A handwritten signature in black ink, appearing to read 'James Ott', written over a horizontal line.

James Ott
1094 FM 1199
Gainesville, Texas 76240
940-665-9662

10/19/2005 10:00 FAX 9406654910

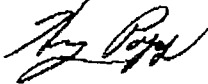
CITY OF LINDSAY

003

October 17, 2005

I am interested in *water and sewer* service to my property located on CR 407. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,



Henry Popp
329 CR 407
Gainesville, Texas 76240
940-665-6620

APPn300

10/26/2005

I am interested in water and sewer service to my property located near/on FM 1630 & FM 3108
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Signature

Hugh Porter

Name

Hugh Porter

Address

1870 FM 1630

City, State, Zip

Guinesville TX 76240

Phone Number

(940) 665-6079

10/26/2005

I am interested in water and sewer service to my property located near/on 740 FM 3108
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Clem Sandmann

Signature

Clem Sandmann

Name

P.O. Box 103

Address

Lindsay Tex 76750

City, State, Zip

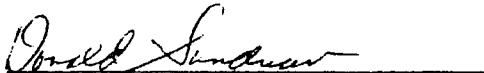
940-668-8626

Phone Number

11/3/2005

I am interested in *water and sewer* service to my property located on FM 3108 and on FM 1200. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,


Signature

Donald Sandmann
1849 FM 1630
Gainesville, Texas 76240
(940) 665-0907

*Property
East 3108*

10/26/2005

I am interested in *water and sewer* service to my property located near/on FM 3108 East 3108.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Edward Sandmann
Signature

Edward Sandmann
Name

P.O. Box 104
Address

Lindsay, Tx 75250
City, State, Zip

940-668-7530
Phone Number

*Property on
East 3108*

September 15, 2005

I am interested in *water and sewer* service to my properties located on FM 3108 and near FM 1200. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Frank Sandmann

Frank Sandmann
P.O. Box 62
Lindsay, Texas 76250
940-665-0458

APP0395

10/18/2005

I am interested in water and sewer service to my property located near/on FM 3108, south of city
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property.
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Paul Sandmann
Signature

Paul Sandmann
Name

P.O. Box 71
Address

Lindsay TX 76250
City, State, Zip

(940) 668-8229
Phone Number

Copy
East 3108

10/26/2005

I am interested in *water and sewer* service to my property located near/on 933 F.M. 3108.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Raymond Sanderson
Signature

RAYMOND SANDERSON
Name

BOX 102
Address

Lindsay, TEXAS 76250
City, State, Zip

940-668-7454
Phone Number

10/26/2005

I am interested in water and sewer service to my property located near/on CR 409.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Walter Schmid/Kofer
Signature

Walter Schmid/Kofer
Name

3175 CR 409
Address

Gainesville, Tex 76240
City, State, Zip

940 668 2263
Phone Number

10/26/2005

I am interested in water and sewer service to my property located near/on 3896 CR 422
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Weldon Schmidkofer
Signature

Weldon Schmidkofer
Name

3896 CR 422
Address

Gainesville TX 76240
City, State, Zip

940-665-2828
Phone Number

10/26/2006

I am interested in water and sewer service to my property located near/on FM 1199 - North Lindsay
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Betty Stoffels

Signature

Charles & Betty Stoffels

Name

3719 FM 1199

Address

Gainesville, TX 76240

City, State, Zip

940-668-8731

Phone Number

10/26/2005

I am interested in *water and sewer* service to my property located near/on CR 422 & 409.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Rachel Whysong Schmid/Kofer
Signature

Rachel Whysong
Name

404 CR 260
Address

Gainesville Tex 76240
City, State, Zip

940 665 2539
Phone Number

2/16/2006

I am interested in *water and sewer* service to my property located near/on CR 409. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Les and Janie Wilson
Signature

Name: Les & Janie Wilson

Address: Box 120

City, State, Zip: Lindsay, TX 76250

Phone # 940-665-3891

940-736-4667

940-736-4575

Dec 22 05 03:07P
12/22/2005 08:29 FAX 9408854910

CITY OF LINDSAY

P. 1

001

10/31/2005

I am interested in water and sewer service to my property located near/on 3793 FM 1199
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Charlotte Winter

Signature

Charlotte Winter

Name

P O Box 194

Address

Lindsay, TX 76250

City, State, Zip

940-668-2003

Phone Number

APPO403

10/18/2005

I am interested in water and sewer service to my property located near/on CR 404.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Alcuin Zimmerer
Signature

Alcuin Zimmerer
Name

11661 CR-404
Address

Gainesville, Texas
City, State, Zip

668-8873
Phone Number

October 17, 2005

I am interested in *water and sewer* service to my property located on CR 404. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

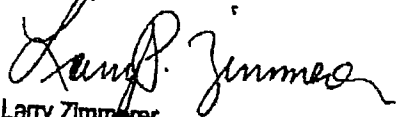
Frances Zimmerer

Frances Zimmerer
1376 CR 404
Gainesville, Texas 76240
940-665-9906

September 15, 2005

I am interested in water and sewer service to my property located on County Road 404. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,



Larry Zimmerer
1164 CR 404
Gainesville, Texas 76240
940-668-8298

10/26/2006

I am interested in water and sewer service to my property located near/on 1199
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Marie H. Zimmerer

Signature

MARIE H. ZIMMERER

Name

720-FM 1199

Address

GAINESVILLE, TX 76240

City, State, Zip

940-665-6321

Phone Number

October 17, 2005

I am interested in *water and sewer* service to my property located near/on FM 1199.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Michael Zimmerer
Signature

Michael Zimmerer
Name

1092 FM 1199
Address

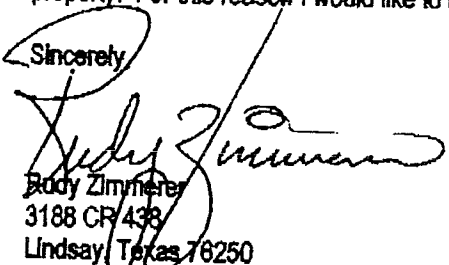
Gainesville, Tx. 76240
City, State, Zip

940-668-6210
Phone Number

September 15, 2005

I am interested in *water and sewer* service to my property located on County Road 438. I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,


Roddy Zimmerer
3188 CR 438
Lindsay, Texas 76250
940-736-518

4/12/06
~~10/2/2006~~

27000	55416
15290	15287
26165	8436
15289	

I am interested in water and sewer service to my property located near/on CR 410 + 1199 ^{Fm}
I hope that the City of Lindsay at some point in the future will be able to provide these services to my
property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely, I have 5 lots at location.

Tom Zimmerer
Signature

Tom Zimmerer
Name

P.O. Box 217
Address

Lindsay Tx 76250
City, State, Zip

940-665-7813
Phone Number

Date:

I am interested in *water and sewer* service to my property located on CR 438.
I hope that my City of Lindsay at some point in the future will be able to provide these
services to my property. For this reason I would like to be included in the CCN for the
City of Lindsay.

Sincerely,

Vincent Zimmerer
Full Name: *Vincent Zimmerer*
Address: *3522 CR 438*

Phone #: *Lindsay, Texas 76252*
940-736-2258

3108 LP
Box 863
Gainesville TX 76241-0863

November 8, 2005

Town of Lindsay
Box 153
Lindsay TX 76250

Re: Certificate of Convenience and Necessity (CCN)

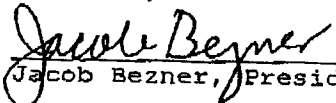
Ladies and Gentlemen:

Please be advised that 3108 LP is willing to include its property located at the end of Elm and Pecan streets in the CCN referenced in your October 11, 2005 letter.

Sincerely,

3108 LP by:

JSLP Inc, General Partner


Jacob Bezner, President

CPA

J Bezner Inc
Professional Corporation
Certified Public Accountants

Tel: 972 661-9131
Fax: 972 661-8976
www.JBeznerInc.com
12900 Preston
Suite 330 Bldg
Dallas Texas 75230

182171

10/26/2005

I am interested in water and sewer service to my property located near/on CR 404.
I hope that the City of Lindsay at some point in the future will be able to provide these services to my property. For this reason I would like to be included in the CCN for the City of Lindsay.

Sincerely,

Leslie Sandmann
Signature

Leslie Sandmann
Name

7661 CR 404
Address

Gainesville, TX 76240
City, State, Zip

(940) 668-6630
Phone Number



T1. Population Estimates [9]
Data Set: 2006 Population Estimates

Note: For information on errors stemming from model error, sampling error, and nonsampling error, see: <http://www.census.gov/popest/topics/methodology>.

Total Population	Lindsay town, Texas
July 1, 2006	1,003
July 1, 2005	987
July 1, 2004	928
July 1, 2003	878
July 1, 2002	854
July 1, 2001	813
July 1, 2000	782
April 1, 2000 (Estimates Base)	788
April 1, 2000 (Census 2000)	788

Source: US Census Bureau, Population Estimates Program
More Tables and Information: Population Estimates Program

Note: The April 1, 2000 estimates base reflects changes to the Census 2000 population resulting from legal boundary updates as of January 1 of the estimates year, other geographic program changes, and Count Question Resolution actions. All geographic boundaries for the July 1, 2006 population estimates series are defined as of January 1, 2006. An "x" in the Census 2000 field indicates a locality that was formed or incorporated after Census 2000 or was erroneously omitted from Census 2000. See Geographic Change Notes for additional information on these localities.

T2. Housing Unit Estimates [9]
Data Set: 2006 Population Estimates

NOTE: Estimates for the following geographic area(s) are not available. This table is only available for the United States, States, and Counties.

Geography:
Lindsay town, Texas

KDM-8
APP0460

**Study to Determine the
Magnitude of, and Reasons for,
Chronically Malfunctioning On-Site
Sewage Facility Systems in Texas**

Funded by:

**Texas On-Site Wastewater
Treatment Research Council**

September 2001

Prepared by:

**Reed, Stowe & Yanke, LLC
5806 Mesa Drive, Suite 310
Austin, Texas 78731
(512) 450-0991**



REED, STOWE & YANKE
A Limited Liability Company

September 12, 2001

Mr. Warren Samuelson, Executive Secretary
Texas On-Site Wastewater Treatment Research Council
C/O Installer Certification Section, MC-178
P.O. Box 13087
Austin, Texas 78711-3087

RE: Study to Determine the Magnitude of, and Reasons for, Chronically Malfunctioning On-Site Sewage Facility (OSSF) Systems in Texas

Dear Mr. Samuelson:

Reed, Stowe and Yanke, LLC (RS&Y) is pleased to provide the results of the "Study to Determine the Magnitude, and Reasons for, Chronically Malfunctioning On-Site Sewage Facility (OSSF) Systems in Texas" to the Texas On-Site Wastewater Treatment Research Council (Council).

Based on the results of the statewide survey administered for this project, the number of reported chronically malfunctioning OSSFs in the State is approximately 148,573, which represents approximately 13% of the OSSF systems represented by the survey results. These results indicate that there is a potentially serious threat to human health and the environment due to the large number of chronically malfunctioning OSSFs in Texas. As a part of this study, RS&Y evaluated reasons for chronically malfunctioning OSSFs in Texas. Several of the key reasons for malfunction include the following:

- OSSF systems that are older and/or pre-regulatory tend to be problematic and have a higher malfunction rate than newer OSSF systems. The reasons for this high rate of malfunction include, but are not limited to; installation in improper soil types, installation in an undersized lot, system is undersized for current uses, and improper operation and maintenance.
- Since the development of regulations, other types of problems related to OSSFs have emerged. These problems are typically related to the need for on-going maintenance, which is a requirement of many of the newer systems.
- Factors that contribute to malfunctions frequently include a lack of (1) public education programs for OSSF owners, (2) effective enforcement programs, and (3) records about existing OSSF systems.

Developing solutions to the problems presented by malfunctioning OSSFs is a significant challenge facing the State of Texas. Meeting this challenge will require the replacement of many OSSFs in the State and the development and implementation of more effective education, management and enforcement programs by local authorized agents and the TNRCC. Should you have any questions regarding the content of this study, please contact Mr. Scott Pasternak at (512) 450-0991.

Sincerely,

Reed, Stowe & Yanke, LLC
Reed, Stowe and Yanke, LLC

TABLE OF CONTENTS

List of Figures, Tables and Charts	iii
Executive Summary	v
Introduction	1
Project Background	1
Texas On-Site Wastewater Treatment Research Council	2
Project Purpose	2
Document Format	2
Acknowledgements	3
Section 1: Methodology	4
Existing Data	4
Survey Instrument	6
Dividing the State into Regions	9
Section 2: Regional Analysis	12
Region I	12
Region II	21
Region III	30
Region IV	38
Region V	47
Section 3: Regional Comparison	56
Description of Survey Results	56
Designated Representative Background Information	56
Malfunctioning OSSF Systems	57
OSSF Systems by Soil Classification	58
Ranking of Factors in Malfunctioning OSSF Systems	59
Contributing Factors to the Malfunction of OSSF Systems	59
Effects of Soil, Design, Climate, and Operation/Maintenance	60
Functionality of Different Types of OSSF Systems	60
1997 Rule Changes	61
Owner Education and Designated Representative Training	61
Section 4: Policy Issues and Key Findings	62
Issue 1: Malfunctioning OSSFs are a Significant Problem	63
Issue 2: OSSF Systems Installed in Improper Soil Classes	63
Issue 3: Malfunctions Related to System Age and "Grandfathered" Systems	63
Issue 4: System Operation and Maintenance	65
Issue 5: Need for Public Education of OSSF Owners	67

Issue 6: Lack of Enforcement	68
Issue 7: Need for Records Regarding Existing OSSF Systems	71
Issue 8: Need for Further Regional Research	72
Section 5: Recommendations for Future Council Research Projects	73
Recommendation 1: Inform State and Local Officials about OSSF Problems.....	73
Recommendation 2: Use this Study to Prioritize Future Council Projects.....	73
Recommendation 3: Develop a Comprehensive Resource	74
Recommendation 4: Conduct Further Regional Research.....	75

Appendices

Appendix A: Survey Instrument

Appendix B: Literature Review

LIST OF TABLES, FIGURES AND CHARTS

Figure 1: On-Site Wastewater Regions of Texas.....	12
Region I:	
Table I.A: Survey Response Profile.....	12
Table I.B: Designated Representative Background Information.....	13
Table I.C: Malfunctioning OSSF Systems	13
Table I.D: OSSF Systems by Soil Classification.....	14
Table I.E: Ranking of Factors in Malfunctioning OSSF Systems.....	14
Table I.F: Contributing Factors to the Malfunctioning OSSF Systems.....	15
Table I.G: Effects of Soil, Design, Climate and O&M on OSSF Systems.....	16
Table I.H: Functionality of Different Types of OSSF Systems.....	17
Table I.I: Functionality of Different Treatment Technologies	17
Table I.J: 1997 Rule Changes	18
Table I.K: Owner Education and Designated Representative Training.....	18
Region II:	
Table II.A: Survey Response Profile	21
Table II.B: Designated Representative Background Information.....	21
Table II.C: Malfunctioning OSSF Systems	22
Table II.D: OSSF Systems by Soil Classification	22
Table II.E: Ranking of Factors in Malfunctioning OSSF Systems.....	23
Table II.F: Contributing Factors to the Malfunctioning OSSF Systems	24
Table II.G: Effects of Soil, Design, Climate and O&M on OSSF Systems	25
Table II.H: Functionality of Different Types of OSSF Systems	26
Table II.I: Functionality of Different Treatment Technologies	26
Table II.J: 1997 Rule Changes.....	27
Table II.K: Owner Education and Designated Representative Training	27
Region III:	
Table III.A: Survey Response Profile.....	30
Table III.B: Designated Representative Background Information	31
Table III.C: Malfunctioning OSSF Systems.....	31
Table III.D: OSSF Systems by Soil Classification	32
Table III.E: Ranking of Factors in Malfunctioning OSSF Systems	32

Table III.F: Contributing Factors to the Malfunctioning OSSF Systems	33
Table III.G: Effects of Soil, Design, Climate and O&M on OSSF Systems	34
Table III.H: Functionality of Different Types of OSSF Systems	35
Table III.I: Functionality of Different Treatment Technologies	35
Table III.J: 1997 Rule Changes	35
Table III.K: Owner Education and Designated Representative Training	36
Region IV:	
Table IV.A: Survey Response Profile	38
Table IV.B: Designated Representative Background Information	38
Table IV.C: Malfunctioning OSSF Systems	39
Table IV.D: OSSF Systems by Soil Classification	39
Table IV.E: Ranking of Factors in Malfunctioning OSSF Systems	40
Table IV.F: Contributing Factors to the Malfunctioning OSSF Systems	41
Table IV.G: Effects of Soil, Design, Climate and O&M on OSSF Systems	42
Table IV.H: Functionality of Different Types of OSSF Systems	43
Table IV.I: Functionality of Different Treatment Technologies	43
Table IV.J: 1997 Rule Changes	44
Table IV.K: Owner Education and Designated Representative Training	44
Region V:	
Table V.A: Survey Response Profile	47
Table V.B: Designated Representative Background Information	47
Table V.C: Malfunctioning OSSF Systems	48
Table V.D: OSSF Systems by Soil Classification	48
Table V.E: Ranking of Factors in Malfunctioning OSSF Systems	49
Table V.F: Contributing Factors to the Malfunctioning OSSF Systems	50
Table V.G: Effects of Soil, Design, Climate and O&M on OSSF Systems	51
Table V.H: Functionality of Different Types of OSSF Systems	52
Table V.I: Functionality of Different Treatment Technologies	52
Table V.J: 1997 Rule Changes	53
Table V.K: Owner Education and Designated Representative Training	53
Table A.1: Regional Response Rates	56
Chart A.1: Percentage of Chronically Malfunctioning OSSF Systems by Region and Statewide	57
Chart A.2: Total Number of Chronically Malfunctioning Systems per Region	58

EXECUTIVE SUMMARY

The State of Texas contains approximately 1.5 million households that rely upon on-site sewage facility (OSSF) systems for wastewater disposal and the numbers are increasing each year. Approximately 55,052 OSSF systems were installed in Texas in 1999, and approximately 49,616 systems were installed in 2000. Unlike households connected to centralized systems, households with OSSF systems are required to have a general understanding of the operation and maintenance needs of the system in order to ensure that it functions properly.

When an OSSF system is not functioning properly, it cannot only become an inconvenience for the homeowner, but it can create threats to public health and the environment. This threat to public health can reach beyond the individual household and extend to the community at large. Recent research completed by the United States Environmental Protection Agency (U.S. EPA) identified a number of public health and environmental problems related to the malfunction of OSSFs.¹ Effluent from malfunctioning OSSF systems can provide a medium for the transmission of disease. For example, the U.S. EPA has estimated that approximately 169,000 viral and 34,000 bacterial illnesses occur each year as the result of drinking contaminated groundwater. Malfunctioning OSSFs have been identified as a potential source of this contamination. Within the context of the natural environment, malfunctioning OSSFs have also been considered a primary reason for reduced harvests in many shellfish growing areas.

Project Overview

In 2000, the Texas On-Site Wastewater Treatment Research Council (Council) determined that there was a need to study the magnitude of, and reasons for, chronically malfunctioning OSSFs in the State of Texas. Given the large size of Texas and the various soil types and climate conditions within the state, the Council decided to approach the research from a regional perspective. Reed, Stowe & Yanke, LLC (RS&Y) was retained by the Council in October of 2000 to research the issues and factors that contribute to OSSF malfunction, as well as determine the extent of the problem in the various regions of Texas.

After reviewing the existing literature and the available data on OSSF systems, RS&Y determined that the Council's project goals would best be attained through the administration of a survey to the Designated Representatives across Texas. It was decided that Designated Representatives were the appropriate survey population due to their comprehensive knowledge of issues related to OSSF malfunctions within their respective jurisdictions. The survey contained questions that were designed to ascertain the reasons for chronically malfunctioning OSSF systems and covered topics such as

¹ EPA Guidelines for Management of Onsite/Decentralized Wastewater Systems (Draft). United States Environmental Protection Agency. September 26, 2000. Pages 1-2.

system design, operation and maintenance, OSSF owner education, effective treatment technologies, soil type, and climate conditions. The survey was mailed to 278 Designated Representatives in January of 2001.

Figure ES.1 On-Site Wastewater Regions of Texas

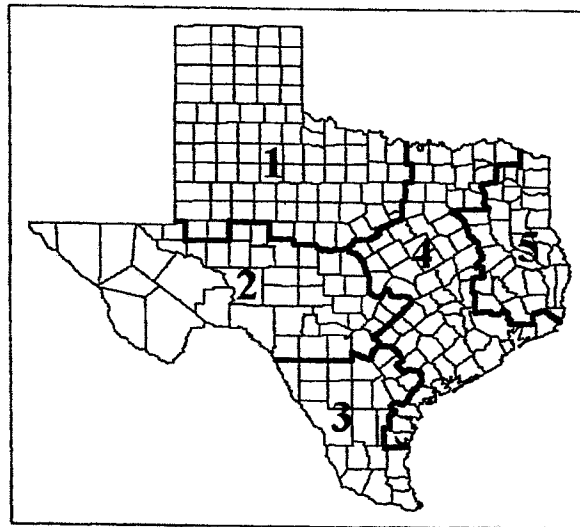
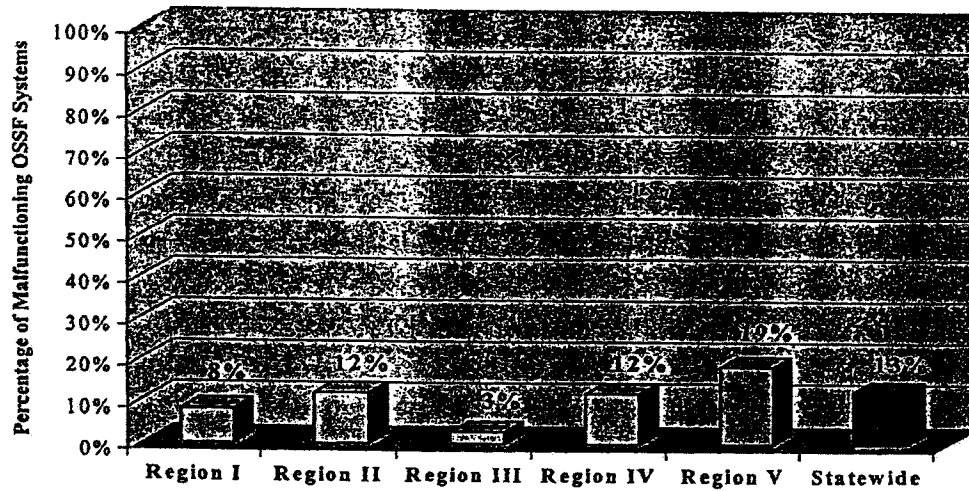


Chart ES.1 Percentage of Chronically Malfunctioning OSSF Systems

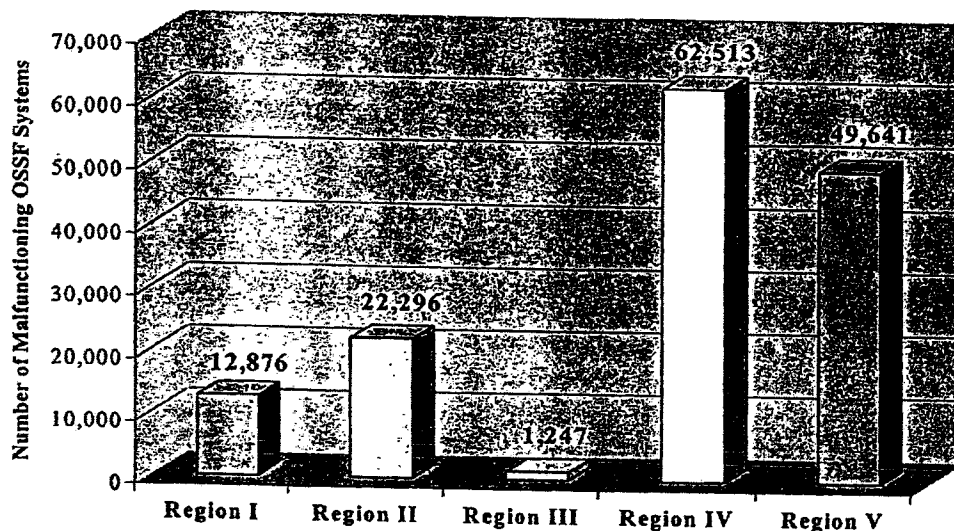


The statewide survey response rate, based on the number of completed surveys returned, was 64%. The survey results were compiled and analyzed on a regional basis and these

regions are presented in Figure ES.1. The analyzed survey results were successful in fulfilling the project goals, and will be an important resource for OSSF professionals and policymakers alike. Important trends in the factors that contribute to OSSF malfunction were revealed through the survey results, as well as data that offers insight into the number of chronically malfunctioning OSSF systems in the State of Texas.

Chart ES.1 shows the percentage of OSSF systems that were reported to malfunction chronically in each region of the State. Statewide, approximately 13% of the OSSF systems were reported to be chronically malfunctioning. Chart ES.2 shows the approximate number of chronically malfunctioning OSSF systems by region. The total number of chronically malfunctioning systems reported through the survey results in the State was approximately 148,573.

Chart ES.2 Total Number of Chronically Malfunctioning Systems per Region



The actual total number of malfunctioning OSSF systems in Texas is certain to be higher, as the survey's response rate was less than 100%. However, the rate of OSSF malfunction for the entire State is still unknown and cannot be projected based on survey responses. The project team determined that it would not be statistically valid to use the regional rates of chronic OSSF malfunction for the jurisdictions that responded to the survey, and extrapolate those figures to determine the rate of malfunction for all OSSF systems across the State. Although it might be a useful exercise for the purposes of antidotal discussion, it would not necessarily be representative of the opinions and situations in the remaining jurisdictions.

Document Format

This document is divided into five sections. Section 1 describes the methodology used to determine the type of research instrument used in the project, the process of creating the survey instrument, the survey distribution process, and the limitations of the survey. This section also illustrates the regional approach used to analyze the survey results, including a map that depicts the State of Texas divided into the five regions. A copy of the survey questionnaire is located in Appendix A.

Section 2 presents the regional analyses of the survey results. The survey results are presented from Region I through Region V, with the analyzed data discussed in the order in which it was listed on the actual survey questionnaire. The survey results are described in a text format as well as in various tables that illustrate the raw data results and percentage ratios. Key findings from each region are summarized in the next section, "Key Findings Summary" of the Executive Summary.

Section 3 of this report presents a regional comparison of the survey results from the five regions of the State. This section compares and contrasts the significant factors in OSSF malfunction reported in the survey results from each region. Section 4 discusses in detail the major policy issues and key findings that resulted from the survey analysis presented in Section 2. These policy issues are summarized on page xi of the Executive Summary.

The recommendations of the report are presented in Section 5. In this section, the project team has developed a set of recommendations based on the policy issues discussed in Section 4. The project team would like to emphasize that the recommendations presented in this discussion are not intended to provide a comprehensive resolution to all problems effecting OSSF systems. The purpose of these recommendations is to highlight actions that the Council could take based on the findings of this study. These recommendations have also been developed to help identify and prioritize future Council research projects based on the major reasons for malfunctioning OSSFs.

Key Findings Summary

Region I: Key Findings Summary

- Region I reported that approximately 8% of the OSSF systems in the reporting jurisdictions were chronically malfunctioning.
- The age of the OSSF system was ranked as the highest contributor to malfunction. Pre-regulatory "grandfathered" systems were found to be a severe contributor to malfunction by 51% of survey respondents and a moderate contributor by 29%.
- Operation and maintenance issues were ranked as the second highest contributor to malfunction. Problems with operation and maintenance practices were reported to

severely contribute to OSSF malfunction by 34% of the respondents and to moderately contribute by 34%.

- The lack of education for OSSF owners was reported to contribute severely to OSSF malfunction by 34% of the respondents and moderately contribute by 31%. Additionally, 60% of the respondents in Region I reported that OSSF owners do not receive sufficient information about how to properly operate their system.
- Region I did not report significant OSSF problems due to climate or a high water tables and septic tanks/leaching chambers were reported to function well in the region.

Region II: Key Findings Summary

- Region II reported that approximately 12% of the OSSF systems in the reporting jurisdictions were chronically malfunctioning.
- The age of the OSSF system was ranked as the highest contributor to malfunction. Pre-regulatory "grandfathered" systems were found to be a severe contributor to malfunction by 22% of the survey respondents and a moderate contributor by 37%.
- The factors that contribute to OSSF malfunction in Region II were varied and were generally reported as being less severe than in other regions of the State. Areas of concern for many respondents included: a lack of education for OSSF owners, improper operation and maintenance, and problems with soils, such as tightly-packed clay soils that do not allow for proper leaching and fractured limestone soils that allow sewage to flow directly into the ground.

Region III: Key Findings Summary

- Region III reported that approximately 3% of the OSSF systems in the reporting jurisdictions tend to chronically malfunction. This is the lowest reported rate of OSSF malfunction for any region in the State.
- Region III had an unusually low response rate of 44% and the returned surveys only represent approximately 32% of the total number of OSSF systems in the region. Due to this low regional response rate and the lower OSSF representation, the results from this regional analysis may not be representative of the OSSF issues in the entire region, nor can they be assumed to represent the opinions of the majority of Designated Representatives in the region.
- According to the Designated Representatives that responded to the survey, the age of the OSSF system was ranked as the highest contributor to malfunction. Pre-regulatory "grandfathered" systems were found to be a severe contributor to malfunction by 50% of the survey respondents and a moderate contributor by 25%.
- Improper system design ranked as the second highest contributor to malfunction and 38% of the respondents reported that it severely contributes to malfunction, while

19% stated it was a moderate contributor. Examples of system design issues reported in the region include OSSF systems that are too small for the sewage load from the facility and lot sizes and/or drainfields that are too small.

Region IV: Key Findings Summary

- Region IV reported that approximately 12% of the OSSF systems in the reporting jurisdictions were chronically malfunctioning.
- Soils were ranked as the highest contributor to OSSF malfunction in Region IV. Soils were found to severely contribute to malfunction by 42% of the respondents and to moderately contribute by 36%. Specifically, tightly-packed clay soils that do not allow for proper leaching were reported to be severe contributors to malfunction by 51% of the respondents and a moderate contributor by 22%.
- The age of the OSSF system was ranked as the second highest contributor to malfunction. Pre-regulatory "grandfathered" systems were found to be a severe contributor to malfunction by 46% of the survey respondents and a moderate contributor by 32%.
- Lack of education for OSSF owners was reported to contribute severely to malfunction by 28% of the respondents and moderately contribute by 46%. Additionally, 85% of the respondents in Region IV stated that OSSF owners do not receive sufficient information about how to properly operate their system.
- Operation and maintenance was generally reported to be a moderate contributor to malfunction in Region IV. A total of 15% of the respondents reported that operation and maintenance was a severe contributor to malfunction while 51% reported it was a moderate contributor. Specifically, failure to renew maintenance contracts and failure to add the proper disinfectant to the system were identified as the two main contributors to malfunction under the operation and maintenance category.

Region V: Key Findings Summary

- Region V reported that approximately 19% of the OSSF systems in the reporting jurisdictions were chronically malfunctioning. This is the highest reported rate of malfunction for any region.
- Soil was ranked as the highest contributor to malfunction, with 66% of the respondents reporting severe contribution to malfunction, and 14% reporting moderate contribution. Tightly-packed clay soils were reported to contribute severely to malfunction by 69% of the respondents and moderately by 24%.
- High water tables were ranked as the second highest contributor to malfunction and were reported to severely contribute to malfunction by 34% of the respondents and moderately contribute to malfunction by 31%.

- The age of the OSSF system was ranked as the third highest contributor to malfunction. Pre-regulatory "grandfathered" systems were found to be a severe contributor to malfunction by 55% of the survey respondents and a moderate contributor by 31%.
- Lack of education for OSSF owners was found to severely contribute to malfunction by 34% of the respondents and moderately contribute to malfunction by 45%. Additionally, 79% of respondents in Region V stated that OSSF owners do not receive sufficient information about how to properly operate their system.
- Failure to renew maintenance contracts was reported to be a severe contributor to malfunction by 48% of the respondents and a moderate contributor by 45%. A failure to add the proper disinfectant to the system was reported to be a severe contributor by 38% of the respondents and a moderate contributor by 45%. These factors were the two main contributors to malfunction under the operation and maintenance category.
- One hundred percent of the respondents reported that aerobic system treatment technologies function well and 93% reported that surface irrigation systems function well.

Synopsis of Policy Issues

Issue 1: Malfunctioning OSSFs are a significant problem in Texas based on the results of the survey. In the State of Texas, there are approximately 148,573 chronically malfunctioning systems, which represents about 13% of all OSSFs.

Issue 2: OSSF systems installed in improper soil classes was the factor that had the highest impact on OSSF system malfunction in Region IV and Region V.

Issue 3: Malfunctions related to system age and "grandfathered" systems was the category that consistently ranked as having the highest impact on the malfunction of OSSF systems in Region I, Region II, and Region III. The age of the OSSF systems was ranked as the second highest factor in Region IV and the third highest factor in Region V. The age of OSSF systems is also affected by several other factors, as many older systems were installed prior to the development of regulations.

Issue 4: System operation and maintenance issues related to surface irrigation/aerobic systems, such as a lack of maintenance contracts and improper addition of disinfectant to the OSSF system, were the key reasons for malfunction in Region IV and Region V.

Issue 5: A need for more education for OSSF system owners is a key issue. Approximately 73% of responding Designated Representatives believe that OSSF owners are not receiving adequate education regarding their systems.

The resource guide should be developed in such a manner that the Designated Representatives can use individual sections independent of information from other sections. The resource guide should also include specific recommendations on steps that could be taken to implement each topic. Additionally, the recommendations should be based upon case studies of other Texas communities that have effectively developed and implemented programs to address various OSSF problems.

Recommendation 4: Conduct Further Regional Research

In order obtain an understanding of the magnitude of, and reasons for, malfunctioning OSSF systems in Region III, which includes the area of South Texas know as the Lower Rio Grande Valley, the project team recommends that the Council fund additional research in this area of the State. This research is needed because the survey response rate for this region was significantly lower than the response rates for the other four regions of the State. This research would ideally build from the research completed through this study.

This future research could be conducted through a combination of case studies, interviews and/or surveys. This additional research could be especially helpful in determining potential infrastructure or other resource needs in this area of the State. Information gathered through the additional research would be valuable and useful for Region III since there are several state and federal programs that can provide financial assistance for water and wastewater infrastructure problems in the border region.

SOAH DOCKET NO. 582-06-2023
TCEQ DOCKET NO. 2006-0272-UCR

APPLICATION OF THE CITY OF
LINDSAY TO AMEND WATER AND
SEWER CERTIFICATES OF
CONVENIENCE AND NECESSITY
(CCN) NOS. 13025 AND 20927 IN
COOKE COUNTY, TEXAS
APPLICATION NOS. 35096-C & 35097-C

§
§
§
§
§
§
§

BEFORE THE STATE OFFICE

OF

ADMINISTRATIVE HEARINGS

DIRECT TESTIMONY

OF

JACK E. STOWE

ON BEHALF OF

THE CITY OF LINDSAY

JUNE 9, 2008

LINDSAY EXHIBIT APP EX. 4

Reporter Copy

**SOAH DOCKET NO. 582-06-2023
TCEQ DOCKET NO. 2006-0272-UCR**

APPLICATION OF THE CITY OF LINDSAY TO AMEND WATER AND SEWER CERTIFICATES OF CONVENIENCE AND NECESSITY (CCN) NOS. 13025 AND 20927 IN COOKE COUNTY, TEXAS APPLICATION NOS. 35096-C & 35097-C	§ § § § § § §	BEFORE THE STATE OFFICE OF ADMINISTRATIVE HEARINGS
---	--	---

TABLE OF CONTENTS

I.	INTRODUCTION AND QUALIFICATIONS	1
II.	PURPOSE AND SCOPE.....	2
III.	FINANCIAL CAPABILITY AND STABILITY OF LINDSAY	5
IV.	ENVIRONMENTAL AND ECONOMIC EFFECTS OF GRANTING LINDSAY'S APPLICATION TO AMEND ITS WATER AND SEWER CCN	15
V.	CONCLUSIONS.....	23

ATTACHMENTS

JACK E. STOWE, JR., EXPERT WITNESS TESTIMONY RESUME	ATTACHMENT JES-1
CITY OF LINDSAY, AUDITED FINANCIAL STATEMENT EXCERPTS, FISCAL YEAR ENDING OCTOBER 31, 2004.....	ATTACHMENT JES-2
CITY OF LINDSAY, AUDITED FINANCIAL STATEMENT EXCERPTS, FISCAL YEAR ENDING OCTOBER 31, 2005	ATTACHMENT JES-3
CITY OF LINDSAY, AUDITED FINANCIAL STATEMENT EXCERPTS, FISCAL YEAR ENDING SEPTEMBER 30, 2006	ATTACHMENT JES-4
CITY OF LINDSAY, AUDITED FINANCIAL STATEMENT EXCERPTS, FISCAL YEAR ENDING SEPTEMBER 20, 2007	ATTACHMENT JES-5
FEDERAL RESERVE STATISTICAL RELEASE, H. 15, SELECTED INTEREST RATES, MAY 12, 2008	ATTACHMENT JES-6
COOKE COUNTY APPRAISAL DISTRICT, CURRENT TAX RATES	ATTACHMENT JES-7
STUDY TO DETERMINE THE MAGNITUDE OF, AND REASONS FOR, CHRONICALLY MALFUNCTIONING ON-SITE SEWAGE FACILITY SYSTEMS IN TEXAS, EXECUTIVE SUMMARY	ATTACHMENT JES-8
COMPARATIVE STUDY OF COSTS OF OSSF SYSTEMS, OLD RULES VERSUS NEW RULES, EXCERPTED TABLE	ATTACHMENT JES-9
ON-SITE SEWERAGE FACILITIES, RULES OF HARRIS COUNTY, TEXAS FOR ON-SITE SEWERAGE FACILITIES, INFORMATION GUIDE.....	ATTACHMENT JES-10
TEXAS AGRICULTURAL EXTENSION SERVICE, ON-SITE WASTEWATER TREATMENT SYSTEMS, LEACHING CHAMBERS	ATTACHMENT JES-11
CITY OF AUSTIN – ONSITE TREATMENT (PRETREATMENT) SYSTEM FACT SHEETS, AERATED TANKS (AEROBIC UNITS).....	ATTACHMENT JES-12
CITY OF LINDSAY ORDINANCE No. 0805-3	ATTACHMENT JES-13