Notice to Neighboring Systems and Cities

NOTICE OF APPLICATION FOR CERTIFICATE OF CONVENIENCE AND NECESSITY (CCN) TO PROVIDE WATER/SEWER UTILITY SERVICE IN COOKE COUNTY

To: Myra Water System P.O. Box 126 Myra, Texas 76253 Date Notice Mailed: October 11, 2005

The Town of Lindsay has filed an application to amend CCN Nos. 13025 and 20927 with the Texas Commission on Environmental Quality to provide water & sewer utility service in Cooke County.

The proposed utility service area is located approximately 4.6 miles north, 3.5 miles south, .6 miles east, and 4.1 miles west of downtown Lindsay, Texas, and is generally bounded on the north by CR 434; on the east by Gainesville Airport; on the south by FM 1630; and on the west by CR 415. See enclosed map of the proposed service area.

The total area being requested for water service includes approximately 18,230 acres and 371 current customers. The total area being requested for sewer service includes approximately 18,230 acres and 362 current customers.

A request for a public hearing must be in writing. You must state (1) your name, mailing address, and daytime telephone number; (2) the applicant's name, application number or another recognizable reference to this application; (3) the statement, "I/we request a public hearing"; (4) a brief description of how you or the persons you represent, would be adversely affected by the granting of the application for a CCN; and (5) your proposed adjustment to the application or CCN which would satisfy your concerns and cause you to withdraw your request for a hearing.

Persons who wish to intervene or comment should write the:

Texas Commission on Environmental Quality
Water Supply Division
Utilities and Districts Section, MC-153
P. O. Box 13087
Austin, TX 78711-3087

within thirty (30) days from the date of this publication or notice. A public hearing will be held only if a legally sufficient hearing request is received or if the Commission on its own motion requests a hearing. Only those individuals who submit a written hearing request or a written request to be notified if a hearing is set will receive notice if a hearing is scheduled.

If a public hearing is requested, the Executive Director will not issue the CCN and will forward the application to the State Office of Administrative Hearings (SOAH) for a hearing. If no settlement is reached and an evidentiary hearing is held, the SOAH will submit a recommendation to the Commission for final decision. If an evidentiary hearing is held, it will be a legal proceeding similar to a civil trial in state district court.

Si desea informacion en Espanol, puede llamar al 1-800-687-4040.

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so that we can return the card to you.

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or on the front if space permits.

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C. Date of Delivery 10-12-03

☐ Agent ☐ Addressee

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Article Number (Transfer from service Jabel)

PS Form 3811, February 2004

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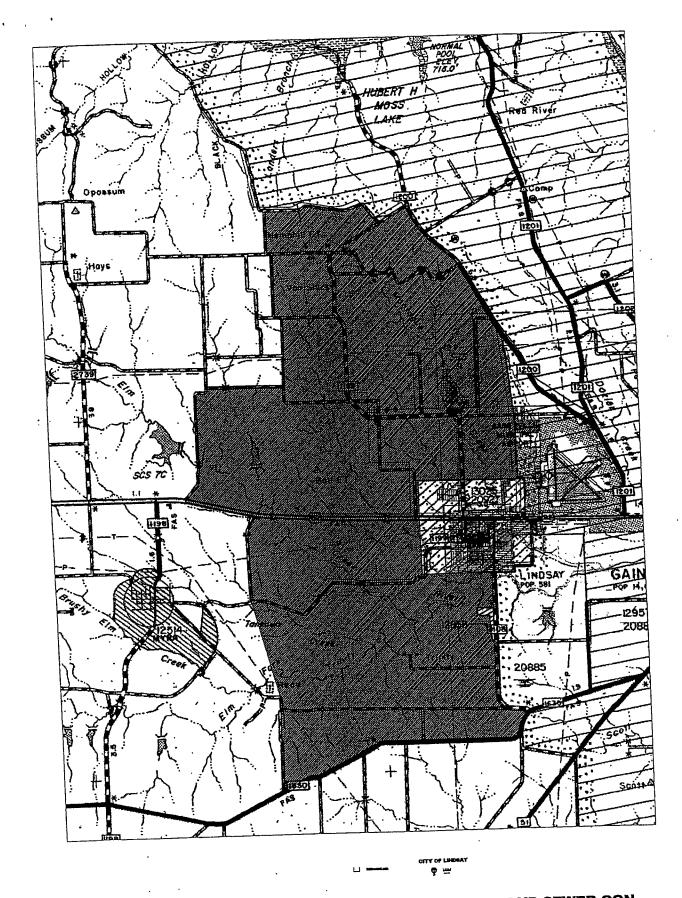
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Street, Apt. No.: or PO Box No. City, State, ZIP+4

PS Form 3800, June 2002

See Reverse for Instruction



LINDSAY PROPOSED WATER AND SEWER CCN

LINDSAY PROPOSED CCN AREA
REQUESTS FOR SERVICE LOCATIONS

Notice to Neighboring Systems and Cities

NOTICE OF APPLICATION FOR CERTIFICATE OF CONVENIENCE AND NECESSITY (CCN) TO PROVIDE WATER/SEWER UTILITY SERVICE IN COOKE COUNTY

City of Gainesville To: 200 South Rusk Street Gainesville, Texas 76240 Date Notice Mailed: October 11, 2005

The Town of Lindsay has filed an application to amend CCN Nos. 13025 and 20927 with the Texas Commission on Environmental Quality to provide water & sewer utility service in Cooke County.

The proposed utility service area is located approximately 4.6 miles north, 3.5 miles south, .6 miles east, and 4.1 miles west of downtown Lindsay, Texas, and is generally bounded on the north by CR 434; on the east by Gainesville Airport; on the south by FM 1630; and on the west by CR 415. See enclosed map of the proposed service area.

The total area being requested for water service includes approximately 18,230 acres and 371 current customers. The total area being requested for sewer service includes approximately 18,230 acres and 362 current customers.

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Persons who wish to intervene or comment should write the:

Texas Commission on Environmental Quality Water Supply Division Utilities and Districts Section, MC-153 P.O. Box 13087 Austin, TX 78711-3087

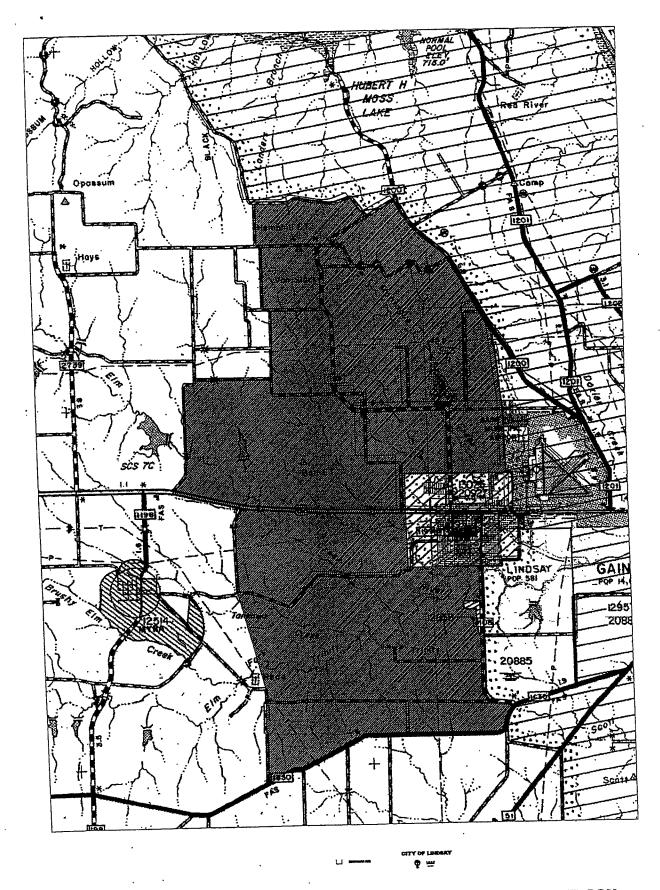
within thirty (30) days from the date of this publication or notice. A public hearing will be held only if a legally sufficient hearing request is received or if the Commission on its own motion requests a hearing. Only those individuals who submit a written hearing request or a written request to be notified if a hearing is set will receive notice if a hearing is scheduled.

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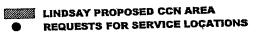
Si desea informacion en Espanol, puede llamar al 1-800-687-4040.

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LINDSAY PROPOSED WATER AND SEWER CCN



Notice to Neighboring Systems and Cities

NOTICE OF APPLICATION FOR CERTIFICATE OF CONVENIENCE AND NECESSITY (CCN) TO PROVIDE WATER/SEWER UTILITY SERVICE IN COOKE COUNTY

To: Lindsay Pure Water Company

Date Notice Mailed: October 11, 2005

P.O. Box 5

Lindsay, Texas 76250

The Town of Lindsay has filed an application to amend CCN Nos. 13025 and 20927 with the Texas Commission on Environmental Quality to provide water & sewer utility service in Cooke County.

The proposed utility service area is located approximately 4.6 miles north, 3.5 miles south, .6 miles east, and 4.1 miles west of downtown Lindsay, Texas, and is generally bounded on the north by CR 434; on the east by Gainesville Airport; on the south by FM 1630; and on the west by CR 415. See enclosed map of the proposed service area.

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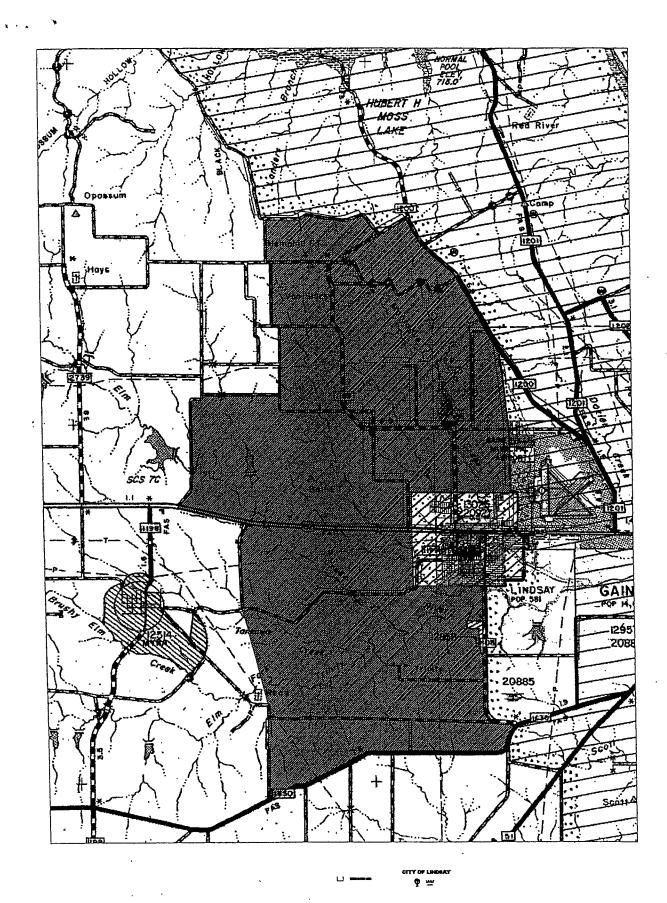
Texas Commission on Environmental Quality
Water Supply Division
Utilities and Districts Section, MC-153
P. O. Box 13087
Austin, TX 78711-3087

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Si desea informacion en Espanol, puede llamar al 1-800-687-4040.

U.S. Posial CERTIFIE (Domestic Mail of Domestic Mail of	2. Article Number (Transfer from service label) PS Form 3811, February 2004 Domestic	hidley, Texas 76250	SENDER: COMPLETE THIS SECTION Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. Article Addressed to: Article Addressed to: Article Addressed to: B. BOKS
U.S. Postal Service _{rm} CERTIFIED MAIL _{TM} RECEIPT (Domestic Mail Only; No Insurance Coverage Provided) For delivery information visit our website at www.usps.com Postage Postage Return Reciept Fee Endorsement Required) Total Postage & Fees Sent To UALLY IM. WALL Sirect, Apr. No.: Oity, State, ZiP+4 See Revises to Instructions City, State, ZiP+4 See Revises to Instructions	7003 3110 0002 4681 2835 Domestic Return Receipt 102595-02-M-154	3. Service Type Differ Certified Mail □ Express Mail □ Registered □ Receipt for Merchandise □ Insured Mail □ C.O.D. 4. Restricted Delivery? (Extra Fee) □ Yes	A. Signature A. Signature B. Received by (Printed Name) D. Is delivery address different from item 1? D. Is delivery address below: No If YES, enter delivery address below: C. Date of Delivery D 1 0 5



LINDSAY PROPOSED WATER AND SEWER CCN

LINDSAY PROPOSED CCN AREA

REQUESTS FOR SERVICE LOCATIONS



THE STATE OF TEXAS

COUNTY OF TRAVIS
I hereby certify that this is a true and correct copy of a
Texas Commission on Environmental Quality document,
which is filed in the permanent records of the Commission.
Given under my hand and the seal of office on

JUN 2 9 2006

LaDonna Castanuela, Chief Clark Texas Commission on Environmental Quality

Texas Commission on Environmental Quality APPLICATION NO. 35094-C - 35091-C

PUBLISHER'S AFFIDAVIT

	•
STATE OF TEXAS	· .
COUNTY OF <u>Cooke</u>	
Before me, the undersigned authority of Sbokne	ity, on this day personally appeared
who being by me duly swom, dep	ooses and says that (s)he is the
CAINESUILLE DAILY NAME OF NEWSP	Register; that said
NAME OF NEWSP	APER
newspaper is regularly published in	oke
County(ies) and generally circulated in	Bake
County (Counties), Texas; and that the attached	notice was published in said newspaper on the
following date(s), to wit:	tober 7 and October 14,2
	Newspaper Representative's Signature
Subscribed and sworn to before me this 1774	_day of _Octobec, 2005, to certify which
witness my hand and scal of office.	Bernee Brendee Notary Public in and for the State of Texas
BERNICE TRIMBLE Notary Public, State of Texas Notary Public State of Texas	Beknice Trimbye Print or Type Name of Notary Public
My Commission Expires 8-7-2009	Commission Expires 87-09

, 2005

5000 For Rent Or Lease

100 acre farm for (940)665-5465

9141

security deposit

2 or 3/1 fenced backyard, CH/A, \$500 month & \$500 security deposit (940)665

5010 Storage Buildings

4 Sizes Available, MCM Mini Storage (940)668-865, if no answer, (940)668-7129. 3 sizes available, Rexter's Storage. Call (940)6651 9709

5 Locations Tierra Real Estate, (940)665-6730.

8'x8'x40', \$60/ month. Call Samt Enderby, days (940)665-8341, nights (940)736-7269

Big Blue Storage, Gainesville, TX & Thacker, ville, OK (940)665-5852, (580)276-3755

Great American Storages, 10x12 and 10x22 (940)668-8575.

Lakeway Storage
New boat stalls & mini stg,
Lights, overhead doors,
slab, (940)665-3811,
Get Your Boat, Gas, Etc. Get Your Boat, Gas Elc., All At One Stop.

Lee's Fibergiass Repair, Boat & RV Storage, Protects your investment (940)665-0974, 736:5725

Rick's Security Storage, 3 sizes available, 24 hi, security with gated entrance. Owner lives on site. (940)65-8921. If no answer, 668-1320

Apartments *

FOR RENT

1 & 2 Bedroom apariments & town homes (940)668-

Broadway, Manor Apis, 3 bedroom \$555 month, \$250.00 deposit, No pels (949)736.0262

Charming 2 or 3 bedroom, 1 bath, oversized 2 car plus work area, \$725.

1 & 2 bedroom apartments in Valley. View Rental assistance available, for qualified available, for qualified (940)/26-3798, (940)665-0501

1, 2 or g Bedroom, 5% Sindowood, 3, 3 arments (940)665;2886, (940) Contact Stephanie 940-627-6362

J417 E. Garnett 2-Bedroom, J. Baih. <u>HOUSES</u>

|417 E. Garnett

2 Bedroomi, Bah 1007 S. Clement 2 Bedroom 1 Bah 2 Bedroom 1 Bah Karl Klement Properties Ind Contal Siephane 940/577/6362

www.tierracompany.com All our rental properties

o Lovely country setting to:
a active adults. Private
twalking trail Lawn-mainte
nance, provided \$750,00
month plus deposition
1940)668-1945. bedroom, 2 bath *FN 71 off 82 (Fox Creek)

3 bedroom, 1 bath, 606
Hannah, appliances turnished, \$250 rent, \$200
deposit. No pets
(903)429-6088-7-120

3 BR, 1 BA, LR/ DR, \$300 deposit, \$695 to 15740 month Available how, 8-15 to 12 & 1-15 to 4:30, (940)665-0344, M-F

3/1 duplex 22:A Park Lane Moss Lake \$495 month \$350 (940)902:9769 (940)391-

404 N Denion, 2 bedroom, 1 bath, \$425.00 with a \$300 deposit GHA ac-cepted 940-736-3155 3/1, 1714 Harris, \$750 month, \$600 deposit, (940)727-8072) Sealed phoposis; for me Construction, Services, 10 be performed for three, (3) be performed for three, (3) be performed for three, (3) be performed for the County Emergency (Medica), Service, Sub-Sizi (Cooke Fwill be Review of a feet County Judges; Cooke; Fwill be Review of the County Judges; Cooke; Fwill be Review of the County Judges; Cooke; Fwill be Treated, From 10 of the County Judges; Cooke; Judges; Cooke; Judges; Cooke; Judges; Cooke; Judges; Cooke; Judges; J

A. 2 bedroom, 4 bath, in Lindsay, 204,34h Sty. \$550 month, \$400 deposit. Tierra Aeal Estate, (940)665-6730. 411 A Second, 3 bedroom, 2 bath, \$525 with a \$300 deposit, GHA, accepted, 940-736-3155.

A.22 bedroom 21 bath 211 Church \$475 month 3350 deposit Terra Real Estate, (940)65-6730

A 3 bedroom: 1 bain in the country. 2406 CFR 131 \$575 month; \$400 depos! 1 Tierra Real Estate; (940)665-6730

NOTICE TO THE PUBLIC Bids Wanted ≴*

COMPETIVE SEALED
PROPOSALS FOR
THREE 3) COOKE
COUNTY EMERGENCY
MEDICAL SERVICE
SUB-STATIONS

Texás, and, is, generally bounded on the basis by for CP202 on the saist by find 2071 on the saist by the Coneupenion. Country Line, and on the wast by CR 308.

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APPLICATION FOR CENTIFICATE OF CONVENIENCE AND NECESSITY (CON) TO APPONDE SEWER UTILITY SERVICE IN COOKE COUNTY

filed applications to amend CCN Nos 13025 and 20927 with the Texas Commission on Engiron-The Town of Lindsay has

mental Quality, to provide water, & event unity services in Cooke County.

The proposed unity services read is located approximate a proximate and a finite read in the proposed unity services area is located approximately 4.5 miles county, 6 miles read and 4.1 miles read in downtown Enrichary, read on the portion, Control, Co

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Legal Notices

APPLICATION FOR APPLICATION FOR APPLICATION FOR AND CONVENIENCE, AND NECESSITY (CCN) TO NATER AND SEWER UTILITY SERVICE IN COOKE COUNTY.

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4 bedroom, 2 bath, centra h/a. 408 Gorham \$675.00 month, \$300 \(\text{940} \) deposit

404 N Denton, 2 bedroom, 1 bath, \$425.00, with a \$300 deposit, GHA, accepted 940-736-3155

411. A Second 3 hedroom 2 bath, \$525 with a \$300 deposit. GHA accepted 940-736-3155.

FOR RENT

HOUSES : 1417 E. Garnett

2 Bedroom, 1 Bath 1805 Mill 🦟

2 Bedroom, 1 Bath 1007 S. Clement 2 Bedroom, 1 Bath

1704 Throckmorton 2 Bedroom, 1 Bath Karl Klement

940-627-6362

Duplex, 2 bedroom, 601 N Denton, Rent \$325, de posit \$300. Water paid. No pets (903)429-6088

For Lease: Lake: Kiöwa-3/2/2 brick Membership dues paid Available October 1st. No inside pets. Bkr. 940-612-2222, 214

House for lease: 4 bed-House for lease: 4 bed-room 2 bath, 2 acres, pipe fence, on FM 371, Callis-burg School District, No in-side pets, \$1000 month; \$1000 deposit, (940)736, 3520, Avail, Aug. 1st.

Lake Klowa waterfront 2/2/2 brick \$1000/ month Fees paid. Owner/ Agent (800)375-4700:

Large 2/2 mobile home, V.V. ISD, \$450 mo | \$350 dep. (940)665-6706

New 1300 sq. ft., 3 bed-room, 2 bath duplex, quiet and safe neighborhood, \$750 month (903)496-1551

Small 1 bedroom 1028 Lawrence, \$400 month (940)768-8292

Two bedroom, 2 bath mobile home, partly fur-nished, white & Irash paid. No pels: 2 1/2 miles sould of 1.104say. \$500,00 (940)665-5666,665-1630

3/1, at Moss, Lake, \$450 month, \$200, deposit (940)665-6523, (940)665-6453, 200

Moss Lake area, 2 bed-room, 1 bath, covered, porch, carport storage shed, nice deck No pets; \$400 month, \$400 deposit. You pay utilities. Available Oct, 12th. (940)665-8470.

Pecan Grove Mobile Home Park, \$350.00 & up, housing accepted, 1-800-481-3313

Sanger, 2, 3, 4 bedroom mobile homes available.

REQUEST FOR BID

7000

NOTICE OF

APPLICATION FOR CERTIFICATE OF

CONVENIENCE AND NECESSITY (CCN) TO PROVIDE ... WATER AND SEWER

UTILITY SERVICE IN The Town of Lindsay has filed applications to amend

Illed applications to amend CCN Nos., 13025, and 20927, with the Texas Commission on Environmental Quality 18-frovide water & Sewer Dutily service in Cooke Compy.

The proposed utility serv-

The proposed utility service area is located approximately 46 miles north, 35 miles south, 5 miles east and 41 miles; west, of downtown Lindsay, Texas, and is generally bounded on the north by CR 434 on the east by Gainesville Airport; on the south by CR 415 pt. 15 me. total care being requested for water/service includes approximately 18,230 acres, and 371 cur

18,230 acres and 371 cur-rent customers. The total

area, being requested for sewer service includes ap-proximately, 18,280 acres and, 362 current custom

ers.

A copy of, the proposed service area map is available at 608 Ash Street, Lindsay, Texas, 76250, Phone Number 940/655

Phone Number 940/04455(4) 125-14

A request, for a public hearing must be in writing hearing must be in writing you must state, (1), your name, malling address, and daytime, tielphone number; (2), the applicants name; application, dispersion, application, (3), the statement of another in application, (3), the statement

or atomer recognizable reference to this application (3) the statement Twe requests a public hearing (4) a brief description of show you or the persons, you, represent would be adversely affected by the granting of the application for a CCN, and (5) your proposed adjustment to the application or CCN which would satisfy your concerns and cause you to withdraw your secret to the application.

Sealed bids addressed to Sealed bids addressed to kent Lashley, County, Au-dlop, will be received until 5:00, P.M. on Eriday Octo-ber 21, 2005 by the Com-missioners, Court for fur-nishing and Corrugated Galvanized Metal-Pipe to be used by Cooke County for a period of twelve (12) months beginning November, 1912005, Price FOB Cooke, County Precinct Cookes County Present Barns. Specifications are available, inc. 168 mills Commissioners, a Office Bids mills be in the office of the County Auditoron or before, 500 P. M. on Fri. day, October 21, 2005, and the envelope should be plainly marked, Bid on Corrugated, Galvanized Metal. Pipe". Bids will be opened in Commissioners Court on Monday, October 244, 2005 at 1000 A.M. Pursuant to Section 24, 2005 at /10 00 A.M.
Pursuant to Section
262/032 Texas Logal
Government Code no performance bord is required.
Cooke County reserves.
the right or refuse any and
all bids
Kent Lashley.
County Auditor
Cooke County. Texas

REQUEST FOR BIDS

REQUEST FOR BIDS
Sealed bids addressed to Kent Lashley County Additor, will be received until 5:00 pm for Fiday October 21, 2005 by the Commissioners Court for Grand County Coun Court on Monday, October 24, 2005 at 10:00 a.m. Cooke County reserves the right to refuse any and all bids

7000 Legal

APPLICATION FOR CERTIFICATE OF CONVENIENCE AND NECESSITY (CCN) TO PROVIDE SEWER UTILITY SERVICE IN COOKE COUNTY

miles, south, 12 miles, east, and 2,3 miles, west, of downtown, valley. View Texas, and is generally bounded on the north by GR 202 on the east by FM 2071; on the south by the Cooke/Denton County Line, and on the west by CR 3091.

quested includes approxi-mately 20,000 acres and

mately 20,000; acres and 290; current customers and 290; current customers as a copy of the proposed service are map/is availatile at the City of Valley View, P.O. Box 268, Valley, View, Texas 7627, 940/726-3740.

quested the Executive pix-perior will not issue the CCN and will forward the application to the State Of-fice of Administrative, Hearings (SOAH), for as hearing if no settlement is, reached and an evidenti-ary hearing is held, the SOAH will submit a recom-mendation to the Commis-sion for final decision II an sion for final decision. If an evidentiary hearing is held, it will be a legal proceed-ing similar to a civil trial in

Environmental Quality
Water Supply Division
Utillities and Districts
Sections MC 153"
P O Box 13087
Austin TX 78711-3087
Within thiny (30) days from
the date of this publication
of notice A public hearing
will be held only if a legally
Stiffician is hearing reques
to receive of it in the Commission on its own motion
requests a hearing. Only

Environmental Quality

line for biggest cost of living will they I

Security recipients are in line to chief get the biggest boost to their Economy consulting than a decade though for many higher costs for energy and Waterl Medicare will ear up much of the enters eight

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Last years increase was 2.7 per Much cent Northeast Straight day administration was to announce the 2006 cost of living adjust the 2006 cost of living adjust ment, or COLA, on Friday straight day social Security recipients will see the increase reflected in their lands of the cost of four others of the cost of the

World

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Social Security recipients in ter for ma

boost in years premiums
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country's 48 million Social bills as we

Docs D
Bar&Grill

10/15 -•10/22 -

10/29 - ALLEY KATZ - Great Roc ... Playing for o Halloween Pa

: 500 per person - Reservations reco Prizes will be given for best cost

940-759-203

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And here's the best part: the adde

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



THE STATE OF TEXAS COUNTY OF TRAVIS

I hereby certify that this is a true and correct copy of a Texas Commission on Environmental Quality document, which is filed in the permanent records of the Commission. Given under my hand and the saal of office on

Columbia Color La JUN 28

STATE OF TEXAS

§

LaDonna Castanuela, Chief Clerk Texas Commission on Environmental Quality

COUNTY OF TRAVIS

§

I, LaDonna Castañuela, Chief Clerk of the Texas Commission on Environmental Quality, do hereby certify that the attached mailing list provides the persons to whom the notice of the public hearing for the Town of Lindsay, SOAH Docket No. 582-06-2023, TCEQ Docket No. 2006-0272-UCR, was mailed on June 12, 2006.

Given under my hand and the seal of the Texas Commission on Environmental Quality, this the 1240 day of June, 2006.

LaDonna Castañuela, Chief Clerk

Texas Commission on Environmental Quality

(SEAL)

EXHIBIT

Segregary

ED-D

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



NOTICE OF HEARING TOWN OF LINDSAY SOAH Docket No. 582-06-2023 TCEQ Docket No. 2006-0272-UCR

APPLICATION. The Town of Lindsay, P.O. Box 3, Lindsay, Texas 76250, has applied with the Texas Commission on Environmental Quality (TCEQ) to amend water and sewer Certificates of Convenience and Necessity Nos. 13025 and 20927 in Cooke County, Texas (Application Nos. 35096-C and 35097-C).

CONTESTED CASE HEARING. The State Office of Administrative Hearings (SOAH) will conduct a formal contested case hearing on this application at:

1:00 p.m. – July 11, 2006 William P. Clements Building 300 West 15th Street, 4th Floor Austin, Texas 78701

The hearing will be a legal proceeding similar to a civil trial in state district court. The hearing will be conducted in accordance with Chapter 2001, Texas Government Code; Chapter 13, Texas Water Code; TCEQ rules, including 30 Texas Administrative Code (TAC) Chapter 291; and the procedural rules of the TCEQ and SOAH, including 30 TAC Chapter 80 and 1 TAC Chapter 155. To participate in the hearing as a party, you must attend the hearing and show you would be affected by the petition in a way not common to members of the general public.

INFORMATION. For information concerning the hearing process, please contact the TCEQ Office of the Public Interest Counsel (MC 103), P.O. Box 13087, Austin, TX 78711-3087. For additional information, contact the TCEQ Water Supply Division, Utilities & Districts Section (MC 153), P.O. Box 13087, Austin, TX 78711-3087, telephone 512-239-4691. General information regarding the TCEQ can be found at our web site at www.TCEQ.state.tx.us.

Persons with disabilities who plan to attend this hearing and who need special accommodations at the hearing should call the SOAH Docketing Department at 512-475-3445, at least one week prior to the hearing.

Issued: June 12, 2006

LaDonna Castañuela, Chief Clerk

Texas Commission on Environmental Quality

MAILING LIST TOWN OF LINDSAY SOAH Docket No. 582-06-2023 TCEQ Docket No. 2006-0272-UCR

FOR THE APPLICANT:

Arturo D. Rodriguez, Jr., Attorney Russell, Moorman & Rodriguez, L.L.P. 102 West Morrow Street, Suite 103 Georgetown, Texas 78626

FOR THE EXECUTIVE DIRECTOR:

Brian MacLeod, Staff Attorney Texas Commission on Environmental Quality Environmental Law Division MC-173 P.O. Box 13087 Austin, Texas 78711-3087

Tuyet Truong, Technical Staff
Tammy Benter, Technical Staff
Texas Commission on Environmental Quality
Water Supply Division MC-153
P.O. Box 13087
Austin, Texas 78711-3087

FOR PUBLIC INTEREST COUNSEL:

Blas J. Coy, Jr., Attorney Texas Commission on Environmental Quality Public Interest Counsel MC-103 P.O. Box 13087 Austin, Texas 78711-3087

FOR STATE OFFICE OF ADMINISTRATIVE HEARINGS:

Natural Resources Docket Clerk State Office of Administrative Hearings P.O. Box 13025 Austin, Texas 78711-3025

FOR THE CHIEF CLERK:

LaDonna Castañuela Texas Commission on Environmental Quality Office of Chief Clerk MC-105 P.O. Box 13087 Austin, Texas 78711-3087

INTERESTED PERSONS:

See attached list.

John J. Carlton, Attorney Armbrust & Brown, LLP 100 Congress Avenue, Suite 1300 Leonard, Texas 75452

Bill and Monica Laux 3792 FM 199 Gainesville, Texas 76240

Christopher W. Sikes 12588 County Road 352 Gainesville, Texas 76240

Kenneth J. Thurman 1733 County Road 404 Gainesville, Texas 76240

Bradley Franklin & Ronda Lynn Metzler 6030 West Highway 82 Gainesville, Texas 76240

Jimmy and Barbara Mosman 1290 County Road 418 Gainesville, Texas 76240

Darlene B. Huebner 2127 County Road 327 Gainesville, Texas 76240

Kathleen M. Hacker 1230 County Road 434 Gainesville, Texas 76240

Herbert Schmidlkofer 3416 County Road 409 Gainesville, Texas 76240

Ella Mae Rohmer 302 North Sycamor Muenster, Texas 76252

Mary Ann Arendt 3055 County Road 306 Gainesville, Texas 76240 Damian and Lucy Krahl 5434 FM 1199 Gainesville, Texas 76240

Alvin Fleitman 4372 County Road 306 Gainesville, Texas 76240

Buddy Garcia, Chairman Larry R. Soward, Commissioner Bryan W. Shaw, Ph.D., Commissioner Mark R. Vickery, P.G., Executive Director



TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

Protecting Texas by Reducing and Preventing Pollution

August 7, 2008

State Office of Administrative Hearings

The Honorable James W. Norman Administrative Law Judge State Office of Administrative Hearings 300 West 15th Street Austin, Texas 78701

Re:

Application from the Town of Lindsay to amend Certificates of Convenience and Necessity (CCN) Nos. 13025 and 20927 in Cooke County, Texas; Application Nos. 35096-C & 35097-C. SOAH Docket No. 582-06-2023.

Dear Judge Norman:

The representative for the Executive Director of the Texas Commission on Environmental Quality has enclosed the Executive Director's pre-filed testimony for Ms. Tammy Lee Holguin-Benter, Utilities and Financial Review Team with the TCEQ. Ms. Holguin-Benter will be testifying on behalf of the Executive Director.

If you have any questions, please call me at (512) 239-6743.

Sincetely,

Staff Attorney

Environmental Law Division

Enclosure

Mailing List cc:

Hecord Copy

1 2008



P.O. Box 13087 •

Austin, Texas 78711-3087

512-239-1000 • Internet address: www.tceq.state.tx.us

Mailing List THE TOWN OF LINDSAY SOAH Docket Nos. 582-06-1641 TCEQ Docket Nos. 2006-0044-UCR

FOR THE APPLICANT:

The Town of Lindsay

Mr. Arturo Rodriguez, Jr., Esq., Russell & Rodriguez, L.L.P. 102 West Morrow Street, Suite 103 Georgetown, Texas 78626-4304 Phone: (512) 930-1317

FOR THE EXECUTIVE DIRECTOR:

Mr. Brian MacLeod, Staff Attorney Texas Commission on Environmental Quality Environmental Law Division, MC 173 P.O. Box 13087 Austin, Texas 78711-3087

Phone: (512) 239-0750 Fax: (512) 239-0606

FOR THE CHIEF CLERK:

Ms. LaDonna Castañuela, Chief Clerk Texas Commission on Environmental Quality Office of Chief Clerk, MC 105 P.O. Box 13087 Austin, Texas 78711-3087 Phone: (512) 239-3300

Phone: (512) 239-3300 Fax: (512) 239-3311

FOR PUBLIC INTEREST COUNCIL:

Mr. Blas Coy, Senior Attorney
Texas Commission on Environmental Quality
Public Interest Council, MC 103
P.O. Box 13087
Austin, Texas 78711-3087

Phone: (512) 239-0579 Fax: (512) 239-6377

FOR THE PROTESTANT:

Lindsay Pure Water Company Mr. John J. Carleton, Attorney at Law Armbrust & Brown, L.L.P. 100 Congress Avenue, Suite 1300 Austin, Texas 78701-2744 Phone: (512) 435-2308

FOR THE STATE OFFICE OF ADMINISTRATIVE HEARINGS:

The Honorable James W. Norman Administrative Law Judge State Office of Administrative Hearings 300 West 15th Street Austin, Texas 78701

SOAH DOCKET NO. 582-06-2023 TCEQ DOCKET NO. 2006-0272-UCR

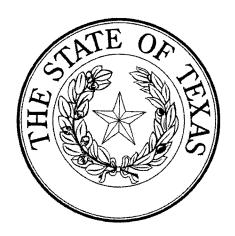
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APPLICATION FROM THE TOWN OF LINDSAY TO AMEND CERTIFICATES OF CONVENIENCE AND NECESSITY (CCN) NOS. 13025 AND 20927 IN COOKE COUNTY TEXAS, APPLICATION NOS. 35096-C &35097-C

BEFORE THE STATE OFFICE

OF

ADMINISTRATIVE HEARINGS



ON ENVIRONMENTAL

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DIRECT TESTIMONY OF
TAMMY LEE HOLGUIN-BENTER
UTILITIES AND FINANCIAL REVIEW TEAM
UTILITIES AND DISTRICTS SECTION
WATER SUPPLY DIVISION
TEXAS COMMISSION ON ENVIRONMENTAL QUALITY
AUGUST 2008

1 Q. Please state your name and business address.

Review Team.

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- 2 A. Tammy Holguin-Benter, 12015 Park 35 Circle, Building F, Austin, Texas.
- 3 Q. By whom are you currently employed and how long have you been employed there?
- A. I have been employed by the Texas Commission on Environmental Quality ("TCEQ" or "Commission") and its predecessor agency, the Texas Natural Resource Conservation Commission ("TNRCC"), since October 1999 in the Utilities and Financial Review Team until April 30, 2006. Thereafter, I became the Team Leader of the Utilities and Financial
- 9 Q. Please describe your educational background and past work experience.
- I graduated from Angelo State University with a Bachelor of Science in Economics and 10 A. Biology with a supporting concentration in Mathematics. I also hold a Master of 11 Business Administration from the University of the Incarnate Word. I was previously 12 employed as the Executive Director of Keep San Antonio Beautiful (KSAB), a non-profit 13 organization in San Antonio, Texas. While employed by KSAB, my responsibilities 14 included business/financial development, program development, budget/ financial 15 analysis, staff training/development, and board training and development. Prior to 16 working for KSAB, I was employed as a Socioeconomic Analyst by Pacific Western 17 Technologies, Ltd., where my responsibilities included conducting socioeconomic 18 research/analysis and document writing/editing of Environmental Baseline Studies (EBS) 19 and other environmental reports submitted under federal contract for publication. I've 20 attached a copy of my current resume to my testimony (Exhibit TB-ED1). 21
- 22 Q. Please describe current your work responsibilities.

1 A. My current responsibilities include supervising a team of staff whose primary 2 responsibility is to process applications related to obtaining or amending Certificates of 3 Convenience and Necessity ("CCNs") and rate related applications or appeals. 4 Furthermore, I am responsible for reviewing and processing CCN related applications; 5 assisting with the negotiation of settlements; preparing and mentoring staff to provide 6 expert testimony for contested hearings regarding investor-owned, nonprofit, and 7 governmental water and sewer utilities; and for reviewing business plans or financial and 8 managerial information. In addition to these responsibilities, I work closely with the 9 Capacity Development Program; the Financial, Managerial and Technical (FMT) 10 Contract Team of the TCEQ; and with the Water Utilities Database (WUD) Team.

11 Q. How many separate CCN cases have been previously assigned to you?

- 12 A. I have been assigned over 260 separate CCN related applications during my employment with the TCEQ.
- Q. Have you testified as an expert witness in contested matters before the State Office
 of Administrative Hearings ("SOAH")?
- 16 A. Yes, in addition to filing prefiled testimony in numerous contested CCN and rate related
 17 matters, I have also provided live testimony before SOAH on these type of applications.

18 Q. For which applications have you provided live testimony?

19 A. I have testified in two hearings regarding contested CCN applications and in one hearing
20 regarding a contested rate application. The applications were for the CCN application of
21 City of Crandall, Texas, (SOAH Docket No. 582-00-1479); Petition Appealing Water
22 Rates Established by Chisholm Trail Special Utility District (SOAH Docket No. 582-05-

1		0003) and the CCN application of the Town of Prosper (SOAH Docket No. 582-03-
2		1994).
3	Q.	Are you familiar with the matter known as (SOAH) Docket No. 582-06-2023, TCEQ
4		Docket No. 2006-0272-UCR?
5	A.	Yes, this is the matter regarding the contested applications (the "Applications") filed by
6		the Town of Lindsay ("Lindsay" or the "Applicant") to amend its water and sewer CCNs
7		in Cooke County.
8	Q.	Have you reviewed the Applications filed by Lindsay to amend water and sewer
9		CCN Nos. 13025 and 20927 in Cooke County, all prefiled testimonies and the other
10		information filed with the Commission in regard to this matter?
11	A.	Yes, I have.
12	Q.	What is the purpose of your testimony?
13	A.	I will present the Executive Director's ("ED's") position as to Lindsay's proposed
14		amendments to water and sewer CCN Nos. 13025 and 20927.
15	Q.	Please explain the scope of your participation in the present proceeding.
16	A.	My participation regarding SOAH Docket No. 582-06-2023 can be summarized as
17		follows:
18		A. I reviewed the Applications with respect to the criteria necessary to amend a
19		water and/or sewer CCN found in the Texas Water Code, Section 13.241, and
20		Title 30, Texas Administrative Code, Section 291.102, as they were applicable or
21		August 31, 2005, the date the application was filed with the Commission.

I reviewed the most recent comprehensive compliance investigations and all

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B.

1			respo	onses to any violations or deficiencies noted during the investigations for
2			Lind	say's existing water and sewer systems.
3		C.	I rev	iewed the information filed by all parties as part of formal discovery and all
4			prefi	led testimonies filed for this proceeding.
5		D.	I hav	re presented herein a position on the Applications to amend water and sewer
6			CCN	Nos. 13025 and 20927 filed by Lindsay.
7	CCN	APPL	<u>ICATI</u>	<u>ON</u>
8	Q.	Duri	ng you	r review of the information presented in Lindsay's Applications, what
9		stand	dards d	id you consider?
10	A.	I rev	iewed 1	the information based on the eight (8) criteria in the Texas Water Code,
11		Chap	ter 13,	and the Commission's Rules, Chapter 291, for amending a water or sewer
12		CCN	, as the	by were applicable on August 31, 2005. This is the date Lindsay filed the
13		Appl	ications	which are the subject of this proceeding.
14	Q.	Wha	t are th	e eight (8) criteria?
. 15	A.	They	are as f	follows:
16			(1)	the adequacy of service currently provided to the requested area;
17			(2)	the need for additional service in the requested area;
18			(3)	the effect of the granting of a certificate on the recipient of the certificate
19				and on any retail public utility of the same kind already serving the
20				proximate area;
21			(4)	the ability of the applicant to provide adequate service;
22			(5)	the feasibility of obtaining service from an adjacent retail public utility;

- the financial stability of the applicant, including, if applicable, the adequacy of the applicant's debt-equity ratio;

 environmental integrity; and

 the probable improvement in service or lowering of cost to
- the probable improvement in service or lowering of cost to consumers in that area.

6 Q. What is Lindsay proposing to accomplish by filing the Applications?

A. Lindsay proposes to amend water and sewer CCN Nos. 13025 and 20927 in Cooke
County. Lindsay is asking for the same water and sewer service areas in both
Applications.

Q. Did anyone protest the Applications?

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- 11 A. Yes, the ED received written protests from Lindsay Pure Water Company ("Lindsay Pure" or "Protestant") and various landowners owning property in the requested area.

 13 During the preliminary hearing on this matter, the Applicant, the ED of the TCEQ, the

 14 Office of the Public Interest Counsel (OPIC) and Lindsay Pure were admitted as parties.
 - Q. Has Lindsay indicated why it is applying to amend its water and sewer CCNs?
- As noted on page 11 of the testimony of the Honorable Donald L. Metzler, Mayor Pro
 Tempore of Lindsay, the Applicant has received requests for water and sewer service
 from approximately 55 property owners in the requested area. Copies of these written
 requests for service were attached to Mr. Metzler's testimony as exhibits. Additionally,
 on page 13 of his testimony, Mr. Metzler indicates that Lindsay is seeking the CCNs to
 provide water and sewer service to the residents within its city limits, its extraterritorial
 jurisdiction ("ETJ"), and some additional area outside of its ETJ.

O. Is Lindsay required to have a CCN?

- 2 A. No; as a municipally owned utility Lindsay is not required to possess a CCN to extend 3 service to an area that is not already being lawfully served by another retail public utility.
- Q. What is the adequacy of the water and/or sewer service currently provided to the requested area?
 - A. A small portion is currently certificated to the City of Gainesville ("Gainesville") for water and sewer service. I am unaware if Gainesville is actually providing service to or has water or sewer infrastructure in this portion. Other than that, there are no other retail water or sewer providers obligated to serve the requested area.

Property owners outside the area certificated to Gainesville for water and sewer service must utilize septic or on-site sewage facilities ("OSSF") as a means for sewer service since there are currently no other retail sewer providers in the area. Therefore, sewer service does not appear to be adequate.

As for retail water service, according to page 4 of the testimony of Mr. Jim Myrick, President of Lindsay Pure Water Company, Lindsay Pure is currently providing retail water service to existing homes in areas outside of its CCN. Although Lindsay Pure is meeting minimum requirements for water service, Mr. Myrick adds that these homes are within ¼ mile of the company's current water CCN boundary. Pursuant to Chapter 291 of the Commission's rules, a CCN holder may serve up to ¼ mile outside of its existing CCN boundary without first amending its CCN, unless there is another retail service provider already lawfully serving the area. With regard to sewer service, Lindsay Pure does not provide retail sewer service at this time nor does it have a sewer CCN.

Q. Is there a need for additional water and/or sewer service in the requested area?

Yes; as previously stated in my testimony, Lindsay has received 55 written requests for water and sewer service in the requested area. In addition to these requests, Mr. Kerry Maroney, P.E., an engineering consultant for Lindsay, explains on page 9 of his testimony that Lindsay's population increased between the years 2000 and 2006. This is further indicative of the need for service in the requested area.

Currently, except for the area already certificated to Gainesville, there is no retail sewer service provider in the proximate area. Therefore, residents in the remainder of the requested area must utilize septic or OSSF for sewer service.

The fact that there are customers currently receiving service in the area demonstrates a need for service. Lindsay Pure is currently providing retail water service to existing homes within ½ mile of its current water CCN boundary. It should be noted, however, that Lindsay Pure has not filed an application to amend its water CCN to provide service to this area.

- Q. What is the effect of granting or amending the water and/or sewer certificates on the recipient of the certificates and on any retail public utility of the same kind already serving the proximate area?
- A. If Lindsay's water and/or sewer amendments are granted as requested, then its water and/or sewer CCN service areas would increase. Lindsay's customer base would increase as development in the area occurs. Lindsay in turn would be obligated to provide retail water and/or sewer service to the area. Other retail public water or sewer

utilities already serving the proximate area would not be able to lawfully expand into any of the areas within the proposed CCN territories.

Because Lindsay Pure has protested the referenced Applications, I will specifically discuss the impact to Lindsay Pure if Lindsay were awarded the water and/or sewer service amendments in its Applications. For the water service area, Lindsay Pure would not be able to lawfully expand its water service into any of the area granted to Lindsay as a result of this proceeding without filing an application to decertify. Furthermore, because Lindsay Pure is currently providing water service to customers outside its CCN area, is in danger of losing these customers to Lindsay as a result of this proceeding. These customers would be affected as they would be required to switch water providers. As for the sewer service area, awarding the requested area would not effect Lindsay Pure since it does not provide retail sewer service. After reviewing Mr. Myrick's testimony, I did not find evidence that Lindsay Pure has any plans to provide retail sewer service in the near future.

A portion of Lindsay's requested area overlaps with Gainesville's existing water and sewer CCN service areas. Gainesville appears capable of adequately serving the area. Additionally, awarding this area to Lindsay may conflict with the Commission's policy on regionalization. I have attached a copy of the Commission's guidance document which discusses its regionalization policy as Exhibit TB-ED2.

Q. Does Lindsay have the ability to adequately provide water service to the proposed area?

- 1 Yes, as testified by Mr. Metzler on page 8 of his testimony, Lindsay currently has four A. 2 contract certified groundwater operators. Furthermore, Mr. Maroney states on page 14 of 3 his testimony that Lindsay is currently serving approximately 396 water connections. Mr. Maroney adds that Lindsay has three existing water wells from which it can provide 4 5 water service to its existing customers and approximately 304 additional customers. In addition to this information, Mr. Maroney testifies that Lindsay has two 30,000 gallon 6 7 ground storage tanks; two 40,000 gallon ground storage tanks; and one 150,000 gallon 8 elevated storage tank.
- 9 Q. Does Lindsay have the ability to adequately provide sewer service to the proposed area?
- 11 A. Yes, as testified by Mr. Metzler on page 8 of his prefiled testimony, Lindsay currently
 12 employs four certified sewer operators under contract. Furthermore, as testified by Mr.
 13 Maroney on page 14 of his prefiled testimony, Lindsay is currently providing sewer
 14 service to approximately 396 sewer customers. Mr. Maroney adds that Lindsay is
 15 permitted to discharge 0.066 MGD of treated wastewater and it has available capacity to
 16 provide sewer service to approximately 470 additional homes without any expansions to
 17 its current wastewater treatment plant.
- 18 Q. Is it feasible to obtain water and/or sewer service from an adjacent retail public utility?
- 20 A. Yes, but only for portions of the area. Lindsay Pure, CCN No. 12858, and Myra Water
 21 System, CCN No. 12514, are existing retail water utilities located within a 2 mile radius
 22 of the proposed water amendment. Moreover, Gainesville, CCN Nos. 12957 and 20885,

by Lindsay in the pending applications. At this time, Myra Water System has not expressed an interest in serving the requested area.

Under 30 TAC, § 291.103(a)(1), Lindsay Pure can extend water service into territory if the point of ultimate use is contiguous to and within ¼ mile of its CCN boundary. To provide service beyond the ¼ mile, Lindsay Pure would have to amend its CCN. Although Lindsay Pure has expressed an interest in providing retail water service to the requested area, it has not filed an application to amend its CCN. Therefore, it does not appear feasible for current landowners or developers to obtain water service from Lindsay Pure for any of the area outside of the area it is currently serving.

As for Gainesville, it is already certificated to provide retail water and sewer service to a portion of the area requested by Lindsay in the pending Applications. Mr. Metzler's testimony included a copy of the Applications filed by Lindsay as an exhibit to his testimony. On the page labeled "Appl002" of Lindsay's Applications, the Applicant responds to Question 2.D. by indicating that it has received a verbal agreement from Gainesville to allow Lindsay to be certificated to the area of overlap. Lindsay also indicates that it will supplement its Applications once the agreement with Gainesville for the areas of overlap is executed. As of the date of my prefiled testimony, I have not seen a written agreement between Gainesville and Lindsay for this area. Therefore, because it does not appear that an agreement between Gainesville and Lindsay has been executed, it is reasonably certain that it is feasible for current landowners and potential developers to obtain water and sewer service from Gainesville in the areas of overlap where Gainesville

is already certificated. Since Gainesville has not expressed an interest in serving the remainder of the area requested by Lindsay in its Applications, it does not appear feasible for Gainesville to serve the additional area requested by Lindsay.

4 Q. Is Lindsay financially stable?

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- Yes; it appears to be. As a municipality, Lindsay has the financial authority to issue bonds, apply for loans, levy taxes and utilize fees or other general city funds to support its infrastructure and service obligations. Mr. Jack Stowe, business and financial consultant for the Applicant, testified on page 6 of his prefiled testimony that "Lindsay has not issued any debt within its Governmental Funds; therefore, the debt-to-equity ratio is 0 and the capital structure for the Governmental Funds is 100% equity."
- Q. Will the environmental integrity be affected by the granting of the water and/or sewer CCN amendments as requested by Lindsay in its Applications?
- 13 A. Yes; the environmental integrity will be temporarily disturbed by the construction of water and sewer distribution lines and by the construction of additional pumping and 14 storage facilities by whoever provides service to the area. There would also be a positive 15 16 effect on the environment by having a centralized retail water and/or sewer service 17 provider for the area. This is primarily because it would eliminate the need for landowners and developers to disturb the ground by drilling private water wells, as well 18 19 as eliminate the need for landowners and developers to install OSSFs to serve 20 development or property in the area.
- Q. Will granting Lindsay's water and sewer CCN amendments improve service or lower costs to consumers in the area?

A. For the area not currently certificated to Gainesville for water and sewer service, and for the customers not already receiving water service from Lindsay Pure, the availability of retail water and sewer service in the additional area would be an improvement. Furthermore, since Lindsay is an adjacent retail water and sewer service provider already serving the adjacent area, Lindsay is promoting the Commission's policy on regionalization. Because the ED does not have original jurisdiction over the rates and service policies of municipalities, the ED is unable to determine whether the water or sewer rates will be lower to consumers in the area. However, economies of scale may ultimately lower the cost to consumers.

LINDSAY PURE'S CURRENT SERVICE AREA

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- 11 Q. Can you describe the water CCN currently held by Lindsay Pure Water Company?
- 12 A. Yes, Lindsay Pure currently holds water CCN No. 12858 in Cooke County. The CCN
- was issued in 1998 and a copy of the certificate is attached to Mr. Myrick's testimony as
- Exhibit LPWC 6. As described by Mr. Myrick on page 4 of his prefiled testimony, the
- 15 current CCN covers only what is known as Phase 1 of the South Ridge of Lindsay
- Subdivision and a portion of Phase 2.
- Q. Do you agree with Mr. Myrick's testimony that Lindsay Pure was awarded only a
- portion of the area it originally requested in error?
- 19 A. No, I do not agree with Mr. Myrick's testimony. Mr. Myrick testifies on page 7 of his
- 20 testimony that he does not believe there is a current need for service in the surrounding
- area. I believe the Commission granted Lindsay Pure the water CCN to the area where it
- was able to demonstrate a need for service only. Furthermore, as testified by Mr. Myrick

on page 1 of his testimony, he has been part owner and President of Lindsay Pure since it was created in 1997. Therefore, he had an opportunity to review the certificate, order, and map awarded in 1998 to Lindsay Pure, and to file either a Motion to Overturn (MTO) after the CCN was granted, or to file a CCN amendment application for the remaining area during the course of this proceeding. Moreover, although the Commission's rules allow Lindsay Pure to serve up to ¼ mile outside of its CCN service area, it is not protected from encroachment from other service providers.

CONCLUSIONS AND RECOMMENDATIONS

- Q. Have you drawn any conclusions based on your review of Lindsay's Applications, information presented to you during discovery by all parties, and the testimonies presented by all parties with respect to the Applications, which are the subject of this proceeding?
- A. Yes, I have drawn several conclusions. First, I have concluded that although there was a prior agreement reached between Lindsay Pure and Lindsay with respect to future service to the requested area, the agreement is outside the jurisdiction of the TCEQ. Therefore, the agreement will not be used in making my recommendations.

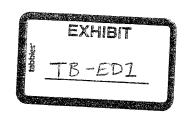
Second, as previously stated, although the Commission's rules allow Lindsay Pure to provide service up to ¼ mile outside of its CCN service area, it is not protected from encroachment from other providers. By filing a CCN amendment application during the course of this proceeding, Lindsay Pure may have protected its existing customers from encroachment by another retail provider. However, Lindsay Pure did not file such an application.

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- 1 to correctly illustrate the areas on the final maps. In turn, these maps would be submitted
- along with the final order for this proceeding.
- 3 Q. Does this conclude your direct, prefiled testimony?
- 4 A. Yes, it does; but I reserve the right to supplement this testimony during the course of the
- 5 proceeding as new facts or other evidence is presented.

Tammy Lee Holguin-Benter

Texas Commission on Environmental Quality
P.O. Box 13087
Austin, Texas 78753
(512) 239-6136 phone



PROFESSIONAL EXPERIENCE

Texas Commission on Environmental Quality (TCEQ

October 1998 to Present

State of Texas (Agency Headquarters – Austin, Texas)

NATURAL RESOURCE SPECIALIST - TEAM LEADER. Team Lead for all Rate/Tariff change applications, Certificate of Convenience and Necessity (CCN) applications. and Sale, Transfer or Merger (STM) applications. Responsible for the mentoring, training and development of staff. Tracking of applications including coordination with staff and the Environmental Law Division to ensure cases and orders are completed in a timely manner. Participation in contested case hearings and providing of expert staff testimony in administrative hearings. Review, analysis and preparation of Bill Impact Statements from the Texas Legislature. Review and analysis of business plans, along with financial, managerial and technical information including annual reports, budgets, and pro forma projections for public drinking water systems and water/sewer utilities. Review and analysis of information for permit applications. Preparation of staff recommendations for approval of applications. Providing of assistance to public water systems and utilities in the development of business plans, understanding and compliance of financial/managerial/technical requirements, and responding to inquiries concerning utility service areas, rate regulation, and compliance with state standards and guidelines pursuant to State of Texas rules and statutes. Preparation of consolidation assistance assignments for EPA funded contract administered by TCEQ. Participate and assist with the capacity development program including writing and preparing Financial/Managerial/and Technical reports and working with the State Revolving Fund (SRF) program in partnership with the Texas Water Development Board. Also, previously assisted contract manger with the tracking of the on-site assistance contract, desk reviews of monthly deliverables, and preparation of contract reports for management.

Keep San Antonio Beautiful, Inc. (KSAB) San Antonio, Texas

May 1997 to July 1998

EXECUTIVE DIRECTOR (NON-PROFIT AGENCY). Responsible for daily business operations and program supervision of a 501(c) (3), non-profit environmental enhancement organization. Managed staff, public relations, fund raising/business development, program services, and contract negotiations. Business development functions included financial/budget preparation & analysis, grant research & writing, annual campaigns, direct mail, special events, and membership drives. Program and service duties included educational & informational outreach in solid waste management, beautification, litter, and graffiti related issues. Public and media relations functions included public speaking, writing press releases, creating newsletters & brochures, website development & maintenance, and completing award applications for the organization. Created and implemented marketing plans & campaigns, annual outreach contests & activities, and established partnerships and contacts with the media. Reported and presented materials and information to the KSAB Board of Directors, corporate executives, city council, county commissioners, and other local entities.

San Antonio Iguanas Hockey Club

October 1996 to May 1997

San Antonio, Texas

ACCOUNT EXECUTIVE/PUBLIC RELATIONS REPRESENTATIVE OF GAME OPERATIONS. Established and recruited corporate sponsorships and trade agreements. Responsible for marketing of group and corporate ticket sales, creating flyers, mass mailings, promotions and generating of ticket sales. Utilized the Ticket Master ticketing system to generate single and group tickets and assisted with merchandise sales. Customer relations/service responsibilities to include daily cash balance/reconciliation and on-line purchases. Submitted press releases to media, partners, media relations, and public relations during game operations.

December 1995 to June 1996

San Antonio Spurs/SAOne

San Antonio, Texas

ADVERTISING/MARKETING PROMOTIONS (INTERNSHIP). Performed daily event coordination and sports administrative duties for professional NBA franchise. Assisted with media coordination, marketing, advertising, event coordination, public relations, customer service, and on/off court promotions for Spurs and SAOne events. responsibilities included: San Antonio Spurs (advertising and event coordination, general marketing, marketing research, in-arena media tracking and promotions, media relations, game operations, customer service and 1996 NBA All-Star Weekend staff assistant); 1996 NBA Jam Session (media relations, marketing, local NBA contact, crowd control, sponsor and partner relations, press conference/remote activities, and managed NBA Jam Session booth at Spurs games prior to event); SAOne (1995 Builders Square Alamo Bowl - assisted with merchandise licensee contracts and agreements, volunteer coordination for novelty sales, marketing, creating and editing ad drop-in summaries, media relations, merchandise inventory, sponsor/partner relations, and event wrap-up). Fulfilled mailing and phone merchandise requests, inspected orders, shipments, invoicing, collecting payment for orders, and reporting/closing of sales.

Pacific Western Technology, Ltd.

May 1994 to December 1995

San Antonio, Texas

SOCIOECONOMIST/MARKETING & ADMINISTRATIVE ASSISTANT. Social and economic research, analysis, and document writing/editing for environmental reports including Environmental Baseline Studies and other reports submitted under federal government for publication. Customer service, public relations, and outreach coordination. Extensive computer use to include word processing, spreadsheets, database, presentation preparation, graphics, and on-line applications. Office management and training course administrator (training site coordination, conferences, registration, travel accommodations, materials management). Provided marketing support, and special project support/coordination for projects under federal government contract.

EDUCATION

Masters of Business Administration
UNIVERSITY OF THE INCARNATE WORD
SAN ANTONIO, TEXAS

Bachelor of Science in Economics/Biology & Math ANGELO STATE UNIVERSITY SAN ANGELO, TEXAS

REFERENCES

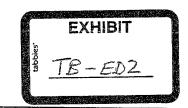
References available upon request.



January 2003 RG-357

The Feasibility of Regionalizing Water and Wastewater Utilities:

A TCEQ Policy Statement



Water Supply Division

The Feasibility of Regionalizing Water and Wastewater Utilities:

A TCEQ Policy Statement

Prepared by Water Supply Division



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Introduction

Building and operating a successful water or wastewater system is not easy. To comply with the state and federal requirements that ensure that drinking water is safe and wastewater is treated adequately, you must have—or have access to—these and other resources:

- for drinking water systems, an adequate and reliable source of water that either is or can be made safe for human consumption;
- the financial resources and technical ability to design and build a system that can provide service effectively and reliably;
- the financial resources and technical ability to operate and maintain the system so it operates safely for your workers, your customers, and, in the case of wastewater systems, the environment;
- the ability to read and understand the many, highly technical state and federal regulations associated with water and wastewater systems;
- the management skill to successfully operate a business that is critical to public welfare.

Recognizing the critical role these resources play in the success of a water system, Congress amended the Safe Drinking Water Act in 1996. Under these amendments, states must determine whether new community water systems are likely to be able to comply with regulatory requirements. In 1997, the 75th Texas Legislature made similar amendments to Chapter 341 of the Texas Health and Safety Code and Chapter 13 of the Texas Water Code.

Along with other recent legislative changes—and wastewater regulations that were already on the books—these amendments establish a clear message: All new public water systems and any wastewater systems owned and operated by entities required to obtain a CCN must be capable of operating efficiently and effectively for the long term. In Texas, the Texas Commission on Environmental Quality (TCEQ, "we") is responsible for reviewing and approving the design and operating plans of proposed water systems, and the Texas Water Development Board (TWDB) can assist growing areas with water resource planning.

This document states the TCEQ's policy for evaluating applications for new systems to determine whether regionalization—the consolidation of the operations, physical systems, or both of two or more existing or proposed water or domestic wastewater systems—is a viable option for the

proposed new system. The goal of this policy is to achieve the best service to the consumer at rates that will ensure that the system is maintained for the long term.

In this policy, we also address the issue of when existing systems that are struggling to remain in compliance with state and federal regulations should consider the option of regionalization.

See Appendix B for details on the statutory authority for this policy.

A Few Important Terms

Before discussing this policy further, we need to define some important terms. These simplified definitions are intended to help you understand these terms as we use them in this policy statement. However, the official definitions are as stated in the relevant statute or rule.

Types of Systems

system—a physical plant plus the lines that connect it to the customer.

public water system (PWS)—any drinking water system that has the potential to serve at least 15 connections or that does serve at least 25 people for at least 60 days out of one year. For example, mobile home parks, truck stops, and restaurants that have their own water supply usually meet the minimum standard of being a PWS. For a PWS, the system comprises the source of the water, the water treatment plant, and the water lines that distribute water to the consumer.

wastewater system—For a wastewater system, the system comprises the sewer lines that collect the wastewater from the customer and carry it to the wastewater treatment facility as well as the treatment facility itself.

Types of Service Providers

retail public utility—any city, county, district, utility (as defined below), or water supply corporation that charges a fee to directly provide water or sewer service to consumers. (*Note:* "Utility" might seem to be the broader term, but, as defined in the law, "retail public utility" actually includes "utility": All "utilities" are "retail public utilities," and not all "retail public utilities" meet the law's narrower definition of "utility.")

utility—a person, partnership, corporation, or "affected county" that charges a fee to directly provide water or sewer service to consumers. Also called "investor-owned utility," "water" or "sewer utility," or "public utility." (See "Other Terms" below for a definition of "affected county.")

water supply corporation—a nonprofit corporation organized under state law (Texas Water Code Chapter 67) to provide water or sewer service.

Other Terms

affected county—a county within 50 miles of the international border.

certificate of convenience and necessity (CCN)—a TCEQ document that defines your water or sewer service area. Your system might not extend to the limits of this service area, but other utility service providers generally may not encroach upon your service area. If anyone in this area applies for service, you generally must serve them. You may use one or more systems to serve this area. An affected county, investor-owned utility, or water supply corporation must obtain a CCN, but a city, district, or other county does not need one. If your water system or systems cannot serve more than 15 connections, you may ask to be exempted from this requirement. See Title 30 Texas Administrative Code (30 TAC) Chapter 291 for more details about CCNs.

What Is the Regionalization Policy?

Our policy is that regionalization is feasible unless one of these three exceptions applies:

- (1) No other systems are reasonably close to your planned system.
- (2) You have requested service from neighboring systems, and your request has been denied.
- (3) You can successfully demonstrate that an exception based on costs, affordable rates, and financial, managerial, and technical capabilities of the existing system should be granted.

If you apply for a new certificate of convenience and necessity (CCN), then you must demonstrate that one of these three exceptions applies to your system. You must give our staff related information in sufficient detail for them to determine whether an exception applies. If you wish to construct or operate a new PWS, even if you are not required to obtain a CCN to operate, then you must still demonstrate that one of these three exceptions applies to your system and give our staff related information in sufficient detail for them to determine whether an exception applies.

Why This Policy?

By encouraging the regionalization of water and wastewater systems, we hope to protect the health, safety, and welfare of Texans by ensuring a

long-term supply of safe water at affordable rates and by maintaining the quality of water in the state.

The ultimate goal of regionalization is to provide timely and cost-effective solutions for achieving quality service. Drinking water and wastewater systems are facing an ever-increasing demand on their resources to stay in compliance with provisions of the federal Safe Drinking Water Act and federal Clean Water Act. The costs associated with compliance are higher per person as the system size decreases.

In applying this policy, we are ensuring a steady decrease in the number of Texans who are being served by systems that are unable to sustain the financial, managerial, and technical capabilities necessary to provide continuous and adequate service. And we are ensuring that fewer new systems will encounter the same financial, managerial, and technical problems being faced by existing weak systems.

Whenever the formation of a regional system is the least expensive long-term solution for providing quality service, we will require proponents of new systems to form a regional system instead. Only a system with adequate financial, managerial, and technical capacity can reliably provide good quality drinking water in sufficient quantities and basic sanitation service that meets regulatory standards.

To Whom Does This Policy Apply?

This policy applies to the following entities regulated by the TCEQ:

- owners and operators of new PWSs;
- applicants requesting approval for a new water or sewer CCN for a proposed facility, or for an existing facility if a CCN was required to be obtained before the system was constructed.

This guidance document will not change our administrative rule requirements and procedures relating to rate making, CCNs, and PWSs. Rather, this guidance document is advising all CCN applicants and owners or operators of proposed PWSs to take proactive measures to either form sound regional systems or demonstrate the ability to operate a viable, stand-alone utility system.

As a CCN applicant or an owner or operator of a proposed PWS, you must evaluate the availability of a regional system before you submit the actual CCN application, plans and specifications, and, if required, business plan. As part of determining whether regionalization is feasible, our staff will evaluate these materials.

This guidance document will not apply to wastewater systems that are not required to hold a CCN and do not apply for a CCN.

Must Existing Systems Regionalize?

Although the purpose of this regulatory guidance document is to provide guidance to new systems, a similar regionalization review will apply to the owners and operators of any existing PWS that

- was constructed without the necessary approval,
- has a history of noncompliance, or
- is subject to a TCEQ enforcement action.

What Will "Regionalization" Look Like?

The structure and operation of any particular regional system will depend on the individual circumstances. Under this policy, regionalization can take any one of these forms:

- one owner and one large system serving several different communities or subdivisions;
- one owner and several isolated systems, each providing service to one or more communities or subdivisions;
- several owners, each with individual systems operated through a centrally coordinated operating system;
- several owners, each with an isolated system, all served by a central wholesale provider; or
- the existence of permanent emergency interconnections.

We do not presume that any particular ownership structure of a PWS is more appropriate to serve as a regional provider. Any retail public utility could serve as the regional provider if it can meet the necessary requirements under 30 TAC Chapters 290 and 291.

How Does This Policy Outline Responsibilities?

Based on state law and our rules, this policy calls for us, any person proposing a new system, and existing providers to fulfill specific responsibilities.

What the TCEQ Must Do

Through our programs in the Water Supply Division, we must ensure that PWSs supply safe drinking water in adequate amounts and are financially stable and technically sound. We must also promote the use of regional and areawide drinking water systems.

In meeting these responsibilities, we must review the engineering plans and specifications of all proposed PWSs. For any proposed PWS that is to

be privately owned, we must also review the system's business plan. For any water or wastewater system that must have a new CCN, we must review the application, review the system CCN maps, and consider the financial, managerial, and technical capabilities of the applicant.

What You Must Do

If you wish to build a new PWS or apply for a new CCN, then you must comply with our rules for these systems (30 TAC Chapters 290 and 291) and follow the guidance set out in this document.

Among other requirements, our rules state that you must obtain our approval of your engineering plans and specifications before you begin building your proposed PWS. For a privately owned PWS, you must also have our approval of your business plan before construction may begin.

What Existing Providers Must Do

Existing providers that hold CCNs must provide prompt responses to requests for service, treat all applicants equitably, charge application fees that are reasonable, and charge cost-based fees for providing service to the specific development receiving that service.

Where Do I Begin?

The first step in determining whether regionalization is feasible is to identify all the water or wastewater systems within the specified distance that state law considers to be "reasonably close"—that is, half a mile for a new PWS and 2 miles for new CCNs. The second step is to read our policy and see how it applies to you.

Locate Nearby Systems

First, you must identify and locate all neighboring systems. From our records, we can provide you with some information about nearby systems, but it is your responsibility to make sure that this information is complete, accurate, and current. You might have to do local research—perhaps even some fieldwork—to complete this task. Here are a few tips that can make your research more productive:

- First, contact us as described under "Finding Nearby Water Systems" below and "Finding Nearby Wastewater Systems" on page 7 to get the most recent information we have.
- Drive the area. Systems must have identification at all plant sites.
- Look in the Yellow Pages under "Water Companies-Utility."

- Talk to the operators of any systems you discover to find out where they serve or who operates the nearest systems.
- Review our maps for CCN service areas and contact each system's owner or operator to find out the limit of its service area. Don't assume that the limit of the physical system is the same as the limit of the service area.
- Contact county offices to find out about subdivision plats on file. Each city should also have this information for areas inside that city's extraterritorial jurisdiction, or "ETJ."

Finding Nearby Water Systems

You can obtain our most recent information on public water systems or utilities in one or more counties from the online Water Utilities Database (WUD). WUD contains data on public water systems, water and sewer utilities, and water districts.

You can use this database to search for an individual public water system, utility, or district. You can also do an "advanced search" to filter a list of entities from the database. To find WUD, go to the TCEQ Web site (www.tceq.state.tx.us) and enter "WUD" in the "Search" box at the upper right of the home page. Online training is available for WUD. There are also some electronic maps showing CCN areas available on WUD and on the TCEQ's GIS Web page (from the home page, enter "GIS" in the "Search" box at upper right).

As an alternative to using WUD, you can contact our Information Resources Division as shown in Table 1 on page 9. The Information Resources Division can provide information such as public water system or utility name, contact person, and address. There may be a charge for obtaining a list of systems from the Information Resources Division.

After you have focused your search on the systems in one particular area, and if a map is not available on our Web site, contact our Utilities and Districts program (512/239-4691). Using our most recent maps, staff in this program can help you identify service areas and the service providers who operate in those areas.

For further information about water service providers, you should also review the regional water plan for your regional water planning area. Contact the Texas Water Development Board at 512/463-7847 or through its Web site (www.twdb.state.tx.us) for a map of regional water planning areas and contact names for each of the regional water planning groups.

Finding Nearby Wastewater Systems

Finding nearby wastewater systems is similar to finding nearby water systems, with one exception: You can narrow your search by contacting our Water Quality Assessment program first, as shown in Table 1 on page 9. (If you would like to get a list of *all* systems in one or more counties, go straight to Information Resources instead.)

With the name of the county in which you are proposing to build your system and a map of the area you plan to serve, our Water Quality Assessment program can locate the wastewater outfalls of nearby systems. (An outfall is the point where the system's treated wastewater is discharged into state waters.)

The advantage of locating outfalls is that you may be able to find a wastewater treatment plant that is accessible to your proposed development even if the system served by that plant is not nearby. If the plant has excess capacity, the service provider might allow you to connect your system to that plant or to an interceptor line feeding the plant.

However, once you have this information, keep these points in mind:

- The rules require you to contact systems whose *service areas* are within 2 miles of your proposed service area.
- Our Water Quality Assessment staff can tell you the position of the *outfall*, but they do not know the boundaries of the service area.
- Outfalls generally are located downstream of the systems themselves.

Our Water Quality Assessment staff can also tell you the water quality permit numbers for each plant. Once you know these permit numbers, our Information Resources Division can give you the mailing address of each permit holder. If you need more help, contact our Utilities and Districts program.

Information Sources

As stated previously, you can obtain our most recent information on public water systems or utilities in one or more counties from the online Water Utilities Database (WUD). WUD contains data on public water systems, water and sewer utilities, and water districts, and can be accessed from the TCEQ Web site (www.tceq.state.tx.us). If you prefer to make a written request for this information, see Table 1 on the facing page for contact information and the information you must include with your request.

For further information about water supply sources, you should also review the regional water plan for your regional water planning area. Contact the Texas Water Development Board at 512/463-7847 or through

For public water systems ...

To get this information:

A list of all water service providers in one or more counties (do this *first*)

Water service area boundaries of systems that have CCNs (after you have focused on a specific area or provider) Include this information in your request:

The name of each county for which you want this information (be sure to indicate that you want a list of public water systems)

An accurate area map showing the location and approximate boundaries of your proposed development And send your request to:

TCEQ Information Resources, MC 197

PO Box 13087

Austin TX 78711-3087 fax: 512/239-0888

phone: 512/239-DATA (3282)

TCEQ

Utilities and Districts, MC 153

PO Box 13087

Austin TX 78711-3087 fax: 512/239-6972 phone: 512/239-4691

For wastewater systems ...

To get this information:

Locations of wastewater outfalls (and the permit number for each outfall) in a specific area

The mailing address of a permit holder

A list of all wastewater service providers in one or more counties

Sewer service area boundaries of systems that have CCNs (after you have focused on a specific area or provider) Include this information in your request:

An accurate area map showing the location and approximate boundaries of your proposed development

The permit number for the corresponding outfall

corresponding outfall

The name of each county for which you want this information (be sure to indicate that you want a list of

wastewater systems)

An accurate area map showing the location and approximate boundaries of your proposed development And send your request to:

TCEQ Water Quality Assessment, MC 150 PO Box 13087

Austin TX 78711-3087 fax: 512/239-4420 phone: 512/239-4671

TCEQ Information Resources, MC 197

PO Box 13087 Austin TX 78711-30

Austin TX 78711-3087 fax: 512/239-0888

phone: 512/239-DATA (3282)

TCEQ Information Resources, MC 197

PO Box 13087 Austin TX 78711-3087

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