



Control Number: 43041



Item Number: 76

Addendum StartPage: 0

SOAH DOCKET NO. 475-16-3809.WS  
PUC DOCKET NO. 43041

RECEIVED

APPLICATION OF THE CITY  
OF DORCHESTER TO AMEND A  
CERTIFICATE OF CONVENIENCE  
AND NECESSITY IN GRAYSON  
COUNTY (37917-C)

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PUBLIC UTILITY COMMISSION

OF TEXAS

11:03 AM  
PUBLIC UTILITY COMMISSION  
FILING CLERK

COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION  
TO CITY OF DORCHESTER  
QUESTION NOS. STAFF 5-1 THOUGH STAFF 5-4

Pursuant to 16 Tex. Admin. Code Ann. § 22.144 (TAC), the Staff of the Public Utility Commission of Texas (Staff) requests that the City of Dorchester (Dorchester) by and through its attorneys of record, provide the following information and answer the following question(s) under oath. The question(s) shall be answered in sufficient detail to fully present all of the relevant facts, within the time limit provided by the Presiding Officer or within 20 days, if the Presiding Officer has not provided a time limit. Please copy the question immediately above the answer to each question. These question(s) are continuing in nature, and if there is a relevant change in circumstances, submit an amended answer, under oath, as a supplement to your original answer. State the name of the witness in this cause who will sponsor the answer to the question and can vouch for the truth of the answer.

Provide an original and three copies of your answers to the questions to the Filing Clerk, Public Utility Commission of Texas, 1701 N. Congress Avenue, P.O. Box 13326, Austin, Texas 78715-3326.

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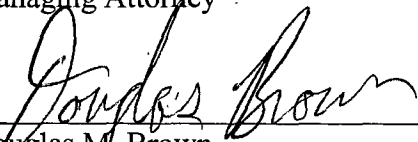
Dated: March 9, 2017

Respectfully Submitted,

**PUBLIC UTILITY COMMISSION OF TEXAS  
LEGAL DIVISION**

Margaret Uhlig Pemberton  
Division Director

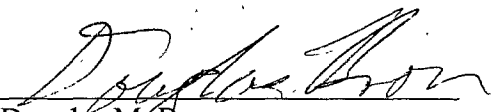
Stephen Mack  
Managing Attorney

  
\_\_\_\_\_  
Douglas M. Brown  
State Bar No. 24048366  
1701 N. Congress Avenue  
P.O. Box 13326  
Austin, Texas 7871R-3326  
(512) 936-7235  
(512) 936-7268 (facsimile)  
Douglas.Brown@puc.texas.gov

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**CERTIFICATE OF SERVICE**

I certify that a copy of this document will be served on all parties of record on March 9, 2017, in accordance with 16 TAC § 22.74.

  
\_\_\_\_\_  
Douglas M. Brown

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**COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION  
TO CITY OF DORCHESTER  
QUESTION NOS. STAFF 5-1 THOUGHT STAFF 5-4**

**DEFINITIONS**

- A. **“Dorchester”** or **“you”** refers to the City of Dorchester and any person acting or purporting to act on their behalf, including without limitation, attorneys, agents, advisors, investigators, representatives, employees or other persons.
- B. **“Document”** includes any written, recorded, or graphic matter, however produced or reproduced, including but not limited to correspondence, telegrams, contracts, agreements, notes in any form, memoranda, diaries, voice recording tapes, microfilms, pictures, computer media, work papers, calendars, minutes of meetings or other writings or graphic matter, including copies containing marginal notes or variations of any of the foregoing, now or previously in your possession. In the event any documents requested by this Request for Information have been transferred beyond the Company's control, describe the circumstances under which the document was destroyed or transferred and provide an exact citation to the subject document. In the event that documents containing the exact information do not exist, but documents do exist which contain portions of the required information or which contain substantially similar information, then the definition of “documents” shall include the documents which do not exist and these documents will be provided.

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**COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION  
TO CITY OF DORCHESTER  
QUESTION NOS. STAFF 5-1 THOUGHT STAFF 5-4**

**INSTRUCTIONS**

- 1) Pursuant to 16 TAC § 22.144(c)(2), Staff requests that answers to the requests for information be made under oath.
- 2) Please copy the question immediately above the answer to each question. State the name of the witness in this cause who will sponsor the answer to the question and can vouch for the truth of the answer.
- 3) These questions are continuing in nature, and if there is a relevant change in circumstances, submit an amended answer, under oath, as a supplement to your original answer.
- 4) Words used in the plural shall also be taken to mean and include the singular. Words used in the singular shall also be taken to mean and include the plural.
- 5) The present tense shall be construed to include the past tense, and the past tense shall be construed to include the present tense.
- 6) If any document is withheld under any claim of privilege, please furnish a list identifying each document for which a privilege is claimed, together with the following information: date, sender, recipients or copies, subject matter of the document, and the basis upon which such privilege is claimed.
- 7) Pursuant to 16 TAC § 22.144(g)(4), if the response to any request is voluminous, please provide a detailed index of the voluminous material.
- 8) Staff requests that each item of information be made available as it is completed, rather than upon completion of all information requested.

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**COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION  
TO CITY OF DORCHESTER  
QUESTION NOS. STAFF 5-1 THOUGHT STAFF 5-4**

- Staff 5-1** Please provide The City of Dorchester's audited financial statements including a balance sheet and income statement for the year ending:
- a) December 31, 2015.
  - b) December 31, 2016.
- Staff 5-2** If The City of Dorchester's financial statements are unaudited, provide a copy of the financial statements (income statement and balance sheet) for the year ending:
- a) December 31, 2015.
  - b) December 31, 2016.
- Staff 5-3** Please provide a three year business/financial plan including narrative, income and expenses, and balance sheet information supporting The City of Dorchester's ability to provide continuous and adequate service to the certificated area and/or current customers remaining in the proposed area. The plan should include projected balance sheets, income statements, and expense statements.
- Staff 5-4** Provide all debt issuances attributable to The City of Dorchester, including:
- a) Date of issue,
  - b) Date of maturity,
  - c) Amount issued,
  - d) Amount outstanding,
  - e) Nominal rate of interest,
  - f) Issuance expenses,
  - g) Net proceeds,
  - h) Effective interest rate,
  - i) Type of debt,
  - j) Payee, and
  - k) Purpose of proceeds.