



Control Number: 42982 .



Item Number: 5

Addendum StartPage: 0

House Bill (HB) 1600 and Senate Bill (SB) 567 83rd
Legislature, Regular Session, transferred the functions
relating to the economic regulation of water and sewer
utilities from the TCEQ to the PUC effective
September 1, 2014.

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J:\UDS\CCN STM\37656-S Quadvest LP, HMW SUD (nod ltr).docx

RECEIVED
2014 SEP 15 AM 11:32
PUBLIC UTILITY COMMISSION
FILING CLERK

Mester
Perryman
Fuentes

SEP 19/13

July XX, 2013

BY FAX 281/356-5382 AND
BY CERTIFIED MAIL

Mr. Jeff Goebel
Quadvest, L.P.
P.O. Box 409
Tomball, Texas 77377

NOTICE OF DEFICIENCY

Re: Application from Quadvest, L.P., Certificate of Convenience and Necessity (CCN) No. 20952, to Acquire Facilities and to Transfer CCN No. 20734 held by H-M-W Special Utility District (SUD), in Montgomery County; Application No. 37656-S

Delete
Space

CN 602944746; RN 104730361 (Quadvest, L.P.)
CN 600623375; RN 102670767 (H-M-W SUD)

Dear Mr. Goebel:

The above referenced application, received on July 3, 2013, has been assigned Application No. 37656-S, but has not been accepted for filing. Please refer to the application number in future correspondence.

In order for your application to be accepted for filing, you must submit four (4) copies of the following items:

1. An agreement between parties, contingent contract or other documents supporting the proposed transaction;
2. Complete question number 20 from the application.

Also, please send one additional copy of the completed application.

Processing for the above referenced application cannot proceed and notices cannot be mailed until we receive these items. These items must be completed and returned to our office by **August XX, 2013**. If we do not receive the requested information by this date, your application will be returned for failure to prosecute.

5

Mr. Jeff Goebel
Page 2
July xX, 2013

If you have any questions, please contact Ms. Christine Mester at 512/239-2788, or if by correspondence, include Mail Code 153 in the letterhead address.

Sincerely,

Tammy Benter, Manager
Utilities & Districts Section
Water Supply Division
Texas Commission on Environmental Quality

TB/CM/

bcc: TCEQ Region 12 Office
Sheresia Perryman, MC 153

To: Administrative Reviewer

Date Complete: July 11, 2013

From: Cartographer-Utilities & Districts Section

Subject: Overlap & Notice Check for Administrative Review No. A-090-13/37656-S
Quadvest (20952) to acquire HMW SUD (20734) in Montgomery county.

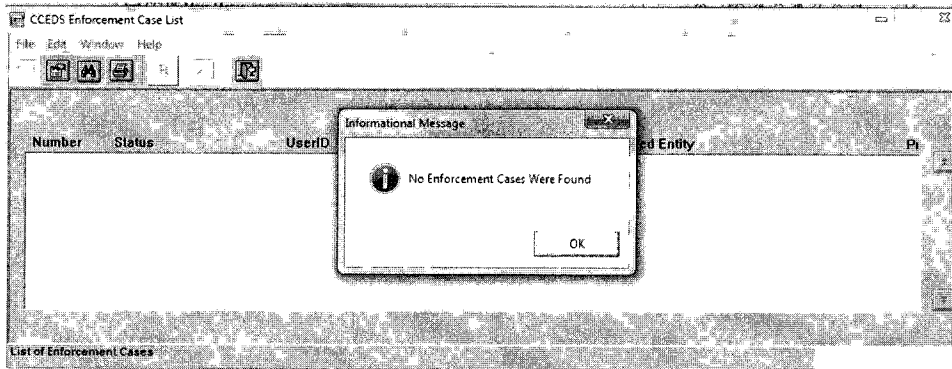
- X 1. No new overlap of service areas exists.
2. An overlap:
3. Dual certification:
4. An overlap exists with the city limits of:
- X 5. If this is a Sale, Transfer, or Merger, is additional area being requested? NO
7. Map submitted is digital request digital data.
9. Utility notice was sufficient.
- X 10. Utility notice was insufficient. In addition to those systems listed in the application, they will also need to notify:
- TWO MILES:
- AquaTexas (21065) ✓
- South Central Water Company (20964) ✓
- Wood Trace MUD 1 ✓
- Wood Trace MUD 2 ✓
- Wood Trace MUD 3 ✓
- Harris County MUD 480 ✓
- Hardin Store MUD 1 ✓
- Gulf Coast Waste Disposal Authority
- Montgomery county ✓
- Lonestar GCD ✓
- All cities and etj's within 2 miles of proposed area
- Any other Utility, District or entity that would be required to receive notice

X 11. Notice: OK

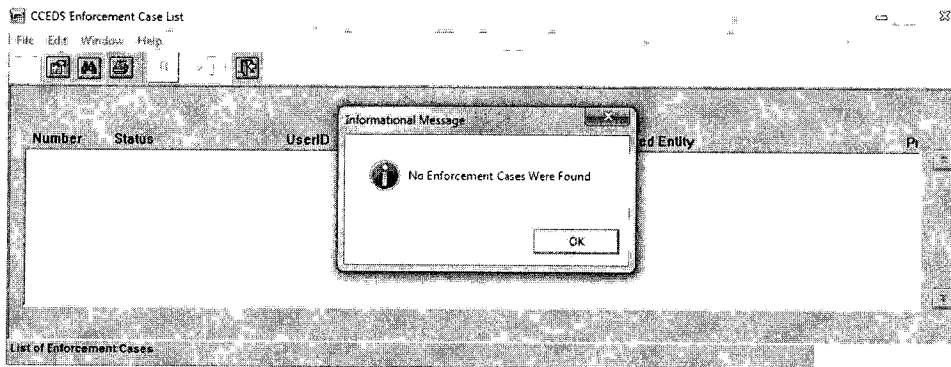
12. Other comments:

 Kent Steelman

Quadvest L.P., CCN No. 20952



H-M-W SUD, CCN No. 20734



Completed Application: #20 ✓

List of Notice: (missing one) ✓

Seller's Inspection Report and Response: Ok

Buyer's IOUs- 5/15/2013

Buyer's (if IOU) Question 13A: Ok

Buyer's WSCs- Current Tariff: N/A

Agreement between Parties: ✓

Financials: Ok

Overlap Check: Ok

Oath: Ok

*Missing one copy of application


[Main Query Page](#) [Program Area Search](#)

Regulated Entity Detail					
Regulated Entity Name:	H-M-W SUD			RN:	RN102670767
Status:	Active	Status Comment:		Stand Alone:	Y
Physical Address:	No physical or street address on file.			County:	ORANGE
Physical Location:	ORANGE AND MONTGOMERY COUNTIES				
Nearest City:		State:	TX	Zip Code:	
Latitude:		Longitude:			

1-1 of 1 Records

Affiliated Customers					List All
CN Number	Customer ▲	Role	Begin Date	End Date	RE Comp Hist
CN600623375	H-M-W SUD	RESPONSIBLE PARTY	04/23/2010	12/31/3000	N/A

1-3 of 3 Records

Program Interests								
Program	RE Type	ID Type	Addn ID	Addn ID Status	Alt RE Name	Role	Customer Name (CN)	Begin Date - End Date
UTILITIES	SITE	REGISTRATION	20734	ACTIVE		RESPONSIBLE PARTY	H-M-W SUD (CN600623375)	01/01/1800 - 10/14/2009
UTILITIES	SITE	REGISTRATION	20734	ACTIVE		RESPONSIBLE PARTY	HMW SUD (CN603547175)	10/15/2009 - 04/22/2010
UTILITIES	SITE	REGISTRATION	20734	ACTIVE		RESPONSIBLE PARTY	H-M-W SUD (CN600623375)	04/23/2010 - 12/31/3000

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 This site was last modified: August 27, 2010


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[Program Area Search](#)

Regulated Entity Detail			
Regulated Entity Name:	QUADVEST		RN: RN104730361
Status:	Active	Status Comment:	Stand Alone: Y
Physical Address:	No physical or street address on file.		County: HARRIS
Physical Location:	ARANSAS, BRAZORIA, FORT BEND, HARRIS JACKSON, MONTGOMERY AND WALLER COUNTIES		
Nearest City:		State: TX	Zip Code:
Latitude:		Longitude:	

1-1 of 1 Records

Affiliated Customers					List All
CN Number	Customer ▲	Role	Begin Date	End Date	RE Comp Hist
CN602944746	QUADVEST LP	RESPONSIBLE PARTY	10/21/2010	12/31/3000	N/A

1-3 of 3 Records

Program Interests								
Program	RE Type	ID Type	Addn ID	Addn ID Status	Alt RE Name	Role	Customer Name (CN)	Begin Date - End Date
UTILITIES	SITE	REGISTRATION	20952	ACTIVE		RESPONSIBLE PARTY	QUADVEST INC (CN600701486)	01/01/1800 - 10/21/2010
UTILITIES	SITE	REGISTRATION	A1392	INACTIVE		RESPONSIBLE PARTY	QUADVEST INC (CN600701486)	01/01/1800 - 10/21/2010
UTILITIES	SITE	REGISTRATION	20952	ACTIVE		RESPONSIBLE PARTY	QUADVEST LP (CN602944746)	10/21/2010 - 12/31/3000

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STM Checklist

Administrative Review #A - 090 - 13

Application Number (s) 37656-S, _____

Date TCEQ Received Application 7/3/13

Date Assigned to program area 7/9/13

Name of **Seller** on Application H-M-W SUD

CCN(s) # 20734

County(s) Montgomery, Orange

Name of **Buyer** Quadvest, L. P.

CCN(s) # 20952

County(s) Montgomery, Harris

Not on file in Central Registry. Needs Core Data Form

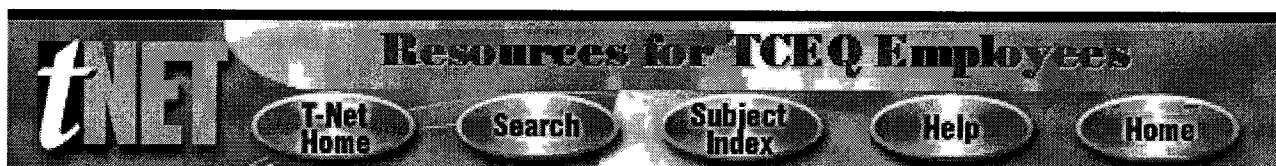
Attach a copy of CN and RN from WUD of both the seller and buyer involved ✓

Account Fees due none

Regulatory Assessment Fees due none

✓ Original and three copies of completed application and all required attachments, maps and exhibits. (Item 1 on page 1 of General Information and Instructions) 2 copies

Proposed action and CCN numbers involved. ✓

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? Utility details for H-M-W SUD (20734)

[Affiliations](#) [Documents](#) [Site Visits](#) [Schedules](#)

Properties

CR Regulated Entity Number: **RN102670767**

CCEDS Status: **NO ACTIVE NOE EXISTS**

Utility Type: **SEWER UTILITY**

Ownership Type: **DISTRICT/AUTHORITY**

Primary County: **ORANGE**

County Code: **181**

Customers

Reference Number

CN600623375

Name

H-M-W SUD

Role

RESPONSIBLE PARTY

Comments

Comment Date

06/14/2001

Occurrences retrieved.

Text

TRSF FR WSC:SEE ALSO 10342

Staff Name

Official Address / Phone

Address: **PO BOX 837**

PINEHURST , TEXAS 77362-0837

Counties

Code

170

181

County Name

MONTGOMERY

ORANGE

Primary

N

Y

Activity

Activity Status: **ACTIVE**

Start Date: **06/26/1995**

End Date: **12/31/9999**

Activity Date: **06/26/1995**

[Run Utility Cases Report](#)
[Run Utility Summary Report](#)
[Show Map](#)

Utility successfully retrieved.

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? Utility details for QUADVEST LP (11612)

[Affiliations](#)
[Documents](#)
[Site Visits](#)
[Schedules](#)

Properties

CR Regulated Entity Number:

CCEDS Status: NO ACTIVE NOE EXISTS

Utility Type: WATER UTILITY

Ownership Type: INVESTOR

Primary County: MONTGOMERY

County Code: 170

Comments

Comment Date	Text	Staff Name
12/28/2011	ACQUIRED FACILITIES, TRANSFERRED SERVICE AREA, AND CANCELLED CCN 12841 FROM HHJ, INC., DBA DECKER UTILITIES (APP 36924-S); ORDER SIGNED 12/20/2011	
04/29/2011	PURCHASED AND TRANSFERRED ALL OF CCN 11612 FROM QUADVEST INC; APP NO. 36654-S; ORDER SIGNED 04/13/2011	
07/12/2010	36762-R Quadvest LP, CCN 11612, requests pass through rate.	MELISSA SIERRA

Occurrences retrieved.

Official Address / Phone

Address: PO BOX 409

TOMBALL, TEXAS 77377-0409

Telephone: (281) 305-1110

PWS for this Utility

PWS Name	PWS ID	Status	District(Number)
<u>BENDERS LANDING WATER PLANT 1 & 2</u>	1700678	A	
<u>BRAZOS LAKES WATER SUPPLY</u>	0790363	A	
<u>BRIDLEWOOD ESTATES WATER SYSTEM</u>	0790350	A	
<u>CHENANGO RANCH</u>	0200656	A	
<u>COLONY M H SUBDIVISION WS</u>	1011806	A	
<u>CREEKSIDE VILLAGE</u>	1700742	A	
<u>DECKER OAKS</u>	1700605	A	
<u>INDIGO LAKES WATER SYSTEM</u>	1700576	A	
<u>LAKE WINDCREST WATER SYSTEM</u>	1700624	A	
<u>LAKES OF MAGNOLIA</u>	1700736	A	

<u>LIVE OAK LANDING SUBDIVISION</u>	1610129	P
<u>LONE STAR PUBLIC WATER SYSTEM</u>	1700655	A
<u>MOSTYN MANOR</u>	1700669	A
<u>NORTHCREST RANCH WATER SYSTEM</u>	1700623	A
<u>RED OAK RANCH WATER SYSTEM</u>	1700609	A
<u>ROCKY CREEK ESTATES</u>	1013393	P
<u>SENDERA RANCH</u>	1700577	A
<u>SHAW ACRES</u>	1013468	A
<u>SONOMA RIDGE-MCCALL SOUND</u>	1700763	A
<u>SUNRISE BAY SUBDIVISION</u>	1200037	P
<u>TELGE TERRACE MOBILE HOME SUBDIVISION</u>	1011805	A
<u>TIMBERDALE MOBILE HOME SUBDIVISION</u>	1011810	A
<u>WATERSTONE ESTATES</u>	1013389	A
<u>WESTWOOD SUBDIVISION</u>	2370042	A

Water System occurrences retrieved.

Counties

Code	County Name	Primary
4	ARANSAS	N
20	BRAZORIA	N
79	FORT BEND	N
101	HARRIS	N
120	JACKSON	N
170	MONTGOMERY	Y
237	WALLER	N

Activity

Activity Status: **ACTIVE**

Start Date: **03/08/2010**

End Date: **12/31/3000**

Activity Date: **05/17/2011**

Activity Reason: **CHANGE**

[Run Utility Cases Report](#)
[Run Utility Summary Report](#)
[Show Map](#)

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? Utility details for QUADVEST LP (20952)

 [Affiliations](#)  [Documents](#)  [Site Visits](#)  [Schedules](#)

Properties

CR Regulated Entity Number:

CCEDS Status: **NO ACTIVE NOE EXISTS**

Utility Type: **SEWER UTILITY**

Ownership Type: **INVESTOR**

Primary County: **MONTGOMERY**

County Code: **170**

Comments

Comment Date	Text	Staff Name
12/28/2011	ACQUIRED FACILITIES, TRANSFERED SERVICE AREA, AND CANCELLED CCN 20833 FROM HHJ, INC., DBA DECKER UTILITIES (APP 36924-S); ORDER SIGNED 12/20/2011	
04/29/2011	ACQUIRED AND TRANSFERRED ALL OF CCN 20952 FROM QUADVEST INC. - APP NO. 36655-S, ORDER SIGNED 4/13/11.	

Occurrences retrieved.

Official Address / Phone

Address: **PO BOX 409**

TOMBALL , TEXAS 77377-0409

Telephone: **(281) 305-1110**

Counties

Code	County Name	Primary
101	HARRIS	N
170	MONTGOMERY	Y

Activity

Activity Status: **ACTIVE**

Start Date: **03/08/2010**

Activity Date: **05/17/2011**

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