

SECTION 2.0 - SERVICE RULES AND REGULATIONS (CONT.)

not limited to, any loss associated with damage to the customer's computer equipment or facilities and any loss associated with a third party's unauthorized use of the customer's information. Either the Utility or customer may, upon thirty (30) days notice to the other party, terminate electronic transmission of bills without any liability to the terminating party resulting from such termination, and without affecting the customer's obligation to pay all amounts due to the Utility. In such event, the Utility will begin to issue paper bills via United States mail to the customer as soon as reasonably practical. The Utility reserves the right to determine whether or not a customer is eligible to be billed through its paperless electronic billing system. A customer that elects electronic billing, who is a combination water and sewer service customer of the Utility, will receive electronic billing for both services.

Third Party Charges for Processing Utility Bill Payments – Any charges required by a third party to accept or process a cash utility bill payment at a non-utility payment location, a credit card utility bill payment, or an electronic utility bill or payment are the responsibility of the customer and are in addition to utility bill amounts.

In the event of a dispute between a customer and a utility regarding any bill for utility service, the utility will conduct an investigation and report the results to the customer. If the dispute is not resolved, the utility will inform the customer that a complaint may be filed with the Commission.

Section 2.07 - Service Disconnection

Utility service may be disconnected if the bill has not been paid in full by the date listed on the termination notice or for any other reason authorized by TCEQ Rules. The termination date must be at least 10 days after the notice is mailed or hand delivered.

The utility may, but is not required, to offer a deferred payment plan to a customer who cannot pay an outstanding bill in full and is willing to pay the balance in reasonable installments. However, a customer's utility service may be disconnected if a bill has not been paid or a deferred payment agreement entered into within 31 days from the date of issuance of a bill and if proper notice of termination has been given.

Notice of termination must be a separate mailing or hand delivery in accordance with the TCEQ Rules.

Utility service may also be disconnected without notice for reasons as described in the TCEQ Rules.

Utility personnel must be available to collect payments and to reconnect service on the day of and the day after any disconnection of service unless service was disconnected at the customer's request or due to a hazardous condition.

SECTION 2.0 - SERVICE RULES AND REGULATIONS (CONT.)

Section 2.08 - Reconnection of Service

Service will be reconnected within 36 hours after the past due bill and any other outstanding charges are paid or correction of the conditions which caused service to be disconnected.

Section 2.09 - Service Interruptions

The utility will make all reasonable efforts to prevent interruptions of service. If interruptions occur, the utility will re-establish service within the shortest possible time. Except for momentary interruptions due to automatic equipment operations, the utility will keep a complete record of all interruptions, both emergency and scheduled and will notify the Commission in writing of any service interruptions affecting the entire system or any major division of the system lasting more than four hours. The notice will explain the cause of the interruptions.

Prorated Bills. - If service is interrupted or seriously impaired for 24 consecutive hours or more, except by an act of God, the utility will prorate the monthly base bill in proportion to the time service was not available to reflect this loss of service.

Section 2.10 - Quality of Service

The utility will plan, furnish, and maintain production, treatment, storage, transmission, and distribution facilities of sufficient size and capacity to provide a continuous and adequate supply of water for all reasonable consumer uses. Unless otherwise authorized by the Commission, the utility will maintain facilities as described in the TCEQ Rules or in the TCEQ's "Rules and Regulations for Public Water Systems." The utility will not provide supply for fire prevention, fire flow, or fire fighting services as part of standard retail water utility service.

Section 2.11 - Customer Complaints and Disputes

If a customer or applicant for service lodges a complaint, the utility will promptly make a suitable investigation and advise the complainant of the results. Service will not be disconnected pending completion of the investigation. If the complainant is dissatisfied with the utility's response, the utility must advise the complainant that he has recourse through the TCEQ complaint process. Pending resolution of a complaint, the commission may require continuation or restoration of service.

The utility will maintain a record of all complaints which shows the name and address of the complainant, the date and nature of the complaint, and the adjustment or disposition thereof for a period of two years after the final settlement of the complaint.

SECTION 2.20 - SPECIFIC UTILITY SERVICE RULES AND REGULATIONS

This section contains specific utility service rules in addition to the rules previously listed under Section 2.0. It must be reviewed and approved by the Commission and in compliance with TCEQ Rules to be effective.

The utility adopts the administrative rules of the TCEQ, as the same may be amended from time to time, as its company specific service rules and regulations. These rules will be kept on file at the company's offices for customer inspection during regular business hours. In the event of a conflict between the TCEQ's amended rules and the provisions of this tariff, the amended rules shall prevail. Where necessary, any conflicting provision of this tariff shall be deemed to have been superseded by the TCEQ rule in question to the degree that the utility may conduct its lawful business in conformance with all requirements of said rule.

All payments for utility service shall be delivered or mailed to the remittance address on the utility bill received or paid using any method described on the utility bill received. Cash payments are only accepted in person at designated payment locations as described in the utility bill received. If the utility or its authorized agent fails to receive payment prior to the time of noticed disconnection for non-payment of a delinquent account, service will be terminated as scheduled. Utility service crews shall not be allowed to collect payments on customer accounts in the field.

Payment of an account by any means that has been dishonored and returned by the payor or payee's bank shall be deemed to be delinquent. All returned payments must be redeemed with cash or valid money order. If a customer has two returned payments within a twelve month period, the customer shall be required to pay a deposit if one has not already been paid.

Customers shall not be allowed to use the utility's cutoff valve on the utility's side of the meter. Existing customers may install cutoff valves on their side of the meter and are encouraged to do so. All new customers must install customer-owned and maintained cutoff valves on their side of the meter.

No water connection from any public drinking water supply system shall be made to any establishment where an actual or potential contamination or system hazard exists without an air gap separation between the drinking water supply and the source of potential contamination. The containment air gap is sometimes impractical and, instead, reliance must be placed on individual "internal" air gaps or mechanical backflow prevention devices.

Under these conditions, additional protection shall be required at the meter in the form of a backflow prevention device (in accordance with AWWA Standards C510 and C511, and AWWA Manual M14) on those establishments handling substances deleterious or hazardous to the public health. The water purveyor need not require backflow protection at the water service entrance if an adequate cross-connection control program is in effect that includes an annual inspection and testing by a certified backflow prevention device tester. It will be the responsibility of the water purveyor to ensure that these requirements are met.

SECTION 2.20 - SPECIFIC UTILITY SERVICE RULES AND REGULATIONS (CONT.)

Customer shall be liable for any damage or injury to utility-owned property or personnel shown to be caused by the customer, his invitees, his agents, his employees, or others directly under his control.

Limitation on Product/Service Liability - Public water utilities are required to deliver water to the customer's side of the meter or service connection that meets the potability and pressure standards of the TCEQ. The utility will not accept liability for any injury or damage to individuals or their property occurring on the customer's side of the meter when the water delivered meets these state standards. The utility makes no representations or warranties (expressed or implied) that customer's appliances will not be damaged by disruptions of or fluctuations in water service whatever the cause. The utility will not accept liability for injuries or damages to persons or property due to disruption of water service caused by: (1) acts of God, (2) acts of third parties not subject to the control of the utility if the utility has undertaken such preventive measures as are required by TCEQ rules, (3) electrical power failures in water systems not required by TCEQ rule to have auxiliary power supplies, or (4) termination of water service pursuant to the utility's tariff and the TCEQ's rules. The utility is not required by law and does not provide fire prevention, fire flow, or fire fighting services. The utility therefore does not accept liability for fire-related injuries or damages to persons or property caused or aggravated by the availability (or lack thereof) of water or water pressure (or lack thereof) during fire emergencies. The utility will accept liability for any injury or damage to individuals or their property directly caused by defective utility plant facilities (e.g., leaking water lines or meters) or the repairs to or construction of the utility's facilities.

If the services of a registered professional engineer are required as a result of an application for service received by the utility for service to that applicant's service extension only, such engineer will be selected by the utility and the applicant, and the applicant shall bear all expenses incurred therein.

If an applicant requires service other than the standard service provided by the utility, such applicant will be required to pay all expenses incurred by the utility in excess of the expenses that would be incurred in providing the standard service and connection. Any applicant who places unique or non-standard service demands on the system may be required to provide contributions in aid of construction (as may be allowed by TCEQ rule) for the actual costs of any additional facilities required to maintain compliance with the TCEQ minimum design criteria for water production, treatment, pumping, storage and transmission.

Any applicant or existing customer required to pay for any costs not specifically set forth in the rate schedule pages of this tariff shall be entitled to a written explanation of such costs prior to payment and/or commencement of construction. If the applicant or existing customer does not believe that these costs are reasonable or necessary, the applicant or existing customer shall have the right to appeal such costs to the TCEQ or such other regulatory authority having jurisdiction over the utility's rates in that portion of the utility's service area in which the applicant's or existing customer's property(ies) is located.

SECTION 2.20 - SPECIFIC UTILITY SERVICE RULES AND REGULATIONS (CONT.)

Tap fees may be increased by unique costs not normally incurred as may be permitted by 30 TAC 291.86(a)(1)(C).

The utility adopts the Uniform Plumbing Code pursuant to TCEQ Rule 290.46(i). The piping and other equipment on the premises furnished by the customer will be maintained by the customer at all times in conformity with the requirements of the TCEQ, the Uniform Plumbing Code and with the service rules and regulations of the utility. The customer will bring out his service line to his property line at the point on the customer's property mutually acceptable to the customer and the utility subject to such requirements as may exist by TCEQ rule. No meters smaller than those identified in Section 1.0 of this tariff will be connected. No pipe or pipe fitting which contains more than 8.0% lead can be used for the installation or repair of plumbing at any connection which provides water for human use. No solder or flux which contains more than 0.2% lead can be used at any connection which provides water for human use.

The utility will have the right of access to the customer's premises at all times reasonable for the purpose of installing, testing, inspecting or repairing water mains or other equipment used in connection with its provision of water service, or for the purpose of removing its property and disconnecting lines, and for all other purposes necessary to the operation of the utility system including inspecting the customer's plumbing for code, plumbing or tariff violations. The customer shall allow the utility and its personnel access to the customer's property to conduct any water quality tests or inspections required by law. Unless necessary to respond to equipment failure, leak or other condition creating an immediate threat to public health and safety or the continued provision of adequate utility service to others, such entry upon the customer's property shall be during normal business hours. The customer may require any utility representative, employee, contractor, or agent seeking to make such entry to identify themselves, their affiliation with the utility, and the purpose of their entry.

Threats to or assaults upon utility personnel shall result in criminal prosecution.

Except in cases where the customer has a contract with the utility for reserve or auxiliary service, no other water service will be used by the customer on the same installation in conjunction with the utility's service, either by means of a cross-over valve or any other connection. Customer shall not connect, or allow any other person or party to connect, onto any water lines on his premises. Two places shall not be permitted to be supplied with one service pipe where there is a water main abutting the premises.

No connection shall be allowed which allows water to be returned to the public drinking water supply. No backflow prevention device shall be permitted to be installed in the customer's plumbing without notice to and written permission from the utility. Any backflow prevention devices so installed shall be inspected annually by a licensed backflow prevention device inspector or appropriately licensed plumber and a written report of such inspection delivered to the utility.

SECTION 2.20 - SPECIFIC UTILITY SERVICE RULES AND REGULATIONS (CONT.)

No application, agreement or contract for service may be assigned or transferred without the written consent of the utility.

It is agreed and understood that any and all meters, water lines and other equipment furnished by the utility (excepting the customer's individual service lines from the point of connection to customer's structures on customer's premises) are and shall remain the sole property of the utility, and nothing contained herein or in a contract/application for service shall be construed to reflect a sale or transfer of any such meters, lines or equipment to any customer. All tap and extension charges shall be for the privilege of connecting to said water lines and for installation, not purchase, of said meters and lines.

Applicants for service at new consuming facilities or facilities which have undergone extensive plumbing modifications are required to deliver to the utility a certificate that their facilities have been inspected by a state-licensed inspector and that they are in compliance with all applicable plumbing codes and are free of potential hazards to public health and safety. Service may be denied until the certificate is received or any identified violations or hazards are remedied. The utility is not required to perform these inspections for the applicant/customer, but will assist the applicant/customer to locate and obtain the services of a licensed inspector in a timely manner. When potential sources of contamination are identified which, in the opinion of the inspector or the utility, require the installation of a state-approved backflow prevention device, such backflow prevention device shall be installed on the customer's service line or other necessary plumbing facilities by an appropriately licensed plumber/backflow prevention device specialist at the customer's expense. The backflow prevention device shall be maintained by the customer at the customer's expense and inspected annually by a licensed inspector. Copies of the annual inspection report must be provided to the utility. Failure to comply with this requirement may constitute grounds for termination of water service with notice.

All customers or service applicants shall provide access to meters and utility cutoff valves at all times reasonably necessary to conduct ordinary utility business and after normal business hours as needed to protect and preserve the integrity of the public drinking water supply. Access to meters and cutoff valves shall be controlled by the provisions of 30 TAC 291.89(c).

Where necessary to serve an applicant's property, the utility may require the applicant to provide it a permanent recorded public utility easement on and across the applicant's real property sufficient to provide service to that applicant.

Service applicants may be required to comply with any pre-condition to receiving service not printed herein as may exist under TCEQ rule (customer service, health and safety, water conservation, or environmental), USEPA rule, TWDB rule, local water or conservation district rule, or health department rule. Existing customers shall be required to comply with such rules, including modification of their plumbing and/or consumption patterns, after notice.

SECTION 2.20 - SPECIFIC UTILITY SERVICE RULES AND REGULATIONS (CONT.)

Customers must make meters accessible to the Utility and its personnel. If they do not, the Utility may require the removal of the meter to another location according to Section 291.89(c) of the TCEQ's rules. The Utility will give the customer the option of converting to a remote radio read meter at the customer's expense in lieu of bearing the cost of relocating the meter and any Utility water lines necessitated by the customer's actions. If the customer does not accept this option, the customer will be charged for all incurred meter relocation costs. Before relocating the meter, the utility must provide the customer with written notice of its intent to do so.

This notice must include information on the estimated cost of relocating the meter, an explanation of the condition hindering access and what the customer can do to correct that condition, and information on how to contact the utility. The notice must give the customer a reasonable length of time to arrange for utility access so the customer may avoid incurring the relocation cost. A copy of the notice given to the customer shall be filed with the utility's records on the customer's account.

Customers shall not enclose meters with fences or other artificial barriers. If there is a fence in front of a meter, the customer shall install a gate or stile with 300 pound-load bearing capacity to enable meter readers and service crews to obtain ready access to the utility's property.

SECTION 3.0 - EXTENSION POLICY

Section 3.01 - Standard Extension Requirements

LINE EXTENSION AND CONSTRUCTION CHARGES. No contribution in aid of construction may be required of any customer except as provided for in this approved extension policy.

The customer will be given an itemized statement of the costs, options such as rebates to the customer, sharing of construction costs between the utility and the customer, or sharing of costs between the customer and other applicants prior to beginning construction.

The utility will bear the full cost of any oversizing of water mains necessary to serve other customers in the immediate area. The individual residential customer shall not be charged for any additional production, storage, or treatment facilities. Contributions in aid of construction may not be required of individual residential customers for production, storage, treatment or transmission facilities unless otherwise approved by the Commission under this specific extension policy.

COST UTILITY SHALL BEAR. Within its Certificate of Convenience and Necessity ("CCN") service area, the utility will pay the cost of the first 200 feet of any water main or distribution line necessary to extend service to an individual residential customer within a platted subdivision. However, if the residential customer requesting service purchased the property after the developer was notified of the need to provide facilities to the utility, the utility may charge for the first 200 feet. The utility must also be able to document that the developer of the subdivision refused to provide facilities compatible with the utility's facilities in accordance with the utility's approved extension policy after receiving a written request from the utility.

Developers may be required to provide contributions in aid of construction in amounts to furnish the system with all facilities necessary to comply with the TCEQ's Rules.

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY

This section contains the utility's specific extension policy that complies with the requirements already stated under Section 3.01. It must be reviewed and approved by the Commission and in compliance with TCEQ Rules to be effective.

Residential customers not covered under Section 3.01 will be charged the equivalent of the costs of extending service to their property from the nearest transmission or distribution line even if that line does not have adequate capacity to serve the customer. However, if the customer places unique, non-standard service demands upon the system, the customer may be charged the full cost of extending service to and throughout their property, including the cost of all necessary transmission and storage facilities necessary to meet the service demands anticipated to be created by that property.

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY (CONT.)

Developers may be required to provide contributions in aid of construction in amounts sufficient to furnish the development with all facilities necessary to provide for reasonable local demand requirements and to comply with TCEQ minimum design criteria for facilities used in the production, transmission, pumping, or treatment of water or TCEQ minimum requirements. For purposes of this tariff, a developer is one who subdivides or requests more than two meters on a piece of property. Commercial, industrial, other non-residential and wholesale customers will be treated as developers.

The utility adopts the administrative rules of the TCEQ, as amended from time to time, as its company specific extension policy. These rules will be kept on file at the company's business office for customer inspection during normal business hours. In the event of a conflict between the TCEQ's amended rules and the provisions of this tariff, the amended rules shall prevail. Where necessary, any conflicting provision of this tariff shall be deemed to have been superseded by the TCEQ rule in question to the degree that the utility may conduct its lawful business in conformance with all requirements of said rule.

When an individual residential applicant requires an extension of a main line beyond 200 feet, the charge to that applicant shall be the actual cost of such extension in excess of 200 feet, plus the applicable tap fee plus such other approved costs as may be provided in this tariff and/or TCEQ rules.

Residential tap fees may be increased by other unique costs not normally incurred as permitted by TCEQ rule. Larger meter taps shall be made at actual cost associated with that tap which shall include such extraordinary expenses.

Any service extension to a subdivision (recorded or unrecorded) may be subject to the provisions and restrictions of 30 TAC 291.86(d) and this tariff. When a developer wishes to extend the system to prepare to service multiple new connections, the charge shall be the cost of such extension, plus a pro-rata charge based upon the capacities of production, transmission, storage, pumping and treatment facilities, compliant with the TCEQ minimum design criteria, which must be committed to such extension. As provided by 30 TAC §291.86(d)(4), for purposes of this tariff, commercial, industrial, other non-residential, and wholesale customers shall be treated as developers.

Any applicant who places unique or non-standard service demands on the system may be required to provide contributions in aid of construction for the actual costs of any additional facilities required to maintain compliance with the TCEQ minimum design criteria for water production, treatment, pumping, storage and transmission.

Unless expressly exempted by TCEQ rule or order, each point of use (as defined by 30 TAC §291.3) must be individually metered.

The imposition of additional extension costs or charges as provided by Sections 2.20 and 3.20 of this tariff shall be subject to appeal as provided in this tariff, TCEQ rules, or the rules of such other regulatory authority as may have jurisdiction over the utility's rates and services. Any applicant required to pay for any costs not specifically set forth

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY (CONT.)

in the rate schedule pages of this tariff shall be entitled to a written explanation of such costs prior to payment and/or commencement of construction. If the applicant does not believe that these costs are reasonable or necessary, the applicant shall have the right to appeal such costs to the TCEQ or such other regulatory authority having jurisdiction over the utility's rates in that portion of the utility's service area in which the applicant's property(ies) is located. Unless the TCEQ or other regulatory authority enters interlocutory orders to the contrary, service to the applicant may be delayed until such appeal is resolved.

The utility will provide a written service application form to the applicant for each request for standard service received by the utility's business offices. A separate application shall be required for each potential service location if more than one service connection is desired by any individual applicant. The application process for non-standard or non-residential water service will require completion of other forms and agreements to be provided by the utility in addition to the standard service application form. Standard service application forms will be available for applicant pick up at the utility's business office during normal weekday business hours or for download from the utility's web site. Forms and agreements for non-standard or non-residential water service will be provided by the utility upon request. Service applications will be sent by prepaid first class United States mail to the address provided by the applicant upon request. Completed applications may be submitted by hand delivery or by mail to one of the utility's business offices.

The utility shall serve each qualified service applicant within its CCN service area as soon as practical after receiving a completed service application and all required agreements. All service requests will be fulfilled within the time limits prescribed by TCEQ rules once the applicant has met all conditions precedent to achieving "qualified service applicant" status. If a service request cannot be fulfilled within the required period, the applicant shall be notified in writing of the delay, its cause and the anticipated date that service will be available. The TCEQ service dates shall not become applicable until the service applicant has met all conditions precedent to becoming a "qualified service applicant" as defined herein or by TCEQ rules.

The utility is not required to extend service to any applicant outside of its CCN service area and will only do so, at the utility's sole option, under terms and conditions mutually agreeable to the utility and the applicant and upon extension of the utility's certificated service area boundaries by the TCEQ. Service applicants may be required to bear the cost of the service area amendment.

A "qualified service applicant" is an applicant who has: (1) met all of the utility's requirements of service contained in this tariff, TCEQ rules and/or TCEQ order, (2) has made all payments for tap fees and extension charges, (3) has provided all necessary easements and rights-of-way necessary to provide service to the requested location, including staking said easements or rights-of-way where necessary, (4) delivered an executed customer service inspection certificate to the utility, and (5) has executed a customer service application for each location to which service is being requested.

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY (CONT.)

Where a new tap or service connection is required, the service applicant shall be required to submit a written service application and request that a tap be made. The tap request must be accompanied with a plat, map, diagram or written metes and bounds description of precisely where the applicant desires each tap or service connection to be made, and, if necessary, where the meter is to be installed, along the applicant's property line. The actual point of connection and meter installation must be readily accessible to utility personnel for inspection, servicing and meter reading while being reasonably secure from damage by vehicles and mowers. If the utility has more than one main adjacent to the service applicant's property, the tap or service connection will be made to the utility's nearest service main with adequate capacity to service the applicant's full potential service demand. If the tap or service connection cannot be made at the applicant's desired location, it will be made at another location mutually acceptable to the applicant and the utility. If no agreement on location can be made, applicant may refer the matter to the TCEQ for resolution. Unless otherwise ordered by the TCEQ, the tap or service connection will not be made until the location dispute is resolved.

The utility shall require a developer (as defined by TCEQ rule) to provide permanent recorded public utility easements as a condition of service to any location within the developer's property. The developer shall be required to obtain all necessary easements and rights-of-way required to extend the utility's existing service facilities from their nearest point with adequate service capacity (as prescribed by TCEQ rules and local service conditions) to and throughout the developer's property. The easements shall be sufficient to allow the construction, installation, repair, maintenance, testing, and replacement of any and all utility plant necessary to provide continuous and adequate service to each and every potential service location within the property at full occupancy. Unless otherwise restricted by law, well plant sites shall convey with unrestricted rights to produce water for public drinking water supply. The developer shall be required to provide the utility with a minimum of a 160-foot radius sanitary control easement or fee simple real property conveyance around the proposed well site acceptable to the TCEQ for each water well site to be located within the developer's property or otherwise being obtained to serve the developer's property. Unless otherwise agreed to by the utility, pipeline right-of-way easements must be at least 15 feet wide to allow adequate room to facilitate backhoe and other heavy equipment operation and meters. Easements must be provided for all production, storage, treatment, pressurization and disposal sites which are sufficient to construct and maintain all weather roads as prescribed by TCEQ rules. All easements shall be evidenced, at developer's expense, by recorded county-approved subdivision plat or by specific assignment supported by metes and bounds survey from a surveyor licensed by the State of Texas.

Prior to the extension of utility service to developers (as defined by TCEQ rules) or new subdivisions, the developer shall comply with the following:

- (a) The developer shall make a written request for service to property that is to be subdivided and developed. The developer shall submit to the utility a proposed plat on a scale of one inch (1") to two hundred feet (200') for review and determination of required easements, utility plant, and plant location. If sewer service is requested, the

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY (CONT.)

plat must contain elevation data. A reconcilable deposit in an amount set by the utility may be required to cover preliminary engineering, legal and copy cost to be incurred by the utility in reviewing and planning to meet this service request. The plat and/or accompanying information shall identify the type, location and number of houses and other planned structures that will be requiring utility service. If other than residential structures are to be located on the property, all other types of anticipated businesses and their service demands shall be identified with specificity. All areas requiring special irrigation and/or other unique water demands must be identified. To the extent reasonably possible, this information must be precise so that adequate facilities can be designed and constructed to meet all future service demands without hazard to the public, other utility customers, and/or the environment.

(b) After the requirements of easements and rights-of-way have been determined, a red line copy will be returned by the utility to the developer for final plat preparation.

(c) Copies of all proposed plats and plans must be submitted to the utility prior to their submission to the county for approval to insure that they are compatible with the adequate long-term utility needs of potential service customers. Copies will be returned after review by the utility so that necessary changes may be incorporated into the developer's final submitted plat(s) and plans.

(d) The utility shall be provided with three (3) certified copies of the final plat(s) approved by the County Commissioners Court. At this time, the utility will begin engineering the facilities necessary to serve the property. Plans and specifications will be prepared and submitted to the TCEQ by the utility if required by law. If further plat or plans changes are necessary to accommodate the specific service needs of the property and the anticipated customer demands, the developer will be so notified. Plat amendments must be obtained by the developer. The developer shall be notified when all required TCEQ or other governmental approvals or permits have been received. No construction of utility plant which requires prior TCEQ plans approval shall be commenced until that approval has been received by the utility and any conditions imposed by the TCEQ in association with its approvals have been satisfied.

(e) The developer shall be required to post bond or escrow the funds necessary to construct all required utility plant, except individual taps, meters and sewer connections, required to serve the property. Construction shall not commence until funds are available. If the construction is to be done in coordination with the phased development of the property, funds must be provided in advance which are sufficient to complete each phase. No phase or facilities for any phase shall be constructed prior to the bonding or escrowing of all funds associated with that phase.

(f) At the sole option of the utility, the developer may be required to execute a Developer Extension Contract setting forth all terms and conditions of extending service to the developer's property including all contributions-in-aid-of-construction and developer reimbursements, if any.

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY (CONT.)

(g) The utility may require the developer to commence construction of subdivision improvements within three (3) months of utility plans approval or the utility may abate its construction activities until full development construction begins. If the developer stops construction of subdivision improvements for any purpose, the utility may abate its construction for a similar period.

(h) As soon as the roads are rough cut and prior to paving, extension lines will need to be constructed at each road crossing. The developer must notify the utility sufficiently in advance of this development stage to allow for the necessary utility construction without disruption to other service operations of the utility. Failure to provide adequate advance notice and cooperation in the construction of necessary utility plant may result in additional delays in obtaining service to the property. The developer shall be required to pay for all additional costs of road boring or other remedial construction necessary to install adequate utility plant throughout the affected property.

(i) The developer, not the utility, shall insure that developer's employees, agents, contractors and others under its control coordinate their work or construction throughout the property with the utility to insure the orderly and timely construction of all utility plant necessary to serve the public.

Within its CCN service area, the utility shall bear the cost of the first 200 feet of any water main line necessary to extend service to an individual residential service applicant within a platted subdivision unless the utility can document:

(a) that the developer of the subdivision refused to provide facilities compatible with the utility's facilities in accordance with the utility's approved extension policy after receiving a written request from the utility; or

(b) that the developer defaulted on the terms and conditions of a written agreement or contract existing between the utility and the developer or the terms of this tariff regarding payment for services, extensions, or other requirements; or in the event the developer declared bankruptcy and was therefore unable to meet obligations; and

(c) that the residential service applicant purchased the property from the developer after the developer was notified of the need to provide facilities to the utility.

A residential service applicant may be charged the remaining costs of extending service to his property; provided, however, that the residential service applicant may only be required to pay the cost equivalent to the cost of extending the nearest water main line, whether or not that line has adequate capacity to serve that residential service applicant. The following criteria shall be considered to determine the residential service applicant's cost for extending service:

SECTION 3.20 - SPECIFIC UTILITY EXTENSION POLICY (CONT.)

(a) The residential service applicant shall not be required to pay for costs of main extensions greater than 2" in diameter for water distribution.

(b) Exceptions may be granted by the TCEQ Executive Director if:

(1) adequate service cannot be provided to the applicant using the maximum line sizes listed due to distance or elevation, in which case, it shall be the utility's burden to justify that a larger diameter pipe is required for adequate service; or

(2) larger minimum line sizes are required under subdivision platting requirements or applicable building codes.

(c) If an exception is granted, the utility shall establish a proportional cost plan for the specific extension or a rebate plan which may be limited to seven years to return the portion of the applicant's costs for over-sizing as new customers are added to ensure that future applicants for service on the line pay at least as much as the initial service applicant.

For purposes of determining the costs that service applicants shall pay, commercial customers with service demands greater than residential customer demands in the CCN area, industrial, other non-residential and wholesale customers shall be treated as developers.

A service applicant requesting a one inch meter for a lawn sprinkler system to service a residential lot is not considered nonstandard service. Any applicant for non-residential service is considered a request for nonstandard service.

SECTION 4.0 -- DROUGHT CONTINGENCY PLAN
(Utility must attach copy of TCEQ approved Drought Contingency Plan)

Person ID	Full Name	Location	Business Card Title	Certification Description	Certification Level	Certification Number
100368	Franklin, James H	Cedar Lake, TX	Facility Operator II	Water Operator	Class C	WW00005603
100371	Torres-Arvizu, Israel	Waco, TX	Utility Tech Laborer	Commercial Drivers License	Class A-L	05209195
100371	Torres-Arvizu, Israel	Waco, TX	Utility Tech Laborer	DOT Physical Certification	Class C	WW00044846
101814	Kneese, David W	Wimberley, TX	Facility Operator II	Wastewater Treatment	Class C	WG0013075
101814	Kneese, David W	Wimberley, TX	Facility Operator II	Ground Water Treatment Operator	Class C	11591728
101814	Kneese, David W	Wimberley, TX	Facility Operator II	Commercial Drivers License	Class A	WW00040269
101437	Hernandez, Mike	Fort Worth, TX	Facility Operator II	Ground Water Treatment Operator	Class B	WG0012864
100413	Hernandez, Mike	Fort Worth, TX	Facility Operator III	Ground Water Treatment Operator	Class C	WG0001659
100423	Green, Gary B	Fort Worth, TX	Facility Operator II	Ground Water Treatment Operator	Class C	WW00036303
100423	Brewer, Charles W	Lake Palestine, TX	Facility Operator I	Wastewater Operator	Class D	WW0019980
100425	Brewer, Charles W	Lake Palestine, TX	Field Supervisor	Ground Water Treatment Operator	Class B	WG0008515
100425	Douglas, Gary D	Lake Palestine, TX	Field Supervisor	Wastewater Treatment Operator	Class C	WW0012723
100426	Douglas, Gary D	Lake Palestine, TX	Facility Operator I	Ground Water Treatment Operator	Class C	WG0007856
101429	Boone, Terry S	Lake Palestine, TX	Utility Tech I	Wastewater Operator	Class C	WW0003909
101429	Boone, Jose A	West Austin (Field Office), TX	Utility Tech I	DOT Physical Certification		
101429	Boone, Jose A	West Austin (Field Office), TX	Utility Tech I	Commercial Drivers License	Class A	08882735
101262	Boone, Jose A	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class B	WW00007461
101262	Valdez, Gerardo	Fort Worth, TX	Facility Operator III	Ground Water Treatment Operator	Class B	WG0012443
101176	Valdez, Gerardo	Fort Worth, TX	Facility Operator III	Water Operator	Class D	WG0002615
101176	Herrada, Franklin D	Wimberley, TX	Facility Operator III	Surface Water Operator	Class C	WS0010198
101176	Herrada, Franklin D	Wimberley, TX	Facility Operator III	Ground Water Treatment Operator	Class C	
101176	Herrada, Franklin D	Wimberley, TX	Facility Operator III	DOT Physical Certification		
101176	Herrada, Franklin D	Wimberley, TX	Facility Operator III	Commercial Drivers License	Class A	12433892
101168	Whittle, Kristopher A	Fort Worth, TX	Facility Operator III	Wastewater Operator	Class B	WW00043308
101168	Whittle, Kristopher A	Fort Worth, TX	Facility Operator III	Ground Water Treatment Operator	Class B	WG0012444
101086	Neilsen, Elizabeth K	Kerrville, TX	Administrative Assistant	Water Operator	Class D	WCO0177708
100832	Griffin, Angela J	Kerrville, TX	Facility Operator I	Wastewater Collection	WMH1	WW001632
100800	Farris, Nola A	Kerrville, TX	Field Supervisor	Wastewater Treatment Operator	Class C	WG0007624
100800	Farris, Nola A	Fort Worth, TX	Field Supervisor	Water Operator	Class C	WW00036397
100800	Farris, Nola A	Fort Worth, TX	Field Supervisor	Ground Water Treatment Operator	Class C	WW00036397
100800	Farris, Nola A	Fort Worth, TX	Field Supervisor	Commercial Drivers License	Class C	WG0009712
100737	Farris, Nola A	Waco, TX	Facility Operator II	Wastewater Operator	Class C	08539456
100737	Gregory, Anthony C	Waco, TX	Facility Operator II	Water Distribution	Class C	WW00023960
100737	Gregory, Anthony C	Waco, TX	Facility Operator II	Surface Water Operator	Class C	WSD001918
100737	Gregory, Anthony C	Waco, TX	Facility Operator II	Ground Water Treatment Operator	Class C	WS0004609
100737	Gregory, Anthony C	Waco, TX	Facility Operator II	DOT Physical Certification	Class C	WG0004906
100514	Rector, Riley S	Kerrville, TX	Facility Operator I	DOT Physical Certification	Class A	17897643
100514	Rector, Riley S	Kerrville, TX	Facility Operator I	Commercial Drivers License	Class A	WG0008445
100514	Rector, Riley S	Kerrville, TX	Facility Operator I	DOT Physical Certification	Class C	WG0008445
100514	Rector, Riley S	Kerrville, TX	Facility Operator I	Ground Water Treatment Operator	Class C	CIO004970
100737	Gregory, Anthony C	Waco, TX	Facility Operator II	Water Operator	CSI	05370570
100737	Gregory, Anthony C	Waco, TX	Facility Operator II	Customer Service Inspector	Class A	
100737	Gregory, Anthony C	Waco, TX	Facility Operator II	Commercial Drivers License	Class A	

Person ID	Full Name	Location	Business Card Title	Certification Description	Certification Level	Certification Number
100046	Ehrund, Jess W	Kernville, TX	Facility Operator II	Commercial Drivers License	Class A	13333003
100046	Ehrund, Jess W	Kernville, TX	Facility Operator II	Customer Service Inspector	Class A	C10004195
100046	Ehrund, Jess W	Kernville, TX	Facility Operator II	DOT Physical Certification	Class B	WG30008236
100046	Ehrund, Jess W	Kernville, TX	Facility Operator II	Ground Water Treatment Operator	PK	WWDPMF00054414
100046	Ehrund, Jess W	Kernville, TX	Facility Operator II	Pump Installer	Class D	WWD0040186
100046	Ehrund, Jess W	Kernville, TX	Facility Operator II	Wastewater Treatment Operator	no longer required for this position per supervisor	
100048	Laing, James A	Kernville, TX	Facility Operator II	Commercial Drivers License	no longer required for this position	
100048	Laing, James A	Kernville, TX	Facility Operator II	DOT Physical Certification	Class B	WG30009806
100048	Laing, James A	Kernville, TX	Facility Operator II	Ground Water Treatment Operator	PK	WWDPMF00054907
100049	Ripley, David A	West Austin (Field Office), TX	Facility Operator III	Water Operator	Class A	WOC0014485
100049	Ripley, David A	West Austin (Field Office), TX	Facility Operator III	Wastewater Operator	Class A	WWD006745
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Backflow Prevention Assembly Tester	Class A	WWD0006745
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Customer Service Inspector	Class B	BF00003673
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Ground Water Treatment Operator	Class B	C10001524
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Water Distribution	Class B	WG30013898
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Wastewater Treatment Operator	Class B	WDD0006895
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Water Operator	Class B	WWD0040027
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Wastewater Treatment Operator	Class C	WG30007725
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Water Operator	Class C	WWD0001459
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Wastewater Operator	Class A	WAO0010440
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Water Operator	Class A	WWD010580
100052	Sanders, Lonnie D	Buffalo Creek, TX	Facility Operator III	Wastewater Treatment Operator	Class A	07319115
100077	Tengelfisch, Bernard E	West Austin (Field Office), TX	Facility Operator II	Commercial Drivers License	Class B	WG30008436
100077	Tengelfisch, Bernard E	West Austin (Field Office), TX	Facility Operator II	DOT Physical Certification	Class I	WWDPM00004054
100082	Waldock, Darryl G	Fort Worth, TX	Area Manager	Ground Water Treatment Operator	Class B	WG30008436
100084	Shearer, Wylie D	Kernville, TX	Facility Operator II	Pump Installer	Class I	WGD0008436
100084	Shearer, Wylie D	Kernville, TX	Facility Operator II	Water Operator	Class B	WWD0033055
100084	Shearer, Wylie D	Kernville, TX	Facility Operator II	Wastewater Treatment Operator	Class B	WWD0033055
100084	Shearer, Wylie D	Kernville, TX	Facility Operator II	Wastewater Operator Texas	Class C	WSD0007223
100084	Shearer, Wylie D	Kernville, TX	Facility Operator II	Surface Water Operator	Class C	WSD0007738
100084	Shearer, Wylie D	Kernville, TX	Facility Operator II	Commercial Drivers License	Class A	08538486
100084	Shearer, Wylie D	Kernville, TX	Facility Operator II	Commercial Drivers License	Class A	C10004199
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	DOT Physical Certification	Class B	WG30008245
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Ground Water Treatment Operator	Class B	WG30005304
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Surface Water Operator	Class B	WSD004828
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class B	WWD0010731
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Ground Water Treatment Operator	Class C	WGD0007969
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class C	WWD0007891
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Water Operator	Class C	WGD0008088
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class C	WWD0010873
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Surface Water Operator	Class C	WG3001361
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Ground Water Treatment Operator	Class C	WG3001361
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class C	WWD0017479
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Water Operator	Class C	WSD0009183
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class C	WGD0008923
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Surface Water Operator	Class C	WG30010785
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Ground Water Treatment Operator	Class A	WWD0038844
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	n/a	C10002339
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Customer Service Inspector	Class C	WGD0004020
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Ground Water Treatment Operator	Class C	WWD0004020
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Water Operator	Class C	WWD007417
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Operator	Class B	WWD007417
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class B	WWD007417
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class D	WWD0000349
101922	Hunn, Benny B	West Austin (Field Office), TX	Facility Operator III	Commercial Drivers License	Class A	05426355
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	DOT Physical Certification	C	WDC0009792
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Water Distribution System Operator - Texas	C	
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Water Operator	C	WWD0043390
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Wastewater Collection	Class C	WG30003761
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Ground Water Treatment Operator	Class C	WG30003761
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Water Operator	Class C	WWD0018790
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Wastewater Operator	Class B	WWD0005854
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Wastewater Operator	Class A	WWD00027858
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Water Operator	Class A	453882915
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Surface Water Operator	Class C	WG30003367
101874	Baron-Whitley, Trent J	Waco, TX	Utility Tech II	Ground Water Treatment Operator	Class C	

Person ID	Full Name	Location	Business Card Title	Certification Description	Certification Level	Certification Number
102287	Strawber, Larry N	West Austin (Field Office), TX	Facility Operator III	Ground Water Treatment Operator	B	WG0004291
102285	Shepard, Jr., Thomas L	Spring, TX (East)	Facility Operator II	Wastewater Treatment	Operator C	WW00043381
102285	Shepard, Jr., Thomas L	Spring, TX (East)	Facility Operator II	Wastewater Treatment	Class I Wastewater Collection Operator	WD00009795
102285	Shepard, Jr., Thomas L	Spring, TX (East)	Facility Operator II	Water Distribution	Operator C	WG00013466
102285	Shepard, Jr., Thomas L	Spring, TX (East)	Facility Operator II	Ground Water Treatment Operator	Operator C	WW00043871
102284	Foley, Lonnie R	Spring, TX (East)	Area Manager	Wastewater Treatment Operator	Class C	WW00030630
102284	Foley, Lonnie R	Spring, TX (East)	Area Manager	Wastewater Collection	Class III	WD00008804
102284	Foley, Lonnie R	Spring, TX (East)	Area Manager	Water Distribution	Class C	WD00008804
102284	Foley, Lonnie R	Spring, TX (East)	Area Manager	Certification or diploma needed to meet job requirements Bachelor's Degree	Class C	WG0012652
102256	Slann, Brian A	Wimberley, TX	Utility Technician Laborer	Ground Water Treatment Operator	Class C	
102256	Slann, Brian A	Wimberley, TX	Utility Technician Laborer	Certification or diploma needed to meet job requirements needs DOT medical card	Class C	
102256	Slann, Brian A	Wimberley, TX	Utility Technician Laborer	First Aid Certification		
102256	Slann, Brian A	Wimberley, TX	Utility Technician Laborer	CPR Certificate		
102249	Rutledge, Amanda E	Spring, TX	Field Supervisor	Commercial Drivers License	CDL class A (Florida but needs CDL for Texas)	S450061823800
102249	Rutledge, Amanda E	Spring, TX	Field Supervisor	Wastewater Treatment Operator	B	WW00042966
102249	Rutledge, Amanda E	Spring, TX	Field Supervisor	Water Operator	C	WW00036042
102249	Rutledge, Amanda E	Spring, TX	Field Supervisor	Ground Water Treatment Operator	C	WG0011095
102248	Powell, James L	Fort Worth, TX	Facility Operator III	Customer Service Inspector	B	WG0013210
102248	Powell, James L	Fort Worth, TX	Facility Operator III	Wastewater Treatment Operator	Class B	CI0007343
102248	Powell, James L	Fort Worth, TX	Facility Operator III	Water Distribution Operator	Class C	WW00045464
102248	Powell, James L	Fort Worth, TX	Facility Operator III	Ground Water Treatment Operator	Class C	WD0007609
102178	Luna, Blas	Spring, TX (West)	Utility Tech II	DOT Physical Certification	Class B	WG0013865
102178	Luna, Blas	Spring, TX (West)	Utility Tech II	Commercial Drivers License	Class A	01325110
102178	Luna, Blas	Spring, TX (West)	Utility Tech II	Wastewater Collection	Operator I	WW00043742
102178	Luna, Blas	Spring, TX (West)	Utility Tech II	Water Distribution	C Distribution	WD0010458
102167	Stone, Timothy W	Fort Worth, TX	Utility Tech II	DOT Physical Certification	Class A	13185286
102143	Hernandez, Jose M	Spring, TX (West)	Facility Operator I	Commercial Drivers License	Class C	WG0012026
102117	Francis, Donald R	Spring, TX	Utility Tech I	Ground Water Treatment Operator	Class C	WD0010457
102117	Francis, Donald R	Spring, TX	Field Supervisor	Water Distribution	Class B	WD00234812
102116	Ernest, Jeffrey M	Spring, TX	Field Supervisor	Wastewater Treatment Operator	Class D	WD0021941
102116	Ernest, Jeffrey M	Spring, TX (West)	Facility Operator III	Water Operator	Class B	WG0013170
102116	Ernest, Jeffrey M	Spring, TX (West)	Facility Operator III	Wastewater Operator	Class B	WW0018612
102103	McDaniel, Jeremy J	Buffalo Creek, TX	Facility Operator II	Water Treatment	Class C	WG0002358
102103	McDaniel, Jeremy J	Buffalo Creek, TX	Facility Operator II	Wastewater Operator	Groundwater Treatment Operator B	WW00027874
102103	McDaniel, Jeremy J	Buffalo Creek, TX	Facility Operator II	Wastewater Operator	Class C	WW00027874
102103	McDaniel, Jeremy J	Buffalo Creek, TX	Facility Operator II	Ground Water Treatment Operator	Class B	WG0014140
102103	McDaniel, Jeremy J	Buffalo Creek, TX	Facility Operator II	Ground Water Treatment Operator	Class B	WG0014140
102103	McDaniel, Jeremy J	Buffalo Creek, TX	Facility Operator II	Customer Service Inspector	Class B	CI0008330
102037	Myers, Jason W	Wimberley, TX	Facility Operator II	Customer Service Inspector	Class C	CI0008330
102037	Myers, Jason W	Wimberley, TX	Facility Operator II	Wastewater Operator	Class C	
102028	Larpenieur, Charles J	Spring, TX (East)	Facility Operator II	Ground Water Treatment Operator	Class C	
102028	Larpenieur, Charles J	Spring, TX (East)	Facility Operator III	DOT Physical Certification	Class C	
102028	Larpenieur, Charles J	Spring, TX (East)	Facility Operator III	Wastewater Treatment Operator	Class B	WW00000459
102028	Larpenieur, Charles J	Spring, TX (East)	Facility Operator III	Wastewater Treatment Operator	Class B	WW00000459
101990	Shepard, Thomas L	Spring, TX (East)	Facility Operator III	Ground Water Treatment Operator	Class B	WW00001542
101990	Shepard, Thomas L	Spring, TX (East)	Facility Operator III	Ground Water Treatment Operator	Class B	WG0001542
101980	Shepard, Thomas L	Spring, TX (West)	Facility Operator II	Wastewater Operator	Class C	WG0008221
101980	Shepard, Thomas L	Spring, TX (West)	Facility Operator II	Ground Water Treatment Operator	Class C	WG0004895
101953	Gordillo, Mary A	Fort Worth, TX	Facility Operator II	Customer Service Inspector	Class C	WW00043414
101946	Bautista, Abel M	West Austin (Field Office), TX	Facility Operator II	Wastewater Treatment Operator	Class C	WG0010513
101946	Bautista, Abel M	West Austin (Field Office), TX	Facility Operator II	Ground Water Treatment Operator	Class C	WG0017594
101946	Bautista, Abel M	West Austin (Field Office), TX	Facility Operator II	Wastewater Treatment Operator	Class C	WG00017594
101946	Bautista, Abel M	West Austin (Field Office), TX	Facility Operator II	Wastewater Treatment Operator	Class C - Texas Groundwater	WG0001385
101936	Reeth, Brent C	West Austin (Field Office), TX	Area Manager	Ground Water Treatment Operator	Class C	WG0001385
101936	Reeth, Brent C	West Austin (Field Office), TX	Area Manager	Wastewater Treatment	Class C	WG0001385
101936	Reeth, Brent C	West Austin (Field Office), TX	Area Manager	Wastewater Treatment	Class C	WG0001385
101923	Jackson, Jerry G	Spring, TX (West)	Facility Operator II	Surface Water Operator	Class C	WW0029825
101923	Jackson, Jerry G	Spring, TX (West)	Facility Operator II	Wastewater Operator	Class B	WG0002191
101923	Jackson, Jerry G	Spring, TX (West)	Facility Operator II	Wastewater Operator	Class C	WG00012566
101923	Jackson, Jerry G	Spring, TX (West)	Facility Operator II	Water Collections Operator	Class C	WG0008152
101923	Jackson, Jerry G	Spring, TX (West)	Facility Operator II	Water Collections Operator	Class C	WG0008152
101923	Jackson, Jerry G	Spring, TX (West)	Facility Operator II	Ground Water Treatment Operator	Class C	WG0008152
101923	Jackson, Jerry G	Spring, TX (West)	Facility Operator II	Ground Water Treatment Operator	Class C	WG0008152

Person ID	Full Name	Location	Business Card Title	Certification Description	Certification Level	Certification Number
80994	Davis, Scott J	Wimberley, TX	Utility Technician II	Wastewater Collection	1	ww00049417
80994	Davis, Scott J	Wimberley, TX	Utility Technician II	Water Distribution	C	ww00049417
80994	Davis, Scott J	Wimberley, TX	Utility Technician II	DOT Physical Certification	CDL - medical exam certification	ww00017755
80994	Davis, Scott J	Wimberley, TX	Utility Technician II	Commercial Drivers License	Class A	18933314
80981	Walker, Christopher W	Spring, TX (West)	Facility Operator II	Wastewater Treatment Operator	B	ww00111320
80981	Walker, Christopher W	Spring, TX (West)	Facility Operator II	Wastewater Collection	C	ww0010428
80982	Barley, Jason L	Spring, TX (East)	Utility Tech I	Wastewater Operator	III	ww00042203
80986	Lira, Richard	Spring, TX (West)	Facility Operator II	Ground Water Treatment Operator	B	ww00049512
80986	Lira, Richard	Spring, TX (West)	Facility Operator II	Wastewater Treatment Operator	C	ww00041115
80986	Lira, Richard	Spring, TX (East)	Facility Operator I	Wastewater Operator Texas	Class B	ww0045871
80944	Jessamy, Randy C	Spring, TX (East)	Facility Operator I	Certification or diploma needed to meet job requirements Class C Ground Water license	Class C Ground Water license	
80944	Jessamy, Randy C	Wimberley, TX	Facility Operator II	Wastewater Operator	C	ww0034536
80940	Snow, Joshua L	Wimberley, TX	Facility Operator II	Surface Water Operator	C	ws0007796
80932	McNeill, Duren S	Spring, TX (West)	Facility Operator II	Wastewater Operator Texas	B	ww0047691
80932	McNeill, Duren S	Spring, TX (West)	Facility Operator II	Ground Water Treatment Operator	B	ww0014700
80902	Znt, Richard J	Waco, TX	Facility Operator II	Wastewater Operator	C	ww0030729
80902	Znt, Richard J	Waco, TX	Facility Operator II	Ground Water Treatment Operator	C	ww0009091
80900	Venet, Scott D	Fort Worth, TX	Utility Technician II	Wastewater Collection	II	ww0044837
80900	Venet, Scott D	Fort Worth, TX	Utility Technician II	Water Distribution	C	ww0006357
80892	Chavez, Miguel	Spring, TX (South)	Facility Operator III	Wastewater Operator Texas	B	ww0042423
80892	Chavez, Miguel	Spring, TX (South)	Facility Operator III	Ground Water Treatment Operator	B	ww0014262
80872	Whitcher, Brandon P	Spring, TX (West)	Facility Operator II	Wastewater Operator - Class C	C	ww0047757
80872	Whitcher, Brandon P	Spring, TX (West)	Facility Operator II	Ground Water Treatment Operator	C	ww0014440
80871	McLain, Jason E	Spring, TX (West)	Utility Tech I	Wastewater Collection	Class I	ww00049896
80871	McLain, Jason E	Spring, TX (West)	Utility Tech I	DOT Physical Certification		
80871	McLain, Jason E	Spring, TX (West)	Utility Tech I	Commercial Drivers License - 6 month permit	CDL - spare driver/permit	ww0005632
80870	Rothbach, David F	Wimberley, TX	Facility Operator II	Wastewater Operator	C	ws0003198
80870	Rothbach, David F	Wimberley, TX	Facility Operator II	Surface Water Operator	B	ws0013448
80862	Griffin, Gayn J	West Austin (Field Office), TX	Facility Operator II	Ground Water Treatment Operator	B	ww0038604
80862	Griffin, Gayn J	West Austin (Field Office), TX	Facility Operator II	Wastewater Collection	Class II	ww0007471
80713	Tune, Jacob A	Fort Worth, TX	Facility Operator II	Water Distribution Operator	Class C	ws00010294
80704	Metcalif, Paul L	Fort Worth, TX	Facility Operator I	Surface Water Operator	Class C	ww00014321
80704	Metcalif, Paul L	Fort Worth, TX	Facility Operator II	Ground Water Treatment Operator	Class C	ww0005429
80452	Brennan, Jonathan T	Fort Worth, TX	Facility Operator II	Wastewater Operator	Class A	ww0032845
80427	Fauwell, Kedic E	Spring, TX (East)	Utility Tech I	Wastewater Collection	Class I WW Collection Operator	ww00050382
80427	Fauwell, Kedic E	Spring, TX (East)	Utility Technician Laborer	DOT Physical Certification		05426557
80392	Richmond, John W	Spring, TX (South)	Utility Technician III	Commercial Drivers License	Class B - spare driver	ww00044936
80392	Richmond, John W	Spring, TX (South)	Facility Operator III	Wastewater Operator Texas	A	ws00004099
80392	Richmond, John W	Spring, TX (South)	Facility Operator III	Surface Water Operator	B	ws0010036
80392	Richmond, John W	Spring, TX (South)	Facility Operator III	Ground Water Treatment Operator	C	ww0027404
80347	Tolle, Brian R	Spring, TX (East)	Facility Operator II	Wastewater Operator Texas	C	ws0007635
80347	Tolle, Brian R	West Austin (Field Office), TX	Facility Operator II	Surface Water Operator	B	ww00048108
80347	Tolle, Brian R	West Austin (Field Office), TX	Facility Operator II	Wastewater Operator Texas	Class B Groundwater	ww0014282
80330	Linden, Donald P	Spring, TX (South)	Facility Operator II	Water Operator	C	ww0011048
80330	Linden, Donald P	Spring, TX (South)	Facility Operator II	Wastewater Collection	Class I	ww00045883
80330	Linden, Donald P	Spring, TX (South)	Utility Tech I	DOT Physical Certification		
80309	Clark, Benjamin I	Fort Worth, TX	Utility Tech I	Commercial Drivers License	Class A	13337248
80309	Clark, Benjamin I	Fort Worth, TX	Facility Operator I	Wastewater Operator Texas	Class D	ww00046698
80286	Garza, Gary L	Spring, TX (South)	Facility Operator I	Water Treatment	Class C	ww0012844
80286	Garza, Gary L	Spring, TX (South)	Facility Operator I	Wastewater Treatment Operator	Class C	ww00044407
80285	Keng, Marshal G	Spring, TX (South)	Facility Operator II	Wastewater Treatment Operator	Class C	ww00090405
80285	Keng, Marshal G	Spring, TX (South)	Facility Operator II	Water Distribution Operator	Class C	ww0010071
80284	Lee, Kevin	Spring, TX (East)	Facility Operator I	Ground Water Treatment Operator	Class C	ww0013697
80284	Lee, Kevin	Spring, TX (East)	Facility Operator I	Ground Water Treatment Operator	Class C	ww0013534
80283	Gutierrez, Miguel A	Spring, TX (South)	Facility Operator I	Ground Water Treatment Operator	Class C	ww0014324
80281	Merks, Michael	West Austin (Field Office), TX	Field Supervisor	Wastewater Treatment Operator	Class C	ww00016086
80261	Anderson, Hans C	Spring, TX (East)	Facility Operator II	Ground Water Treatment Operator	Class C	ww00016086
80261	Anderson, Hans C	Spring, TX (East)	Field Supervisor	Wastewater Treatment Operator	Class B	ww00047423
80162	Larance, Velnor J	Kennville, TX	Facility Supervisor	Ground Water Treatment Operator	Class B	ww0003339
80162	Larance, Velnor J	Kennville, TX	Facility Supervisor	Wastewater Operator	Class B	ww0004314
80153	Thomas, Paul D	Spring, TX (South)	Facility Operator II	Ground Water Treatment Operator	Class 1	ww0012568
102296	Clarkson, Eric S	Spring, TX (East)	Utility Tech I	Wastewater Collection		
102296	Clarkson, Eric S	Spring, TX (East)	Utility Tech I	DOT Physical Certification	Class A	11317301
102296	Clarkson, Eric S	Spring, TX (East)	Utility Tech I	Commercial Drivers License	Class B	ww0006139
102297	Strawther, Larry N	West Austin (Field Office), TX	Facility Operator III	Wastewater Treatment Operator	Class B	ww0004074
102287	Strawther, Larry N	West Austin (Field Office), TX	Facility Operator III	Surface Water Operator	Class B	

Barrow Ranch

17. A. For Water Systems: TCEQ Public Water System Identification Number: 0360112
Date of last inspection: January 20, 2012
18. A. Are any improvements required to meet TCEQ standards? No.
B. Is there a moratorium on new connections? No
C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Not applicable.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
3	Non Metered		2" Meter
190	5/8" or 3/4" Meter	1	3" Meter
2	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			196

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Carriage Trail Subdivision

17. A. For Water Systems: TCEQ Public Water System Identification Number: 0360093

Date of last inspection: February 9, 2012

18. A. Are any improvements required to meet TCEQ standards? Yes.
- B. Is there a moratorium on new connections? No
- C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Well capacity issues – submitted capacity exception request to TCEQ on May 22, 2013 and follow-up letter on July 1, 2013. No recorded sanitary control easement or exception documentation – working with landowners to resolve. Last update provided to TCEQ on July 1, 2013.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
2	Non Metered		2" Meter
34	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			36

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Gray Utility Service

17. A. For Water Systems: TCEQ Public Water System Identification Number: 0360005

Date of last inspection: May 21, 2012

18. A. Are any improvements required to meet TCEQ standards? No.
- B. Is there a moratorium on new connections? No
- C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Not applicable.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
14	Non Metered	3	2" Meter
924	5/8" or 3/4" Meter	1	3" Meter
	1" Meter		4" Meter
2	1 1/2" Meter		Other
Total Water Connections			944

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Hackberry Creek Subdivision

17. A. For Water Systems: TCEQ Public Water System Identification Number: 0360100

Date of last inspection: February 9, 2012

18. A. Are any improvements required to meet TCEQ standards? No.
- B. Is there a moratorium on new connections? No
- C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Not applicable.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
1	Non Metered		2" Meter
60	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			61

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Leaning Oaks Water Association

17. A. For Water Systems: TCEQ Public Water System Identification Number: 0360081

Date of last inspection: February 9, 2012

18. A. Are any improvements required to meet TCEQ standards? No.
- B. Is there a moratorium on new connections? No
- C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Not applicable.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
2	Non Metered		2" Meter
34	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			36

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Oak Meadows II Subdivision

17. A. For Water Systems: TCEQ Public Water System Identification Number: 1460096
Date of last inspection: April 30, 2012
18. A. Are any improvements required to meet TCEQ standards? Yes.
B. Is there a moratorium on new connections? No
C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Well casing issue; sight glass issue – Submitted proof that both issues are resolved in a letter to TCEQ dated January 28, 2013.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
1	Non Metered		2" Meter
38	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 ½" Meter		Other
Total Water Connections			39

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Oak Meadows III Subdivision

17. A. For Water Systems: TCEQ Public Water System Identification Number: 1460100

Date of last inspection: April 30, 2012

18. A. Are any improvements required to meet TCEQ standards? Yes.
- B. Is there a moratorium on new connections? No
- C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Well capacity issue – TCEQ has approved Aqua Texas' engineered design plans to install a 21,000 gallon ground storage tank and two booster pumps with 5 horsepower that can pump 59 gpm. These installations will resolve the issues noted in the inspection report. The installation date(s) are still to be determined.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
4	Non Metered		2" Meter
54	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			58

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Sunchase Subdivision

17. A. For Water Systems: TCEQ Public Water System Identification Number: 1230083

Date of last inspection: July 27, 2011

18. A. Are any improvements required to meet TCEQ standards? No.
- B. Is there a moratorium on new connections? No
- C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Not applicable.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
2	Non Metered		2" Meter
46	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			48

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Towering Oaks I

17. A. For Water Systems: TCEQ Public Water System Identification Number: 1460145
Date of last inspection: April 30, 2010
18. A. Are any improvements required to meet TCEQ standards? No.
B. Is there a moratorium on new connections? No
C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Not applicable.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
7	Non Metered		2" Meter
52	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			59

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Trinity Cove Subdivision

17. A. For Water Systems: TCEQ Public Water System Identification Number: 0360084
Date of last inspection: September 24, 2012
18. A. Are any improvements required to meet TCEQ standards? Yes.
B. Is there a moratorium on new connections? No
C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards:
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
	Non Metered		2" Meter
32	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 1/2" Meter		Other
Total Water Connections			32

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

Webb Way Subdivision

17. A. For Water Systems: TCEQ Public Water System Identification Number: 1460137

Date of last inspection: June 19, 2012

18. A. Are any improvements required to meet TCEQ standards? Yes.
- B. Is there a moratorium on new connections? No
- C. Provide details of each required major capital improvement to correct the deficiencies and meet the TCEQ standards: Cracked concrete slab around well head; pressure tank maintenance issue, sight glass issue; fence maintenance issue; and. Chlorination unit housing lock issue – Aqua Texas submitted proof that all issue were resolved in a letter to TCEQ on January 28, 2013.
19. Does the system being transferred operate within the city limits of a municipality or within district boundaries? No.
20. Do you currently purchase water or sewer treatment capacity from another source? No
21. List the number of existing connections to be effected by this transaction.

Water			
2	Non Metered		2" Meter
15	5/8" or 3/4" Meter		3" Meter
	1" Meter		4" Meter
	1 ½" Meter		Other
Total Water Connections			17

22. Has the system reached 85% of its capacity based on TCEQ's minimum requirements?

Aqua Texas evaluates system capacity on an annual basis and has a capital improvement program in place to add facilities as needed to meet TCEQ minimum requirements. Aqua Texas, Inc. will continue that program upon completion of the proposed transactions, which will merely shift assets among Aqua America, Inc. subsidiaries. If information about a specific system is desired, Aqua Texas will provide that information upon request.

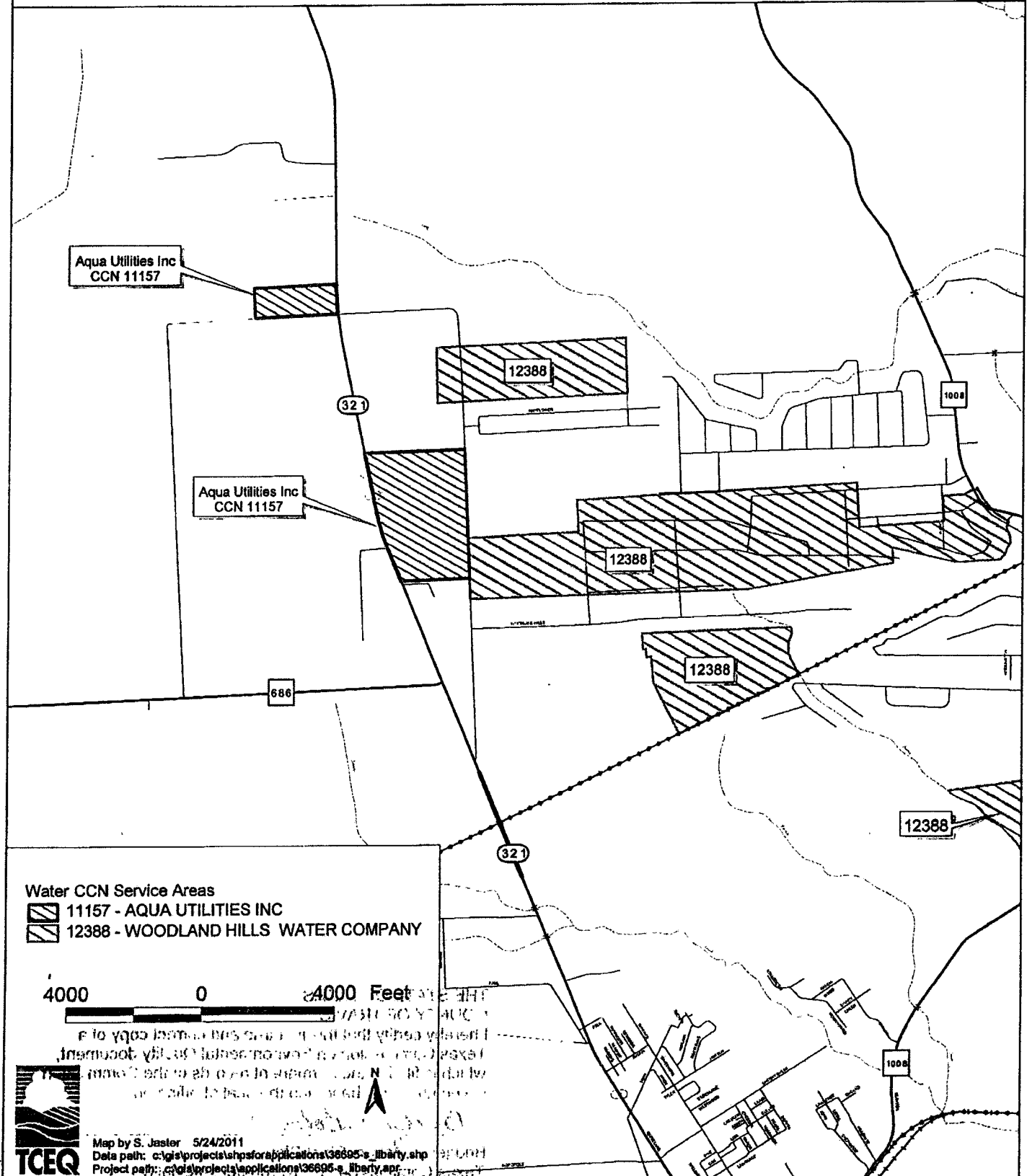
MAP 1 OF 3



Aqua Utilities, Inc. dba Aqua Texas, Inc.
Portion of Water Service Area in Liberty County
CCN No. 11157

Application No. 36695-S (Transferred and Cancelled CCN No. 12994
from Gray Utility Service, LLC
in Chambers, Jefferson and Liberty Counties)

MAP 2 OF 3



Aqua Utilities, Inc. dba Aqua Texas, Inc.
Portion of Water Service Area in Jefferson County
CCN No. 11157
Application No. 36695-S (Transferred and Cancelled CCN No. 12994
from Gray Utility Service, LLC
in Chambers, Jefferson and Liberty Counties)

MAP 3 OF 3

