



Control Number: 42867



Item Number: 49

Addendum StartPage: 0

SOAH DOCKET NO. 473-14-5138
PUC DOCKET NO. 42857

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PETITION OF NORTH AUSTIN
UTILITY DISTRICT NO. 1,
NORTHTOWN MUNICIPAL UTILITY
DISTRICT, TRAVIS COUNTY WATER
CONTROL AND IMPROVEMENT
DISTRICT NO. 10 AND WELLS
BRANCH MUNICIPAL UTILITY
DISTRICT, FROM THE RATEMAKING
ACTIONS OF THE CITY OF AUSTIN
AND REQUEST FOR INTERIM RATES
IN WILLIAMSON AND TRAVIS
COUNTIES

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BEFORE THE STATE OFFICE
PUBLIC UTILITY COMMISSION
FILING CLERK

OF

ADMINISTRATIVE HEARINGS

SOAH DOCKET NO. 473-14-5138
PUC DOCKET NO. 42867

PETITION OF NORTH AUSTIN
MUNICIPAL UTILITY DISTRICT NO.
1, NORTHTOWN MUNICIPAL
UTILITY DISTRICT, AND WELLS
BRANCH MUNICIPAL UTILITY
DISTRICT FROM THE RATEMAKING
ACTIONS OF THE CITY OF AUSTIN
AND REQUEST FOR INTERIM RATES
IN WILLIAMSON AND TRAVIS
COUNTIES

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BEFORE THE STATE OFFICE

OF

ADMINISTRATIVE HEARINGS

CITY OF AUSTIN'S FIFTH SUPPLEMENTAL RESPONSE
TO PETITIONERS' THIRD REQUEST FOR PRODUCTION OF DOCUMENTS

TO: Wells Branch MUD, Travis County WCID No. 10, Northtown MUD, and North Austin Utility District No. 1, through its attorneys of record, Randall Wilburn, Attorney at Law, 3000 South IH 35, Suite 150, Austin, Texas 78704; and John J. Carlton, The Carlton Law Firm, PLLC, 2705 Bee Cave Road, Suite 200, Austin, Texas 78746.

COMES NOW, the City of Austin, (herein sometimes referred to as "City," "Austin" or "Respondent"), in the above styled and docketed consolidated wholesale water and wholesale wastewater rate appeal proceeding and serves this, the City of Austin's Fifth Supplemental

Response to Petitioners' Third Request for Production of Documents, on all parties to this Proceeding.

GENERAL OBJECTIONS TO INSTRUCTIONS

Austin objects to Petitioners' "Instructions" and will not adhere to any such instructions to the extent they are inconsistent with, or in addition to, the applicable procedures and rules set forth in the Texas Rules of Civil Procedure. Austin will respond to Petitioners' requests for production consistent with the Texas Rules of Civil Procedure. Austin will respond to Petitioners' requests for production only as required by Tex. R. Civ. P. 193, 196.2(b) 197.2(b)-(c). Subject to any objections and assertions of privilege that Austin may make, Austin will produce only those relevant, non-privileged documents in their possession, custody, or control that are responsive to Petitioners' requests.

I. GENERAL OBJECTIONS TO DEFINITIONS

Austin objects to Petitioners' "Definitions" and will not adhere to any such definitions to the extent that they are inconsistent with, or in addition to, the applicable rules set forth in the Texas Rules of Civil Procedure. Austin specifically objects to, and will not adhere to, the following terms as defined by Petitioners.

Definition of "you," "your," and "City."

Petitioners' definition of "you," "your," and "City" are improper, overbroad, and on their face unreasonable. They include "affiliates" and "expert witnesses" without a reasonable limit to a time period, subject matter or subject area or whether such "affiliates" of consultant expert witnesses are located within the state of Texas. Using these definitions with Petitioners' overly broad requests would require Austin to produce hundreds or thousands of documents from former and present consultants or other entities on numerous subjects going back in time, indefinitely.

Definition of "Source Documentation."

Petitioners' definition of this term is overly broad, harrassive, and not reasonably related to the discovery of admissible evidence. In order to respond completely to Petitioners' request for production, Austin would have to assemble and produce all "invoices, receipts, cash slips, deposit slips, check ledgers and work papers" without a reasonable time limit or scope. Such a request would require Austin to expend thousands of man hours to produce documents in needless detail, thereby increasing the costs of this case beyond what is reasonable or necessary. Further, Austin is not clear by how Petitioners define the term "business deal" or what documents would reasonably comprise a "business deal."

II. RESPONSES TO REQUESTS FOR PRODUCTION SUBJECT TO GENERAL AND SPECIFIC OBJECTIONS, RIGHT TO AMEND OR SUPPLEMENT

Each of these responses is submitted pursuant to applicable law and rules, and each response provided is expressly subject to the general and specific objections set forth herein. By producing documents in response to a request, Austin does not waive or suspend their objections to the request. Additionally, Austin reserves the right to amend or supplement this response in accordance with applicable rules.

RESPONSE: Respondent objects to this request as overly broad, unduly burdensome and not limited to a reasonable time or scope. Respondent further objects to the request as being made for the purpose of harassment.

III. RESPONSES TO REQUESTS FOR PRODUCTION

REQUEST FOR PRODUCTION NO. 3-9. Please provide the source documentation for the 53.7% in Transmission Mains shown on page "PFT of Greg Meszaros-5129" (Table 220 of Water COS FY 2013 Tables) on Exhibit 19 of the prefiled testimony of Greg Meszaros.

RESPONSE: Subject to, and without waiving general and specific objections stated in our original response, *See the attached B and V 2004 Mains Distribution*, Austin RPD Resp. 7825.

REQUEST FOR PRODUCTION NO. 3-10. Please provide the source documentation for the 46.3% in Distribution Mains shown on page "PFT of Greg Meszaros-5129" (Table 220 of Water COS FY 2013 Tables) on Exhibit 19 of the prefiled testimony of Greg Meszaros.

RESPONSE: Subject to, and without waiving general and specific objections stated in Austin's original response, *see* documents produced in response to Request for Production No. 3-9.

REQUEST FOR PRODUCTION NO. 3-90. Please provide all reports, studies, and presentations on total wastewater system inflow/infiltration in 2009, 2010, 2011, 2012, and 2013.

RESPONSE: Subject to, and without waiving general and specific objections stated in our original response, *See* the attached FY 2009-2013 Monthly Average Influent Flow Chart, Austin RPD Resp. 7826-7829.

REQUEST FOR PRODUCTION NO. 3-95. Please provide the documents showing the development of the FY 2013 Other Transfers amount of \$769,366 shown on page "PFT of Greg Meszaros-6097" (Table 61 of Wastewater COS FY 2013 Tables) on Exhibit 21 of the prefiled testimony of Greg Meszaros.

RESPONSE: Subject to, and without waiving general and specific objections stated in our original response, *See* the attached FY 2012-2013 Budget- Option 28 Wastewater COS Submittal, Austin RPD Resp. 7830-7866; more specifically see Austin RPD Resp. 7866.

REQUEST FOR PRODUCTION NO. 3-96. Please provide the source documentation for the \$1,950,291 in Transfer from CRFs shown on page "PFT of Greg Meszaros-6098" (Table 62 of Wastewater COS FY 2013 Tables) on Exhibit 21 of the prefiled testimony of Greg Meszaros.

RESPONSE: Subject to, and without waiving general and specific objections stated in Austin's original response, *see* documents produced in response to Request for Production No. 3-95; more specifically see Austin RPD Resp. 7839.

REQUEST FOR PRODUCTION NO. 3-97. Please provide the source documentation for the \$8,357,927 Increase in Operating Reserves shown on page "PFT of Greg Meszaros-6098" (Table 62 of Wastewater COS FY 2013 Tables) on Exhibit 21 of the prefiled testimony of Greg Meszaros.

RESPONSE: Subject to, and without waiving general and specific objections stated in Austin's original response, *see* documents produced in response to Request for Production No. 3-95; more specifically see Austin RPD Resp. 7830.

REQUEST FOR PRODUCTION NO. 3-98. Please provide the source documentation for the \$5,726,788 Increase in Operating Reserves shown on page "PFT of Greg Meszaros-6098" (Table 62 of Wastewater COS FY 2013 Tables) on Exhibit 21 of the prefiled testimony of Greg Meszaros.

RESPONSE: Subject to, and without waiving general and specific objections stated in Austin's original response, *see* documents produced in response to Request for Production No. 3-95; more specifically see Austin RPD Resp. 7830.

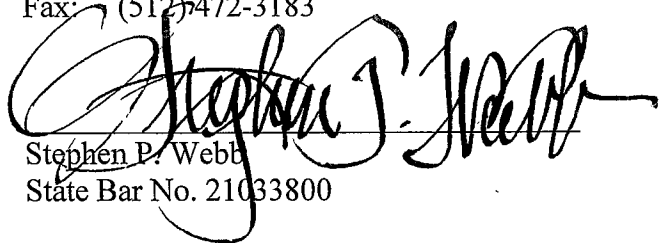
Respectfully submitted,

KAREN KENNARD,
City Attorney

D. CLARK CORNWELL
Assistant City Attorney

WEBB & WEBB
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211 East Seventh Street
Austin, Texas 78767
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By:

A large, stylized handwritten signature in black ink, appearing to read "Stephen P. Webb", is written over a horizontal line.

Stephen P. Webb
State Bar No. 21033800

Gwendolyn Hill Webb
State Bar No. 21026300

ATTORNEYS FOR CITY OF AUSTIN

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing document has been served via hand delivery, facsimile, electronic mail, overnight mail, US mail and/or Certified Mail Return Receipt Requested on all parties whose names appear on the mailing list below on this 25th day of September, 2014.

FOR THE PUBLIC UTILITY COMMISSION:

1701 N. Congress Avenue, 7th Floor
PO Box 13326
Austin, Texas 78711-3326
Via Electronic Upload & Hand Delivery

FOR PETITIONERS:

Mr. Randall B. Wilburn, Attorney at Law
3000 South IH 35, Suite 150
Austin, Texas 78704
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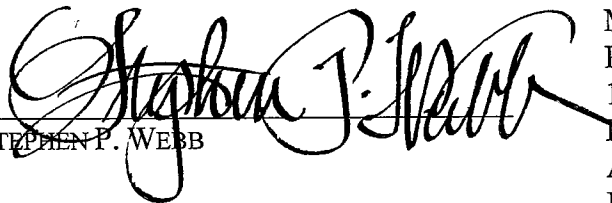
FOR THE SOAH DOCKET CLERK:

Ms. Monica Luna, Docketing Clerk
State Office of Administrative Hearings
300 W. 15th Street, Suite 504
Austin, Texas 78701
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(Without Attachments)

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FOR THE PUC STAFF:

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STEPHEN P. WEBB

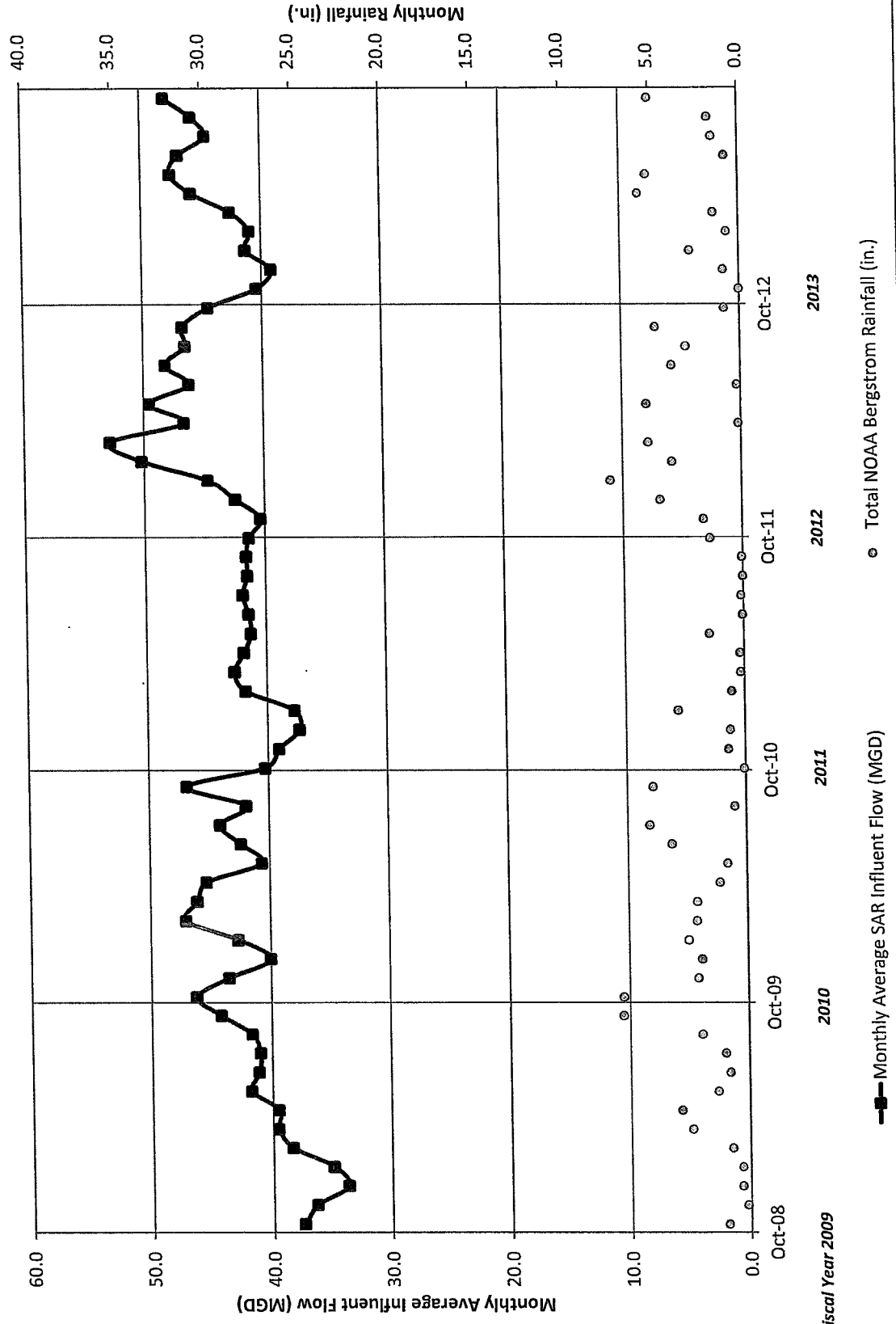
Response to Request No. 3-9

Water Utility Main Analysis

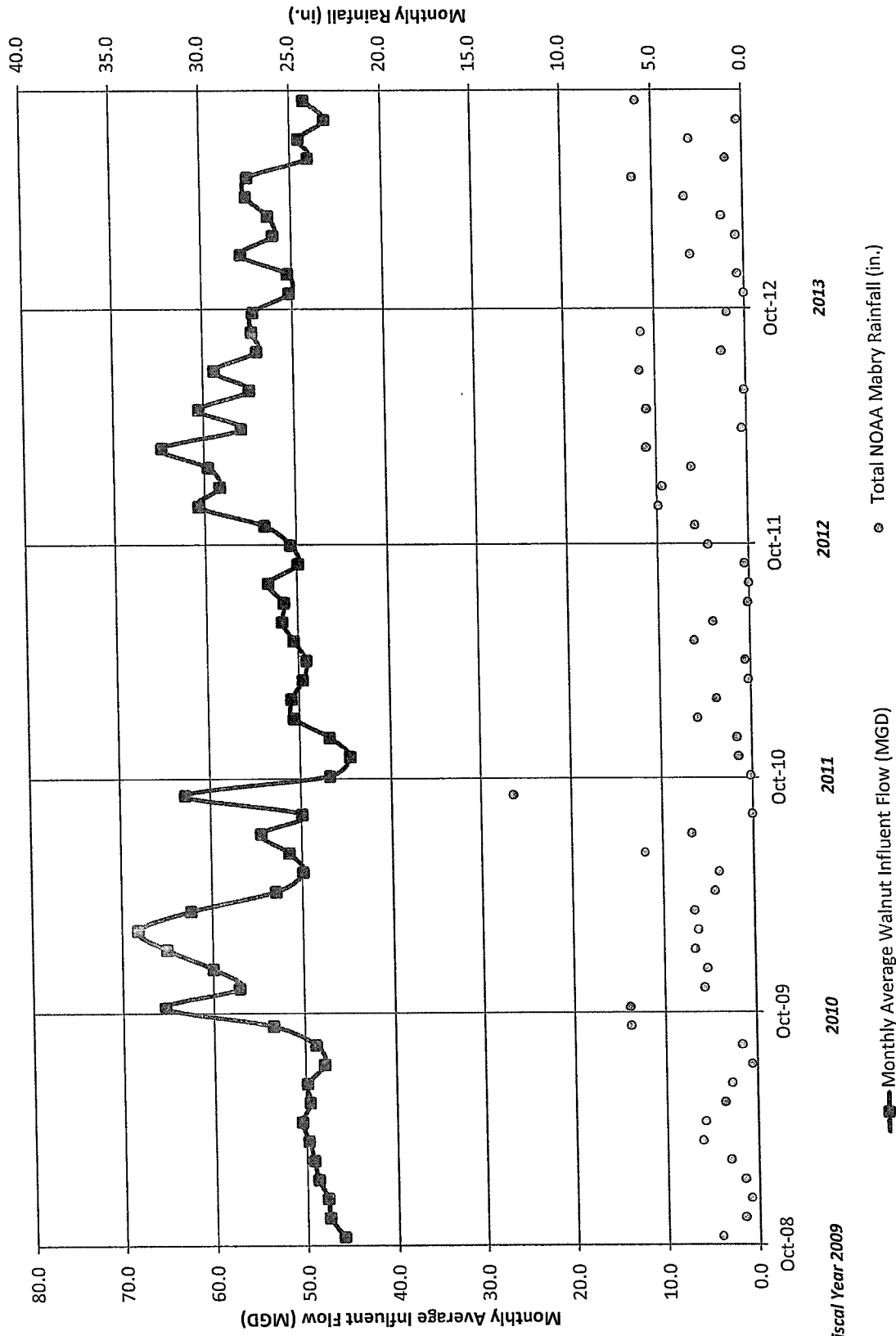
Line No.	Description	Original Cost	Annual Depreciation	Percentage
1	Distribution Mains <= 16"	244,847,458	5,066,432	45.70%
2	Transmission Mains > 16"	290,960,130	6,020,605	54.30%
3	Total	535,807,588	11,087,037	100.00%

Response to
Request
No. 3-90

SAR WWTP Monthly Average Influent Flow (MGD)



Walnut WWTP Monthly Average Influent Flow (MGD)



Monthly Average Influent Flow (MGD)
Fiscal Year 2009 to 2013

Fiscal Year	Date	Monthly Average Walnut Influent Flow (MGD)	Monthly Average SAR Influent Flow (MGD)	Monthly Average Total Influent Flow (MGD)	Total NOAA Mabry Rainfall (in.)	Total NOAA Bergstrom Rainfall (in.)
2009	October-08	45.9	37.4	83.3	2.03	1.24
	November-08	47.5	36.4	83.9	0.76	0.17
	December-08	47.7	33.7	81.4	0.42	0.45
	January-09	48.7	34.9	83.7	0.74	0.43
	February-09	49.2	38.3	87.5	1.51	0.98
	March-09	49.7	39.5	89.3	3.05	3.22
	April-09	50.4	39.5	89.9	2.88	3.80
	May-09	49.5	41.8	91.3	1.78	1.76
	June-09	49.8	41.1	90.9	1.38	1.06
	July-09	47.9	41.0	88.8	0.27	1.31
	August-09	48.8	41.7	90.4	0.80	2.62
	September-09	53.4	44.2	97.6	6.89	7.02
2010	October-09	65.3	46.2	111.5	6.93	7.00
	November-09	57.1	43.5	100.6	2.83	2.81
	December-09	60.0	40.0	100.0	2.65	2.58
	January-10	65.0	42.7	107.7	3.31	3.32
	February-10	68.2	47.1	115.3	3.11	2.85
	March-10	62.3	46.1	108.4	3.32	2.82
	April-10	52.9	45.3	98.2	2.17	1.53
	May-10	49.9	40.7	90.5	1.92	1.08
	June-10	51.4	42.4	93.8	5.98	4.18
	July-10	54.4	44.1	98.5	3.40	5.41
	August-10	49.9	42.0	91.8	0.03	0.64
	September-10	62.7	46.9	109.6	13.20	5.20
2011	October-10	46.7	40.3	87.0	0.09	0.07
	November-10	44.5	39.1	83.5	0.73	0.94
	December-10	46.7	37.3	84.0	0.80	0.82
	January-11	50.6	37.7	88.3	2.95	3.74
	February-11	50.8	41.8	92.6	1.90	0.73
	March-11	49.5	42.7	92.2	0.12	0.20
	April-11	49.1	41.9	91.0	0.29	0.23
	May-11	50.5	41.3	91.8	3.07	1.94
	June-11	51.7	41.5	93.2	2.02	0.05
	July-11	51.5	42.0	93.4	0.09	0.12
	August-11	53.1	41.5	94.7	0.01	0.02
	September-11	49.8	41.6	91.5	0.22	0.05
2012	October-11	50.7	41.4	92.1	2.22	1.84
	November-11	53.4	40.4	93.8	2.92	2.17
	December-11	60.7	42.4	103.1	4.93	4.58
	January-12	58.3	44.7	103.0	4.70	7.37
	February-12	59.5	50.2	109.7	3.09	3.89
	March-12	64.6	52.8	117.4	5.53	5.20
	April-12	55.8	46.6	102.5	0.24	0.14
	May-12	60.5	49.5	110.0	5.48	5.29
	June-12	54.9	46.2	101.1	0.08	0.21
	July-12	58.8	48.1	106.9	5.83	3.85
	August-12	54.0	46.5	100.4	1.31	3.06
	September-12	54.5	46.7	101.2	5.72	4.75
	October-12	54.4	44.5	98.9	0.98	0.86
	November-12	50.3	40.4	90.8	0.00	0.02

2013	December-12	50.5	39.2	89.7	0.36	0.89
	January-13	55.6	41.4	96.9	2.94	2.78
	February-13	52.0	41.0	93.0	0.41	0.69
	March-13	52.5	42.6	95.1	1.20	1.41
	April-13	54.9	45.8	100.8	3.24	5.63
	May-13	54.8	47.5	102.3	6.07	5.16
	June-13	48.1	46.9	94.9	0.92	0.76
	July-13	49.0	44.6	93.6	2.93	1.47
	August-13	46.2	45.8	92.0	0.28	1.68
	September-13	48.4	48.0	96.4	5.83	5.03

Response to
Request
No. 3-95

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

Budget FY 2013-17

Option #28

*** DRAFT ***

**Combined Operating Budget
Fund Summary**

	Actual 2009-10	Actual 2010-11	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
BEGINNING BALANCE:	\$63,868,638	\$58,237,765	\$46,752,900	\$71,741,693	\$73,306,915
REVENUES:					
Water Services	\$167,950,512	\$231,623,161	\$217,346,000	\$224,713,671	\$255,446,799
Wastewater Services	186,764,058	199,898,274	216,345,137	211,997,050	231,626,292
Reclaimed Water Services	404,498	587,920	879,424	746,617	1,431,331
Revenue Stability Fee	0	0	17,000,000	17,000,000	5,666,667
Reserve Fund Surcharge	0	0	0	0	5,516,300
Miscellaneous Revenue	5,954,982	7,283,605	6,409,136	5,957,501	8,897,300
Interest Income	691,102	419,751	804,807	274,004	748,233
TOTAL REVENUES:	\$361,765,152	\$439,812,711	\$458,784,504	\$460,688,843	\$509,332,922
TRANSFERS IN:					
Public Works	\$300,582	\$300,582	\$300,582	\$300,582	\$300,582
Capital Recovery Fees	17,885,673	4,800,000	4,300,000	4,300,000	4,800,000
Reclaimed Utility Fund	0	0	0	0	1,920,000
TOTAL TRANSFERS IN:	\$18,186,255	\$5,100,582	\$4,600,582	\$4,600,582	\$7,020,582
TOTAL AVAILABLE FUNDS:	\$379,951,407	\$444,913,293	\$463,385,086	\$465,289,425	\$516,353,504
OPERATING REQUIREMENTS					
Operations and Maintenance					
Treatment	\$58,413,159	\$60,834,395	\$67,453,306	\$68,458,680	\$73,635,087
Pipeline Operations	33,747,604	33,448,194	33,790,188	34,306,691	38,645,675
Engineering Services	9,997,781	10,326,710	12,057,879	11,358,438	13,205,305
Water Resources Management	3,798,010	3,872,222	4,041,065	4,105,840	4,803,937
Environmental Affairs & Conservation	11,676,613	9,867,214	12,170,711	9,948,872	13,113,373
Support Services - Utility	16,283,017	15,997,078	16,725,781	17,502,099	19,260,896
Reclaimed Water Services	0	0	255,585	251,328	276,471
One Stop Shop	476,114	496,730	523,962	523,962	601,462
Other Operating Expenses	4,710,602	6,825,592	6,469,875	6,180,203	6,815,749
Total Operations & Maintenance	\$139,102,900	\$141,668,135	\$153,488,352	\$152,636,113	\$170,357,955
(%RR)	36.0%	32.8%	32.9%	32.9%	32.9%
Other Requirements:					
Accrued Payroll	\$377,580	\$291,644	\$198,591	\$189,199	\$324,950
Workers' Compensation Fund	961,067	1,035,444	1,022,402	1,022,402	1,195,033
Liability Reserve Fund	620,000	620,000	550,000	550,000	500,000
Administrative Support - City	6,476,539	7,088,728	8,272,098	8,272,098	12,150,381
AE Billing & Customer Care	12,262,192	13,786,079	16,556,100	16,556,100	18,496,148
311 System Support	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
CTM Support	4,174,664	4,238,170	3,125,227	3,125,227	3,447,396
CTECC Emergency Operations Center	0	7,690	5,994	5,994	7,117
Wage Adjustments Market Study	0	0	584,189	584,189	0
Additional Contribution to Retirement	2,008,204	3,089,029	4,376,882	4,376,882	0
Total Other Requirements:	\$27,880,246	\$31,051,266	\$35,691,483	\$35,682,091	\$37,121,025
TOTAL OPERATING REQUIREMENTS:	\$166,983,146	\$172,719,401	\$189,179,835	\$188,318,204	\$207,478,980
(%RR)	43.2%	39.9%	40.6%	40.6%	40.0%
DEBT SERVICE:					
Revenue Bond Debt Service	\$151,506,313	\$166,475,688	\$182,029,029	\$181,066,004	\$197,547,341
Commercial Paper Debt Service	503,663	448,644	780,388	471,078	654,842
Contract Bond Debt Service	606,181	0	0	0	0
General Obligation Debt Service	4,517,409	4,909,782	5,548,441	5,507,772	5,320,469
Water District Bonds	2,071,775	1,501,546	719,268	719,268	715,334
TOTAL DEBT SERVICE:	\$159,205,341	\$173,335,660	\$189,077,126	\$187,764,122	\$204,237,986
(%RR)	41.2%	40.1%	40.6%	40.5%	39.4%
TRANSFERS OUT:					
Capital Improvements Program	\$26,460,000	\$49,855,000	\$49,900,000	\$49,900,000	\$58,400,000
General Fund	28,967,464	31,263,325	31,919,531	31,919,531	34,548,359
Revenue Stability Reserve Fund	0	0	0	0	5,516,300
Radio Communications Fund	264,478	287,472	361,978	361,978	384,939
Sustainability Fund	4,144,601	4,221,113	4,587,844	4,587,844	5,093,329
Reclaimed Utility Fund	0	0	0	0	1,920,000
Economic Incentives Reserve Fund	0	333,333	333,333	333,333	333,333
Public Improvement District	75,000	75,000	75,000	75,000	75,000
Transfer to PARD CIP-Swimming Pools	100,000	100,000	100,000	100,000	100,000
Environmental Remediation Fund	241,500	241,500	364,191	364,191	364,191
TOTAL TRANSFERS OUT:	\$60,253,043	\$86,376,743	\$87,641,877	\$87,641,877	\$106,735,451
(%RR)	15.6%	20.0%	18.8%	18.9%	20.6%
TOTAL REQUIREMENTS:	\$386,441,530	\$432,431,804	\$465,898,838	\$463,724,203	\$518,452,417
EXCESS / (DEFICIENCY) OF TOTAL AVAILABLE FUNDS OVER TOTAL REQUIREMENTS:	(\$6,490,123)	\$12,481,489	(\$2,513,752)	\$1,565,222	(\$2,098,913)
ADJUSTMENT TO GAAP	\$859,250	\$1,022,439	\$0	\$0	\$0
ENDING BALANCE:	\$58,237,765	\$71,741,693	\$44,239,148	\$73,306,915	\$71,208,002
Combined Rate Increases	4.5%	4.5%	5.1%	5.1%	5.5%
Debt Service Coverage Ratio			1.51	1.53	1.56

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

Budget FY 2013-17

Option #28

*** DRAFT ***

**Water Operating Budget
Fund Summary**

	Actual 2009-10	Actual 2010-11	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
BEGINNING BALANCE:	\$29,408,799	\$18,702,014	\$21,874,267	\$42,322,379	\$55,160,705
REVENUES:					
Water Services	\$167,950,512	\$231,623,161	\$217,346,000	\$224,713,671	\$255,446,799
Wastewater Services	0	0	0	0	0
Reclaimed Water Services	400,831	580,368	0	0	0
Revenue Stability Fee	0	0	17,000,000	17,000,000	5,666,667
Reserve Fund Surcharge	0	0	0	0	5,516,300
Miscellaneous Revenue	1,883,856	3,503,760	2,480,785	2,822,700	4,216,600
Interest Income	177,597	120,610	397,242	107,873	404,873
TOTAL REVENUES:	\$170,412,796	\$235,827,899	\$237,224,027	\$244,644,244	\$271,251,239
TRANSFERS IN:					
Public Works	\$150,291	\$150,291	\$150,291	\$150,291	\$150,291
Capital Recovery Fees	8,921,328	3,000,000	3,000,000	3,000,000	3,000,000
Reclaimed Utility Fund	0	0	0	0	0
TOTAL TRANSFERS IN:	\$9,071,619	\$3,150,291	\$3,150,291	\$3,150,291	\$3,150,291
TOTAL AVAILABLE FUNDS:	\$179,484,415	\$238,978,190	\$240,374,318	\$247,794,535	\$274,401,530
OPERATING REQUIREMENTS					
Operations and Maintenance					
Treatment	\$29,994,227	\$31,796,064	\$35,089,080	\$35,333,919	\$37,547,064
Pipeline Operations	19,199,976	20,257,260	19,253,690	19,752,682	22,225,860
Engineering Services	4,280,478	4,491,458	5,617,676	5,346,534	6,349,353
Water Resources Management	1,942,333	1,892,783	1,817,011	1,809,843	2,239,267
Environmental Affairs & Conservation	9,795,278	7,897,429	10,298,259	8,113,384	10,931,930
Support Services - Utility	8,193,751	8,062,817	8,345,532	8,752,281	9,630,446
Reclaimed Water Services	0	0	0	0	0
One Stop Shop	179,742	161,050	170,776	170,776	213,673
Other Operating Expenses	2,308,386	4,453,728	3,577,583	3,316,093	3,845,623
Total Operations & Maintenance	\$75,894,171	\$79,012,589	\$84,169,607	\$82,595,512	\$92,983,216
(%RR)	39.9%	36.6%	35.5%	35.2%	32.0%
Other Requirements:					
Accrued Payroll	\$199,302	\$171,561	\$103,658	\$78,527	\$163,498
27th Pay Period Expense	0	1,373,881	0	0	0
27th Pay Period Expense Refund	0	(1,421,970)	0	0	0
Workers' Compensation Fund	472,538	509,108	511,201	511,201	597,517
Liability Reserve Fund	310,000	310,000	275,000	275,000	250,000
Administrative Support - City	3,199,334	3,937,596	4,818,042	4,818,042	7,327,453
AE Billing & Customer Care	8,211,967	8,446,149	10,573,659	10,573,659	12,366,897
311 System Support	500,000	500,000	500,000	500,000	500,000
CTM Support	2,087,332	2,119,085	1,562,613	1,562,613	1,723,698
CTECC Emergency Operations Center	0	3,845	2,997	2,997	3,559
Wage Adjustments Market Study	0	0	313,810	313,810	0
Additional Contribution to Retirement	981,393	1,545,427	2,170,337	2,170,337	0
Total Other Requirements:	\$15,961,866	\$17,494,682	\$20,831,317	\$20,806,186	\$22,932,622
TOTAL OPERATING REQUIREMENTS:	\$91,856,037	\$96,507,271	\$105,000,924	\$103,401,698	\$115,915,838
(%RR)	48.3%	44.8%	44.3%	44.0%	39.9%
DEBT SERVICE:					
Revenue Bond Debt Service	\$73,147,054	\$82,327,619	\$89,672,947	\$89,271,444	\$95,451,654
Commercial Paper Debt Service	265,987	239,793	457,978	234,815	579,384
Contract Bond Debt Service	0	0	0	0	0
General Obligation Debt Service	1,761,640	1,944,277	2,404,111	2,395,648	2,449,890
Water District Bonds	1,118,960	714,322	266,158	266,158	264,703
TOTAL DEBT SERVICE:	\$76,293,641	\$85,226,011	\$92,801,194	\$92,168,065	\$98,745,631
(%RR)	40.2%	39.5%	39.1%	39.2%	34.0%
TRANSFERS OUT:					
Capital Improvements Program	\$5,120,000	\$15,665,000	\$20,600,000	\$20,600,000	\$48,000,000
General Fund	14,260,165	15,485,864	15,746,956	15,746,956	17,722,306
Revenue Stability Reserve Fund	0	0	0	0	5,516,300
Radio Communications Fund	132,239	143,736	180,989	180,989	192,470
Sustainability Fund	2,092,834	2,179,607	2,372,240	2,372,240	2,712,512
Reclaimed Utility Fund	0	0	0	0	960,000
Economic Incentives Reserve Fund	0	166,666	166,666	166,666	166,666
Public Improvement District	37,500	37,500	37,500	37,500	37,500
Transfer to PARD CIP-Swimming Pools	100,000	100,000	100,000	100,000	100,000
Environmental Remediation Fund	120,750	120,750	182,095	182,095	182,095
TOTAL TRANSFERS OUT:	\$21,863,488	\$33,899,123	\$39,386,446	\$39,386,446	\$75,589,849
(%RR)	11.5%	15.7%	16.0%	16.0%	26.0%
TOTAL REQUIREMENTS:	\$190,013,166	\$215,632,405	\$237,188,564	\$234,956,209	\$290,251,318
EXCESS / (DEFICIENCY) OF TOTAL AVAILABLE FUNDS OVER TOTAL REQUIREMENTS:	(\$10,528,751)	\$23,345,785	\$3,185,754	\$12,838,326	(\$15,849,788)
ADJUSTMENT TO GAAP	(\$178,034)	\$274,580	\$0	\$0	\$0
ENDING BALANCE:	\$18,702,014	\$42,322,379	\$25,060,021	\$55,180,705	\$39,310,917
Water Rate Increases	5.7%	5.4%	6.6%	6.6%	5.0%
Debt Service Coverage Ratio			1.51	1.62	1.70

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

Budget FY 2013-17

Option #28

*** DRAFT ***

1,538,732

**Wastewater Operating Budget
Fund Summary**

	Actual 2009-10	Actual 2010-11	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
BEGINNING BALANCE:	\$34,459,839	\$39,535,751	\$24,878,633	\$29,419,314	\$17,664,195
REVENUES:					
Water Services	\$0	\$0	\$0	\$0	\$0
Wastewater Services	186,764,058	199,898,274	216,345,137	211,997,050	231,626,292
Reclaimed Water Services	3,667	7,552	0	0	0
Revenue Stability Fee	0	0	0	0	0
Reserve Fund Surcharge	0	0	0	0	0
Miscellaneous Revenue	4,071,126	3,779,845	3,928,351	3,134,801	4,680,700
Interest Income	513,505	299,141	399,742	166,050	339,596
TOTAL REVENUES:	\$191,352,356	\$203,984,812	\$220,673,230	\$215,297,901	\$236,646,588
TRANSFERS IN:					
Public Works	\$150,291	\$150,291	\$150,291	\$150,291	\$150,291
Capital Recovery Fees	8,964,345	1,800,000	1,300,000	1,300,000	1,800,000
Reclaimed Utility Fund	0	0	0	0	0
TOTAL TRANSFERS IN:	\$9,114,636	\$1,950,291	\$1,450,291	\$1,450,291	\$1,950,291
TOTAL AVAILABLE FUNDS:	\$200,466,992	\$205,935,103	\$222,123,521	\$216,748,192	\$238,596,879
OPERATING REQUIREMENTS					
Operations and Maintenance					
Treatment	\$28,418,932	\$29,038,331	\$32,364,226	\$33,124,761	\$36,088,023
Pipeline Operations	14,547,628	13,190,934	14,536,498	14,554,009	16,419,815
Engineering Services	5,717,303	5,835,252	6,440,203	6,011,904	6,855,952
Water Resources Management	1,855,677	1,979,439	2,224,054	2,295,997	2,564,670
Environmental Affairs & Conservation	1,881,335	1,969,785	1,872,452	1,835,488	2,181,443
Support Services - Utility	8,089,266	7,934,261	8,380,249	8,749,818	9,630,450
Reclaimed Water Services	0	0	0	0	0
One Stop Shop	296,372	335,680	353,186	353,186	387,789
Other Operating Expenses	2,402,216	2,371,864	2,892,292	2,864,110	2,970,126
Total Operations & Maintenance	\$63,208,729	\$62,655,546	\$69,063,160	\$69,789,273	\$77,098,268
(%RR)	32.2%	28.9%	30.2%	30.5%	34.3%
Other Requirements:					
Accrued Payroll	\$178,278	\$120,083	\$94,933	\$106,189	\$160,510
27th Pay Period Expense	0	1,385,569	0	0	0
27th Pay Period Expense Refund	0	(1,442,998)	0	0	0
Workers' Compensation Fund	488,529	526,336	511,201	511,201	597,516
Liability Reserve Fund	310,000	310,000	275,000	275,000	250,000
Administrative Support - City	3,277,205	3,151,132	3,454,056	3,454,056	4,822,928
AE Billing & Customer Care	4,050,225	5,339,930	5,982,441	5,982,441	6,129,251
311 System Support	500,000	500,000	500,000	500,000	500,000
CTM Support	2,087,332	2,119,085	1,562,614	1,562,614	1,723,698
CTECC Emergency Operations Center	0	3,845	2,997	2,997	3,558
Wage Adjustments Market Study	0	0	270,379	270,379	0
Additional Contribution to Retirement	1,026,811	1,543,602	2,206,545	2,206,545	0
Total Other Requirements:	\$11,918,380	\$13,556,584	\$14,860,166	\$14,871,422	\$14,187,461
TOTAL OPERATING REQUIREMENTS:	\$75,127,109	\$76,212,130	\$83,923,326	\$84,660,695	\$91,285,729
(%RR)	38.2%	35.2%	36.7%	37.1%	40.7%
DEBT SERVICE:					
Revenue Bond Debt Service	\$78,359,259	\$84,148,069	\$92,356,082	\$91,794,560	\$99,125,042
Commercial Paper Debt Service	237,676	208,851	322,410	236,263	72,955
Contract Bond Debt Service	606,181	0	0	0	0
General Obligation Debt Service	2,755,769	2,965,505	3,144,330	3,112,124	2,870,579
Water District Bonds	952,815	787,224	453,110	453,110	450,631
TOTAL DEBT SERVICE:	\$82,911,700	\$88,109,649	\$96,275,932	\$95,596,057	\$102,519,207
(%RR)	42.2%	40.6%	42.1%	41.8%	45.7%
TRANSFERS OUT:					
Capital Improvements Program	\$21,340,000	\$34,190,000	\$29,300,000	\$29,300,000	\$10,000,000
General Fund	14,707,299	15,777,461	16,172,575	16,172,575	16,802,030
Revenue Stability Reserve Fund	0	0	0	0	0
Radio Communications Fund	132,239	143,736	180,989	180,989	192,469
Sustainability Fund	2,051,767	2,041,506	2,206,732	2,206,732	2,366,466
Reclaimed Utility Fund	0	0	0	0	960,000
Economic Incentives Reserve Fund	0	166,667	166,667	166,667	166,667
Public Improvement District	37,500	37,500	37,500	37,500	37,500
Transfer to PARD CIP-Swimming Pools	0	0	0	0	0
Environmental Remediation Fund	120,750	120,750	182,096	182,096	182,096
TOTAL TRANSFERS OUT:	\$38,389,555	\$52,477,620	\$48,246,559	\$48,246,559	\$30,707,228
(%RR)	19.5%	24.2%	21.1%	21.1%	13.7%
TOTAL REQUIREMENTS:	\$196,428,364	\$216,799,399	\$228,445,817	\$228,503,311	\$224,512,164
EXCESS / (DEFICIENCY) OF TOTAL AVAILABLE FUNDS OVER TOTAL REQUIREMENTS:	\$4,038,628	(\$10,864,296)	(\$6,322,296)	(\$11,755,119)	\$14,084,715
ADJUSTMENT TO GAAP	\$1,037,284	\$747,859	\$0	\$0	\$0
ENDING BALANCE:	\$39,535,751	\$29,419,314	\$18,556,337	\$17,664,195	\$31,748,910
Wastewater Rate Increases	3.3%	3.6%	3.5%	3.5%	6.0%
Debt Service Coverage Ratio			1.50	1.44	1.49

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

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Option #28

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**Reclaimed Operating Budget
Fund Summary**

	Actual 2009-10	Actual 2010-11	Amended Budget 2011-12	Estimated 2011-12	¹ Proposed 2012-13
BEGINNING BALANCE:	\$0	\$0	\$0	\$0	\$482,015
REVENUES:					
Water Services	\$0	\$0	0	0	0
Wastewater Services	0	0	0	0	0
Reclaimed Water Services	0	0	879,424	746,617	1,431,331
Revenue Stability Fee	0	0	0	0	0
Reserve Fund Surcharge	0	0	0	0	0
Miscellaneous Revenue	0	0	0	0	0
Interest Income	0	0	7,823	81	3,764
TOTAL REVENUES:	\$0	\$0	\$887,247	\$746,698	\$1,435,095
TRANSFERS IN:					
Public Works	\$0	\$0	\$0	\$0	\$0
Capital Recovery Fees	0	0	0	0	0
Reclaimed Utility Fund	0	0	0	0	1,920,000
TOTAL TRANSFERS IN:	\$0	\$0	\$0	\$0	\$1,920,000
TOTAL AVAILABLE FUNDS:	\$0	\$0	\$887,247	\$746,698	\$3,355,095
OPERATING REQUIREMENTS					
Operations and Maintenance					
Treatment	\$0	\$0	\$0	\$0	\$0
Pipeline Operations	0	0	0	0	0
Engineering Services	0	0	0	0	0
Water Resources Management	0	0	0	0	0
Environmental Affairs & Conservation	0	0	0	0	0
Support Services - Utility	0	0	255,585	251,328	276,471
Reclaimed Water Services	0	0	0	0	0
One Stop Shop	0	0	0	0	0
Other Operating Expenses	0	0	0	0	0
Total Operations & Maintenance	\$0	\$0	\$255,585	\$251,328	\$276,471
(%RR)			96.6%	95.0%	7.5%
Other Requirements:					
Accrued Payroll	\$0	\$0	\$0	\$4,483	\$942
Workers' Compensation Fund	0	0	0	0	0
Liability Reserve Fund	0	0	0	0	0
Administrative Support - City	0	0	0	0	0
AE Billing & Customer Care	0	0	0	0	0
311 System Support	0	0	0	0	0
CTM Support	0	0	0	0	0
CTECC Emergency Operations Center	0	0	0	0	0
Wage Adjustments Market Study	0	0	0	0	0
Additional Contribution to Retirement	0	0	0	0	0
Total Other Requirements:	\$0	\$0	\$0	\$4,483	\$942
TOTAL OPERATING REQUIREMENTS:	\$0	\$0	\$255,585	\$255,811	\$277,413
(%RR)			96.6%	96.6%	7.5%
DEBT SERVICE:					
Revenue Bond Debt Service	\$0	\$0	\$0	\$0	\$2,970,645
Commercial Paper Debt Service	0	0	0	0	2,503
Contract Bond Debt Service	0	0	0	0	0
General Obligation Debt Service	0	0	0	0	0
Water District Bonds	0	0	0	0	0
TOTAL DEBT SERVICE:	\$0	\$0	\$0	\$0	\$2,973,148
(%RR)			0.0%	0.0%	80.6%
TRANSFERS OUT					
Capital Improvements Program	\$0	\$0	\$0	\$0	\$400,000
General Fund	0	0	0	0	24,023
Revenue Stability Reserve Fund	0	0	0	0	0
Radio Communications Fund	0	0	0	0	0
Sustainability Fund	0	0	8,872	8,872	14,351
Reclaimed Utility Fund	0	0	0	0	0
Economic Incentives Reserve Fund	0	0	0	0	0
Public Improvement District	0	0	0	0	0
Transfer to PARD CIP-Swimming Pools	0	0	0	0	0
Environmental Remediation Fund	0	0	0	0	0
TOTAL TRANSFERS OUT:	\$0	\$0	\$8,872	\$8,872	\$438,374
(%RR)			3.4%	3.4%	11.9%
TOTAL REQUIREMENTS:	\$0	\$0	\$264,457	\$264,683	\$3,688,935
EXCESS / (DEFICIENCY) OF TOTAL AVAILABLE FUNDS OVER TOTAL REQUIREMENTS:	\$0	\$0	\$622,790	\$482,015	(\$333,840)
ADJUSTMENT TO GAAP	\$0	\$0	\$0	\$0	\$0
ENDING BALANCE:	\$0	\$0	\$622,790	\$482,015	\$148,175
Water Rate Increases	0.0%	0.0%	7.7%	7.7%	10.8%
Debt Service Coverage Ratio			#DIV/0!	#DIV/0!	1.04

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

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COMBINED OPTION VARIABLES

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
Ending Fund Balance	44,239,148	73,306,915	71,208,002
Target Ending Balance	\$42,850,546	\$42,732,514	\$63,292,791
Variance Actual vs. Target	1,388,602	30,574,401	7,915,211
Rate Increase Percentage	5.1%	5.1%	5.5%
Debt Coverage Ratio	1.51	1.53	1.56
Rate Increase Revenues	\$0	\$0	\$25,540,000

CIP Transfer Summary:

Operating Fund Current Revenue	\$49,900,000	\$49,900,000	\$58,400,000
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Combined Equity Financing of CIP	26.1%	22.5%	27.6%
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CIP Bond Funding Plan

			5-Year Combine
Projected CIP Spending Plan	\$287,854,000	\$287,854,000	\$259,398,000
Projected Spending (ARRA)	10,084,000	10,084,000	0
Projected CIP Spending Plan (Without ARRA)	\$277,770,000	\$277,770,000	\$259,398,000

Less:

Current Revenue Spending - Current	\$49,900,000	\$42,148,000	\$24,275,000
Current Revenue Spending - Previous	1,000,000	1,000,000	17,133,950
CRF Spending - Previous	0	0	0
Fee Supported Services	0	0	0
Capital Equipment funded by GOs	1,965,000	1,965,000	2,246,000
CIP Spending Timing Adjustment	67,202,000	33,601,000	28,958,000
Current Revenues - Acc. Balance Usage	0	0	0

	CIP Timing Adj (%)	76.7%	88.3%	88.6%
Total Bond Funding Requirements		\$157,703,000	\$199,056,000	\$186,785,050

Total Bond Issues		157,703,000	199,056,000	186,785,000
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Combined Equity in Utility System Assets				35.4%
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Revenue Summary:

Base Revenues Without Rate Increases	\$434,570,561	\$437,457,338	\$462,964,422
Current Year Rate Adjustment	0	0	25,540,000
Prior Year Rate Adjustment	0	0	0
Revenue Stability Fee	17,000,000	17,000,000	5,666,667
Total Revenues	\$451,570,561	\$454,457,338	\$494,171,089

Base Revenue Growth % From Prior Year			6.5%
5-Year Average Base Revenue Growth	2.2%		
Total Revenue Growth % From Prior Year			9.4%
5-Year Average Total Revenue Growth	5.6%		

CIP Equity Financing Summary:

Operating Fund Current Rev - CIP Transfers	\$49,900,000	\$49,900,000	\$58,400,000
Fee Supported Services	\$0	\$0	\$0
Current Revenues - Acc. Balance Usage	0	0	0
Avoided CIP Backcharges in O&M	5,160,000	5,160,000	5,314,800
Total Equity Financing of CIP (1)	\$55,060,000	\$55,060,000	\$63,714,800

CIP Spending Plan	\$277,770,000	\$277,770,000	\$259,398,000
Less: CIP Spending Timing Adjustment	(67,202,000)	(33,601,000)	(28,958,000)
Total CIP Spending (2)	\$210,568,000	\$244,169,000	\$230,440,000

Total CIP Equity Financing Percentage (1)/(2)	26.1%	22.5%	27.6%
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WATER OPTION VARIABLES

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
Ending Fund Balance	25,060,021	55,160,705	39,310,917
Target Ending Balance	\$ 24,244,188	\$ 24,025,116	\$ 37,100,323
Variance Actual vs. Target	815,833	31,135,589	2,210,594
Rate Increase Percentage	6.6%	6.6%	5.0%
Combined			5.5%
Debt Coverage Ratio	1.51	1.62	1.70
Combined			1.58
Rate Increase Revenues		\$0	\$12,200,000

CIP Transfer Summary:

Operating Fund Current Revenue	\$20,600,000	\$20,600,000	\$48,000,000
Water Equity Financing of CIP	15.1%	13.4%	28.5%
Combined Equity Financing of CIP	26.1%	22.5%	27.6%

CIP Bond Funding Plan

			5-Year Water CIP
Projected CIP Spending Plan	\$191,542,000	\$191,542,000	\$197,731,000
Projected Spending (ARRA)	0	0	0
Projected CIP Spending Plan (Without ARRA)	\$191,542,000	\$191,542,000	\$197,731,000

Less:

Current Revenue Spending - Current	20,600,000	12,848,000	13,875,000
Current Revenue Spending - Previous	500,000	500,000	0
CRF Spending - Previous	0	0	0
Fee Supported Services	0	0	0
Capital Equipment funded by GOs	315,000	315,000	1,208,000
CIP Spending Timing Adjustment	38,308,400	19,154,000	19,773,000
Current Revenues - Acc. Balance Usage	0	0	0
	80.0%	90.0%	90.0%
Total Bond Funding Requirements	\$131,818,600	\$158,725,000	\$162,875,000
Total Bond Issues	\$131,819,000	\$158,725,000	\$162,875,000

Combined Equity in Utility System Assets

35.4%

Revenue Summary:

Base Revenues Without Rate Increases	\$217,346,000	\$224,713,671	\$243,246,799
Current Year Rate Adjustment	0	0	12,200,000
Prior Year Rate Adjustment		0	0
Revenue Stability Fee	17,000,000	17,000,000	5,666,667
Total Revenues	\$234,346,000	\$241,713,671	\$261,113,466
Base Revenue Growth % From Prior Year			11.90%
5-Year Average Base Revenue Growth	3.04%		
Total Revenue Growth % From Prior Year			11.40%
5-Year Average Total Revenue Growth	6.48%		

CIP Equity Financing Summary - Water:

Operating Fund Current Rev - CIP Transfers	\$20,600,000	\$20,600,000	\$48,000,000
Fee Supported Services	\$0	\$0	\$0
Current Revenues - Acc. Balance Usage	0	0	0
Avoided CIP Backcharges in O&M	2,560,000	2,560,000	2,636,800
Total Equity Financing of CIP (1)	\$23,160,000	\$23,160,000	\$50,636,800
CIP Spending Plan (Without ARRA)	\$191,542,000	\$191,542,000	\$197,731,000
Less: CIP Spending Timing Adjustment	(38,308,400)	(19,154,000)	(19,773,000)
Total CIP Spending (2)	\$153,233,600	\$172,388,000	\$177,958,000
Water CIP Equity Financing Percentage (1)/(2)	15.1%	13.4%	28.5%

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

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WASTEWATER OPTION VARIABLES

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
Ending Fund Balance	18,556,337	17,664,195	31,748,910
Target Ending Balance	\$ 18,571,346	\$ 18,672,355	\$ 26,040,461
Variance Actual vs. Target	(15,009)	(1,008,160)	5,708,449
Rate Increase Percentage	3.5%	3.5%	6.0%
Combined			5.5%
Debt Coverage Ratio	1.50	1.44	1.49
Combined			1.58
Rate Increase Revenues		\$0	\$13,200,000

CIP Transfer Summary:

Operating Fund Current Revenue	\$29,300,000	\$29,300,000	\$10,000,000
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Wastewater Equity Financing of CIP	55.6%	44.4%	24.7%
Combined Equity Financing of CIP	26.1%	22.5%	27.6%

CIP Bond Funding Plan

Projected CIP Spending Plan	\$96,312,000	\$96,312,000	\$60,367,000
Projected Spending (ARRA)	10,084,000	10,084,000	0
Projected CIP Spending Plan (Without ARRA)	\$86,228,000	\$86,228,000	\$60,367,000

Less:

Current Revenue Spending - Current	29,300,000	29,300,000	10,000,000
Current Revenue Spending - Previous	500,000	500,000	17,133,950
CRF Spending - Previous	0	0	0
Fee Supported Services	0	0	0
Capital Equipment funded by GOs	1,650,000	1,650,000	1,038,000
CIP Spending Timing Adjustment	28,893,600	14,447,000	9,055,000
Current Revenues - Acc. Balance Usage	0	0	0

	CIP Timing Adj (%)	70.0%	85.0%	85.0%
Total Bond Funding Requirements		\$25,884,400	\$40,331,000	\$23,140,050

Total Bond Issues		25,884,000	40,331,000	23,140,000
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Combined Equity in Utility System Assets 35.4%

Revenue Summary:

Base Revenues Without Rate Increases	\$216,345,137	\$211,997,050	\$218,426,292
Current Year Rate Adjustment	0	0	13,200,000
Prior Year Rate Adjustment	0	0	0
Revenue Stability Fee	0	0	0
Total Revenues	\$216,345,137	\$211,997,050	\$231,626,292

Base Revenue Growth % From Prior Year			0.96%
5-Year Average Base Revenue Growth	1.44%		
Total Revenue Growth % From Prior Year			7.06%
5-Year Average Total Revenue Growth	4.56%		

CIP Equity Financing Summary - Wastewater:

Total CIP Transfers	\$29,300,000	\$29,300,000	\$10,000,000
Fee Supported Services	\$0	\$0	\$0
Current Revenues - Acc. Balance Usage	0	0	0
Avoided CIP Backcharges in O&M	2,600,000	2,600,000	2,678,000
Total Equity Financing of CIP (1)	\$31,900,000	\$31,900,000	\$12,678,000
CIP Spending Plan (Without ARRA)	\$86,228,000	\$86,228,000	\$60,367,000
Less: CIP Spending Timing Adjustment	(28,893,600)	(14,447,000)	(9,055,000)
Total CIP Spending (2)	\$57,334,400	\$71,781,000	\$51,312,000
WW CIP Equity Financing Percentage (1)/(2)	55.6%	44.4%	24.7%

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

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RECLAIMED OPTION VARIABLES

	Amended Budget 2011-12	Estimated 2011-12	¹ Proposed 2012-13
Ending Fund Balance	622,790	482,015	148,175
Target Ending Balance	\$ 35,012	\$ 35,043	\$ 152,007
Variance Actual vs. Target	587,778	446,972	(3,832)
Rate Increase Percentage	7.7%	7.7%	10.8%
Combined			5.6%
Debt Coverage Ratio	#DIV/0!	#DIV/0!	1.04
Combined			1.56
Rate Increase Revenues		\$0	140,000
CIP Transfer Summary:			
Operating Fund Current Revenue	\$0	\$0	\$400,000
Reclaimed Equity Financing of CIP	0.0%	0.0%	34.2%
Combined Equity Financing of CIP	26.1%	22.5%	27.6%
CIP Bond Funding Plan			
Projected CIP Spending Plan	\$0	\$0	\$1,300,000
Projected Spending (ARRA)	0	0	0
Projected CIP Spending Plan (Without ARRA)	\$0	\$0	\$1,300,000
Less:			
Current Revenue Spending - Current	0	0	400,000
Current Revenue Spending - Previous	0	0	0
CRF Spending - Previous	0	0	0
Fee Supported Services	0	0	0
Capital Equipment funded by GOs	0	0	0
CIP Spending Timing Adjustment	0	0	130,000
Current Revenues - Acc. Balance Usage	0	0	0
CIP Timing Adj (%)	80.0%	80.0%	90.0%
Total Bond Funding Requirements	\$0	\$0	\$770,000
Total Bond Issues	\$0	\$0	\$770,000
Combined Equity in Utility System Assets			35.4%
Revenue Summary:			
Base Revenue Without Rate Increases	\$879,424	\$746,617	\$1,291,331
Current Year Rate Adjustment	0	0	140,000
Prior Year Rate Adjustment	0	0	0
Revenue Stability Fee	0	0	0
Total Reclaimed Revenue	\$879,424	\$746,617	\$1,431,331
Base Revenue Growth % From Prior Year			46.80%
5-Year Average Base Revenue Growth	9.45%		
Total Revenue Growth % From Prior Year			62.80%
5-Year Average Total Revenue Growth	26.78%		
CIP Equity Financing Summary - Reclaimed:			
Operating Fund Current Rev - CIP Transfers	\$0	\$0	\$400,000
Fee Supported Services	\$0	\$0	\$0
Current Revenues - Acc. Balance Usage	0	0	0
Avoided CIP Backcharges in O&M	0	0	0
Total Equity Financing of CIP (1)	\$0	\$0	\$400,000
CIP Spending Plan (Without ARRA)	\$0	\$0	\$1,300,000
Less: CIP Spending Timing Adjustment	0	0	(130,000)
Total CIP Spending (2)	\$0	\$0	\$1,170,000
Recl. CIP Equity Financing Percentage (1)/(2)	0.0%	0.0%	34.2%

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**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

SERVICE REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
<u>WATER UTILITY:</u>			
Sale of Water Base Revenues (Without Sust. F	217,346,000	224,713,671	243,246,799
Base Revenue Growth Percentage		3.4%	8.3%
Water Rate Increase Adjustments:			
Current Year Rate Adjustment	\$0	\$0	\$12,200,000
Prior Year Rate Adjustments		0	0
Revenue Stability Fee	17,000,000	17,000,000	5,666,667
Fee Growth 0.000001%			
TOTAL SALE OF WATER REVENUE	\$234,346,000	\$241,713,671	\$261,113,466
Water Rate Increase Percentage		0.0%	5.0%

WASTEWATER UTILITY:

Wastewater Service Base Revenues	\$212,194,733	\$207,724,693	\$214,111,212
Industrial Waste Surcharge Revenues	4,150,404	4,272,357	4,315,080
Total Base Revenues	\$216,345,137	211,997,050	218,426,292
Base Revenue Growth Percentage		-2.1%	3.1%
Surcharge Revenue Growth Percentage		2.9%	1.0%
WW Rate Increase Adjustments:			
Current Year Rate Adjustment	\$0	\$0	\$13,200,000
Prior Year Rate Adjustments		0	0
TOTAL WW SERVICE REVENUE	\$216,345,137	\$211,997,050	\$231,626,292
Wastewater Rate Increase Percentage		0.0%	6.0%
FTE Rate Increase % Calc			473,873,091

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CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY

SERVICE REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
			0.3%
RECLAIMED WATER:			
Sale of Reclaimed Base Water Revenues	\$879,424	\$746,617	\$1,291,331
Base Revenue Growth Percentage		-15.1%	73.0%
Water Rate Increase Adjustments:			
Current Year Rate Adjustment	\$0	\$0	\$140,000
Prior Year Rate Adjustments		0	0
TOTAL RECLAIMED REVENUE - WATER	\$879,424	\$746,617	\$1,431,331
Reclaimed Water Increase Percentage	GOAL:	\$746,617 0.0%	\$1,426,259 10.8%
Total Base Revenues		437,457,338	462,964,422
Total Revenues With Increases		454,457,338	494,171,089

TRANSFERS IN:

Transfer of CRFs to Operating		Bob Butler provided update 2/3	
Water	\$3,000,000	\$3,000,000	\$3,000,000
Wastewater	1,300,000	1,300,000	1,800,000
Reclaimed	0	0	0
Total Combined	\$4,300,000	\$4,300,000	\$4,800,000

Transfers from Public Works (North SC)		Remains unchanged until FY 21	
Water	\$150,291	\$150,291	\$150,291
Wastewater	150,291	150,291	150,291
Reclaimed	0	0	0
Total Combined	\$300,582	\$300,582	\$300,582

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**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

INTEREST INCOME

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
<u>COMBINED UTILITY:</u>			
Operating Fund - 4221	\$235,062	\$87,372	\$226,319
CIP Construct. Fund - 4219	0	70,000	80,000
Debt Service Fund - 4224	341,304	52,966	164,623
Reserve Fund - 4895	228,441	63,666	277,291
Other Interest Income	0	0	0
Combined Interest Income	\$804,807	\$274,004	\$748,233
Budget Adjustment	0	0	0
TOTAL - COMBINED	\$804,807	\$274,004	\$748,233

<u>WATER UTILITY:</u>			
Operating Fund - 4221	\$115,283	\$50,905	\$161,954
CIP Construct. Fund - 4219	0	0	5,000
Debt Service Fund - 4224	161,432	22,318	79,543
Reserve Fund - 4895	120,527	34,650	158,376
Other Interest Income	0	0	0
Water Interest Income	\$397,242	\$107,873	\$404,873
Budget Adjustment	0	0	0
TOTAL - WATER	\$397,242	\$107,873	\$404,873

Interest Rate (Short Term): 0.20% 0.50%

Reserve Fund Balance & Projection \$17,325,140 \$31,675,140

<u>WASTEWATER UTILITY:</u>			
Operating Fund - 4221	\$119,696	\$36,446	\$63,102
CIP Construct. Fund - 4219	0	70,000	75,000
Debt Service Fund - 4224	173,154	30,598	82,604
Reserve Fund - 4895	106,892	29,006	118,890
Other Interest Income	0	0	0
Wastewater Interest Income	\$399,742	\$166,050	\$339,596
Budget Adjustment	0	0	0
TOTAL - WASTEWATER	\$399,742	\$166,050	\$339,596

Interest Rate (Short Term): 0.20% 0.50%

Reserve Fund Balance & Projection \$14,502,995 \$23,777,995

<u>RECLAIMED UTILITY:</u>			
Operating Fund - 4221	\$83	\$21	\$1,263
CIP Construct. Fund - 4219	0	0	0
Debt Service Fund - 4224	6,718	50	2,476
Reserve Fund - 4895	1,022	10	25
Other Interest Income	0	0	0
Reclaimed Interest Income	\$7,823	\$81	\$3,764
Budget Adjustment	0	0	0
TOTAL - RECLAIMED	\$7,823	\$81	\$3,764

Interest Rate (Short Term): 0.20% 0.50%

Reserve Fund Balance & Projection \$5,000 \$5,000

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CITY OF AUSTIN, TEXAS

AUSTIN WATER UTILITY

MISCELLANEOUS REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
COMBINED			
4020 Misc Telecom	\$117,000	\$133,000	\$189,000
4030 Private Fire Hydrant Fee	40,334	43,000	97,000
4048 Industrial Waste Permits	468,841	466,000	475,300
4049 Permit-Liquid Waste Hauler	11,133	12,600	12,900
4050 Backflow Prevention Compliance Fee	492,422	557,000	568,100
4090 OSSF Reviews	41,094	37,700	38,500
4126 Reconnection Fee	14,213	23,100	23,600
4209 Rest Criminal Acts/Other Court Rev	1	0	0
4213 Xerox Copies	7,847	2,900	3,000
4216 BAB Interest Subsidy	0	1,905,400	1,905,400
4222 Late Payment Penalties	1,788,365	292,900	1,860,000
4231 Building Rental	222,000	211,800	235,000
4234 Damage Charges	120,719	61,600	80,100
4262 Process Assessment	1	0	0
4324 Compost/Sludge Sales	452,890	453,600	460,000
4325 Agri By-Prod	1	3,000	5,000
4337 Special Billings	669	200	200
4338 Special Billings Orgs 9050 & 9052	3,076	1,200	1,200
4389 Land Lease Fees	66,000	66,000	66,000
4394 Property Sales-Motorized Vehicles	68,960	52,000	70,600
4479 After Hours Turn On	453,860	86,300	690,000
4495 Meter Rev - Fire Meters	14,153	15,000	15,300
4505 Septic Tank Haulers Fee	752,872	607,501	619,700
4559 Commission Agenda Packets	2	0	100
4583 Rain Barrel Sales	0	0	0
4584 Seminar Fees	7,140	15,100	8,000
4606 Creedmore Maha	0	(7,200)	(7,200)
4642 A/R Adj. Leak Adjustment	(880,511)	(879,800)	(882,100)
4643 A/R Adj. Conservation Rebate	2	0	200
4646 Lab-Testing Fee	5,624	4,800	4,900
4648 Reuse Water Service	0	0	0
4653 Southland Oaks Surcharge	129,000	135,600	129,000
4655 WW Meter Application Fee	2,171	600	600
4660 Wholesale Penalties & Fees	439,586	485,000	499,000
4663 NWA MUD 1 Surcharge Credit	0	0	0
4706 Service Installation	183,558	202,300	206,300
4771 Special Bill - Wtr Fin Mgt	158,694	179,500	183,100
4809 A/R Adjustments	2	242,300	40,100
4874 Miscellaneous	194,400	147,800	234,800
4875 Returned Check Fee	44,388	19,600	48,000
4877 Junk/Metal Sales	49,562	74,600	76,100
4879 Cash Over/Short	2	1,500	200
4881 Sales Tax Penalty	2	0	200
4883 New Service Connections	938,727	304,000	940,000
4999 Recls Recpt	336	0	100
TOTAL REVENUES - COMBINED	\$6,409,136	\$5,957,501	\$8,897,300

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**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

MISCELLANEOUS REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
WATER			
FDU Rev Src 5020-2200-9050-XXXX			
4020 Misc Telecom	\$97,000	\$97,000	\$129,000
4030 Private Fire Hydrant Fee	40,334	43,000	97,000
4048 Industrial Waste Permits	0	0	0
4049 Permit-Liquid Waste Hauler	0	0	0
4050 Backflow Prevention Compliance Fee	492,422	557,000	568,100
4090 OSSF Reviews	0	0	0
4126 Reconnection Fee	9,346	13,000	13,300
4209 Rest Criminal Acts/Other Court Rev	0	0	0
4213 Xerox Copies	7,613	2,800	2,900
4216 BAB Interest Subsidy	0	1,524,300	1,524,300
4222 Late Payment Penalties	810,977	134,000	900,000
4231 Building Rental	114,750	113,000	120,000
4234 Damage Charges	120,718	61,600	80,000
4262 Process Assessment	0	0	0
4324 Compost/Sludge Sales	0	0	0
4325 Agri By-Prod	1	3,000	5,000
4337 Special Billings	669	200	200
4338 Special Billings Orgs 9050 & 9052	0	0	0
4389 Land Lease Fees	66,000	66,000	66,000
4394 Property Sales-Motorized Vehicles	28,160	22,000	40,000
4479 After Hours Turn On	226,450	43,000	230,000
4495 Meter Rev - Fire Meters	14,153	15,000	15,300
4505 Septic Tank Haulers Fee	0	0	0
4559 Commission Agenda Packets	1	0	100
4583 Rain Barrel Sales	0	0	0
4584 Seminar Fees	7,140	15,100	8,000
4606 Creedmore Maha	0	(7,200)	(7,200)
4642 A/R Adj. Leak Adjustment	(784,704)	(784,600)	(785,000)
4643 A/R Adj. Conservation Rebate	1	0	100
4646 Lab-Testing Fee	1	0	100
4648 Reuse Water Service	0	0	0
4653 Southland Oaks Surcharge	60,600	74,000	60,600
4655 WW Meter Application Fee	0	0	0
4660 Wholesale Penalties & Fees	171,619	211,000	225,000
4663 NWA MUD 1 Surcharge Credit	0	0	0
4706 Service Installation	152,481	156,000	159,100
4771 Special Bill - Wtr Fin Mgt	147,107	120,000	122,400
4809 A/R Adjustments	1	0	100
4874 Miscellaneous	180,000	133,000	100,000
4875 Returned Check Fee	23,752	11,400	24,000
4877 Junk/Metal Sales	24,492	47,000	47,900
4879 Cash Over/Short	1	1,500	100
4881 Sales Tax Penalty	1	0	100
4883 New Service Connections	469,363	150,600	470,000
4999 Recls Recpt	336	0	100
TOTAL REVENUES - WATER	\$2,480,785	\$2,822,700	\$4,216,600

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CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY

MISCELLANEOUS REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
WATER INFLATION FACTORS			
4020 Misc Telecom			2.0%
4030 Private Fire Hydrant Fee			0.0%
4048 Industrial Waste Permits			0.0%
4049 Permit-Liquid Waste Hauler			0.0%
4050 Backflow Prevention Compliance Fee			2.0%
4090 OSSF Reviews			0.0%
4126 Reconnection Fee			2.0%
4209 Rest Criminal Acts/Other Court Rev			0.0%
4213 Xerox Copies			2.0%
4216 BAB Interest Subsidy			0.0%
4222 Late Payment Penalties			2.0%
4231 Building Rental			0.0%
4234 Damage Charges			2.0%
4262 Process Assessment			0.0%
4324 Compost/Sludge Sales			0.0%
4325 Agri By-Prod			2.0%
4337 Special Billings			2.0%
4338 Special Billings Orgs 9050 & 9052			0.0%
4389 Land Lease Fees			0.0%
4394 Property Sales-Motorized Vehicles			2.0%
4479 After Hours Turn On			2.0%
4495 Meter Rev - Fire Meters			2.0%
4505 Septic Tank Haulers Fee			0.0%
4559 Commission Agenda Packets			0.0%
4583 Rain Barrel Sales			0.0%
4584 Seminar Fees			2.0%
4606 Creedmore Maha			0.0%
4642 A/R Adj. Leak Adjustment			0.0%
4643 A/R Adj. Conservation Rebate			0.0%
4646 Lab-Testing Fee			0.0%
4648 Reuse Water Service			0.0%
4653 Southland Oaks Surcharge			0.0%
4655 WW Meter Application Fee			0.0%
4660 Wholesale Penalties & Fees			2.0%
4663 NWA MUD 1 Surcharge Credit			0.0%
4706 Service Installation			2.0%
4771 Special Bill - Wtr Fin Mgt			2.0%
4809 A/R Adjustments			0.0%
4874 Miscellaneous			2.0%
4875 Returned Check Fee			2.0%
4877 Junk/Metal Sales			2.0%
4879 Cash Over/Short			0.0%
4881 Sales Tax Penalty			0.0%
4883 New Service Connections			2.0%
4999 Recls Recpt			0.0%

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CITY OF AUSTIN, TEXAS

AUSTIN WATER UTILITY

MISCELLANEOUS REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
WASTEWATER			
FDU Rev Src 5030-2200-9050-XXXX			
4020 Misc Telecom	\$20,000	\$36,000	\$60,000
4030 Private Fire Hydrant Fee	0	\$0	\$0
4048 Industrial Waste Permits	468,841	466,000	475,300
4049 Permit-Liquid Waste Hauler	11,133	12,600	12,900
4050 Backflow Prevention Compliance Fee	0	0	0
4090 OSSF Reviews	41,094	37,700	38,500
4126 Reconnection Fee	4,867	10,100	10,300
4209 Rest Criminal Acts/Other Court Rev	1	0	0
4213 Xerox Copies	234	100	100
4216 BAB Interest Subsidy	0	381,100	381,100
4222 Late Payment Penalties	977,388	158,900	960,000
4231 Building Rental	107,250	98,800	115,000
4234 Damage Charges	1	0	100
4262 Process Assessment	1	0	0
4324 Compost/Sludge Sales	452,890	453,600	460,000
4325 Agri By-Prod	0	0	0
4337 Special Billings	0	0	0
4338 Special Billings Orgs 9050 & 9052	3,076	1,200	1,200
4389 Land Lease Fees	0	0	0
4394 Property Sales-Motorized Vehicles	40,800	30,000	30,600
4479 After Hours Turn On	227,410	43,300	460,000
4495 Meter Rev - Fire Meters	0	0	0
4505 Septic Tank Haulers Fee	752,872	607,501	619,700
4559 Commission Agenda Packets	1	0	0
4583 Rain Barrel Sales	0	0	0
4584 Seminar Fees	0	0	0
4606 Creedmore Maha	0	0	0
4642 A/R Adj. Leak Adjustment	(95,807)	(95,200)	(97,100)
4643 A/R Adj. Conservation Rebate	1	0	100
4646 Lab-Testing Fee	5,623	4,800	4,800
4648 Reuse Water Service	0	0	0
4653 Southland Oaks Surcharge	68,400	61,600	68,400
4655 WW Meter Application Fee	2,171	600	600
4660 Wholesale Penalties & Fees	267,967	274,000	274,000
4663 NWA MUD 1 Surcharge Credit	0	0	0
4706 Service Installation	31,077	46,300	47,200
4771 Special Bill - Wtr Fin Mgt	11,587	59,500	60,700
4809 A/R Adjustments	1	242,300	40,000
4874 Miscellaneous	14,400	14,800	134,800
4875 Returned Check Fee	20,636	8,200	24,000
4877 Junk/Metal Sales	25,070	27,600	28,200
4879 Cash Over/Short	1	0	100
4881 Sales Tax Penalty	1	0	100
4883 New Service Connections	469,364	153,400	470,000
4999 Recls Recpt	0	0	0
TOTAL REVENUES - WASTEWATER	\$3,928,351	\$3,134,801	\$4,680,700

CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY

MISCELLANEOUS REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
WASTEWATER INFLATION FACTORS			
4020 Misc Telecom			0.0%
4030 Private Fire Hydrant Fee			0.0%
4048 Industrial Waste Permits			2.0%
4049 Permit-Liquid Waste Hauler			2.0%
4050 Backflow Prevention Compliance Fee			0.0%
4090 OSSF Reviews			2.0%
4126 Reconnection Fee			2.0%
4209 Rest Criminal Acts/Other Court Rev			0.0%
4213 Xerox Copies			2.0%
4216 BAB Interest Subsidy			0.0%
4222 Late Payment Penalties			2.0%
4231 Building Rental			0.0%
4234 Damage Charges			2.0%
4262 Process Assessment			2.0%
4324 Compost/Sludge Sales			2.0%
4325 Agri By-Prod			2.0%
4337 Special Billings			0.0%
4338 Special Billings Orgs 9050 & 9052			2.0%
4389 Land Lease Fees			0.0%
4394 Property Sales-Motorized Vehicles			2.0%
4479 After Hours Turn On			2.0%
4495 Meter Rev - Fire Meters			0.0%
4505 Septic Tank Haulers Fee			2.0%
4559 Commission Agenda Packets			0.0%
4583 Rain Barrel Sales			0.0%
4584 Seminar Fees			0.0%
4606 Creedmore Maha			0.0%
4642 A/R Adj. Leak Adjustment			2.0%
4643 A/R Adj. Conservation Rebate			0.0%
4646 Lab-Testing Fee			0.0%
4648 Reuse Water Service			0.0%
4653 Southland Oaks Surcharge			0.0%
4655 WW Meter Application Fee			2.0%
4660 Wholesale Penalties & Fees			0.0%
4663 NWA MUD 1 Surcharge Credit			0.0%
4706 Service Installation			2.0%
4771 Special Bill - Wtr Fin Mgt			2.0%
4809 A/R Adjustments			0.0%
4874 Miscellaneous			2.0%
4875 Returned Check Fee			2.0%
4877 Junk/Metal Sales			0.0%
4879 Cash Over/Short			0.0%
4881 Sales Tax Penalty			0.0%
4883 New Service Connections			2.0%
4999 Recis Recpt			0.0%

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MISCELLANEOUS REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
RECLAIMED			
FDU Rev Src 5025-2200-9050-XXXX			
4020 Misc Telecom	\$0	\$0	\$0
4030 Private Fire Hydrant Fee	0	0	0
4048 Industrial Waste Permits	0	0	0
4049 Permit-Liquid Waste Hauler	0	0	0
4050 Backflow Prevention Compliance Fee	0	0	0
4090 OSSF Reviews	0	0	0
4126 Reconnection Fee	0	0	0
4209 Rest Criminal Acts/Other Court Rev	0	0	0
4213 Xerox Copies	0	0	0
4216 BAB Interest Subsidy	0	0	0
4222 Late Payment Penalties	0	0	0
4231 Building Rental	0	0	0
4234 Damage Charges	0	0	0
4262 Process Assessment	0	0	0
4324 Compost/Sludge Sales	0	0	0
4325 Agri By-Prod	0	0	0
4337 Special Billings	0	0	0
4338 Special Billings Orgs 9050 & 9052	0	0	0
4389 Land Lease Fees	0	0	0
4394 Property Sales-Motorized Vehicles	0	0	0
4479 After Hours Turn On	0	0	0
4495 Meter Rev - Fire Meters	0	0	0
4505 Septic Tank Haulers Fee	0	0	0
4559 Commission Agenda Packets	0	0	0
4583 Rain Barrel Sales	0	0	0
4584 Seminar Fees	0	0	0
4606 Creedmore Maha	0	0	0
4642 A/R Adj. Leak Adjustment	0	0	0
4643 A/R Adj. Conservation Rebate	0	0	0
4646 Lab-Testing Fee	0	0	0
4648 Reuse Water Service	0	0	0
4653 Southland Oaks Surcharge	0	0	0
4655 WW Meter Application Fee	0	0	0
4660 Wholesale Penalties & Fees	0	0	0
4663 NWA MUD 1 Surcharge Credit	0	0	0
4706 Service Installation	0	0	0
4771 Special Bill - Wtr Fin Mgt	0	0	0
4809 A/R Adjustments	0	0	0
4874 Miscellaneous	0	0	0
4875 Returned Check Fee	0	0	0
4877 Junk/Metal Sales	0	0	0
4879 Cash Over/Short	0	0	0
4881 Sales Tax Penalty	0	0	0
4883 New Service Connections	0	0	0
4999 Recis Recpt	0	0	0
TOTAL REVENUES - RECLAIMED	\$0	\$0	\$0

CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY

MISCELLANEOUS REVENUE

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
RECLAIMED INFLATION FACTORS			
4020 Misc Telecom			2.0%
4030 Private Fire Hydrant Fee			2.0%
4048 Industrial Waste Permits			2.0%
4049 Permit-Liquid Waste Hauler			2.0%
4050 Backflow Prevention Compliance Fee			2.0%
4090 OSSF Reviews			2.0%
4126 Reconnection Fee			2.0%
4209 Rest Criminal Acts/Other Court Rev			2.0%
4213 Xerox Copies			2.0%
4216 BAB Interest Subsidy			2.0%
4222 Late Payment Penalties			2.0%
4231 Building Rental			2.0%
4234 Damage Charges			2.0%
4262 Process Assessment			2.0%
4324 Compost/Sludge Sales			2.0%
4325 Agri By-Prod			2.0%
4337 Special Billings			2.0%
4338 Special Billings Orgs 9050 & 9052			2.0%
4389 Land Lease Fees			2.0%
4394 Property Sales-Motorized Vehicles			2.0%
4479 After Hours Turn On			2.0%
4495 Meter Rev - Fire Meters			2.0%
4505 Septic Tank Haulers Fee			2.0%
4559 Commission Agenda Packets			2.0%
4583 Rain Barrel Sales			2.0%
4584 Seminar Fees			2.0%
4606 Creedmore Maha			2.0%
4642 A/R Adj. Leak Adjustment			2.0%
4643 A/R Adj. Conservation Rebate			2.0%
4646 Lab-Testing Fee			2.0%
4648 Reuse Water Service			2.0%
4653 Southland Oaks Surcharge			2.0%
4655 WW Meter Application Fee			2.0%
4660 Wholesale Penalties & Fees			2.0%
4663 NWA MUD 1 Surcharge Credit			2.0%
4706 Service Installation			2.0%
4771 Special Bill - Wtr Fin Mgt			2.0%
4809 A/R Adjustments			2.0%
4874 Miscellaneous			2.0%
4875 Returned Check Fee			2.0%
4877 Junk/Metal Sales			2.0%
4879 Cash Over/Short			2.0%
4881 Sales Tax Penalty			2.0%
4883 New Service Connections			2.0%
4999 Recls Rcpt			2.0%

CITY OF AUSTIN, TEXAS

AUSTIN WATER UTILITY

OPERATING REQUIREMENTS

*** DRAFT ***

	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
Combined			
OPERATING REQUIREMENTS			
Operations and Maintenance			
Treatment	\$67,453,306	\$68,458,680	\$73,635,087
Pipeline Operations	33,790,188	34,306,691	38,645,675
Engineering Services	12,057,879	11,358,438	13,205,305
Water Resources Management	4,041,065	4,105,840	4,803,937
Environmental Affairs & Conservation	12,170,711	9,948,872	13,113,373
Support Services - Utility	16,725,781	17,502,099	19,260,896
Reclaimed Water Services	255,585	251,328	276,471
One Stop Shop	523,962	523,962	601,462
Other Operating Expenses	6,469,875	6,180,203	6,815,749
Total Operations & Maintenance	\$153,488,352	\$152,636,113	\$170,357,955
Other Requirements:			
Accrued Payroll	\$198,591	\$189,199	\$324,950
27th Pay Period Expense	0	0	0
27th Pay Period Expense Refund	0	0	0
Workers' Compensation Fund	1,022,402	1,022,402	1,195,033
Liability Reserve Fund	550,000	550,000	500,000
Administrative Support - City	8,272,098	8,272,098	12,150,381
Admin Support CIP Reallocation	0	0	0
AE Billing & Customer Care	16,556,100	16,556,100	18,496,148
311 System Support	1,000,000	1,000,000	1,000,000
CTM Support	3,125,227	3,125,227	3,447,396
CTECC Emergency Operations Center	5,994	5,994	7,117
Wage Adjustments Market Study	584,189	584,189	0
Additional Contribution to Retirement	4,376,882	4,376,882	0
Total Other Requirements:	\$35,691,483	\$35,682,091	\$37,121,025
TOTAL OPERATING REQUIREMENTS:	\$189,179,835	\$188,318,204	\$207,478,980
Overall Combined O&M Increase (%)			10.2%
Overall O&M Increase (\$millions)			\$19.2
Comb O&M Increase (From Budget) (%)			9.7%
O&M Increase (From Budget) (\$millions)			\$18.3

CITY OF AUSTIN, TEXAS AUSTIN WATER UTILITY

OPERATING REQUIREMENTS

*** DRAFT ***

Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
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WATER

OPERATING REQUIREMENTS

Add / Subtract O&M Water	\$0
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Operations and Maintenance

Treatment	\$35,089,080	\$35,333,919	\$37,547,064
Pipeline Operations	19,253,690	19,752,682	22,225,860
Engineering Services	5,617,676	5,346,534	6,349,353
Water Resources Management	1,817,011	1,809,843	2,239,267
Environmental Affairs & Conservation	10,298,259	8,113,384	10,931,930
Support Services - Utility	8,345,532	8,752,281	9,630,446
Reclaimed Water Services	0	0	0
One Stop Shop	170,776	170,776	213,673
Other Operating Expenses	3,577,583	3,316,093	3,845,623
Operating Contingency	0	0	0
Total Operations & Maintenance	\$84,169,607	\$82,595,512	\$92,983,216

Other Requirements:

Accrued Payroll	\$103,658	\$78,527	\$163,498
27th Pay Period Expense	0	0	0
27th Pay Period Expense Refund	0	0	0
Workers' Compensation Fund	511,201	511,201	597,517
Liability Reserve Fund	275,000	275,000	250,000
Administrative Support - City	4,818,042	4,818,042	7,327,453
Admin Support CIP Reallocation	0	0	0
AE Billing & Customer Care	10,573,659	10,573,659	12,366,897
311 System Support	500,000	500,000	500,000
CTM Support	1,562,613	1,562,613	1,723,698
CTECC Emergency Operations Center	2,997	2,997	3,559
Wage Adjustments Market Study	313,810	313,810	0
Additional Contribution to Retirement	2,170,337	2,170,337	0
Total Other Requirements:	\$20,831,317	\$20,806,186	\$22,932,622

TOTAL OPERATING REQUIREMENTS:

\$105,000,924	\$103,401,698	\$115,915,838
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Overall Water O&M Increase (%) 10.4%

Overall Water O&M Increase (\$millions) \$10.9

Water Growth Factors:

Treatment	-	-	2.00%
Pipeline Operations	-	-	2.00%

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**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

OPERATING REQUIREMENTS

	*** DRAFT ***		
	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
Engineering Services	-	-	2.00%
Water Resources Management	-	-	2.00%
Environmental Affairs & Conservation	-	-	2.00%
Support Services - Utility	-	-	2.00%
Reclaimed Water Services	-	-	2.00%
One Stop Shop	-	-	2.00%
Other Operating Expenses	-	-	2.00%
Operating Contingency	-	-	0.00%
Other Requirements:			
Accrued Payroll	-	-	15.00%
27th Pay Period Expense	-	-	0.00%
27th Pay Period Expense Refund	-	-	0.00%
Workers' Compensation Fund	-	-	4.0039%
Liability Reserve Fund	-	-	2.00%
Administrative Support - City	-	-	7.50%
Admin Support CIP Reallocation	-	-	7.50%
AE Billing & Customer Care	-	-	0.00%
311 System Support	-	-	0.00%
CTM Support	-	-	7.00%
CTECC Emergency Operations Center	-	-	0.00%
Wage Adjustments Market Study	-	-	3.00%
Additional Contribution to Retirement	-	-	0.00%

CITY OF AUSTIN, TEXAS AUSTIN WATER UTILITY

OPERATING REQUIREMENTS

*** DRAFT ***

Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
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Wastewater

OPERATING REQUIREMENTS

Add / Subtract O&M WW	\$0
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Operations and Maintenance

Treatment	\$32,364,226	\$33,124,761	\$36,088,023
Pipeline Operations	14,536,498	14,554,009	16,419,815
Engineering Services	6,440,203	6,011,904	6,855,952
Water Resources Management	2,224,054	2,295,997	2,564,670
Environmental Affairs & Conservation	1,872,452	1,835,488	2,181,443
Support Services - Utility	8,380,249	8,749,818	9,630,450
Reclaimed Water Services	0	0	0
One Stop Shop	353,186	353,186	387,789
Other Operating Expenses	2,892,292	2,864,110	2,970,126
Total Operations & Maintenance	\$69,063,160	\$69,789,273	\$77,098,268

Other Requirements:

Accrued Payroll	\$94,933	\$106,189	\$160,510
27th Pay Period Expense	0	0	0
27th Pay Period Expense Refund	0	0	0
Workers' Compensation Fund	511,201	511,201	597,516
Liability Reserve Fund	275,000	275,000	250,000
Administrative Support - City	3,454,056	3,454,056	4,822,928
Admin Support CIP Reallocation	0	0	0
AE Billing & Customer Care	5,982,441	5,982,441	6,129,251
311 System Support	500,000	500,000	500,000
CTM Support	1,562,614	1,562,614	1,723,698
CTECC Emergency Operations Center	2,997	2,997	3,558
Wage Adjustments Market Study	270,379	270,379	0
Additional Contribution to Retirement	2,206,545	2,206,545	0
Total Other Requirements:	\$14,860,166	\$14,871,422	\$14,187,461

TOTAL OPERATING REQUIREMENTS:

\$83,923,326	\$84,660,695	\$91,285,729
Overall Wastewater O&M Increase (%)		8.8%
Overall WW O&M Increase (\$millions)		\$7.4

Wastewater Growth Factors:

Treatment	-	-	2.00%
Pipeline Operations	-	-	2.00%
Engineering Services	-	-	2.00%

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

OPERATING REQUIREMENTS

	*** DRAFT ***		
	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
Water Resources Management	-	-	2.00%
Environmental Affairs & Conservation	-	-	2.00%
Support Services - Utility	-	-	2.00%
Reclaimed Water Services	-	-	2.00%
One Stop Shop	-	-	2.00%
Other Operating Expenses	-	-	2.00%
Operating Contingency	-	-	0.00%
Other Requirements:			
Accrued Payroll	-	-	15.00%
27th Pay Period Expense	-	-	0.00%
27th Pay Period Expense Refund	-	-	0.00%
Workers' Compensation Fund	-	-	4.0039%
Liability Reserve Fund	-	-	2.00%
Administrative Support - City	-	-	7.50%
Admin Support CIP Reallocation	-	-	7.50%
AE Billing & Customer Care	-	-	0.00%
311 System Support	-	-	0.00%
CTM Support	-	-	7.00%
CTECC Emergency Operations Center	-	-	0.00%
Wage Adjustments Market Study	-	-	3.00%
Additional Contribution to Retirement	-	-	0.00%

CITY OF AUSTIN, TEXAS AUSTIN WATER UTILITY

OPERATING REQUIREMENTS

*** DRAFT ***

Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
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Reclaimed Water

OPERATING REQUIREMENTS

Add / Subtract O&M Recl.	\$0
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Operations and Maintenance

Treatment	\$0	\$0	\$0
Pipeline Operations	0	0	0
Engineering Services	0	0	0
Water Resources Management	0	0	0
Environmental Affairs & Conservation	0	0	0
Support Services - Utility	0	0	0
Reclaimed Water Services	255,585	251,328	276,471
One Stop Shop	0	0	0
Other Operating Expenses	0	0	0
Total Operations & Maintenance	\$255,585	\$251,328	\$276,471

Other Requirements:

Accrued Payroll	\$0	\$4,483	\$942
27th Pay Period Expense	0	0	0
27th Pay Period Expense Refund	0	0	0
Workers' Compensation Fund	0	0	0
Liability Reserve Fund	0	0	0
Administrative Support - City	0	0	0
Admin Support CIP Reallocation	0	0	0
AE Billing & Customer Care	0	0	0
311 System Support	0	0	0
CTM Support	0	0	0
CTECC Emergency Operations Center	0	0	0
Wage Adjustments Market Study	0	0	0
Additional Contribution to Retirement	0	0	0
Total Other Requirements:	\$0	\$4,483	\$942

TOTAL OPERATING REQUIREMENTS:

\$255,585	\$255,811	\$277,413
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Overall Reclaimed O&M Increase (%)	8.5%
Overall Reclaimed O&M Increase (\$millions)	\$0.0

Reclaimed Growth Factors:

Treatment	-	-	2.00%
Pipeline Operations	-	-	2.00%
Engineering Services	-	-	2.00%

Budget FY 2013-17
Option #28

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

OPERATING REQUIREMENTS

	*** DRAFT ***		
	Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
Water Resources Management	-	-	2.00%
Environmental Affairs & Conservation	-	-	2.00%
Support Services - Utility	-	-	2.00%
Reclaimed Water Services	-	-	2.00%
One Stop Shop	-	-	2.00%
Other Operating Expenses	-	-	2.00%
Operating Contingency	-	-	0.00%
Other Requirements:			
Accrued Payroll	-	-	15.00%
27th Pay Period Expense	-	-	0.00%
27th Pay Period Expense Refund	-	-	0.00%
Workers' Compensation Fund	-	-	4.0039%
Liability Reserve Fund	-	-	2.00%
Administrative Support - City	-	-	7.50%
Admin Support CIP Reallocation	-	-	7.50%
AE Billing & Customer Care	-	-	0.00%
311 System Support	-	-	0.00%
CTM Support	-	-	7.00%
CTECC Emergency Operations Center	-	-	0.00%
Wage Adjustments Market Study	-	-	3.00%
Additional Contribution to Retirement	-	-	0.00%

CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY
OPERATING REQUIREMENTS

*** DRAFT ***

Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
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Avoided CIP Backcharges

Add Reclaimed Later?

Water

Per David 5/28/08 leave unchanged.

Recurring Personnel	\$32,000,000	\$32,000,000	\$32,960,000
CIP Percentage (%)	8.0000%	8.0000%	8.0000%
Avoided CIP Backcharges	2,560,000	2,560,000	2,636,800

Wastewater

Recurring Personnel	\$32,500,000	\$32,500,000	\$33,475,000
CIP Percentage (%)	8.0000%	8.0000%	8.0000%
Avoided CIP Backcharges	2,600,000	2,600,000	2,678,000

Combined (For Display Purposes Only)

Recurring Personnel	\$64,500,000	\$64,500,000	\$66,435,000
CIP Percentage (%)	8.0000%	8.0000%	8.0000%
Avoided CIP Backcharges	5,160,000	5,160,000	5,314,800

ok

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**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

OPERATING REQUIREMENTS

***** DRAFT *****

Amended Budget 2011-12	Estimated 2011-12	1 Proposed 2012-13
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Other Operating / (LCRA)

LCRA Water Supply Costs:

Updated 1/25/2012

Projected Pumpage (MG)	48,857.460	48,857.460	48,239.580
Projected Pumpage Increase %		0.0%	-1.3%
Projected Pumpage (Acre Feet)	149,938	149,938	148,042
Acre Feet Above 150,000	0	0	0
Two Year Pumpage (Acre Feet) Avg	149,938.00	149,938.00	148,990.00
Raw Water Rate / Acre Feet		\$151.00	\$151.00
Total LCRA Supply Costs - Water		\$0	\$0

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

CIP FUNDING REQUIREMENTS

*** DRAFT ***

	Amended Budget 2011-12	Estimated 2011-12	¹ Proposed 2012-13
COMBINED UTILITY:			
CIP Bond Funding Plan			
Total CIP Spending Plan (Without ARRA)	\$277,770,000	\$277,770,000	\$ 259,398,000
Less:			
Current Revenue Spending - Current	49,900,000	42,148,000	24,275,000
Current Revenue Spending - Previous	1,000,000	1,000,000	17,133,950
CRF Spending - Previous	0	0	0
Fee Supported Services	0	0	0
Capital Equipment funded by GOs	1,965,000	1,965,000	2,246,000
CIP Spending Timing Adjustment	67,202,000	33,601,000	28,958,000
Current Revenues - Acc. Balance Usage	0	0	0
Total Bond Funding Requirements	157,703,000	199,056,000	186,785,050
Total Bond Issues (Rounded)	157,703,000	199,056,000	186,785,000

Commercial Paper Summary:

Beginning Balance Commercial Paper	\$200,295,250	\$207,210,000	\$ 51,715,000
Additional Issuances:			
Total Outstanding Commercial Paper	157,703,000	209,505,000	186,785,000
Revenue Bond Issuances:			
Ending Balance Commercial Paper	357,998,250	416,715,000	238,500,000
Revenue Bonds with Issuance Cost:	175,000,000	365,000,000	175,000,000
	182,998,250	51,715,000	63,500,000
Refunding Trigger:	182,875,000	366,425,000	182,875,000
Refunding Amount (manually entered each option)			175,000,000
CP Max			200,000,000

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**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

CIP FUNDING REQUIREMENTS

*** DRAFT ***

	Amended Budget 2011-12	Estimated 2011-12	Proposed 2012-13
			1

WATER UTILITY:

CIP Bond Funding Plan

Total CIP Spending Plan (Without ARRA)	\$ 191,542,000	\$ 191,542,000	\$ 197,731,000
Less:			
Current Revenue Spending - Current	20,600,000	12,848,000	13,875,000
Current Revenue Spending - Previous	500,000	500,000	0
CRF Spending - Previous	0	0	0
Fee Supported Services	0	0	0
Capital Equipment funded by GOs	315,000	315,000	1,208,000
CIP Spending Timing Adjustment	38,308,400	19,154,000	19,773,000
Current Revenues - Acc. Balance Usage	0	0	0
Total Bond Funding Requirements	131,818,600	158,725,000	162,875,000
Total Bond Issues (Rounded)	131,819,000	158,725,000	162,875,000

Commercial Paper Summary:

Beginning Balance Commercial Paper	\$110,887,250	\$114,615,000	\$ 48,340,000	88.6% 93.5%
Additional Issuances:	131,819,000	158,725,000	162,875,000	
Total Outstanding Commercial Paper	242,706,250	273,340,000	211,215,000	
CP Refunded with Revenue Bonds:	118,641,903	225,000,000	160,000,000	
Ending Balance Commercial Paper	141,013,190	48,340,000	51,215,000	
Revenue Bonds with Issuance Cost:	123,980,789	227,125,000	167,200,000	
Refunding Trigger:	100,000,000			
CP Max	200,000,000			
Issuance Costs:				
Reserve Fund				3.5%
Underwriter, etc.				1.0%
Total Issuance Cost				4.5%

**CITY OF AUSTIN, TEXAS
AUSTIN WATER UTILITY**

CIP FUNDING REQUIREMENTS

*** DRAFT ***

	Amended Budget 2011-12	Estimated 2011-12	Proposed 2012-13
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**WASTEWATER UTILITY:
CIP Bond Funding Plan**

Total CIP Spending Plan (Without ARRA)	\$ 86,228,000	\$ 86,228,000	\$ 60,367,000
Less:			
Current Revenue Spending - Current	29,300,000	29,300,000	10,000,000
Current Revenue Spending - Previous	500,000	500,000	17,133,950
CRF Spending - Previous	0	0	0
Fee Supported Services	0	0	0
Capital Equipment funded by GOs	1,650,000	1,650,000	1,038,000
CIP Spending Timing Adjustment	28,893,600	14,447,000	9,055,000
Current Revenues - Acc. Balance Usage	0	0	0
Total Bond Funding Requirements	25,884,400	40,331,000	23,140,050
Total Bond Issues (Rounded)	25,884,000	40,331,000	23,140,000

Commercial Paper Summary:

Beginning Balance Commercial Paper	\$ 89,408,000	\$ 92,595,000	\$ 3,375,000
Additional Issuances:			
Total Outstanding Commercial Paper	25,884,000	50,780,000	23,140,000
CP Refunded with Revenue Bonds:	115,292,000	143,375,000	26,515,000
Ending Balance Commercial Paper	56,358,097	140,000,000	15,000,000
Revenue Bonds with Issuance Cost:	58,933,903	3,375,000	11,515,000
	58,894,211	139,300,000	15,675,000

Refunding Trigger:	100,000,000
CP Max	200,000,000
Issuance Costs:	
Reserve Fund	3.5%
Underwriter, etc.	1.0%
Total Issuance Cost	4.5%