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INFORMATIONAL FILING OF ERCOT INTERCONNECTION AGREEMENTS PURSUANT TO SUBST. R. §25.195(e) PUBLIC UTILITY OF COMMISSION

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APRIL 24, 2018

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Description

THIRD AMENDMENT TO THE ERCOT STANDARD GENERATION INTERCONNECTION AGREEMENT

This Third Amendment (this "Amendment") to the ERCOT Standard Generation Interconnection Agreement (including all exhibits thereto, the "SGIA") is made by **INDECK WHARTON, LLC** ("Indeck"), an Illinois limited liability company, and **CENTERPOINT ENERGY HOUSTON ELECTRIC, LLC** ("CenterPoint Energy"), a Texas limited liability company, (collectively, the "Parties") and effective as of March 1, 2018. Capitalized terms used in this Amendment and not otherwise defined herein are defined in the SGIA.

Whereas (i) the SGIA was executed by the Parties on September 15, 2014 to govern the interconnection of Indeck's proposed generation plant described in Exhibit C to the SGIA (the "Plant") to the TSP System of CenterPoint Energy, and subsequently amended by the Parties on March 1, 2016 and December 1, 2016 (as amended, the "Amended SGIA"); (ii) Indeck desires to change the Commercial Operation Date stated in Exhibit B of the Amended SGIA to September 1, 2021; (iii) as a result of Indeck's change to the Commercial Operation Date, ERCOT requires CenterPoint Energy to conduct a new Facilities Study (when completed, the "2018 Facilities Study") to supersede and replace any prior Facilities Study performed for the interconnection of the Plant; (iv) the 2018 Facilities Study will be performed pursuant to a new Facilities Study Agreement to be executed by the parties hereto on or before May 15, 2018 (the "2018 Facilities Study Agreement"); and (v) the Parties desire to further amend the Amended SGIA to reflect these changes;

Therefore, the Parties agree as follows:

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1. The following amendments to the Amended SGIA are effective as of the March 1, 2018:

(a) Exhibit B to the Amended SGIA is replaced in its entirety by the Exhibit "B" attached to this Amendment.

(b) The term "Facilities Study Agreement" in the Amended SGIA shall mean the 2018 Facilities Study Agreement as defined in this Amendment, and the terms "Facility Study" and "Full Interconnection Study Report" in the SGIA shall mean the 2018 Facilities Study as defined in this Amendment.

2. Except as modified by this Amendment, the provisions of the Amended SGIA will continue in full force and effect in accordance with its terms.

IN WITNESS WHEREOF, the Parties have executed this Amendment as of the date first written above.

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INDECK WHARTON, LLC

1/m P PRIJECT DEVELOPMENT Date:

CENTERPOINT ENERGY HOUSTON ELECTRIC, LLC REFLOENT & CED Date

Exhibit "B" Time Schedule

- 1) Interconnection Option chosen by Generator (check one): X Section 4.1.A. or Section 4.1.B
 - A) If Section 4.1.B is chosen by Generator, the In-Service Date(s) was determined by (check one): (1) <u>N/A</u> good faith negotiations, or (2) <u>N/A</u> designated by Generator upon failure to agree.
- 2) Generator must satisfy the conditions in Section 4.2 and 4.3 of Exhibit "A" to provide both a written notice to proceed with design, procurement, and construction of the TIF (the Notice to Proceed") and the financial security called for in Exhibit "E" (the "Security") to TSP by no later than September 1, 2019 (the "NTP Deadline") so that TSP may maintain schedule to meet the TIF In-Service Date identified below.
 - A) If Generator does not provide either the Notice to Proceed or the Security to TSP by the NTP Deadline, the designated TIF In-Service Date and Scheduled Generation Commercial Operation Date, identified below, will each be extended by one day for each day that the delivery of the Notice to Proceed and Security is delayed beyond the NTP Deadline.
 - B) If Generator does not provide either the Notice to Proceed or the Security to TSP by the end of 12 months after the NTP Deadline (the end of that 12-month period, the "NTP Default Date"), such non-provision shall constitute a Default by Generator, in accordance with Section 10.6.A of Exhibit "A", and written notice of Default shall be deemed to have been given by TSP to Generator as of the NTP Default Date.

TIF In-Service Date: The later of:

- a) March 1, 2021; or
- b) 18 months after the NTP Date

Scheduled Generation Trial Operation Date: The later of:

- a) April 1, 2021; or
- b) 1 month after the TIF In-Service Date.

Scheduled Generation Commercial Operation Date: The later of:

- a) September 1, 2021; or
- b) 6 months after the TIF In-Service Date.
- 3) The TIF In-Service Date and Scheduled Generation Commercial Operation Date will also each be extended by one day for each day that satisfaction of any of the following other conditions specified in Section 4.2 and 4.3 of Exhibit A is delayed:
 - A) if any necessary real property rights as required by TSP to begin construction of TIF have not been obtained within 180 days after TSP's receipt of the Notice to Proceed and Security from Generator;
 - B) if any contribution in aid of construction required under this Agreement has not been deliver to TSP by the invoice due date for the contribution; and
 - C) if any approvals from Governmental Authorities for any facilities requiring regulatory approval (including any required CCN approvals) have not been obtained within 180 days after TSP's receipt of the Notice to Proceed and Security from Generator.
- 4) The TIF In-Service Date and Scheduled Generation Commercial Operation Date will also each be extended by one day:
 - A) for each day that ERCOT does not grant outages as required by TSP to connect Generator to the ERCOT transmission system;
 - B) for each day after TSP's receipt of the Notice to Proceed and Security from Generator that Generator fails to provide final coordinate points to TSP for the Point of Interconnection as described in Exhibit "C." and
 - C) for each day after 30 days from TSP's receipt of the Notice to Proceed and Security from Generator that Generator fails to provide a proposed route of the TIF between TSP's existing 345 kV transmission corridor and the Point of Interconnection.