

Control Number: 35077



Item Number: 784

Addendum StartPage: 0

Project No. 35077

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Amendment No. 9

ERCOT Standard Generation Interconnection Agreement

Between

LCRA Transmission Services Corporation and

anu

Sweetwater Wind 2 LLC

Dated November 13, 2017



1

AMENDMENT NO. 9 TO ERCOT STANDARD GENERATION INTERCONNECTION AGREEMENT

THIS AMENDMENT NO. 9 ("Amendment") is made and entered into this $/3^{th}$ day of <u>Nov.</u> 2017, by and among LCRA Transmission Services Corporation ("Transmission Service Provider" or "TSP") and Sweetwater Wind 2 LLC ("Sweetwater 2"), as assignee of the rights and obligations of Sweetwater Wind Power L.L.C. ("Sweetwater") of the Agreement to the extent relating to Phase 2 collectively referred to hereinafter as the Parties.

WHEREAS, Oncor Electric Delivery Company ("Oncor") and DKR Development, LLC ("DKR") entered into that certain ERCOT Standard Generation Interconnection Agreement dated as of October 23, 2002, as amended by that certain Amendment No. 1 to ERCOT Standard Generation Interconnection Agreement, dated as of November 26, 2002, by that certain Amendment No. 2 to ERCOT Standard Generation Interconnection Agreement dated as of June 27, 2003, by that certain Amendment No. 3 to ERCOT Standard Generation Interconnection Agreement dated as of January 6, 2004, by that certain Amendment No. 4 to ERCOT Standard Generation Interconnection Agreement dated as of August 9, 2004, by that certain Amendment No. 5 to ERCOT Standard Generation Interconnection Agreement dated as of November 28, 2005, by that certain Amendment No. 6 to ERCOT Standard Generation Interconnection Agreement dated as of August 2, 2006, by that certain Amendment No. 7 to ERCOT Standard Generation Interconnection Agreement dated as of December 13, 2006, and by that certain Amendment No. 8 to ERCOT Standard Generation Interconnection Agreement dated as of February 22, 2007 (collectively, as amended, the "Agreement");

WHEREAS, LCRA Transmission Services Corporation accepted the assignment of the Agreement from Oncor on December 10, 2002, Sweetwater accepted the assignment of the Agreement from DKR on June 27, 2003, and Sweetwater 2 accepted assignment of the Agreement with respect to Phase 2 (defined below) on August 9th, 2004;

WHEREAS, the original Agreement provided for a single point of metering at one 345 kV Point of Interconnection for 400 MW nominal wind power plant;

WHEREAS, the Agreement has been amended to add a second 345 kV Point of Interconnection (Amendment No. 6);

WHEREAS, the Generator filed with ERCOT to increase the total generation capacity interconnected at the Bitter Creek Switchyard by 300 MW (Amendment No. 7);

WHEREAS, the Generator (or its assignees) built the initial phase rated at 37.5 MW, the second phase rated at 91.5 MW ("Phase 2"), the third phase rated at 135 MW, each of which is separately metered, the first part of the fourth phase rated at 135 MW ("Phase IV-A"), the second part of the fourth phase rated at 105.8 MW ("Phase IV-B" and together with Phase IV-A, "Phase IV"), and the fifth phase rated at 80.5 MW ("Phase V");

WHEREAS, Phase IV-A, Phase IV-B and Phase V all connect through the same second Point of Interconnection, all of which are metered in aggregate; WHEREAS, this Amendment is required because Sweetwater 2 is installing a software upgrade that will increase the nominal capacity of each of its 61 Units from 1.5 MW to 1.62 MW for a total of 7.32 MW;

WHEREAS, such upgrade requires the submission of a new Generation Interconnection or Change Request application to ERCOT;

WHEREAS, such upgrade does not require any additional interconnection studies due to the aggregate increase in summer or winter Net Dependable Capability being less than ten MW within a single year as confirmed by ERCOT; and

WHEREAS, the TSP shall modify and submit to ERCOT, the EPS metering proposal and other associated documentation as necessary to accommodate this additional generation capacity.

NOW, THEREFORE, in consideration of the mutual covenants and agreements herein set forth, the Parties agree to amend the Agreement as follows:

1. Solely with respect to Phase 2, Exhibit "B" is deleted in its entirety and the Exhibit "B" attached to this Amendment No. 9 is hereby added to the Agreement in lieu thereof.

2. Exhibit "C" Item 4 of the Agreement is deleted in its entirety and replaced with the following:

"Number and size of Generating Units: The Customer Plant will be a 700 MW (Nominal) wind turbine power plant, consisting of the following:

176 Units of 1.5 MW GE Wind Turbines *

135 Units of 1 MW Mitsubishi Heavy Industries Wind Turbines

81 Units of 2.3 MW Siemens Wind Turbines

The above list is not intended to be a complete list of all facilities that are part of the Plant.

*61 Units at Phase 2 upgraded from 1.5 MW to 1.62 MW, which upgrade requires the submission of a new Generation Interconnection or Change Request application to ERCOT, but not any additional interconnection studies due to the aggregate increase in summer or winter Net Dependable Capability being less than ten MW within a single year."

3. Solely with respect to Phase 2, Exhibits "D" and "E" are deleted in their entirety and the Exhibits "D" and "E" attached to this Amendment No. 9 are hereby added to the Agreement in lieu thereof.

Sweetwater Wind 2 LLC Amendment No. 9

Page 2 of 6

3

Exhibit "B" Time Schedule

Interconnection Option chosen by Generator (check one): X Section 4.1.A. or Section 4.1.B

If Section 4.1.B is chosen by Generator, the In-Service Date(s) was determined by (check one): (1) N/A good faith negotiations, or (2) N/A designated by Generator upon failure to agree.

Date by which Generator must provide to TSP written notice to proceed and full security, as specified in Section 4.2, so that TSP may maintain schedule to meet the In-Service Date: N/A

In - Service Date(s): No earlier than 45 days following the execution of this amendment

Scheduled Trial Operation Date: N/A

Scheduled Commercial Operation Date: December 20, 2017

If Generator: i) notifies TSP that it does not intend to complete the full build-out of its Plant to achieve Commercial Operation as set forth in this Ninth Amendment Exhibit "B"; or ii) fails to complete the Commercial Operation of its Plant by December 20, 2017, then the Agreement shall be amended to define the Plants in Exhibit "C" Item 4 to mean the Plants as then constructed and connected to the TIF (in no case more than 700 MW in aggregate) and shall exclude any uncompleted portion of the Plant that remains to be built-out.

Due to the nature of the subject of this Agreement, the Parties may mutually agree to change the dates and times of this Exhibit B.

4

4. Solely with respect to Phase 2, Exhibits "B", "D" and "E" attached to this Amendment will become effective upon execution of this Amendment No. 9 by the Parties.

Except as otherwise expressly provided for herein, the Agreement will continue in full force and effect in accordance with its terms.

IN WITNESS WHEREOF, the Parties have caused this Ninth Amendment to be executed in several counterparts, each of which shall be deemed an original but all shall constitute one and the same instrument.

Sweetwater Wind 2 LLC a Delaware limited liability company

By: Sweetwater 1-2 Holdings, LLC its managing member By: Sweetwater 1-2 Member, LLC

its managing member

By Name: Title: ViQ

Date:

Title: <u>Vice President, LCRA Transmission</u> Design and Protection

Date: NOV. 13, 2017



Sweetwater Wind 2 LLC Amendment No. 9

Exhibit "D" Notice and EFT Information of the ERCOT Standard Generation **Interconnection Agreement**

All notices of an operational nature shall be in writing and/or may be sent between the Parties via electronic (a) means including facsimile as follows:

To: LCRA Transmission Services Corporation To: Sweetwater Wind 2, LLC Company Name: Sweetwater Wind 2, LLC Company Name: LCRA Attn: Transmission Operations Manager Attn: Jason Allen P.O. Box 220 Austin, TX 78767 • Operational/Confirmation Fax: (214) 368-9929 Operational/Confirmation Fax: (512) 385-2146 > 24 Hour Telephone: (214) 515-1110 24 Hour Telephone: 1 (800) 223-7622 E-mail: bill.hatfield@lcra.org E-mail: DL-OCC@LeewardEnergy.com (b) Notices of an administrative nature: To: Sweetwater Wind 2, LLC To: LCRA Transmission Services Corporation Company Name: Sweetwater Wind 2, LLC Company Name: LCRA Attn: Asset Management Attn: Vice President, LCRA Transmission Design and Protection Address: 6688 N. Central Expressway Address: P.O. Box 220 Suite 500 Austin, TX 78767 Fax: (512) 578-4413 Fax: (214) 368-9929 Phone: (214) 515-1100 Phone: (512) 578-4149 E-mail: Matthew.McGowan@LeewardEnergy.com E-mail: sergio.garza@lcra.org Notice for statement and billing purposes: (c) To: Sweetwater Wind 2, LLC To: LCRA Transmission Services Corporation

Company Name: c/o Leeward Renewable Energy Attn: Accounts Payable 6688 N. Central Expy. Ste. 500 Dallas, TX 75206 Phone: (214) 515-1100 E-mail: AP@LeewardEnergy.com

Company Name: (Same as (b) above) Attn: Address City, State, Zip Phone: E-mail

(d) Information concerning Electronic Funds Transfers:

To: Sweetwater Wind 2, LLC	To: LCRA Transmission Services Corporation
Bank: Union Bank of California Acct Name: Sweetwater Wind 2 LLC Operating Acc	Bank Name: (to be supplied as needed) ABA No.
ABA#: 122 000 496	

for credit to Account No.

Sweetwater Wind 2 LLC Amendment No. 9

Acct. #: 7000-166019

Page 5 of 6

Exhibit "E" Security Arrangement Details

Due to the Sweetwater Wind 2 project utilizing an existing Point of Interconnection at the TSP substation for which additional changes to the TIF are not required, a security instrument will not be required for this Amendment.

Generator will make a Contribution in Aid of Construction in the amount of **\$15,000** for expenses relating to engineering, administrative and project management fees to accommodate the Generation Interconnection. TSP shall invoice Generator for said incurred expenses and Generator shall provide payment(s) within 30 days of receipt of such invoice(s). Payments by Generator to TSP under this Agreement shall be made in immediately available funds payable to TSP pursuant to wire transfer instructions to be provided by TSP to Generator, or other form of payment acceptable to TSP.

Failure to deliver payment in the amounts invoiced as set forth above shall be deemed a Default under Section 10.6 of the Agreement, notwithstanding any cure period otherwise provided for in Section 10.6.