

Control Number: 26280



Item Number: 442

Addendum StartPage: 0

ANNUAL REPORT FORM FOR AGGREGATORS

FOR REPORTING PERIOD OF July 1, 2007 to June 30, 2008 FOR AGGREGATOR REGISTRATION NO. 10059 FILED IN PROJECT NO. 26280, Aggregator Annual Reports

Part A: General Information

Part A is applicable to all Aggregators operating in the State of Texas.

1. Aggregator Names:

Quantum Gas & Power Services, Ltd.

2. Contact Person for this Annual Report:

Beth Perry Sewell, Managing Partner 12305 Old Huffmeister Rd. 281.664.2020 (phone) 281.664.2028 (fax)

3. Aggregator Classification.

Class I, Class II.A

4. Scope of Registration:

Standard Registration

5. Activity with Customers

None of the statements apply however Quantum Gas & Power Services, Ltd. (Quantum) provides energy management and consulting services to numerous clients. These clients have switched electricity providers based on competitive supply offers solicited by Ouantum.

6. **REP Affiliation**:

Quantum has no affiliation with any REP but does have aggregation service agreements in place with several REPs. Quantum has not submitted any aggregation customers to any REPs under these agreements.

7. Registration Changes:

There have been no registration changes during this reporting period.

Part B: Technical and Customer Protection Information

Class I, II.A and II.D Aggregators must answer *only* Questions 1-10. Class II.B Aggregators must answer *only* Questions 11 – 15. Class II.C Aggregators must answer *only* Questions 16-20.

Class I, II.A and Class II.D Aggregators:

- 1. Aggregation Information. N/A
- 2. Marketing Policies and Practices.
 - a. The Quantum sales staff attempts to make contact with its prospects via telephone, email or personal contact. Quantum offers a variety of services to these prospects such as energy procurement, management and consulting. Quantum assesses and will indicate compensation methodology during the initial conversation. To date, Quantum has not executed nor has received any client agreements whereby Quantum is receiving payment through aggregation services from either the client or the REP
 - b. www.quantumgas.com
 - c. N/A

Licensure Status. Quantum has not had any registration/license/permits suspended or revoked in any state or by a federal authority.

Complaint History. Quantum has not been notified of any complaints filed against it.

Legal Disclosures. No member of Quantum's principals, partners or directors have been convicted or found liable for fraud, theft, larceny, deceit, or state or federal criminal, civil law violations or been penalized by any attorney general, state or federal regulatory agency.

Other Registrations. Quantum has maintained an active status with the Texas Office of the Secretary of State.

- 3. Landlord-Tenant Arrangements. N/A
- 4. **Billing Agent**. N/A
- 5. Locations Served. N/A
- 6. Estimated Savings. N/A

Class II.B (Political Subdivision Corporation) Aggregators:

- 7. Membership List. N/A
- 8. List of REPs. N/A
- 9. Locations Served: N/A

3

- 10. Estimated Savings. N/A
- 11. Taking Title. N/A

Class II.C Aggregators (Political Subdivisions Conducting Citizen Aggregation):

- 12. Participation Level. N/A
- 13. Subcontracting the Administration. N/A
- 14. Verification of Customer Request. N/A
- 15. Locations Served: N/A
- 16. Estimated Savings. N/A

Part C: Financial Information

Questions Applicable to All Class I, II.A and II.D Aggregators:

- 1. **Financial Integrity**. Quantum has not sought bankruptcy protection, become insolvent, or otherwise been unable to meet its financial obligations on a reasonable and timely basis.
- 2. **Customer Prepayments**. Quantum has not taken any deposits or other advance payments from electricity customers.

Ongoing Status. Quantum is not aware of or anticipates any potential events before the next report that would be pertinent to its future financial integrity as an Aggregator operating in the State of Texas.

Questions Applicable Only to Aggregators Who Take Prepayments:

An Aggregator taking customer prepayments in Texas must respond to either Question 4, if it is registered pursuant the Standard Registration, or Question 5, if it is registered pursuant to the Alternative Limited Registration. The term "customer prepayments" is used here to include both the "customer deposits" and "other advance payments" specified in § 25.111.

- 1. Standard Registrants. Is the Aggregator taking customer prepayments pursuant to §25.111(g)(1), Standard Financial Qualifications? No
- a. Financial Qualifications.

Financial Evidence. N/A

Investment Grade Credit. N/A

- b. Financial Records. N/A
- c. Ninety-Day Affidavit. N/A
- d. External Notice. N/A
- e. Changing Evidence. N/A
- 17. Limited Registrants. No
 - a. Notarized Affidavit. N/A
 - b. Customer Registry. N/A
 - c. AFFIDAVIT

STATE OF TEXAS COUNTY OF HARRIS§

- My name is <u>Beth Perry Sewell</u>. I am <u>Managing Partner</u> of the reporting Aggregator, Quantum Gas & Power Services, Ltd.
 - I swear and affirm that (check one): 2
 - ☐ I am an owner, partner, or officer of the registering party, which is registering as a Class I, Class II.A, and/or a Class II.D aggregator.
 - I am an owner, partner, or officer of the registering party, which is registering as a Class II.B or Class II.C aggregator.
- I swear and affirm that I have personal knowledge of the facts stated in this Aggregator 3. Annual Report, that I am competent to testify to them, and that I have the authority to make this Report on behalf of the reporting Aggregator. I further swear or affirm that all of the statements and/or representations made in this Report are true, complete, and correct. I swear or affirm that the reporting Aggregator is not in material violation of any of the requirements of its registration. I swear or affirm that, if any material violation of the requirements of its registration occurred subsequent to the reporting period applicable to this Report, the reporting Aggregator has notified the Commission of same. I swear or affirm that the reporting Aggregator understands and will comply with all requirements of applicable law and rules.
- I swear and affirm that the Aggregator is not in violation of any customer protection, disclosure requirements, marketing guidelines, and anti-discrimination laws or Commission rules.
- 5. I swear and affirm that any assertions of confidentiality regarding this response have been made with a good faith belief that an exception to public disclosure under Chapter 552 of the Texas Government Code applies to the information provided.

Signature of Affiant/Responsible Party

Beth Perry Sewell

Typed or Printed Name

Quantum Gas & Power Services, Ltd.

Name of Reporting Aggregator

SWORN TO AND SUBSCRIBED TO BEFORE ME on the And day of

JOSEPH F. ALICEAACOSTA Notary Public, State of Texas My Commission Expires

ExpiresFebruary 07, 2010

CHART A—Aggregation Information

Response to Part B, Question No. 1

ANNUAL REPORT for AGGREGATORS

(Applicable only to Class I, II.A, and/or II.D Aggregators)

Legal Name Of Aggregator Quantum Gas & Power Services, Ltd.

Registration Number 80059 Aggregator Class(es)

(2) Customer Type(s)	(3) Total Number of Customers in Aggregation Group	(4) Aggregator Classification	(5) Retail Electric Provider (REP) Name	(6) REP Certification Number	(7) Compensation Source
N/A	N/A	N/A	N/A	N/A	N/A
					1
	Type(s)	Customer Total Number of Customers in Aggregation Group	Customer Total Number of Aggregator Type(s) Customers in Classification Aggregation Group	Customer Total Number of Type(s) Customers in Aggregator Classification Group Aggregator Classification (REP) Name	Type(s) Customers in Classification (REP) Name Certification Number Group