



Control Number: 26280



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***Lone Star Energy Solutions LLC*** 9:22

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Pearland, TX 77584

September 23, 2020

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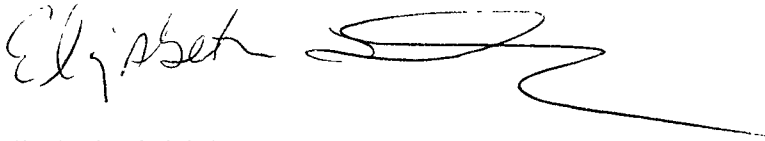
Re: **Aggregation Annual Report, Project No. 26280**  
**Registration No. 80458**

Dear Sir or Madam:

Pursuant to Public Utility Commission of Texas Substantive Rules 25.111, I have enclosed the Aggregator Annual Report, Project No. 26280, Registration No. 80458 as required.

Please contact me at 303-885-8105 if you have any questions.

Thank you,

A handwritten signature in black ink, appearing to read "Elizabeth", followed by a large, stylized, looping flourish that extends to the right.

Elizabeth Littlejohn

## ANNUAL REPORT FORM FOR AGGREGATORS

FOR REPORTING PERIOD OF July 1, 2019 to June 30, 2020

FOR AGGREGATOR REGISTRATION NO. 80458

FILED IN PROJECT NO. 26280, *Aggregator Annual Reports*

### **Part A: General Information**

*Part A* is applicable to all Aggregators operating in the State of Texas.

1. **Aggregator Names:** Provide the Aggregator's legal name under which it is registered with the Commission, as well as any other trade or commercial names under which the Aggregator provides services.

Lone Star Energy Solutions LLC

2. **Contact Person for this Annual Report:** Provide name, title, address, telephone number, facsimile transmission number, and e-mail address.

Elizabeth Littlejohn  
President  
12594 E Pacific CIR Unit E  
Aurora, CO 80014  
303-885-8105  
lonestarenergysolutionsllc@gmail.com

3. **Aggregator Classification.** Under which classifications is the Aggregator currently registered: *Class I, Class II.A, Class II.B, Class II.C, and/or Class II.D?*

Lone Star Energy Solutions in a Class I.

4. **Scope of Registration.** If a Class I, Class II.A, or Class II.D, did the Aggregator register pursuant to §25.111(f)(1), the *Standard Registration* allowing aggregation of customers of any size, or pursuant to §25.111(f)(2), the *Alternative Limited Registration* (limited to customers contracting for 250 kilowatts peak demand)?

Lone Star Energy Solutions utilizes Alternative Limited Registration.

5. **Activity with Customers.** Which of the following statements describe the Aggregator, the services it performs, and the customers it serves? (List all that are applicable: 5.a, 5.b, 5.c, 5.d, and/or 5.e). If more than one of these statements apply, explain how the Aggregator separates and distinguishes each activity from the other(s) to ensure compliance with § 25.111(d), which specifies different operational parameters for each class of aggregator.

Lone Star Energy Solutions aggregates private customers.

6. **REP Affiliation.** Identify any relationship(s) that the Aggregator has with REPs. [25.111(b), (f)(1)(K), and (k)]

Lone Star Energy Solutions has no REP affiliations.

7. **Registration Changes:** Have there been any changes since the Aggregator's last Annual Report in the information registered with the Commission? If yes, provide the date and project number under which each change was filed with the Commission and briefly describe the change(s). In addition, list any pending proceedings pertaining to the Aggregator's registration.

Lone Star Energy Solutions has no changes since last annual report.

### **Part B: Technical and Customer Protection Information**

Class I, II.A and II.D Aggregators must answer *only* Questions 1-10. Class II.B Aggregators must answer *only* Questions 11 – 15. Class II.C Aggregators must answer *only* Questions 16-20.

#### **Class I, II.A and Class II.D Aggregators:**

1. **Aggregation Information.** Complete the attached *Chart A—Aggregation Information*, concerning customer types, contracted REPs, and compensation sources for each aggregation performed by the Aggregator. [§§25.111(f)(1)(F) and (M)]

See attached chart.

2. **Marketing Policies and Practices.**

- a. Without supplying actual marketing materials, provide a summary explanation of the Aggregator's marketing policies and procedures utilized to obtain customer load. Include mention of how and when the Aggregator discloses its compensation sources in relation to executing contracts and, if compensation is rebated from the REP, whether and how that compensation is reflected on the customer's retail electric bill from the REP. [§25.111(b) and (i)(2) and 25.474]

Lone Star Energy Solutions does not actively seek customers or participate in any type of marketing. Lone Star Energy Solutions' business comes from customer referrals and word of mouth. Lone Star Energy Solutions specifies its compensation to the customer in the pricing of electricity. The customer enters into a service agreement directly with the REP that specifies Lone Star Energy Solutions' compensation. Lone Star Energy Solutions receives its compensation from the REP after the customer has paid the REP's monthly invoice.

- b. List any and all Internet addresses used by the Aggregator or its third party contractor or marketing agent to market or solicit or obtain aggregated load and/or to provide aggregation services. [§§25.111(b) and (i)(2) and 25.474]

Lone Star Energy Solutions has no website and no third party contractor or marketing agent.

**Aggregator ANNUAL REPORT FOR REPORTING PERIOD OF July 2019 through June 2020***Pursuant to PUC Substantive Rule 25.111*

- c. If the Aggregator contracted with or relied on a third party and/or marketing agent to obtain aggregated customer load or to otherwise provide aggregation services, list each person or company's name, contact person, physical address, telephone number, and date of contract. [§§25.111(b) and (i)(2) and 25.474]

Lone Star Energy Solutions has no website and no third party contractor or marketing agent.

3. **Licensure Status.** Has the Aggregator had any registration/license/permit suspended or revoked in any state or by a federal authority? If yes, provide the (a) action type, (b) docket/citation number, (c) court/agency, and (d) final disposition. [§25.111(j)(6)] .

Lone Star Energy Solutions has no registration, license or permits suspended or revoked.

4. **Complaint History.** Provide a summary of complaints filed since the last report against the Aggregator or against any affiliates of the Aggregator that provide utility-related services<sup>5</sup> with any state or federal regulatory agency in states other than Texas, including (a) Aggregator or affiliate name, (b) regulatory agency, (c) total number of complaints, and (d) complaint types (e.g., customer service, unauthorized change of utility, unauthorized charge). [§§25.111(f)(1)(Q) and (i)(2)]

Lone Star Energy Solutions has no complaints.

5. **Legal Disclosures.** Since the last report, has the Aggregator or its principals, officers or directors been (1) convicted or found liable for fraud, theft, larceny, deceit, or violations of any customer protection or deceptive trade laws in any state, (2) convicted for violation of any state or federal criminal laws, (3) found liable for violation of any state or federal civil laws, or (4) penalized by any attorney general, state or federal regulatory agency? If yes, provide the (a) violation type, (b) docket/citation number, (c) court/agency, and (d) final disposition including penalty assessed. [§§25.111(f)(1)(O) and (j)(7)]

Lone Star Energy Solutions and its officers have had no convictions, violations or penalties.

6. **Other Registrations.** For Aggregators whose legal structure requires registration with the Texas Comptroller of Public Accounts or with the Texas Office of the Secretary of State (SOS): List and explain any instances where the Aggregator was "not in good standing" with the Comptroller's office or any instances where the Aggregator failed to maintain "active status" with the SOS. Include explanation of any corrective action taken. [§25.111(f)(1)(G)-(H)]

Lone Star Energy Solutions is in good standing.

7. **Landlord-Tenant Arrangements.** Have any aggregations during the reporting period involved agreements between the Aggregator and property owners/managers for energy services to their tenants? If yes, explain the relationship and state how the tenant's independent choice of electricity provider is preserved by the arrangement. Include all supporting documentation.

Lone Star Energy Solutions has no aggregations between owners/manager and tenants.

8. **Billing Agent.** Does the Aggregator function as a billing agent for any REP (that is, does the Aggregator process the REP's billings for energy services to its retail customers)? If yes, list the REPs for which the Aggregator serves as a billing agent.

Lone Star Energy Solutions does not do billing for any REP.

9. **Locations Served.** List each zip code and census tract in which at least one customer is served by a REP via a contract that was negotiated by the Aggregator.

Lone Star Energy Solutions does not tract customers by census tract. The zip codes served are: 77028 77396 77494 77521 77523 77532 78621 77441

### ***Part C: Financial Information***

*Part C* is applicable only to Class I, Class II.A and Class II.D Aggregators. Aggregators that are not registered under one or more of these Classes do not need to complete *Part C*, and should proceed to the Affidavit.

Questions in *Part C* refer to the most recent July-to-June reporting period, as well as to any subsequent time for which information relating to these questions is reasonably known and available to the Aggregator. The Commission anticipates that the supporting documentation for the Aggregator's responses to Questions Nos. 4.a.I and 5.b are proprietary in nature.

#### **Questions Applicable to All Class I, II.A and II.D Aggregators:**

1. **Financial Integrity.** Has the Aggregator sought bankruptcy protection, become insolvent, or otherwise has been unable to meet its financial obligations on a reasonable and timely basis? If yes, explain, including any corrective action. [§25.111(j)(5).]

Lone Star Energy Solutions has not sought bankruptcy or become insolvent.

2. **Customer Prepayments.** Has the Aggregator taken any deposits or other advance payments from electricity customers? If yes, provide the following:
- A descriptive list of the kinds of services for which the prepayments were taken by the Aggregator; and
  - The project number of the proceeding in which the Aggregator obtained Commission approval to take prepayments (that is, the initial registration or any subsequent amendment; if none, explain).

Lone Star Energy Solutions has not received any deposits or advanced payments.

3. **Ongoing Status.** To the best of its knowledge, is the Aggregator aware of anything else, or does it anticipate any potential events before the next report, including anything related to prepayments if applicable, which would be pertinent to its future financial integrity as an Aggregator operating in the State of Texas? If yes, explain.

Lone Star Energy Solutions is not aware of anything else and doesn't anticipate any potential events that would be pertinent to its future financial integrity.

## AFFIDAVIT

Colorado  
STATE OF ~~TEXAS~~ §  
COUNTY OF ~~TRAVIS~~ §  
Arapahoe

1. My name is Elizabeth Littlejohn. I am President [legal title] of the reporting Aggregator, Lone Star Energy Solutions LLC [Aggregator name].

2 I swear and affirm that (check one):

☒ I am an owner, partner, or officer of the registering party, which is registering as a Class I, Class II.A, and/or a Class II.D aggregator.

☐ I am an owner, partner, or officer of the registering party, which is registering as a Class II.B or Class II.C aggregator.

3. I swear and affirm that I have personal knowledge of the facts stated in this Aggregator Annual Report, that I am competent to testify to them, and that I have the authority to make this Report on behalf of the reporting Aggregator. I further swear or affirm that all of the statements and/or representations made in this Report are true, complete, and correct. I swear or affirm that the reporting Aggregator is not in material violation of any of the requirements of its registration. I swear or affirm that, if any material violation of the requirements of its registration occurred subsequent to the reporting period applicable to this Report, the reporting Aggregator has notified the Commission of same. I swear or affirm that the reporting Aggregator understands and will comply with all requirements of applicable law and rules.

4. I swear and affirm that the Aggregator is not in violation of any customer protection, disclosure requirements, marketing guidelines, and anti-discrimination laws or Commission rules.

5. I swear and affirm that any assertions of confidentiality regarding this response have been made with a good faith belief that an exception to public disclosure under Chapter 552 of the Texas Government Code applies to the information provided.

Elizabeth Littlejohn  
Signature of Affiant/Responsible Party

Elizabeth Littlejohn  
Typed or Printed Name

Lone Star Energy Solutions LLC  
Name of Reporting Aggregator

SWORN TO AND SUBSCRIBED TO BEFORE ME on the 7<sup>th</sup> day of November, 2020.

Frankie Edward Howser  
Notary Public in and for the  
State of Colorado

My Commission Expires: June 04, 2022

**FRANKIE EDWARD HOWSER**  
NOTARY PUBLIC  
STATE OF COLORADO  
NOTARY ID 20184023373  
MY COMMISSION EXPIRES JUNE 04, 2022



