



Control Number: 26280



Item Number: 1330

Addendum StartPage: 0

# ANNUAL REPORT FORM FOR AGGREGATORS

FOR REPORTING PERIOD OF July 1, 2018 to June 30, 2019  
FOR AGGREGATOR REGISTRATION NO. 80420  
FILED IN PROJECT NO. 26280, *Aggregator Annual Reports*

RECEIVED

2019 AUG 30 AM 9:47

PUBLIC UTILITY COMMISSION  
FILING CLERK

## Part A: General Information

Part A is applicable to all Aggregators operating in the State of Texas.

1. **Aggregator Names:** Provide the Aggregator's legal name under which it is registered with the Commission, as well as any other trade or commercial names under which the Aggregator provides services.

Energy Edge Consulting, LLC

2. **Contact Person for this Annual Report:** Provide name, title, address, telephone number, facsimile transmission number, and e-mail address.

Matt Hobson  
Vice-President & Secretary  
9601 Katy Freeway, Suite 450  
Houston, TX 77024  
Ph: 713.300.0680 x 702  
Fax: 713.300.0680  
mhobson@energyedgeconsulting.com

3. **Aggregator Classification.** Under which classifications is the Aggregator currently registered: *Class I, Class II.A, Class II.B, Class II.C, and/or Class II.D*?

Energy Edge Consulting, LLC is registered under Class I.

4. **Scope of Registration.** If a Class I, Class II.A, or Class II.D, did the Aggregator register pursuant to §25.111(f)(1), the *Standard Registration* allowing aggregation of customers of any size, or pursuant to §25.111(f)(2), the *Alternative Limited Registration* (limited to customers contracting for 250 kilowatts peak demand)?

Energy Edge Consulting, LLC registered pursuant to §25.111(f)(2), the *Alternative Limited Registration* (limited to customers contracting for 250 kilowatts peak demand).

5. **Activity with Customers.** Which of the following statements describe the Aggregator, the services it performs, and the customers it serves? (List all that are applicable: 5.a, 5.b, 5.c, 5.d, and/or 5.e). If more than one of these statements apply, explain how the Aggregator separates and distinguishes each activity from the other(s) to ensure compliance with § 25.111(d), which specifies different operational parameters for each class of aggregator.

a. A person that aggregates private customers.

Energy Edge Consulting's customers are private customers.

- b. A person that aggregates municipalities and/or other political subdivisions.
- c. A political subdivision corporation<sup>4</sup> that aggregates municipalities and/or other political subdivisions.
- d. A municipality or other political subdivision that aggregates its citizens.
- e. An administrator of citizen aggregation.

**6. REP Affiliation.** Identify any relationship(s) that the Aggregator has with REPs. [25.111(b), (f)(1)(K), and (k)]

Energy Edge Consulting, LLC, has no relationships with REPs.

**7. Registration Changes:** Have there been any changes since the Aggregator's last Annual Report in the information registered with the Commission? If yes, provide the date and project number under which each change was filed with the Commission and briefly describe the change(s). In addition, list any pending proceedings pertaining to the Aggregator's registration.

There have been no changes since Energy Edge Consulting's last Annual Report.

## ***Part B: Technical and Customer Protection Information***

Class I, II.A and II.D Aggregators must answer *only* Questions 1-10. Class II.B Aggregators must answer *only* Questions 11 – 15. Class II.C Aggregators must answer *only* Questions 16-20. **Class I, II.A and Class II.D Aggregators:**

**1. Aggregation Information.** Complete the attached *Chart A—Aggregation Information*, concerning customer types, contracted REPs, and compensation sources for each aggregation performed by the Aggregator. [§§25.111(f)(1)(F) and (M)]

### **2. Marketing Policies and Practices.**

a. Without supplying actual marketing materials, provide a summary explanation of the Aggregator's marketing policies and procedures utilized to obtain customer load. Include mention of how and when the Aggregator discloses its compensation sources in relation to executing contracts and, if compensation is rebated from the REP, whether and how that compensation is reflected on the customer's retail electric bill from the REP. [§25.111(b) and (i)(2) and 25.474]

Most of the firm's marketing activities are centered around traditional business to business channels, including marketing through trade associations, chambers of commerce, referrals from active clients, social media (such as LinkedIn) and the firm's website. Energy Edge typically executes service agreements with clients that specify the compensation Energy Edge is to receive either directly from the client or from the client via the client's REP agreement. Some REPs will list compensation to Energy Edge as a separate line item on the customer's invoice, while others include the compensation to Energy Edge in the client's electricity price per kWh. In both cases, both Energy Edge and the REP will provide a full accounting to the client of all compensation received by Energy Edge upon request.

b. List any and all Internet addresses used by the Aggregator or its third party contractor or marketing agent to market or solicit or obtain aggregated load and/or to provide aggregation services. [§§25.111(b) and (i)(2) and 25.474]

[www.energyedgeconsulting.com](http://www.energyedgeconsulting.com); [https://www.linkedin.com/company/energy-edge-consulting?trk=d\\_public\\_post\\_follow\\_view\\_profile](https://www.linkedin.com/company/energy-edge-consulting?trk=d_public_post_follow_view_profile)

c. If the Aggregator contracted with or relied on a third party and/or marketing agent to obtain aggregated customer load or to otherwise provide aggregation services, list each person or company's name, contact person, physical address, telephone number, and date of contract. [§§25.111(b) and (i)(2) and 25.474]

Energy Edge Consulting, LLC, did not contract with or rely on any third party and/or marketing agent to obtain aggregated customer load or information.

3. **Licensure Status.** Has the Aggregator had any registration/license/permit suspended or revoked in any state or by a federal authority? If yes, provide the (a) action type, (b) docket/citation number, (c) court/agency, and (d) final disposition. [§25.111(j)(6)] .

Energy Edge Consulting, LLC, has not had any registration, license or permit suspended or revoked by any state or federal authority.

4. **Complaint History.** Provide a summary of complaints filed since the last report against the Aggregator or against any affiliates of the Aggregator that provide utility-related services with any state or federal regulatory agency in states other than Texas, including (a) Aggregator or affiliate name, (b) regulatory agency, (c) total number of complaints, and (d) complaint types (e.g., customer service, unauthorized change of utility, unauthorized charge). [§§25.111(f)(1)(Q) and (i)(2)]

Energy Edge Consulting, LLC, has not had any complaints filed against them.

5. **Legal Disclosures.** Since the last report, has the Aggregator or its principals, officers or directors been (1) convicted or found liable for fraud, theft, larceny, deceit, or violations of any customer protection or deceptive trade laws in any state, (2) convicted for violation of any state or federal criminal laws, (3) found liable for violation of any state or federal civil laws, or (4) penalized by any attorney general, state or federal regulatory agency? If yes, provide the (a) violation type, (b) docket/citation number, (c) court/agency, and (d) final disposition including penalty assessed. [§§25.111(f)(1)(O) and (j)(7)]

No principal, director, officer or other similar official of Energy Edge Consulting, LLC has been convicted of a felony or held liable for any antitrust violation as described in [§§25.111(f)(1)(O) and (j)(7)].

6. **Other Registrations.** For Aggregators whose legal structure requires registration with the Texas Comptroller of Public Accounts or with the Texas Office of the Secretary of State (SOS): List and explain any instances where the Aggregator was "not in good standing" with the Comptroller's office or any instances where the Aggregator failed to maintain "active status" with the SOS. Include explanation of any corrective action taken. [§25.111(f)(1)(G)-(H)]

Energy Edge Consulting, LLC, has remained in good standing and active status since incorporation.

**7. Landlord-Tenant Arrangements.** Have any aggregations during the reporting period involved agreements between the Aggregator and property owners/managers for energy services to their tenants? If yes, explain the relationship and state how the tenant's independent choice of electricity provider is preserved by the arrangement. Include all supporting documentation.

No aggregations during the reporting period involved agreements between Energy Edge Consulting, LLC, and property owners/managers for energy services to their tenants.

**8. Billing Agent.** Does the Aggregator function as a billing agent for any REP (that is, does the Aggregator process the REP's billings for energy services to its retail customers)? If yes, list the REPs for which the Aggregator serves as a billing agent.

Energy Edge Consulting, LLC, does not function as a billing agent for any REP.

**9. Locations Served.** List each zip code and census tract in which at least one customer is served by a REP via a contract that was negotiated by the Aggregator.

Energy Edge Consulting, LLC, does not track customers by census tract. Here are the zip codes served by a REP via a contract negotiated by Energy Edge during this reporting period:

77478, 75244, 77024, 77043, 77070, 77094, 77373, 77375, 77388, 77034, 77074, 75087,  
77087, 77401, 77027, 77573, 76051, 77901, 77979, 77904, 77511, 77377, 79756, 79770,  
79754, 79789, 77536, 79763, 77093, 77037, 77530, 77018, 77022, 79520, 77041, 76087,  
77061, 77064, 77058, 77081, 77554, 77007, 77019, 77002, 77006, 77010, 77056, 77551  
77005, 77057, 75225, 77079, 77015, 77098, 77089

## ***Part C: Financial Information***

*Part C* is applicable only to Class I, Class II.A and Class II.D Aggregators. Aggregators that are not registered under one or more of these Classes do not need to complete *Part C*, and should proceed to the Affidavit. Questions in *Part C* refer to the most recent July-to-June reporting period, as well as to any subsequent time for which information relating to these questions is reasonably known and available to the Aggregator. The Commission anticipates that the supporting documentation for the Aggregator's responses to Questions Nos. 4.a.I and 5.b are proprietary in nature. **Questions Applicable to All Class I, II.A and II.D Aggregators:**

**1. Financial Integrity.** Has the Aggregator sought bankruptcy protection, become insolvent, or otherwise has been unable to meet its financial obligations on a reasonable and timely basis? If yes, explain, including any corrective action. [§25.111(j)(5).]

Energy Edge Consulting, LLC has no history of bankruptcy, dissolution, or inability to meet its financial obligations on a reasonable and timely basis in its history.

**2. Customer Prepayments.** Has the Aggregator taken any deposits or other advance payments from electricity customers? If yes, provide the following:

a. A descriptive list of the kinds of services for which the prepayments were taken by the Aggregator; and

b. The project number of the proceeding in which the Aggregator obtained Commission approval to take prepayments (that is, the initial registration or any subsequent amendment; if none, explain).

Energy Edge Consulting, LLC does not take any deposits or advance payments from its electricity customers.

3. **Ongoing Status.** To the best of its knowledge, is the Aggregator aware of anything else, or does it anticipate any potential events before the next report, including anything related to prepayments if applicable, which would be pertinent to its future financial integrity as an Aggregator operating in the State of Texas? If yes, explain.

Energy Edge Consulting, LLC, to the best of our knowledge, is not aware of anything else nor do we anticipate any potential events which would be pertinent to our future financial integrity as an Aggregator operating in the State of Texas.

**CHART A—Aggregation Information****Response to Part B, Question No. 1****ANNUAL REPORT for AGGREGATORS***(Applicable only to Class I, II.A, and/or II.D Aggregators)*Legal Name Of Aggregator Energy Edge Consulting, LLCRegistration Number 80420Aggregator Class(es) I, Alternative Limited Registration

(1) Aggregation Group	(2) Customer Type(s)	(3) Total Number of Customers in Aggregation Group	(4) Aggregator Classification	(5) Retail Electric Provider (REP) Name	(6) REP Certification Number	(7) Compensation Source
Buchanan Street 2019	COM	2	I	Engie	10204	REP
Fred Haas 2019	COM	4	I	Engie	10204	REP
John Eagle Auto 2019	COM	4	I	TXU	10004	REP
Keating Auto Group 2019	COM	9	I	TXU	10004	REP
Quanta 2018	COM	5	I	TXU	10004	REP
The Finger Companies 2019	COM	33	I	TXU	10004	REP
KBS West Loop 2018	COM	2	I	Engie	10204	REP
Matador 2020	COM	3	I	TXU	10004	REP

**AFFIDAVIT**STATE OF TEXAS §  
COUNTY OF TRAVIS §1. My name is Richard Matthew Hobson. I am Vice President [legal title] of the reporting Aggregator, Energy Edge Consulting, LLC [Aggregator name].

2 I swear and affirm that (check one):

- ☐ I am an owner, partner, or officer of the registering party, which is registering as a Class I, Class II.A, and/or a Class II.D aggregator.
- ☐ I am an owner, partner, or officer of the registering party, which is registering as a Class II.B or Class II.C aggregator.

3. I swear and affirm that I have personal knowledge of the facts stated in this Aggregator Annual Report, that I am competent to testify to them, and that I have the authority to make this Report on behalf of the reporting Aggregator. I further swear or affirm that all of the statements and/or representations made in this Report are true, complete, and correct. I swear or affirm that the reporting Aggregator is not in material violation of any of the requirements of its registration. I swear or affirm that, if any material violation of the requirements of its registration occurred subsequent to the reporting period applicable to this Report, the reporting Aggregator has notified the Commission of same. I swear or affirm that the reporting Aggregator understands and will comply with all requirements of applicable law and rules.

4. I swear and affirm that the Aggregator is not in violation of any customer protection, disclosure requirements, marketing guidelines, and anti-discrimination laws or Commission rules.

5. I swear and affirm that any assertions of confidentiality regarding this response have been made with a good faith belief that an exception to public disclosure under Chapter 552 of the Texas Government Code applies to the information provided.

  
Signature of Affiant/Responsible Party

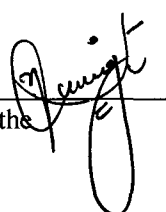
Richard Matthew Hobson

Typed or Printed Name

Energy Edge Consulting, LLC

Name of Reporting Aggregator

SWORN TO AND SUBSCRIBED TO BEFORE ME on the 26<sup>th</sup> day of  
Aug, 2022.

  
Notary Public in and for the  
State of TEXAS

My Commission Expires: 10-30-2022