



Control Number: 26186



Item Number: 240

Addendum StartPage: 6

SOAH DOCKET NO. 463-03-0235  
PUC DOCKET NO. 26186

RECEIVED

02 SEP 30 PM 3:05

APPLICATION OF SOUTHWESTERN §  
PUBLIC SERVICE COMPANY: (1) §  
RECONCILIATION OF ITS FUEL AND §  
PURCHASED POWER COSTS FOR §  
2000 THROUGH 2001; AND (2) §  
RELATED RELIEF §

BEFORE THE STATE OFFICE

OF

ADMINISTRATIVE HEARINGS

FILING CLERK

SOUTHWESTERN PUBLIC SERVICE COMPANY'S  
RESPONSE TO OFFICE OF PUBLIC UTILITY COUNSEL'S  
EIGHTEENTH REQUEST FOR INFORMATION

(Filename: 10B30.doc; Total Pages: 26 )

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SOAH Docket No. 435-03-0235; PUC Docket No. 26186  
Southwestern Public Service Company Response to  
Office of Public Utility Counsel's Eighteenth Request for Information  
Page1

**SOAH DOCKET NO. 473-03-0235  
PUC DOCKET NO. 26186**

<b>APPLICATION OF SOUTHWESTERN</b>	<b>§</b>	<b>BEFORE THE STATE OFFICE</b>
<b>PUBLIC SERVICE COMPANY: (1)</b>	<b>§</b>	
<b>RECONCILIATION OF ITS FUEL AND</b>	<b>§</b>	<b>OF</b>
<b>PURCHASED POWER COSTS FOR</b>	<b>§</b>	
<b>2000 THROUGH 2001; AND (2)</b>	<b>§</b>	<b>ADMINISTRATIVE HEARINGS</b>
<b>RELATED RELIEF</b>	<b>§</b>	

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**SOUTHWESTERN PUBLIC SERVICE COMPANY'S  
RESPONSE TO OFFICE OF PUBLIC UTILITY COUNSEL'S  
EIGHTEENTH REQUEST FOR INFORMATION**

Southwestern Public Service Company (SPS) files this response to Office of Public Utility Counsel's ("OPC") Eighteenth Request for Information.

**I. WRITTEN RESPONSES.**

SPS's written responses to OPC's Eighteenth Request for Information are attached and incorporated by reference. Each response is stated on or attached to a separate page on which the request has been restated. SPS's responses are made in the spirit of cooperation without waiving SPS's right to contest the admissibility of any of these matters at hearing. Pursuant to P.U.C PROC. R. 22.144(c)(2)(A), each response lists the preparer or person under whose direct supervision the response was prepared and any sponsoring witness. When SPS provides certain information sought by the request while objecting to the provision of other information, it does so without prejudice to its objection in the interests of narrowing discovery disputes pursuant to P.U.C. PROC.

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*SOAH Docket No. 435-03-0235; PUC Docket No. 26186  
Southwestern Public Service Company Response to  
Office of Public Utility Counsel's Eighteenth Request for Information  
Page2*

R. 22.144(d)(5). Pursuant to P.U.C. PROC. R. 22.144(c)(2)(F), SPS stipulates that its responses may be treated by all parties as if they were made under oath.

## **II. INSPECTIONS**

If responsive documents are more than 100 pages but less than eight linear feet in length, the response will indicate that the attachment is VOLUMINOUS and, pursuant to P.U.C. PROC. R. 22.144(h)(2), the attachment will be made available for inspection at SPS's voluminous room at 1150 Capitol Center, 919 Congress Ave., Austin, Texas 78701, telephone number (512) 476-7137. If a response or the responsive documents are provided pursuant to the protective order in this docket, the response will indicate that it or the attachment is either CONFIDENTIAL or HIGHLY SENSITIVE as appropriate under the protective order. Highly sensitive responses will be made available for inspection at SPS's voluminous room, unless they form a part of a response that exceeds eight linear feet in length; then they will be available at their usual repository in accordance with the following paragraph. Please call in advance for an appointment to ensure that there is sufficient space to accommodate your inspection.

If responsive documents exceed eight linear feet in length, the response will indicate that the attachment is subject to the FREIGHT CAR DOCTRINE, and, pursuant to Commission Procedural Rule 22.144(h)(3), the attachment will be available for inspection at its usual repository, SPS's offices in Amarillo, Texas, unless otherwise indicated. SPS requests that parties wishing to inspect this material provide at least 48 hours' notice of their intent by contacting Steven D. Arnold of


Hinkle, Hensley, Shanor & Martin, L.L.P., 1150 Capitol Center, 919 Congress Ave., Austin, Texas 78701; telephone number (512) 476-7137; facsimile transmission number (512) 476-7146. Inspections will be scheduled to accommodate all requests with as little inconvenience to the requesting party and to SPS's operations as possible.

Respectfully submitted,

**XCEL ENERGY**

Jerry F. Shackelford  
Texas Bar Card No. 18070000  
e-mail: jerry.f.shackelford@xcelenergy.com  
816 Congress Avenue, Suite 1130  
Austin, Texas 78701  
(512) 478-9229  
(512) 478-9232 (FAX)

**HINKLE, HENSLEY, SHANOR  
& MARTIN, L.L.P.**

By:   
Steven D. Arnold  
Texas Bar Card No. 01345480  
e-mail: sarnold@hinklelawfirm.com  
Amy M. Shelhamer  
Texas Bar Card No. 24010392  
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e-mail: dwilfong@hinklelawfirm.com

1150 Capitol Center  
919 Congress Avenue  
Austin, Texas 78701  
(512) 476-7137  
(512) 476-7146 (FAX)

**ATTORNEYS FOR SOUTHWESTERN  
PUBLIC SERVICE COMPANY**

## **RESPONSES**

### **QUESTION NO. 18-1:**

Please refer to the Company's response to OPC's 8<sup>th</sup> RFI, Question No. 46, page 2 of 2, Bates 136, and provide a copy of the complete documentation to support and explain the charge in the amount of \$7,279.40, identified as Wheelabrator. In the alternative, please provide a specific reference in the filing (volume and page) to the requested documentation and explanation.

### **RESPONSE:**

Refer to Exhibit No. OPC18-1, which contains documentation regarding the cited charge. According to Savage, Wheelabrator remitted payment to the coal handling employee's pension plan for the period of October 2000 – June 2001. Since Savage acquired the Wheelabrator assets as of November 2000, Wheelabrator sought reimbursement of those operating costs from Savage for the period of November 2000 – June 2001. The amount was entered into the summary listing on Bates Stamp Page 136 by Savage to accurately reflect that the reimbursement payment went to Wheelabrator.

Preparer(s): Holly Williams, Barry Johnson  
Sponsor: Barry Johnson

**QUESTION NO. 18-2:**

Please refer to the Company's response to OPC's 8<sup>th</sup> RFI, Question No. 22, and provide a brief explanation as to who/whom is currently responsible for providing the most significant of the services that were previously provided by W.D. Ince, with particular emphasis on unit trains' coordination and logistics, and the inspection services for repairs invoiced by Progress Rail.

**RESPONSE:**

Services previously provided by W.D. Ince are currently being performed by Richard Paris, Manager Health & Safety/Unit Trains for Savage Energy Services. Mr. Paris is responsible for railcar maintenance and tracking, as well as coal shipment coordination and railcar inspections.

Preparer(s): Rick Paris, Barry Johnson

Sponsor: Barry Johnson

**QUESTION NO. 18-3:**

In connection with TUCO's independent auditor, as discussed in the Company's response to OPC's 10<sup>th</sup> RFI, Question No. 2, please provide copies of all communications, including electronic communications, and all documents and notations regarding oral communications, between any of the parties (SPS, TUCO, TUCO's independent auditor) to any other party concerning any matter involved with any audit function or performance, where the communication occurred during this reconciliation period. In the alternative, please provide a specific reference in any filing (volume and page) to the requested communications, or accounts thereof.

**RESPONSE:**

There were no communications between SPS or Xcel Energy Audit Services and TUCO's independent auditor.

The following response was provided by TUCO:

TUCO does not have documents responsive to this request for information as clarified by the letter agreement between OPC and SPS dated September 24, 2002. SPS also should not have any documents responsive to this request for information because SPS does not have direct contact with TUCO's retained auditor, Ault & Associates (Ault). Other than the engagement letter that has been previously produced and does not contain any specific directions, TUCO does not submit written instructions or directions to Ault that limit or direct Ault's audit in anyway. Ault operates under a general oral direction from TUCO's management to look at all books and records that are relevant to costs incurred by Savage and charged to the Tolk and Harrington Agreements. There are no documents memorializing this direction, other than the audit reports themselves, which reflect the procedures and scope of the audit undertaken by Ault. TUCO does not maintain any records relating to oral communications made during conversations with Ault during the audit process.

Preparer(s): Barry Johnson, Jon Kelly  
Sponsor: Barry Johnson



**QUESTION NO. 18-4:**

In connection with the Ecel [sic] Energy audit of TUCO, as discussed in the Company's response to OPC's 10<sup>th</sup> RFI, Question No. 3, and as filed in Project No. 22645 on July 30, 2002, please provide copies of all communications, including electronic communications, and all documents and notations regarding oral communications, between any of the parties (SPS, TUCO, Xcel Energy's Audit Services Department) to any other party concerning any matter involved with any audit function or performance, where the communication occurred during this reconciliation period. In the alternative, please provide a specific reference in any filing (volume and page) to the requested communications, or accounts thereof.

**RESPONSE:**

Refer to Exhibit Nos. OPC1-2V(SUPP1), OPC1-2V(SUPP1)(CONF), and OPC1-2V(SUPP1)(HS) (audit workpapers) for documentation of any oral communications between Xcel Energy's Audit Services (Audit Services) and SPS or TUCO. Refer to Exhibit Nos. OPC18-4V(CONF) and OPC18-4V(HS), which are CONFIDENTIAL and HIGHLY SENSITIVE respectively, for copies of all written communications between SPS and Audit Services. Collectively, these exhibits are VOLUMINOUS. These documents include requests by Audit Services for data or information, as well as request for comments regarding the draft final report and open item issues.

The following response was provided by TUCO:

TUCO does not have documents responsive to this request for information. Xcel Energy's audit of TUCO is not directed or controlled by TUCO through any oral or written communications. From TUCO's perspective, Xcel Energy's audit of TUCO involves TUCO making available to Xcel Energy's auditors for their unfettered review, all documents that relate to charges under the Savage Tolk and Harrington Savage contracts that are charged to SPS under the TUCO/SPS Coal Supply Agreements for the Tolk and the Harrington Stations. TUCO does not maintain any records relating to oral communications made during Xcel Energy's audits.

Preparer(s): Perry Williamson, Barry Johnson, Jon Kelly  
Sponsor: Barry Johnson

**QUESTION NO. 18-5:**

In connection with the Ecel [sic] audit of TUCO, as discussed in the Company's response to OPC's 10<sup>th</sup> RFI, Question No. 3, and as filed in Project No. 22645 on July 30, 2002, please ask Mr. Perry Williamson to provide copies of signed cover letters, memorandums, and/or other documentation that identify the exact date that the Audit Services Department completed and delivered the report of the 2000 audit of TUCO, and to identify to whom it was physically delivered at SPS.

**RESPONSE:**

Refer to the 2000 audit report filed in Project No. 22645 at Bates Stamp Pages 5-7 for a copy of the distribution memorandum (e-mail) which identifies the date the Audit Services Department completed and delivered the report of the 2000 audit of TUCO and to those in which it was delivered.

Audit Services notifies the Sponsor of the engagement that the final report has been issued and includes the final report as an attachment to the email. The administrative assistant copies and distributes (inter-company mail) the final audit report to those people identified in the report distribution section on the last page of the audit report. The exact date that the hard copy of the final audit report was received by the recipients is not known, however it would have been soon after the date of the May 31, 2001 e-mail.

The Audit Services Manual stipulates that the audit report will be issued to the responsible management (Sponsor) of the audited process or area and the CEO, CFO, and Corporate Controller. Additionally, external auditors receive copy of the final audit report. A copy of the final report is included in the engagement workpapers and in the audit correspondence file.

To eliminate the delay in filing future reports in Project 22645, SPS will request the Audit Services Department include David Hudson, Director, Regulatory Administration on the distribution list for all future audits of TUCO.

Preparer(s): Perry Williamson, Christy Wood  
Sponsor: Barry Johnson

## QUESTION NO. 18-6:

Please refer to the Company's response to OPC's 12<sup>th</sup> RFI, Question No. 2, and please reply to the following:

- (a) Please provide a full and complete definition of the term "typical audit" as used in this response.
- (b) Please indicate whether or not the annual audit of Xcel [Energy] is a typical audit. Please provide an illustration of the five most significant reasons why Xcel's audit is not typical, if any, and explain fully each illustration.

## RESPONSE:

- (a) "Typical audit," as used in SPS's response to OPC12-2, can be defined as an examination of evidence on a test basis, relying on risk assessments, the study of the internal control structure, review of information developed in previous examinations, analytical reviews, inquires, confirmations and similar procedures, together with test results to draw conclusions concerning the balance of the transactions and account balances.
- (b) According to Coal Supply's understanding of the above definition, the annual audit of Xcel Energy prepared for the Company's annual report is a typical audit that would be conducted by an auditing firm to verify the information that would be contained in a particular company's annual report. It is the Ault audit that is atypical.

In addition to the Ault audit conforming to the procedures described above, the Ault audit went beyond that standard by: (1) examining all documents related to certain areas as opposed to samples or test documents; (2) comparing contractual charges to contract terms; (3) examination of not only contractor billings but also underlying documentation; (4) study of inter-company charges; and (5) review of contracts, leases and correspondence files as opposed to only reviewing financial data.

Preparer(s): Barry Johnson  
Sponsor: Barry Johnson

**QUESTION NO. 18-7:**

Please refer to each of the credit arrangements discussed in the Company's response to OPC's 12<sup>th</sup> RFI, Questions No. 9 and 10, and provide the date that TUCO first became aware of the expiration dates of the expiring agreements.

**RESPONSE:**

SPS has requested a response from TUCO and will supplement upon receipt.

**QUESTION NO. 18-8:**

Considering the provisions of the Stipulation and Order in Docket No. 19512:

- (a) Does the Company consider the agreed-to annual audits of Savage/Wheelabrator contracts to have been completed in a timely manner if each such audit is finished and filed with the PUCT at any time before the passage of two years from the end of the audit period?
- (b) To expand, if the December 31, 2001, audit of Savage's operations (reported in Docket No. 22645 to be currently underway) is completed and finalized at any time prior to January 1, 2004, will the Company consider such audit to have been completed in a timely manner?
- (c) In the event that the Company's response to either question (a) or question (b) is "no", please provide a full and complete statement of corporate policy as to what period of time is considered by the Company to be reasonable for completion of these contract audits.
- (d) Please provide a full and complete statement from Xcel Energy's Audit Services Department which discloses and discusses what period of time that Department considers reasonable for completion of these annual contract audits.

**RESPONSE:**

- (a) No. While SPS expects that the audits of Savage/Wheelabrator should be completed and filed before the two years have passed from the end of the audit period, the scope and complexity of the issues addressed may require the full two year period contractually allowed. For example, the 2000 audit of Savage/Wheelabrator required more time than otherwise expected to complete due to the change in ownership and availability and location of records.
- (b) The 2001 audit of Savage's operations was completed and filed in Project No. 22645 in September 2002. SPS considers this audit to have been completed in a timely manner.
- (c) SPS is unaware of any official corporate policy as to the time period considered to be reasonable for the completion of these contract audits.
- (d) While Audit Services' expectation would be to have completed audit engagements within six to nine months of the Auditee's fiscal year end, there

are circumstances whereby that time period could easily be exceeded. Some of the variables that might affect the time to complete an audit include the period covered by the audit, the difference in the period covered by the audit versus the auditee's fiscal year, the scope of the audit, the extent of issues discovered in the course of the audit and the extent of ongoing work necessary to resolve the issues.

Preparer(s): Barry Johnson, Karen Roberts, Perry Williamson  
Sponsor: Barry Johnson

### **Certificate of Service**

I certify that on the 30th day of September 2002, a true and correct copy of the foregoing instrument was served on all parties of record by hand delivery, Federal Express, certified mail, or facsimile transmission.

A handwritten signature in cursive script, reading "Amy M. Shelton", is written over a horizontal line.

09/17/02 TUE 08:35 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:32 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

P. 02

Payable  
To:
**Wheelabrator Technologies Inc.**  
 A Waste Management Company

 4 Liberty Lane West  
 Hampton, New Hampshire 03842  
 (603) 929-3000

October 11, 2001

 Savage Industries Inc.  
 Attn: Ms. Jennifer Spackman  
 5250 South Commerce Drive  
 Suite 200  
 Salt Lake City, UT 84107
VENDOR # 0000691317PO # M10491GL # 44531 7232EQUIP. CODE # FA899

MGRS. APPROVAL

Re: Mellon Bank fees Pension account WTBFB563552

Dear Jennifer,

Please find a summary enclosed of the Mellon Bank fees charged to Wheelabrator in error for Coal's pension account from October 2000 - June 30, 2001. I adjusted the amount to preclude the portion for October 2000 since that is our responsibility. The total owed us by Savage is \$14,558.81. I am also enclosing copies of the invoices and our remittance detail supporting our payments to Mellon. As I mentioned in my e-mail, the individual approving the invoices was not aware that this account was Coal Services. Should we receive future fee invoices, our payable staff will forward them to your attention.

Apparently, our letters to Mellon Bank requesting a transfer of ownership to your organization did not reach the appropriate personnel. Thank you for the information on your Mellon account manager. Hopefully, he will ensure the transfer is completed.

As always, I appreciate your assistance. Please do not hesitate to contact me at (603) 929-3476 should you have any questions or concerns.

Thank you,

  
 Jannalee Maguire  
 Controller Special Projects

 C: Holly Williams, Controller Savage-Harrington  
 Patty Duffy

  
 OCT 26 2001

Waste Management, Inc.

  
 445341 7232 FA899 \$ 7279.40

445341 7222 FA699 \$ 7279.41



09/17/02 TUE 08:36 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:32 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

P. 03

10/11/01

Summary: Mellon Bank fees  
Account WTBK 8563552  
November 2000 - June 30, 2001

Wheelabrator Technologies, Inc.  
4 Liberty Lane West, Fl 4  
Hampton, NH 03842

Billing Period	Invoice #	Invoice Date	Amount	Savage \$
10/1 - 12/31/00	144938	3/20/01	5,724.61	3,816.41
1/1 - 3/31/01	146370	4/20/01	5,318.93	5,318.93
4/1 - 6/30/01	151818	8/6/01	5,423.47	5,423.47
Total Due WCSC				\$ 14,558.81

09/17/02 TUE 08:36 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:33 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

P. 04

042004

Supplier Payment Inquiry  
MELLON BANK

Payee . . . . . 45145

From Date . . . . .

Payment Number . . . . . Thru Date . . . . .

04141W . . . . . Remittance Detail . . . . .

Payee Address Number . . . . . 45145 MELLON BANK

Check/Item Number . . . . . 112506 PK

Bank Account Number . . . . . 990.3091

0 . . . . . Document . . . . .

P Co Ty Number Itm

Amount

Discount

Taken

00900 PV 1044762 001

87.12

00900 PV 1044763 001

5,724.61

00900 PV 1044765 001

1,322.62

..... Opt: 1=Voucher Review ..... F4=Details F24=More .....

P. 05<sup>4</sup>

45145

**Date Issued : 03/20/2001**

(412)234-6741

*The following is a summary of fees and expenses pertaining to your account.  
For further details please review the enclosed detail.*

**Mellon Bank NA  
Mel/PivTrust  
ABA 0430-0028-1  
DDA 900-8527  
Ref: Invoice144938**

09/17/02 TUE 08:37 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:33 PM SAVAGE INDUSTRIES, INC. FAX NO. 801+269+0095

## Alton Trust

VINDELL-DRESSLER ENERGY SUPPLY RET PL

Invoice Number: 144938

Page: 2

## Asset Administration Charges

			Annual	Monthly (Quarterly)
Total Market Value				
1,000,000.00	@	0.0075000 per	\$7,500.00	\$1,875.00
1,000,000.00	@	0.0064500	\$6,450.00	\$1,612.50
2,000,000.00	@	0.0041000	\$8,200.00	\$2,050.00
198,958.91	@	0.0038000	\$748.44	\$187.11
Subtotal				\$5,724.61

Total Asset Administration Charges \$5,724.61

09/17/02 TUE 08:37 FAX 806 383 9619

Savage Harrington

WED 12:33 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

P. 08

007

Supplier Payment Inquiry...  
MELLON BANK

144938

e: 3

Number : . . . 45145

From Date. . .

Thru Date. . .

Total

Fees

\$5,724.61

\$5,724.61

Remittance Detail . . . . .  
Address Number 45145 MELLON BANK  
Item Number. . 118434 PK  
Account Number. 990.3091

Document . . .			Amount	Discount Taken
Tr	Number	Itm		
10	PV 1053583	001	5,318.93	

Opt: 1=Voucher Review . . . . . F4=Details . F24=More . . . . .

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09/17/02 TUE 08:37 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:34 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

P. 10

## ellon Trust

SWINDELL-DRESSLER ENERGY SUPPLY RET PL

Invoice Number: 146370

Page: 2

## Asset Administration Charges

			Annual	Monthly (Quarterly)
Total Market Value				
1,000,000.00	@	0.0075000 per	\$7,500.00	\$1,875.00
1,000,000.00	@	0.0084500	\$6,450.00	\$1,612.50
1,788,762.46	@	0.0041000	\$7,325.73	\$1,831.43
Subtotal				\$5,318.93

Total Asset Administration Charges

\$5,318.93

09/17/02 TUE 08:38 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:34 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

P. 11

ellon Trust

SWINDELL-DRESSLER ENERGY SUPPLY RET PL

Invoice Number: 146370

Page: 3

Account Number	Asset Value	Asset Administration Charges	Structural Administration Charges	Transaction Fees	Ancillary Services	Expenses	Total Fees
WTBF8563552	3,786,762.46	\$5,318.93					\$5,318.93
Total	3,786,762.46	\$5,318.93					\$5,318.93

09/17/02 TUE 08:38 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:34 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

042004

Supplier; Payment Inquiry...

MELLON BANK

Payee. . . . . 45145

From Date. . . . .

Payment Number . . . . .

Thru Date. . . . .

04141W Remittance Detail.....  
 Payee Address Number 45145 MELLON BANK  
 Check/Item Number. . 138788 PK  
 Bank Account Number. 990.3091

P P  
C I  
D D

0 . . . . Document . . . .					Discount	
P	Co	TV	Number	Itm	Amount	Taken
-	00900	PV	1081315	001	1,353.78	
-	00900	PV	1081316	001	49.10	
-	00900	PV	1081317	001	5,423.47	
-						
-						
-						
-						

.....Opt.: 1=Voucher, Review.....F4=Details..F24=More.....



09/17/02 TUE 08:38 FAX 806 383 9619  
OCT-24-01 WED 12:34 PMSavage Harrington  
SAVAGE INDUSTRIES, INC. FAX NO. 801+269+0095

P. 13

**Mellon Trust**

Invoice Number: 151818

Account Number : WTB8563552  
Billing Period : 04/01/2001 to 06/30/2001  
Date Issued : 08/06/2001

## Mail to:

DRENNEN LOWELL  
VP FINANCE  
WHEELABRATOR TECHNOLOGIES INC  
4 LIBERTY LANE WEST  
HAMPTON NH 03842

## Client Service Officer:

Anna M. Ayoub  
(412)234-6741

|||||

*The following is a summary of fees and expenses pertaining to your account.  
For further details please review the enclosed detail.***Balance of Account**

Opening Balance	\$11,043.54
Payments & Direct Debits Received through 07/27/2001	\$11,043.54
Current Period Charges	\$5,423.47
Current Direct Debit	\$0.00
<b>Total Amount Due</b>	<b>\$5,423.47</b>

**Summary of Current Period Charges**Asset Administration Charges  
Total Current Period Charges

\$5,423.47
<b>\$5,423.47</b>

900201.7360 Phang 8/13/01

*Mellon Trust is a service mark of Mellon Bank Corporation and is the umbrella name under which  
Mellon operates its combined trust and investment businesses.***Remittance Stub**Invoice Number: 151818  
Account Number: WTB8563552  
Billing Period: 04/01/2001 to 06/30/2001Balance Due : \$5,423.47  
Due Date : 09/05/2001

## Remit Payment To:

Mellon Trust  
Trust and Investment  
PO Box 371791  
Pittsburgh, PA 15251-7791  
|||||

## Wiring Instructions:

Mellon Bank NA  
MellPiU/Trust  
ABA 0430-0026-1  
DOA 900-8527  
Ref: Invoice151818

09/17/02 TUE 08:39 FAX 806 363 9619

Savage Harrington

OCT-24-01 WED 12:35 PM SAVAGE INDUSTRIES, INC.

FAX NO. 801+268+0095

P. 14

**Mellon Trust**

SWINDELL-DRESSLER ENERGY SUPPLY RET PL

Invoice Number: 151818

Page: 2

**Asset Administration Charges**

			Annual	Monthly (Quarterly)
Total Market Value				
	1,000,000.00	@ 0.0075000 per	\$7,500.00	\$1,875.00
	1,000,000.00	@ 0.0064500	\$6,450.00	\$1,612.50
	1,888,753.93	@ 0.0041000	\$7,743.89	\$1,935.97
Subtotal	3,888,753.93			\$5,423.47

**Total Asset Administration Charges \$5,423.47**

09/17/02 TUE 08:39 FAX 806 383 9619

Savage Harrington

OCT-24-01 WED 12:35 PM

SAVAGE INDUSTRIES, INC.

FAX NO. 801+269+0095

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**Mellon Trust**

SWINDELL-DRESSLER ENERGY SUPPLY RET PL

Invoice Number: 151818

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Account Number	Asset Value	Asset Administration Charges	Structural Administration Charges	Transaction Fees	Ancillary Services	Expenses	Total Fees
WTBFA563552	3,888,753.93	\$5,423.47					\$5,423.47
Total	3,888,753.93	\$5,423.47					\$5,423.47