



Control Number: 14406



Item Number: 762

Addendum StartPage: 0

RECEIVED

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March 21, 2019
PUBLIC UTILITY COMMISSION
FILING CLERK

Central Records
Public Utility Commission of Texas
1701 N. Congress, 7th Floor
Austin, TX 78701

Re: Project No. 14406 Power Marketer Registration of Guzman Energy Partners LLC

To whom it may concern:

Pursuant to the Public Utility Regulatory Act § 35.032 and Texas Administrative Code § 25.105, please find attached the Power Marketer Registration of Guzman Energy Partners LLC and the accompanying affidavit which is being filed in Project No. 14406 . If you have any questions please contact our General Counsel, Robin J. Lunt, Esq at 720-778-2000 or via email at rlunt@guzmanenergy.com.

Respectfully Submitted,

GUZMAN ENERGY PARTNERS LLC

By: 

Name: Robin J. Lunt
Title: General Counsel

762

PROJECT NO. 14406

REGISTRATION OF POWER	§	PUBLIC UTILITY COMMISSION
MARKETER PURSUANT TO	§	OF
SUBSTANTIVE RULE § 25.105	§	TEXAS

POWER MARKETER REGISTRATION OF
GUZMAN ENERGY PARTNERS LLC

Pursuant to Public Utility Regulatory Act ("PURA") § 35.032 and 16 Tex. Admin. Code ("TAC") § 25.105, Guzman Energy Partners LLC ("GEP") hereby submits this registration as a Power Marketer in the State of Texas. In support of this registration, GEP provides the following:

1. Name and Address of Applicant:

Guzman Energy Partners LLC
101 Aragon Avenue
Coral Gables, FL 33134

**2. Name, Address, telephone number, fax number, and email address of the person -
whom communication should be addressed:**

Robin J. Lunt, General Counsel
Address: 1125 17th Street, Suite 740
Denver, CO 80202
Telephone: (720) 778-2000
Fax: (720) 778-2030
Email: rlunt@guzmanenergy.com

3. Names of Business Owners and Percentages:

Name:	Percentage:
Guzman Renewable Energy Management LLC	5.14%
Brookdale International Partners, LP	58.92%
Brookdale Global Opportunity Fund	35.94%

4. Affiliates that buy or sell electricity at wholesale power in Texas, sell electricity at retail in Texas, or are electric municipally owned utilities in Texas:

Guzman Energy LLC; Mudd Energy LLC; Jacinto Energy LLC, Ecesis LLC

5. Description of the location of any facility in Texas used to provide service:


GEP does not have any offices in located in Texas

6. Description of the services to be provided:

GEP conducts wholesale marketing and trading of energy products and services, as well as other business necessary to facilitate those activities, in the Electric Reliability Council of Texas ("ERCOT") and the Southwest Power Pool ("SPP"), markets.

- 7. Copies of the Federal Energy Regulatory Commission ("FERC") registration information:**
Attached

Respectfully Submitted,

By: 
Name: Robin J. Lunt
Title: General Counsel

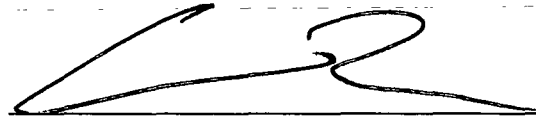
AFFIDAVIT

State of Florida)

)

County of Miami-Dade)

I, Christopher Miller, Chief Operations Officer for Guzman Energy Partners LLC ("GEP"), hereby represents and certifies that I have reviewed the registration of GEP as a Power Marketer being filed with the Public Utility Commission of Texas in Project No. 14406 and affirm that the information therein is true and accurate to the best of my knowledge, information, and belief and that GEP plans to be a Power Marketer as defined under 16 Tex. Admin. Code ("TAC") § 25.105.

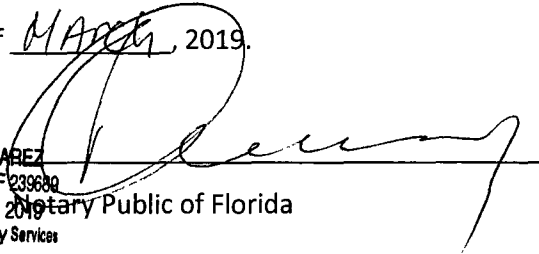


Christopher Miller, COO

Subscribed and sworn to me this 28th day of March, 2019.



ROSARIO R. ALVAREZ
MY COMMISSION # FF239680
EXPIRES: July 13, 2019
Bonded Thru Budget Notary Services



Notary Public of Florida

My Commission Expires: _____

FEDERAL ENERGY REGULATORY COMMISSION
Washington, D.C. 20426

OFFICE OF ENERGY MARKET REGULATION

In Reply Refer To:
Guzman Renewable Energy Partners LLC
Docket Nos. ER16-1018-000
ER16-1018-001

May 13, 2016

Mr. Leopoldo E. Guzman
Chief Executive Officer
Guzman Renewable Energy Partners LLC
101 Aragon Avenue
Coral Gables, Florida 33134

Reference: Market-Based Rate Authorization

Dear Mr. Guzman:

On February 26, 2016, as amended on April 1, 2016, you filed on behalf of Guzman Renewable Energy Partners LLC (Guzman Renewable Energy Partners) an application for market-based rate authority with an accompanying tariff. The proposed market-based rate tariff provides for the sale of energy, capacity, and ancillary services at market-based rates.¹ Guzman Renewable Energy Partners requests waivers commonly granted to similar market-based rate applicants.

Your filings were noticed on February 29, 2016, and April 1, 2016, with comments, protests or interventions due on or before March 18, 2016, and April 22, 2016, respectively. None was filed.

Pursuant to the authority delegated to the Director, Division of Electric Power Regulation - West, under 18 C.F.R. § 375.307, your submittals filed in the referenced dockets are accepted for filing, effective March 31, 2016, as requested. Based on your

¹ Guzman Renewable Energy Partners requests authorization to sell ancillary services in the markets administered by PJM Interconnection, L.L.C. (PJM), New York Independent System Operator, Inc. (NYISO), ISO New England Inc. (ISO-NE), California Independent System Operator Corp. (CAISO), Midcontinent Independent System Operator, Inc. (MISO), and Southwest Power Pool, Inc. (SPP).

representations, Guzman Renewable Energy Partners meets the criteria for a Category 1 seller in all regions and is so designated.²

You state that Guzman Renewable Energy Partners is located in Coral Gables, Florida and intends to act as a power marketer. You further represent that Guzman Renewable Energy Partners is managed by two individuals, and is not affiliated with any entity that owns or controls generation or transmission facilities. Further, you affirmatively state that Guzman Renewable Energy Partners has not erected barriers to entry and will not erect barriers to entry into the relevant market.

You represent that Guzman Renewable Energy Partners meets all of the Category 1 seller criteria in all regions because it and its affiliates do not own or control any generation or transmission facilities, is not affiliated with a franchised public utility, and does not raise other vertical market power issues.

Market-Based Rate Authorization

The Commission allows power sales at market-based rates if the seller and its affiliates do not have, or have adequately mitigated, horizontal and vertical market power.³

Based on your representations, Guzman Renewable Energy Partners' submittals satisfy the Commission's requirements for market-based rate authority regarding horizontal and vertical market power.

Guzman Renewable Energy Partners' request for waiver of Subparts B and C of Part 35 of the Commission's regulations requiring the filing of cost-of-service information, except for sections 35.12(a), 35.13(b), 35.15 and 35.16 is granted. Guzman Renewable Energy Partners request for waiver of Part 41 and Part 141 of the Commission's regulations concerning accounting and reporting requirements is granted with the exception of 18 C.F.R. §§ 141.14 and 141.15.⁴ Guzman Renewable Energy

² *Market-Based Rates for Wholesale Sales of Electric Energy, Capacity and Ancillary Services by Public Utilities*, Order No. 697, FERC Stats. & Regs. ¶ 31,252 at PP 848-50, *clarified*, 121 FERC ¶ 61,260 (2007), *order on reh'g*, Order No. 697-A, FERC Stats. & Regs. ¶ 31,268, *clarified*, 124 FERC ¶ 61,055, *order on reh'g*, Order No. 697-B, FERC Stats. & Regs. ¶ 31,285 (2008), *order on reh'g*, Order No. 697-C, FERC Stats. & Regs. ¶ 31,291 (2009), *order on reh'g*, Order No. 697-D, FERC Stats. & Regs. ¶ 31,305 (2010), *aff'd sub nom. Mont. Consumer Counsel v. FERC*, 659 F.3d 910 (9th Cir. 2011), *cert. denied*, 133 S. Ct. 26 (2012).

³ Order No. 697, FERC Stats. & Regs. ¶ 31,252 at PP 62, 399, 408, 440.

⁴ *Citizens Energy Corp.*, 35 FERC ¶ 61,198 (1986); *Citizens Power and Light Corp.*, 48 FERC ¶ 61,210 (1989) (*Citizens Power*); *Enron Power Marketing, Inc.*, 65

Partners' request for waiver of Part 101 of the Commission's regulations is hereby granted, with the exception that waiver of the provisions of Part 101 that apply to hydropower licensees is not granted with respect to licensed hydropower projects.⁵ Notwithstanding the waiver of the accounting and reporting requirements here, Guzman Renewable Energy Partners is expected to keep its accounting records in accordance with generally accepted accounting principles.

Guzman Renewable Energy Partners requests blanket authorization under Part 34 of the Commission's regulations for all future issuances of securities and assumptions of liability. A separate notice was published in the Federal Register establishing a period during which protests could be filed. None was filed. Guzman Renewable Energy Partners is authorized to issue securities and assume obligations or liabilities as guarantor, indorser, surety, or otherwise in respect of any security of another person; provided that such issue or assumption is for some lawful object within the corporate purposes of Guzman Renewable Energy Partners, compatible with the public interest, and reasonably necessary or appropriate for such purposes.⁶

Guzman Renewable Energy Partners must file an Electric Quarterly Report (EQR) with the Commission, consistent with Order Nos. 2001⁷ and 768.⁸ Guzman Renewable

FERC ¶ 61,305 (1993), *order on reh'g*, 66 FERC ¶ 61,244 (1994) (*Enron*).

⁵ Hydropower licensees are required to comply with the requirements of the Uniform System of Accounts pursuant to 18 CFR Part 101 to the extent necessary to carry out their responsibilities under Part I of the Federal Power Act (FPA). We further note that a licensee's status as a market-based rate seller under Part II of the FPA does not exempt it from its accounting responsibilities as a licensee under Part I of the FPA. *See Seneca Gen., LLC*, 145 FERC ¶ 61,096, at P 23, n.20 (2013) (citing *Trafalgar Power, Inc.*, 87 FERC ¶ 61,207, at 61,798 (1999) (noting that "all licensees are required to comply with the requirements of the Uniform System of Accounts to the extent necessary to carry out their responsibilities under [s]ections 4(b), 10(d) and 14 of the FPA"))).

⁶ *Citizens Power*, 48 FERC ¶ 61,210; *Enron*, 65 FERC ¶ 61,305.

⁷ *Revised Public Utility Filing Requirements*, Order No. 2001, FERC Stats. & Regs. ¶ 31,127, *reh'g denied*, Order No. 2001-A, 100 FERC ¶ 61,074, *reh'g denied*, Order No. 2001-B, 100 FERC ¶ 61,342, *order directing filing*, Order No. 2001-C, 101 FERC ¶ 61,314 (2002), *order directing filing*, Order No. 2001-D, 102 FERC ¶ 61,334, *order refining filing requirements*, Order No. 2001-E, 105 FERC ¶ 61,352 (2003), *order on clarification*, Order No. 2001-F, 106 FERC ¶ 61,060 (2004), *order revising filing requirements*, Order No. 2001-G, 120 FERC ¶ 61,270, *order on reh'g and clarification*, Order No. 2001-H, 121 FERC ¶ 61,289 (2007), *order revising filing requirements*, Order No. 2001-I, FERC Stats. & Regs. ¶ 31,282 (2008).

Energy Partners must file EQRs electronically with the Commission consistent with the procedures set forth in Order No. 770.⁹ Guzman Renewable Energy Partners further must timely report to the Commission any change in status that would reflect a departure from the characteristics the Commission relied upon in granting market-based rate authority in accordance with Order No. 697.¹⁰

This action does not constitute approval of any service, rate, charge, classification, or any rule, regulation, or practice affecting such rate or service provided for in the filed documents; nor shall such action be deemed as recognition of any claimed contractual right or obligation affecting or relating to such service or rate; and such acceptance is without prejudice to any findings or orders which have been or may hereafter be made by the Commission in any proceeding now pending or hereafter instituted by or against any of the applicant(s).

This order constitutes final agency action. Requests for rehearing by the Commission may be filed within 30 days of the date of issuance of this order, pursuant to 18 C.F.R. § 385.713.

⁸ *Elec. Mkt. Transparency Provisions of Section 220 of the Fed. Power Act*, Order No. 768, FERC Stats. & Regs. ¶ 31,336 (2012), *order on reh'g*, Order No. 768-A, 143 FERC ¶ 61,054 (2013).

⁹ *See Revisions to Electric Quarterly Report Filing Process*, Order No. 770, FERC Stats. & Regs. ¶ 31,338, at P 3 (2012) (citing Order No. 2001, FERC Stats. & Regs. ¶ 31,127 at P 31).

¹⁰ Order No. 697, FERC Stats. & Regs. ¶ 31,252 at PP 1015-1046; 18 C.F.R. § 35.42 (2015). *See also Reporting Requirement for Changes in Status for Public Utilities with Market-Based Rate Authority*, Order No. 652, FERC Stats. & Regs. ¶ 31,175, *order on reh'g*, 111 FERC ¶ 61,413 (2005).

Docket Nos. ER16-1018-000, and ER16-1018-001

- 5 -

Questions regarding the above order should be directed to:

Federal Energy Regulatory Commission
Attn: Melissa Lozano
Phone: (202) 502-6267
Office of Energy Market Regulation
888 First Street, N.E.
Washington, D.C. 20426

Sincerely,

Steve P. Rodgers, Director
Division of Electric Power
Regulation - West

Document Content(s)

ER16-1018-000.DOC.....1-5

GUZMAN
RENEWABLE ENERGY
PARTNERS

GUZMAN RENEWABLE ENERGY PARTNERS
101 Aragon Avenue
Coral Gables, FL 33134

305.374.0082

April 1, 2016

VIA ELECTRONIC SUBMISSION

Kimberly D. Bose, Secretary
Federal Energy Regulatory Commission
888 First Street, N.E.
Washington, D.C. 20426

**Re: *Guzman Renewable Energy Partners LLC*, Docket No. ER16-1018-000
Supplement to Application for Market-Based Rate Authority and for Certain Waivers
and Blanket Authorizations**

Dear Secretary Bose:

On February 26, 2016, Guzman Renewable Energy Partners LLC ("Seller") filed with the Commission in this docket an application for market-based rate authority and for certain waivers and blanket authorizations.

At the request of Commission staff, Seller submits this supplement to its application to clarify that, as required by the Commission's regulations,¹ neither Seller nor any of its affiliates has erected barriers to entry into any relevant markets and will not erect barriers to entry into any relevant markets. Seller is also attaching a revised version of its market-based rate tariff, in clean and redlined format, to address other comments received from Commission staff: (1) modifications to the ancillary services provisions to follow the standard form language for sales in the Midwest ISO, to add the standard form language for sales in SPP and to delete the provision permitting third-party sales, (2) modifications to section 9(i) to incorporate language requested by staff, and (3) inserting the correct docket number in section 9(iv).

For the reasons set forth above and in Seller's February 26, 2016 filing in this docket, Seller respectfully requests that the Commission accept its market-based rate tariff for filing, effective March 31, 2016, and grant the other relief requested in the application and this supplement.

Respectfully submitted,

/s/ Leopoldo Guzman
Leopoldo Guzman
Guzman Renewable Energy Partners LLC
101 Aragon Avenue
Coral Gables, FL 33134
Tel: (305) 374-3600
Fax: (305) 373-8915
Email: lguzman@guzmanenergy.com

¹ 18 C.F.R. § 35.37(e)(4).

**Guzman Renewable Energy Partners LLC Amended Market Based
Rate Tariff MBR Tariff # 1**

1. Availability

Guzman Renewable Energy Partners LLC ("GREP") will make available at wholesale under this Market-Based Rate Tariff:

- (a) electric energy;
- (b) electric capacity and:

- (i) PJM: GREP shall offer regulation and frequency response service, energy imbalance service, and operating reserve service (which includes spinning, 10-minute, and 30-minute reserves) for sale into the market administered by PJM Interconnection, L.L.C. ("PJM") and, where the PJM Open Access Transmission Tariff permits, the self-supply of these services to purchasers for a bilateral sale that is used to satisfy the ancillary services requirements of the PJM Office of Interconnection.

- (ii) New York: GREP shall offer regulation and frequency response service, and operating reserve service (which include 10-minute non-synchronous, 30-minute operating reserves, 10-minute spinning reserves, and 10-minute non-spinning reserves) for sale to purchasers in the market administered by the New York Independent System Operator, Inc.

- (iii) New England: GREP shall offer regulation and frequency response service (automatic generator control), operating reserve service (which includes 10-minute spinning reserve, 10-minute non-spinning reserve, and 30-minute operating reserve service) to purchasers within the markets administered by the ISO New England, Inc.

- (iv) California: GREP shall offer regulation service, spinning reserve service, and non-spinning reserve service to the California Independent System Operator Corporation ("CAISO") and to others that are self-supplying ancillary services to the CAISO.

- (v) MISO: GREP shall offer regulation service and operating reserve service (which include 10-minute spinning reserve and 10-minute supplemental reserve) for sale to the Midcontinent Independent System Operator, Inc. (MISO) and to others that are self-supplying ancillary services to MISO.

- (vi) Southwest Power Pool: GREP shall offer regulation service and operating reserve service (which include 10-minute spinning reserve and 10-minute supplemental reserve) for sale to the Southwest Power Pool, Inc. (SPP) and to others that are self-supplying ancillary services to SPP.

2. Applicability

This Tariff is applicable to all wholesale power sales of energy or capacity by GREP not otherwise subject to a particular rate schedule of GREP.

3. Rates

All sales shall be made at rates established by agreements between the purchaser and GREP.

4. Other Terms and conditions

All other terms and conditions shall be established by agreement between the purchaser and GREP.

5. Communications

Except as otherwise agreed to in writing by the purchaser and GREP, any notice, demand, or request provided for in this Tariff shall be deemed to be properly given or made if set forth in writing and delivered or sent first class mail, postage prepaid to GREP at its principal office or any purchaser when sales are made to wholesale purchasers under this Tariff.

6. Duration

This Tariff shall continue in effect until terminated or changed and such termination or change becomes effective in accordance with any applicable regulatory requirements.

7. Modifications

GREP may unilaterally apply, under Federal Power Act Section 205 and the regulations promulgated there under, to the Commission or other regulatory agency having jurisdiction for a modification of this Tariff.

8. Effective Date

This Tariff shall become on the date specified by the Federal Energy Regulatory Commission (FERC).

9. Limitations and Exemptions Regarding Market-Based Rate Authority

GREP has applied for the following waivers and blanket authorization:

- (i) Waiver of the accounting, reporting, and other requirements of 18 C.F.R. Parts 41, 101 and 141, with the exception of Sections 141.14 and 141.15 and with the exception that waiver of the provisions of Part 101 that apply to hydropower licensees is not granted with respect to licensed hydropower projects;
- (ii) Waiver of the reporting requirements of Subparts B and C of Part 35 of the Commission's regulations, with the exception of Sections 35.12(a), 35.13(b), 35.15 and 35.16; and
- (iii) Blanket authorization under 18 C.F.R. Part 34 of all future issuances of securities and assumptions of liability.
- (iv) Guzman Renewable Energy Partners LLC., Docket No. ER16-1018-000 (2016)

10. Compliance with Commission Regulations

GREP shall comply with the provisions of 18 CFR Part 35, Subpart H, as applicable, and with any condition the Commission imposes in its orders conserving seller's market-based rate authority, including orders in which the Commission authorizes seller to engage in affiliate sales under this Tariff or otherwise restrict or limits the seller's market based rate authority. Failure to comply with the applicable provisions of 18 CFR part 35, Subpart H, and with any other orders of the Commission concerning seller's market-based rate authority, will constitute a violation under this Tariff.

11. Seller Category

Seller is a Category 1 seller, as defined in 18 CFR 35.36(a) in all six regions (Northeast, Southeast, Central, Southwest Power Pool, Southwest, and Northwest).

**Guzman Renewable Energy Partners LLC Amended Market Based
Rate Tariff MBR Tariff # 1**

1. Availability

Guzman Renewable Energy Partners LLC ("GREP") will make available at wholesale under this Market-Based Rate Tariff:

- (a) electric energy;
- (b) electric capacity and:

- (i) PJM: GREP shall offer regulation and frequency response service, energy imbalance service, and operating reserve service (which includes spinning, 10-minute, and 30-minute reserves) for sale into the market administered by PJM Interconnection, L.L.C. ("PJM") and, where the PJM Open Access Transmission Tariff permits, the self-supply of these services to purchasers for a bilateral sale that is used to satisfy the ancillary services requirements of the PJM Office of Interconnection.

- (ii) New York: GREP shall offer regulation and frequency response service, and operating reserve service (which include 10-minute non-synchronous, 30-minute operating reserves, 10-minute spinning reserves, and 10-minute non-spinning reserves) for sale to purchasers in the market administered by the New York Independent System Operator, Inc.

- (iii) New England: GREP shall offer regulation and frequency response service (automatic generator control), operating reserve service (which includes 10-minute spinning reserve, 10-minute non-spinning reserve, and 30-minute operating reserve service) to purchasers within the markets administered by the ISO New England, Inc.

- (iv) California: GREP shall offer regulation service, spinning reserve service, and non-spinning reserve service to the California Independent System Operator Corporation ("CAISO") and to others that are self-supplying ancillary services to the CAISO.

- (v) MISO: GREP shall offer regulation service and operating reserve service (which include 10-minute spinning reserve and 10-minute supplemental reserve) for sale to the Midcontinent Independent System Operator, Inc. (MISO) and to others that are self-supplying ancillary services to MISO.

- (vi) Southwest Power Pool: GREP shall offer regulation service and operating reserve service (which include 10-minute spinning reserve and 10-minute supplemental reserve) for sale to the Southwest Power Pool, Inc. (SPP) and to others that are self-supplying ancillary services to SPP.

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This Tariff is applicable to all wholesale power sales of energy or capacity by GREP not otherwise subject to a particular rate schedule of GREP.

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All sales shall be made at rates established by agreements between the purchaser and GREP.

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5. Communications

Except as otherwise agreed to in writing by the purchaser and GREP, any notice, demand, or request provided for in this Tariff shall be deemed to be properly given or made if set forth in writing and delivered or sent first class mail, postage prepaid to GREP at its principal office or any purchaser when sales are made to wholesale purchasers under this Tariff.

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Seller is a Category 1 seller, as defined in 18 CFR 35.36(a) in all six regions (Northeast, Southeast, Central, Southwest Power Pool, Southwest, and Northwest).

FERC rendition of the electronically filed tariff records in Docket No. ER16-01018-001

Filing Data:

CID: C005143

Filing Title: Market-Based Rate Tariff #1 Amendment

Company Filing Identifier: 2

Type of Filing Code: 120

Associated Filing Identifier: 1

Tariff Title: Market-Based Rate Tariff Database

Tariff ID: 210

Payment Confirmation:

Suspension Motion:

Tariff Record Data:

Record Content Description, Tariff Record Title, Record Version Number, Option Code:

FERC Electric Tariff, Market-Based Rate Tariff #1 Amendment, 1.0.2, A

Record Narrative Name:

Tariff Record ID: 1

Tariff Record Collation Value: 1000000 Tariff Record Parent Identifier: 0

Proposed Date: 2016-03-31

Priority Order: 502

Record Change Type: CHANGE

Record Content Type: 1

Associated Filing Identifier: 1

Guzman Renewable Energy Partners LLC Amended Market Based Rate Tariff MBR Tariff # 1

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operating reserve service) to purchasers within the markets administered by the ISO New England, Inc.

(iv) California: GREP shall offer regulation service, spinning reserve service, and non-spinning reserve service to the California Independent System Operator Corporation (“CAISO”) and to others that are self-supplying ancillary services to the CAISO.

(v) MISO: GREP shall offer regulation service and operating reserve service (which include 10-minute spinning reserve and 10-minute supplemental reserve) for sale to the Midcontinent Independent System Operator, Inc. (MISO) and to others that are self-supplying ancillary services to MISO.

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- (ii) Waiver of the reporting requirements of Subparts B and C of Part 35 of the Commission's regulations, with the exception of Sections 35.12(a), 35.13(b), 35.15 and 35.16; and
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GREP shall comply with the provisions of 18 CFR Part 35, Subpart H, as applicable, and with any condition the Commission imposes in its orders conserving seller's market-based rate authority, including orders in which the Commission authorizes seller to engage in affiliate sales under this Tariff or otherwise restrict or limits the seller's market based rate authority. Failure to comply with the applicable provisions of 18 CFR part 35, Subpart H, and with any other orders of the Commission concerning seller's market-based rate authority, will constitute a violation under this Tariff.

11. Seller Category

Seller is a Category 1 seller, as defined in 18 CFR 35.36(a) in all six regions (Northeast, Southeast, Central, Southwest Power Pool, Southwest, and Northwest).

Appendix B: Corporate Entities and Assets**Instructions for completing the Asset Appendix list: Generation Assets**

Column	Title	Format	Description
[A]	Filing Entity and its Energy Affiliates	Free Form Text	Name of the Filing Entity and its Affiliates Please use the exact name as in the Company Registration database if possible
[B]	Docket # where MBR authority was granted	Text in the form: ##XX-XXX-XXX where "##" is either "ER" or "QF" and "X" is a digit	If applicable, Docket Number where MBR or QF status was originally granted Can be an ER, EL or QF Docket.
[C]	Generation Name (Plant or Unit Name)	Free Form Text	Unit Name or if all units in a plant are reasonably similar, a plant name. Use EIA-860 or industry standard names to the extent possible.
[D]	Owned By	Free Form Text	Name of the Entity owning the generation unit or plant. Please use the same name as in the Company Registration database if possible.
[E]	Controlled By	Free Form Text	Name of the Entity that controls the output of the generation unit or plant. Please use the same name as in the Company Registration database if possible.
[F]	Date Control Transferred	MM/YYYY or DD/MM/YY	The date the unit came under the control of the Entity listed in "[E] Controlled By " Often it is the date the generation was acquired or built.
[G]	Market / Balancing Authority Area	Free Form Text. For Markets or submarkets please use one of the abbreviations or names in the next column. For BAAs please use the NERC defined name	One of the six RTO/ISOs (ISO-NE, NYISO, PJM, MISO, SPP, CAISO or their designated submarkets (PJM-East, 5004/5005, APsouth, Connecticut, Southwest Connecticut, New York City, Long Island) or a NERC defined Balancing Authority Area name.
[H]	Geographic Region	Specific Text	One of the six MBR regions Northeast, Southeast, Central, SPP, Southeast, Southwest, or "N/A"
[I]	In-Service Date	MM/YYYY or MM/DD/YY	The date the unit first came into service.
[J]	Capacity Rating Nameplate (MW)	Numeric Either an integer or fixed width numeric with one decimal	The nameplate capacity rating of the unit, usually provided by the manufacturer, in MWs
[K]	Capacity Rating: Used in Filing (MW)	Numeric. Either an integer or fixed width numeric with one decimal	The capacity rating of the unit(s), in MWs, used in this filing for that unit(s)
[L]	Capacity Rating Methodology Used in [K]. (N)ameplate, (S)easonal, 5-yr (U)nit, 5-yr (E)IA, (A)lternative		A single capital letter (either "N", "S", "U", "E", or "A") to designate the rating methodology of the unit's capacity used in this filing.
[M]	End Note Number (Enter text in End Note Tab)	Integer	The number of the explanatory note in "End Notes" worksheet that refers to this entry. The numbers should be ascending integers throughout the appendix. If there are three notes in the Generation worksheet tab, then the first end note in the Transmission tab should be "four" (please do not start over with a new numbering sequence)

Instructions for completing the Asset Appendix list: Long-term Purchased Power Agreements (PPA)

Column	Title	Format	Description
[A]	Filing Entity and its Energy Affiliates	Free Form Text	Name of the Filing Entity or affiliate of the Filing Entity that is purchasing the energy or capacity
[B]	Docket # where MBR authority was granted	Text in the form ##XX-XXX-XXX where "##" is either "ER" or "QF" and "X" is a digit	Same instruction as the Generation Assets Tab.
[C]	Seller Name	Free Form Text	Name of the Entity that is selling the energy or capacity.
[D]	Amount of PPA (MW)	Numeric. Either an integer or fixed width numeric with one decimal	Contracted amount of MW of the PPA. If the contract is for the entire output of a specific generation facility, you may de-rate the facility using the same de-rating methodology that is used for generators of the same technology elsewhere in the appendix. If this amount is de-rated please explain in the end notes section. Energy only contracts must be converted from Mwh to MW and only report contracts one year or longer
[E]	Market / Balancing Authority Area	Free Form Text. For Markets or submarkets please use one of the abbreviations or names in the next column. For BAAs please use the NERC defined name	The RTO/ISO, RTO/ISO submarket, or NERC defined balancing authority area where the generation or capacity is physically located.
[F]	Geographic Region	Specific Text	Same instruction as the Generation Assets Tab
[G]	Start Date (mo/da/yr)	MM/DD/YY	The Start Date of the PPA
[H]	End Date (mo/da/yr)	MM/DD/YY	The End Date of the PPA
[I]	End Note Number (Enter text in End Note Tab)	Integer	Same instruction as the Generation Assets Tab

Instructions for completing the Asset Appendix list: Transmission and Natural Gas Assets

Column	Title	Format	Description
[A]	Filing Entity and its Energy Affiliates		Same instruction as the Generation Assets Tab
[B]	Cite to order accepting OATT or the order approving the transfer of transmission facilities to an RTO or ISO		Commission cite to the order accepting the Filing Entity's or its Energy Affiliates' current OATT, or the order transferring control of transmission facilities to an RTO/ISO
[C]	Asset Name and Use	Free Form Text	Legal name of the facility and brief description of the type of facility (i.e. transmission line or gas pipeline)
[D]	Owned By		Same instruction as the Generation Assets Tab
[E]	Controlled By		Same instruction as the Generation Assets Tab
[F]	Date Control Transferred		Same instruction as the Generation Assets Tab
[G]	Market / Balancing Authority Area		Same instruction as the Generation Assets Tab
[H]	Geographic Region		Same instruction as the Generation Assets Tab
[I]	Size (length and kV)	Free Form Text	Description of the size in facility in the measures relevant to the specific type of facility. For example, for Electric "Size" refers to the Length and kV rating of the transmission line; for Gas pipeline "Size" refers to the Length and Diameter of the pipeline; for Gas Storage "Size" refers to the capacity of the facility
[J]	End Note Number (Enter text in End Note Tab)		Same instruction as the Generation Assets Tab

Instructions for completing the Asset Appendix list: End Notes

Column	Title	Format	Description
[A]	End Note Number	Integer	Should match an End Note number in the "Generation Assets", "PPA" or "Transmission" lists
[B]	List (Generation, PPA or Transmission)	The words "Generation", "PPA", or "Transmission"	Indicates which asset list the end note is located
[C]	Explanatory Note	Free Form Text	Text providing the clarification or explanatory note.

Asset Appendix: Generation Assets

This is an example of the required appendix listing the filing entity and all its energy affiliates and their associated assets which should be submitted with all market-based rate filings.

[illegible]

Asset Appendix: Long-Term Purchased Power Agreements (PPA)

This is an example of the required appendix listing the filing entity and all its long -term purchased power agreements that must be submitted with some market-based rate filings.

Note:
Energy only contracts must be converted to MW
Only report Contracts one year or longer

[A]	[B]	[C]	[D]	[E] [F] Location		[G]	[H]	[I]
Filing Entity and its Energy Affiliates	Docket # where MBR authority was granted	Seller Name	Amount of PPA (MW)	Market / Balancing Authority Area	Geographic Region	Start Date (mo/da/yr)	End Date (mo/da/yr)	End Note Number (Enter text in End Note Tab)
Guzman Energy LLC*	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Guzman Renewable Energy Partners LLC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Asset Appendix: Transmission Assets / Natural Gas Assets

This is an example of the required appendix listing the filing entity and all its energy affiliates and their associated assets which must be submitted with some market-based rate filings

Electric Transmission Assets and/or Natural Gas Intrastate Pipelines and/or Gas Storage Facilities

[illegible]

Asset Appendix: End Notes

This is an example of the required appendix listing the filing entity and all its energy affiliates and their associated assets which must be submitted with some market-based rate filings.

End Notes for Entries in the Generation, Long-term PPA and Transmission Lists

[A]	[B]	[C]
End Note Number	List (Generation, PPA or Transmission)	Explanatory Note

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