Control Number: 51415

Item Number: 85

Addendum StartPage: 0

SOAH DOCKET NO. 423-21-0538
PUC DOCKET NO. 51415

APPLICATION OF SOUTHWESTERN ELECTRIC POWER COMPANY FOR AUTHORITY TO CHANGE RATES	§ § § §	**BEFORE THE STATE OFFICE OF ADMINISTRATIVE HEARINGS**

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

NOVEMBER 30, 2020

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SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO OFFICE OF PUBLIC UTILITY COUNSEL'S FIRST REQUEST FOR INFORMATION

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SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO OFFICE OF PUBLIC UTILITY COUNSEL'S FIRST REQUEST FOR INFORMATION

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SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-1:

Please provide a copy of the Texas Franchise Tax Form due to the Texas Comptroller of Public Accounts on May 15, 2020 (or as automatically extended because of COVID-19). If the Company has not yet filed such form, provide an explanation for why it has not been filed and provide a copy of any prepared draft of the form and calculation of the estimate of the taxes due along with any workpapers supporting the calculation.

Response No. Staff 5-1:

See Staff 5-1 CONFIDENTIAL Attachment 1 2020 Texas Unitary Franchise Tax(2019Income)

Staff 5-1 CONFIDENTIAL Attachment 1 responsive to this request is CONFIDENTIAL MATERIAL under the terms of the Protective Order. Due to current restrictions associated with COVID-19, this information is being provided electronically and a secure login to access the information will be provided upon request to individuals who have signed the Protective Order Certification.

Prepared By: James D. Spring Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-2:

Provide copies of the quarterly Texas Gross Receipts Tax Reports that were filed during the test year and for each quarter subsequent to the test year.

Response No. Staff 5-2:

Please see Staff 5-2 Attachment 1 Quarterly Gross Receipts Tax Reports.

Prepared By: James D. Spring Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

20-103
(Rev 11-18/24)

b

Texas Miscellaneous Gross Receipts Tax Report

Gas, Electric Light, Electric Power or Water Works Plants

a. T Code 23100 • *Type or print.*

You have certain rights *under Chapters 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at the address or phone numbers listed on this form*

c Taxpayer number	d Taxable receipts for previous quarter ending	e	f Due date
1-72-0323455-8	QTR ENDING 06/30/2019		07/31/2019

g Name and mailing address (Make any necessary name or address changes below)

SOUTHWESTERN ELECTRIC POWER COMPANY
PO BOX 24400
CANTON OH 44701-4400

h. IMPORTANT

Blacken this box if your mailing address has changed. Show changes by the preprinted information. → 1.

Blacken this box if you are no longer in business and write in the date you went out of business. → 2

Month Day Year

See the instructions on the back for completing this report.

i. j.

Population Bracket	Taxable Receipts	Tax Rate	Tax Due *(Multiply Taxable Receipts by Rate)*	
GAS				
1. 1,000-2,499	0.00	.00581	1. 0.00	
2 2,500-9,999	0.00	01070	2. 0.00	
3 OVER 9,999	0.00	01997	3. 0.00	
4 Total tax due for gas *(Total of Items 1, 2 and 3)*				4. 0.00
ELECTRICITY				
5 1,000-2,499	7,527,080.15	.00581	5. 43,732.34	
6. 2,500-9,999	22,277,624.08	.01070	6. 238,370.58	
7. OVER 9,999	58,476,751.03	.01997	7. 1,167,780.72	
8. Total tax due for electricity *(Total of Items 5, 6 and 7)*				8. 1,449,883.64
WATER				
9. 1,000-2,499	0.00	.00581	9. 0.00	
10. 2,500-9,999	0.00	.01070	10. 0.00	
11. OVER 9,999	0.00	.01997	11. 0.00	
12. Total tax due for water *(Total of Items 9, 10 and 11)*				12. 0.00
13 TOTAL TAX DUE *(Total of Items 4, 8 and 12)*				13. 1,449,883.64

*** DO NOT DETACH ***

14 Penalty due *(See instructions)*	14. 0.00
15 Interest due *(See instructions)*	15. 0.00
16. TOTAL AMOUNT DUE AND PAYABLE *(Item 13 plus Item 14 and Item 15)*	16 1,449,883.64

k. l.

Taxpayer name
SOUTHWESTERN ELECTRIC POWER COMPANY EFT PAYOR

T Code	Taxpayer number	Period
	1-72-0323455-8	191

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief

sign here ▶ Taxpayer or duly authorized agent

Business phone 330-438-7063 Date 07/22/19

Make the amount in Item 16 payable to **State Comptroller**

Mail to Comptroller of Public Accounts
P O Box 149361
Austin, TX 78714-9361

111 A

20-103 (Rev 11-18/24)

20-103
(Rev 11-18/24)

b

Texas Miscellaneous Gross Receipts Tax Report

Gas, Electric Light, Electric Power or Water Works Plants

a. T Code 23100 • *Type or print.*

You have certain rights under Chapters 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at the address or phone numbers listed on this form

c Taxpayer number	d Taxable receipts for previous quarter ending	e	f Due date
1-72-0323455-8	QTR ENDING 09/30/2019		10/31/2019

g Name and mailing address (Make any necessary name or address changes below)

SOUTHWESTERN ELECTRIC POWER COMPANY
PO BOX 24400
CANTON OH 44701-4400

h. IMPORTANT

Blacken this box if your mailing address has changed Show changes by the preprinted information. → 1

Blacken this box if you are no longer in business and write in the date you went out of business → 2

Month Day Year

See the instructions on the back for completing this report.

i. j.

Population Bracket	Taxable Receipts	Tax Rate	Tax Due *(Multiply Taxable Receipts by Rate)*
GAS			
1 1,000-2,499	0.00	00581	1. 0.00
2. 2,500-9,999	0.00	.01070	2. 0.00
3. OVER 9,999	0.00	01997	3. 0.00
4 Total tax due for gas *(Total of Items 1, 2 and 3)*			4. 0.00
ELECTRICITY			
5. 1,000-2,499	10,663,541.38	.00581	5 61,955.18
6. 2,500-9,999	31,349,649.20	.01070	6 335,441.25
7. OVER 9,999	79,667,797.84	01997	7. 1,590,965.92
8 Total tax due for electricity *(Total of Items 5, 6 and 7)*			8. 1,988,362.35
WATER			
9 1,000-2,499	0.00	.00581	9. 0.00
10 2,500-9,999	0.00	.01070	10 0.00
11 OVER 9,999	0.00	.01997	11. 0.00
12 Total tax due for water *(Total of Items 9, 10 and 11)*			12 0.00
13. TOTAL TAX DUE *(Total of Items 4, 8 and 12)*			13. 1,988,362.35

*** DO NOT DETACH ***

14. Penalty due *(See instructions)*	14	0.00
15. Interest due *(See instructions)*	15.	0.00
16. TOTAL AMOUNT DUE AND PAYABLE *(Item 13 plus Item 14 and Item 15)*	16	1,988,362.35

k. l.

Taxpayer name **SOUTHWESTERN ELECTRIC POWER COMPANY** **EFT PAYOR**

T Code	Taxpayer number	Period
	1-72-0323455-8	191

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief

Taxpayer or duly authorized agent

sign here ▶ 10/09/19

Business phone **330-438-7063** Date

Make the amount in Item 16 payable to **State Comptroller**

Mail to Comptroller of Public Accounts
P O Box 149361
Austin, TX 78714-9361

111 A

20-103 (Rev 11-18/24)

20-103
(Rev 11-18/24)

Texas Miscellaneous Gross Receipts Tax Report

Gas, Electric Light, Electric Power or Water Works Plants

a. T Code ■ 23100 • *Type or print.*

b ■

You have certain rights under Chapters 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at the address or phone numbers listed on this form

c Taxpayer number	d Taxable receipts for previous quarter ending	e	f Due date
■ 1-72-0323455-8	QTR ENDING 12/31/2019	■	1/31/2020

g Name and mailing address (Make any necessary name or address changes below)

SOUTHWESTERN ELECTRIC POWER COMPANY
PO BOX 24400
CANTON OH 44701-4400

h. IMPORTANT

Blacken this box if your mailing address has changed. Show changes by the preprinted information. → 1. ■

Blacken this box if you are no longer in business and write in the date you went out of business. → 2 ■

Month Day Year

See the instructions on the back for completing this report.

i. ■ j. ■

Population Bracket	Taxable Receipts	Tax Rate	Tax Due *(Multiply Taxable Receipts by Rate)*	
GAS				
1. 1,000-2,499	■ 0.00	.00581	1. ■ 0.00	
2. 2,500-9,999	■ 0.00	.01070	2. ■ 0.00	
3. OVER 9,999	■ 0.00	01997	3. ■ 0.00	
4. Total tax due for gas *(Total of Items 1, 2 and 3)*				4. ■ 0.00
ELECTRICITY				
5. 1,000-2,499	■ 7,421,523.91	.00581	5. ■ 43,119.05	
6. 2,500-9,999	■ 21,122,419.13	.01070	6. ■ 226,009.88	
7. OVER 9,999	■ 55,030,600.41	.01997	7 ■ 1,098,961.09	
8. Total tax due for electricity *(Total of Items 5, 6 and 7)*				8. ■ 1,368,090.02
WATER				
9. 1,000-2,499	■ 0.00	.00581	9. ■ 0.00	
10. 2,500-9,999	■ 0.00	.01070	10. ■ 0.00	
11. OVER 9,999	■ 0.00	.01997	11. ■ 0.00	
12. Total tax due for water *(Total of Items 9, 10 and 11)*				12. ■ 0.00
13. TOTAL TAX DUE *(Total of Items 4, 8 and 12)*				13 ■ 1,368,090.02

*** DO NOT DETACH ***

14. Penalty due *(See instructions)*	14. 0.00
15. Interest due *(See instructions)*	15. 0.00
16. TOTAL AMOUNT DUE AND PAYABLE *(Item 13 plus Item 14 and Item 15)*	16. ■ 1,368,090.02

k. ■ l.

Taxpayer name **SOUTHWESTERN ELECTRIC POWER COMPANY** **EFT PAYOR**

■ T Code	■ Taxpayer number	■ Period
	1-72-0323455-8	194

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief

sign here ▶ Taxpayer or duly authorized agent — 01 07 2020

Business phone **330-438-7063** Date

Make the amount in Item 16 payable to **State Comptroller**

Mail to Comptroller of Public Accounts
P O Box 149361
Austin, TX 78714-9361

111 A

20-103 (Rev 11-18/24)

20-103
(Rev 11-18/24)

Texas Miscellaneous Gross Receipts Tax Report

Gas, Electric Light, Electric Power or Water Works Plants

a. T Code ■ 23100 • *Type or print.*

b ■

You have certain rights *under Chapters 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at the address or phone numbers listed on this form*

c Taxpayer number	d Taxable receipts for previous quarter ending	e	f Due date
■ 1-72-0323455-8	QTR ENDING 03/31/2020	■	4/30/2020

g Name and mailing address (Make any necessary name or address changes below)

SOUTHWESTERN ELECTRIC POWER COMPANY
PO BOX 24400
CANTON OH 44701-4400

h. IMPORTANT

Blacken this box if your mailing address has changed. Show changes by the preprinted information. ——▶ ■ 1

Blacken this box if you are no longer in business and write in the date you went out of business. ——▶ ■ 2.

Month Day Year

See the instructions on the back for completing this report.

i. ■ j. ■

Population Bracket	Taxable Receipts	Tax Rate	Tax Due *(Multiply Taxable Receipts by Rate)*	
GAS				
1. 1,000-2,499	■ 0.00	.00581	1. ■ 0.00	
2. 2,500-9,999	■ 0.00	.01070	2. ■ 0.00	
3. OVER 9,999	■ 0.00	.01997	3. ■ 0.00	
4. Total tax due for gas *(Total of Items 1, 2 and 3)*				4. ■ 0.00
ELECTRICITY				
5 1,000-2,499	■ 7,804,525.49	.00581	5. ■ 45,344.29	
6 2,500-9,999	■ 22,165,507.73	.01070	6. ■ 237,170.93	
7. OVER 9,999	■ 57,164,011.48	.01997	7. ■ 1,141,565.31	
8. Total tax due for electricity *(Total of Items 5, 6 and 7)*				8. ■ 1,424,080.53
WATER				
9. 1,000-2,499	■ 0.00	.00581	9. ■ 0.00	
10. 2,500-9,999	■ 0.00	.01070	10. ■ 0.00	
11. OVER 9,999	■ 0.00	.01997	11. ■ 0.00	
12. Total tax due for water *(Total of Items 9, 10 and 11)*				12 ■ 0.00
13 TOTAL TAX DUE *(Total of Items 4, 8 and 12)*				13. ■ 1,424,080.53

*** DO NOT DETACH ***

14. Penalty due *(See instructions)*	14. 0.00
15 Interest due *(See instructions)*	15. 0.00
16. TOTAL AMOUNT DUE AND PAYABLE *(Item 13 plus Item 14 and Item 15)*	16. ■ 1,424,080.53

k. ■ l.

Taxpayer name **SOUTHWESTERN ELECTRIC POWER COMPANY** **EFT PAYOR**

■ T Code ■ Taxpayer number ■ Period

1-72-0323455-8 194

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief

sign here ▶ Taxpayer or duly authorized agent

Business phone **330-438-7063** Date **04-21-2020**

Make the amount in Item 16 payable to **State Comptroller**

Mail to Comptroller of Public Accounts
P O Box 149361
Austin, TX 78714-9361

111 A

20-103 (Rev 11-18/24)

20-103
(Rev 11-18/24)

Texas Miscellaneous Gross Receipts Tax Report

Gas, Electric Light, Electric Power or Water Works Plants

a. T Code ■ 23100 • *Type or print.*

b ■

You have certain rights *under Chapters 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at the address or phone numbers listed on this form.*

c Taxpayer number	d Taxable receipts for previous quarter ending	e	f Due date
■ 1-72-0323455-8	QTR ENDING 06/30/2020	■	7/31/2020

g Name and mailing address (Make any necessary name or address changes below)

SOUTHWESTERN ELECTRIC POWER COMPANY
PO BOX 24400
CANTON OH 44701-4400

h. IMPORTANT

Blacken this box if your mailing address has changed. Show changes by the preprinted information. → ■ 1.

Blacken this box if you are no longer in business and write in the date you went out of business. → ■ 2

Month Day Year

See the instructions on the back for completing this report.

i. ■ j. ■

Population Bracket	Taxable Receipts	Tax Rate	Tax Due *(Multiply Taxable Receipts by Rate)*	
GAS				
1. 1,000–2,499	■ 0.00	.00581	1. ■ 0.00	
2. 2,500–9,999	■ 0.00	.01070	2. ■ 0.00	
3. OVER 9,999	■ 0.00	.01997	3. ■ 0.00	
4. Total tax due for gas *(Total of Items 1, 2 and 3)*				4. ■ 0.00
ELECTRICITY				
5. 1,000–2,499	■ 7,334,756.78	.00581	5. ■ 42,614.94	
6. 2,500–9,999	■ 22,503,724.91	.01070	6. ■ 240,789.86	
7. OVER 9,999	■ 58,312,474.69	01997	7. ■ 1,164,500.12	
8. Total tax due for electricity *(Total of Items 5, 6 and 7)*				8. ■ 1,447,904.92
WATER				
9. 1,000–2,499	■ 0.00	.00581	9 ■ 0.00	
10. 2,500–9,999	■ 0.00	.01070	10. ■ 0.00	
11. OVER 9,999	■ 0.00	.01997	11. ■ 0.00	
12. Total tax due for water *(Total of Items 9, 10 and 11)*				12. ■ 0.00
13. TOTAL TAX DUE *(Total of Items 4, 8 and 12)*				13 ■ 1,447,904.92

*** DO NOT DETACH ***

14. Penalty due *(See instructions)*	14. 0.00
15. Interest due *(See instructions)*	15. 0.00
16. TOTAL AMOUNT DUE AND PAYABLE *(Item 13 plus Item 14 and Item 15)*	16. ■ 1,447,904.92

k. ■ l.

Taxpayer name **SOUTHWESTERN ELECTRIC POWER COMPANY** **EFT PAYOR**

■ T Code ■ Taxpayer number ■ Period

1-72-0323455-8 194

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief

sign here ▶ Taxpayer or duly authorized agent

Business phone **330-438-7063** Date **07/13/20**

Make the amount in Item 16 payable to **State Comptroller**

Mail to Comptroller of Public Accounts
P O Box 149361
Austin, TX 78714-9361

111 A

20-103 (Rev 11-18/24)

20-103
(Rev 11-18/24)

Texas Miscellaneous Gross Receipts Tax Report

Gas, Electric Light, Electric Power or Water Works Plants

a. T Code ■ 23100 • *Type or print.*

You have certain rights *under Chapters 552 and 559, Government Code, to review, request and correct information we have on file about you. Contact us at the address or phone numbers listed on this form*

c Taxpayer number	d Taxable receipts for previous quarter ending	e	f Due date
■ 1-72-0323455-8	QTR ENDING 09/30/2020	■	10/31/2020

g Name and mailing address (Make any necessary name or address changes below)

SOUTHWESTERN ELECTRIC POWER COMPANY
PO BOX 24400
CANTON OH 44701-4400

h. IMPORTANT

Blacken this box if your mailing address has changed Show changes by the preprinted information → ■ 1

Blacken this box if you are no longer in business and write in the date you went out of business → ■ 2

Month Day Year

See the instructions on the back for completing this report.

i. ■ j. ■

Population Bracket	Taxable Receipts	Tax Rate	Tax Due *(Multiply Taxable Receipts by Rate)*	
GAS				
1. 1,000-2,499	■ 0.00	00581	1 ■ 0.00	
2. 2,500-9,999	■ 0.00	.01070	2. ■ 0.00	
3. OVER 9,999	■ 0.00	01997	3. ■ 0.00	
4. Total tax due for gas *(Total of Items 1, 2 and 3)*				4. ■ 0.00
ELECTRICITY				
5 1,000-2,499	■ 10,330,322.96	.00581	5. ■ 60,019.98	
6 2,500-9,999	■ 30,847,506.38	.01070	6. ■ 330,068.32	
7. OVER 9,999	■ 78,848,770.05	.01997	7. ■ 1,574,609.94	
8. Total tax due for electricity *(Total of Items 5, 6 and 7)*				8 ■ 1,964,697.44
WATER				
9. 1,000-2,499	■ 0.00	.00581	9. ■ 0.00	
10. 2,500-9,999	■ 0.00	.01070	10 ■ 0.00	
11. OVER 9,999	■ 0.00	01997	11 ■ 0.00	
12. Total tax due for water *(Total of Items 9, 10 and 11)*				12 ■ 0.00
13 TOTAL TAX DUE *(Total of Items 4, 8 and 12)*				13 ■ 1,964,697.44

*** *DO NOT DETACH* ***

14 Penalty due *(See instructions)*	14. 0.00
15 Interest due *(See instructions)*	15. 0.00
16. TOTAL AMOUNT DUE AND PAYABLE *(Item 13 plus Item 14 and Item 15)*	16. ■ 1,964,697.44

k. ■ l.

Taxpayer name **SOUTHWESTERN ELECTRIC POWER COMPANY** **EFT PAYOR**

■ T Code	■ Taxpayer number	■ Period
	1-72-0323455-8	203

I declare that the information in this document and any attachments is true and correct to the best of my knowledge and belief

sign here ▶ Taxpayer or duly authorized agent

Business phone **330-438-7063** Date **10-09-2020**

Make the amount in Item 16 payable to **State Comptroller**

Mail to Comptroller of Public Accounts
P O Box 149361
Austin, TX 78714-9361

lll A

20-103 (Rev 11-18/24)

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-3:

Please provide a copy of the Company's most recent PUC Assessment Tax Report and the amount of the payment due.

Response No. Staff 5-3:

Please see Staff 5-3 Attachment 1 2020 PUC Assessment Tax Report.

Prepared By: James D. Spring Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

20-106
(Rev 4-15/16)

Gross Receipts Assessment Report

Taxpayer number	Commission certificate number	For Comptroller's use only
17203234558		T Code 90100
		Deposit Code 230

Taxpayer name and mailing address

Southwestern Electric Power Co
P.O. Box 24400
Canton, Ohio 47401-4400

Check business type
☑ Electric ☐ Telephone

Enter the annual reporting period for which this report is being filed.

Calendar Reporting Period	Reporting Period	Assessment Period	Due Date
☑ 2020	☑ Annual	July through June	August 15

REPORTING PERIOD	MONTHLY GROSS RECEIPTS 1st month	2nd month	3rd month	QUARTERLY TOTALS
1st Quarter	63,731,546 13	61,860,114 99	57,368,452 87	182,960,113 99
2nd Quarter	47,066,895 20	52,185,148 17	40,647,276 31	139,899,319 68
3rd Quarter	45,561,143 67	45,734,947 00	42,936,834 12	134,232,924 79
4th Quarter	41,262,610 81	44,234,267 86	55,002,852 01	140,499,730 68
Annual	July through June			

1. Enter total receipts for the year	1. $	597,592,089 14
2 TOTAL ASSESSMENT DUE (Multiply Item 1 by 001667)	2	996,186 01
3 Deduct authorized overpayments applied to this period *(The deduction must be net of any penalties and/or interest assessed)*	3.	
4. NET ASSESSMENT DUE *(Item 2 minus Item 3)*	4	996,186 01
5. Late filing penalty 10% of Item 4 if report filed after due date	5.	
6 Amount due *(Item 4 plus Item 5)*	6	996,186 01
7 Late payment interest starting 31 days after due date 12 % per annum simple interest, based on Item 6.	7	
8 TOTAL AMOUNT DUE AND PAYABLE *(Item 6 plus Item 7)*	8 $	996,186 01

Complete this report and make amount in Item 8 payable to STATE COMPTROLLER

Mail to COMPTROLLER OF PUBLIC ACCOUNTS
111 E. 17th Street
Austin, Texas 78774-0100

I declare that the above information is true and correct to the best of my knowledge and belief.

sign here ▶ Taxpayer or duly authorized agent

Daytime phone 614-716-2496 Date 8/17/2020

For tax assistance call 1-800-531-5441, extension 3-4276

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-4:

Please provide the Company's FICA Form 941 for all quarters during and subsequent to the test year. Please update this response as additional quarterly reports are filed.

Response No. Staff 5-4:

See Staff 5-4 Attachment 1 for the Company's Form 941 for all quarters during and subsequent to the test year.

Prepared By: Randall W. Hamlett Title: Dir Regulatory Acctg Svcs

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

Form **941 for 2019: Employer's QUARTERLY Federal Tax Return**
(Rev January 2019) Department of the Treasury — Internal Revenue Service

950117
OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name (if any)

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Report for this Quarter of 2019 (Check one.)

- [X] 1: January, February, March
- [ ] 2: April, May, June
- [ ] 3: July, August, September
- [ ] 4: October, November, December

Go to *www irs gov/Form941* for instructions and the latest information

Read the separate instructions before you complete Form 941 Type or print within the boxes.

Part 1: Answer these questions for this quarter.

1	Number of employees who received wages, tips, or other compensation for the pay period including: *Mar. 12* (Quarter 1), *June 12* (Quarter 2), *Sept. 12* (Quarter 3), or *Dec. 12* (Quarter 4)	1	1,504
2	Wages, tips, and other compensation	2	47,233,578.65
3	Federal income tax withheld from wages, tips, and other compensation	3	7,208,282.88
4	If no wages, tips, and other compensation are subject to social security or Medicare tax		[ ] Check and go to line 6.

		Column 1		Column 2
5a	Taxable social security wages	49,574,683.34	× 0 124 =	6,147,260.73
5b	Taxable social security tips	.	× 0 124 =	.
5c	Taxable Medicare wages & tips	50,966,670.34	× 0 029 =	1,478,033.44
5d	Taxable wages & tips subject to Additional Medicare Tax withholding	998,550.14	× 0 009 =	8,986.95

5e	Add Column 2 from lines 5a, 5b, 5c, and 5d	5e	7,634,281.12
5f	Section 3121(q) Notice and Demand—Tax due on unreported tips (see instructions)	5f	.
6	Total taxes before adjustments. Add lines 3, 5e, and 5f	6	14,842,564.00
7	Current quarter's adjustment for fractions of cents	7	.59
8	Current quarter's adjustment for sick pay	8	.
9	Current quarter's adjustments for tips and group-term life insurance	9	.
10	Total taxes after adjustments. Combine lines 6 through 9	10	14,842,564.59
11	Qualified small business payroll tax credit for increasing research activities. Attach Form 8974	11	.
12	Total taxes after adjustments and credits. Subtract line 11 from line 10	12	14,842,564.59
13	Total deposits for this quarter, including overpayment applied from a prior quarter and overpayments applied from Form 941-X, 941-X (PR), 944-X, or 944-X (SP) filed in the current quarter	13	14,842,564.59
14	Balance due. If line 12 is more than line 13, enter the difference and see instructions	14	.
15	Overpayment. If line 13 is more than line 12, enter the difference . Check one: [ ] Apply to next return [ ] Send a refund.		

▶ You MUST complete both pages of Form 941 and SIGN it.

Next ▶

For Privacy Act and Paperwork Reduction Act Notice, see the back of the Payment Voucher

ISA

950217

Name *(not your trade name)*	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 2: Tell us about your deposit schedule and tax liability for this quarter.

If you are unsure about whether you are a monthly schedule depositor or a semiweekly schedule depositor, see section 11 of Pub. 15.

16 Check one: ☐ **Line 12 on this return is less than $2,500 or line 12 on the return for the prior quarter was less than $2,500, and you didn't incur a $100,000 next-day deposit obligation during the current quarter.** If line 12 for the prior quarter was less than $2,500 but line 12 on this return is $100,000 or more, you must provide a record of your federal tax liability If you are a monthly schedule depositor, complete the deposit schedule below, if you are a semiweekly schedule depositor, attach Schedule B (Form 941) Go to Part 3

☐ **You were a monthly schedule depositor for the entire quarter.** Enter your tax liability for each month and total liability for the quarter, then go to Part 3

Tax liability:	**Month 1**	.
	Month 2	.
	Month 3	.
Total liability for quarter		.

Total must equal line 12.

☒ **You were a semiweekly schedule depositor for any part of this quarter.** Complete Schedule B (Form 941), Report of Tax Liability for Semiweekly Schedule Depositors, and attach it to Form 941.

Part 3: Tell us about your business. If a question does NOT apply to your business, leave it blank.

17 **If your business has closed or you stopped paying wages** . . ☐ Check here, and

enter the final date you paid wages

18 **If you are a seasonal employer and you don't have to file a return for every quarter of the year** ☐ Check here

Part 4: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details

☐ Yes Designee's name and phone number

Select a 5-digit Personal Identification Number (PIN) to use when talking to the IRS

☐ No

Part 5: Sign here. You MUST complete both pages of Form 941 and SIGN it.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign your name here

Print your name here JENNIFER RAY JARVIS

Print your title here PAYROLL TAX LEAD

Date 04/10/2019

Best daytime phone (614) 716-1565

Paid Preparer Use Only

Check if you are self-employed ☐

Preparer's name		PTIN	
Preparer's signature		Date	
Firm's name (or yours if self-employed)		EIN	
Address		Phone	
City	State	ZIP code	

960311

Schedule B (Form 941):

Report of Tax Liability for Semiweekly Schedule Depositors

(Rev January 2017) Department of the Treasury — Internal Revenue Service

OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name *(not your trade name)* SOUTHWESTERN ELECTRIC POWER COMPANY

Calendar year 2019 (Also check quarter)

Report for this Quarter... (Check one.)

- [X] 1: January, February, March
- [ ] 2: April, May, June
- [ ] 3: July, August, September
- [ ] 4: October, November, December

Use this schedule to show your TAX LIABILITY for the quarter; don't use it to show your deposits. When you file this form with Form 941 or Form 941-SS, don't change your tax liability by adjustments reported on any Forms 941-X or 944-X. You must fill out this form and attach it to Form 941 or Form 941-SS if you're a semiweekly schedule depositor or became one because your accumulated tax liability on any day was $100,000 or more. Write your daily tax liability on the numbered space that corresponds to the date wages were paid. See Section 11 in Pub. 15 for details.

Month 1

1	1,006.50	9	.	17	.	25	.
2	.	10	.	18	1,264,172.01	26	.
3	.	11	.	19	.	27	.
4	1,312,147.09	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 1: 2,577,325.60

Month 2

1	1,336,856.05	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	1,262,348.28	23	.	31	.
8	524,415.86	16	.	24	.		

Tax liability for Month 2: 3,123,620.19

Month 3

1	1,264,434.81	9	.	17	.	25	.
2	465.51	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	486.64	12	.	20	.	28	.
5	.	13	.	21	.	29	1,420,336.50
6	.	14	.	22	.	30	.
7	.	15	1,265,458.01	23	.	31	.
8	5,190,437.33	16	.	24	.		

Tax liability for Month 3: 9,141,618.80

Fill in your total liability for the quarter (Month 1 + Month 2 + Month 3) ▶
Total must equal line 12 on Form 941 or Form 941-SS.

Total liability for the quarter: 14,842,564.59

For Paperwork Reduction Act Notice, see separate instructions. IRS gov/form941 **Schedule B (Form 941) (Rev 1-2017)**

ISA

Form **941 for 2019: Employer's QUARTERLY Federal Tax Return** 950117
(Rev January 2019) Department of the Treasury — Internal Revenue Service OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name (if any)

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Report for this Quarter of 2019 (Check one.)

- [ ] 1: January, February, March
- [X] 2: April, May, June
- [ ] 3: July, August, September
- [ ] 4: October, November, December

Go to *www irs gov/Form941* for instructions and the latest information

Read the separate instructions before you complete Form 941 Type or print within the boxes

Part 1: Answer these questions for this quarter.

1	Number of employees who received wages, tips, or other compensation for the pay period including: *Mar. 12* (Quarter 1), *June 12* (Quarter 2), *Sept. 12* (Quarter 3), or *Dec. 12* (Quarter 4)	1	1,464
2	Wages, tips, and other compensation	2	30,454,097.90
3	Federal income tax withheld from wages, tips, and other compensation	3	3,971,220.77
4	If no wages, tips, and other compensation are subject to social security or Medicare tax		[ ] Check and go to line 6.

		Column 1		Column 2
5a	Taxable social security wages	32,161,600.29	× 0 124 =	3,988,038.44
5b	Taxable social security tips	.	× 0 124 =	.
5c	Taxable Medicare wages & tips	32,905,585.97	× 0 029 =	954,261.99
5d	Taxable wages & tips subject to Additional Medicare Tax withholding	369,028.16	× 0 009 =	3,321.25

5e	Add Column 2 from lines 5a, 5b, 5c, and 5d	5e	4,945,621.68
5f	Section 3121(q) Notice and Demand—Tax due on unreported tips (see instructions)	5f	.
6	Total taxes before adjustments. Add lines 3, 5e, and 5f	6	8,916,842.45
7	Current quarter's adjustment for fractions of cents	7	(.10)
8	Current quarter's adjustment for sick pay	8	.
9	Current quarter's adjustments for tips and group-term life insurance	9	.
10	Total taxes after adjustments. Combine lines 6 through 9	10	8,916,842.35
11	Qualified small business payroll tax credit for increasing research activities. Attach Form 8974	11	.
12	Total taxes after adjustments and credits. Subtract line 11 from line 10	12	8,916,842.35
13	Total deposits for this quarter, including overpayment applied from a prior quarter and overpayments applied from Form 941-X, 941-X (PR), 944-X, or 944-X (SP) filed in the current quarter	13	8,916,842.35
14	Balance due. If line 12 is more than line 13, enter the difference and see instructions	14	.

15 Overpayment. If line 13 is more than line 12, enter the difference . Check one. [ ] Apply to next return [ ] Send a refund

▶ You MUST complete both pages of Form 941 and SIGN it. Next ▶

ISA For Privacy Act and Paperwork Reduction Act Notice, see the back of the Payment Voucher. Form **941** (Rev 1-2019)

950217

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 2: Tell us about your deposit schedule and tax liability for this quarter.

If you are unsure about whether you are a monthly schedule depositor or a semiweekly schedule depositor, see section 11 of Pub. 15.

16 Check one: ☐ **Line 12 on this return is less than $2,500 or line 12 on the return for the prior quarter was less than $2,500, and you didn't incur a $100,000 next-day deposit obligation during the current quarter.** If line 12 for the prior quarter was less than $2,500 but line 12 on this return is $100,000 or more, you must provide a record of your federal tax liability. If you are a monthly schedule depositor, complete the deposit schedule below, if you are a semiweekly schedule depositor, attach Schedule B (Form 941) Go to Part 3.

☐ **You were a monthly schedule depositor for the entire quarter.** Enter your tax liability for each month and total liability for the quarter, then go to Part 3

Tax liability:	**Month 1**	.
	Month 2	.
	Month 3	.
Total liability for quarter		. **Total must equal line 12.**

☒ **You were a semiweekly schedule depositor for any part of this quarter.** Complete Schedule B (Form 941), Report of Tax Liability for Semiweekly Schedule Depositors, and attach it to Form 941

Part 3: Tell us about your business. If a question does NOT apply to your business, leave it blank.

17 **If your business has closed or you stopped paying wages** ☐ Check here, and enter the final date you paid wages

18 **If you are a seasonal employer and you don't have to file a return for every quarter of the year** ☐ Check here

Part 4: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details

☐ Yes. Designee's name and phone number

Select a 5-digit Personal Identification Number (PIN) to use when talking to the IRS

☐ No

Part 5: Sign here. You MUST complete both pages of Form 941 and SIGN it

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign your name here

Print your name here: JENNIFER RAY JARVIS

Print your title here: PAYROLL TAX LEAD

Date: 07/10/2019

Best daytime phone: (614) 716-1565

Paid Preparer Use Only

Check if you are self-employed ☐

Preparer's name		PTIN	
Preparer's signature		Date	
Firm's name (or yours if self-employed)		EIN	
Address		Phone	
City	State	ZIP code	

960311

Schedule B (Form 941):

Report of Tax Liability for Semiweekly Schedule Depositors

(Rev January 2017) Department of the Treasury — Internal Revenue Service

OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name *(not your trade name)* SOUTHWESTERN ELECTRIC POWER COMPANY

Calendar year 2019 (Also check quarter)

Report for this Quarter (Check one.)

- [ ] 1: January, February, March
- [X] 2: April, May, June
- [ ] 3: July, August, September
- [ ] 4: October, November, December

Use this schedule to show your TAX LIABILITY for the quarter; don't use it to show your deposits. When you file this form with Form 941 or Form 941-SS, don't change your tax liability by adjustments reported on any Forms 941-X or 944-X. You must fill out this form and attach it to Form 941 or Form 941-SS if you're a semiweekly schedule depositor or became one because your accumulated tax liability on any day was $100,000 or more. Write your daily tax liability on the numbered space that corresponds to the date wages were paid. See Section 11 in Pub. 15 for details.

Month 1

1	1,006.52	9	.	17	.	25	.
2	.	10	.	18	.	26	1,552,302.41
3	.	11	.	19	.	27	.
4	.	12	1,362,021.45	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 1: 2,915,330.38

Month 2

1	1,006.50	9	.	17	.	25	.
2	65,722.26	10	1,451,087.87	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	1,713,378.14		

Tax liability for Month 2: 3,231,194.77

Month 3

1	1,006.50	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	1,340,834.57	29	.
6	.	14	.	22	.	30	.
7	1,428,476.13	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 3: 2,770,317.20

Fill in your total liability for the quarter (Month 1 + Month 2 + Month 3) ▶
Total must equal line 12 on Form 941 or Form 941-SS.

Total liability for the quarter: 8,916,842.35

For Paperwork Reduction Act Notice, see separate instructions. IRS gov/form941 Schedule B (Form 941) (Rev 1-2017)

ISA

Form **941 for 2019: Employer's QUARTERLY Federal Tax Return** 950117
(Rev January 2019) Department of the Treasury — Internal Revenue Service OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name (if any)

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Report for this Quarter of 2019 (Check one.)

- [ ] 1: January, February, March
- [ ] 2: April, May, June
- [X] 3: July, August, September
- [ ] 4: October, November, December

Go to *www.irs.gov/Form941* for instructions and the latest information

Read the separate instructions before you complete Form 941 Type or print within the boxes

Part 1: Answer these questions for this quarter.

1	Number of employees who received wages, tips, or other compensation for the pay period including: *Mar. 12* (Quarter 1), *June 12* (Quarter 2), *Sept. 12* (Quarter 3), or *Dec. 12* (Quarter 4)			1	1,460
2	Wages, tips, and other compensation			2	39,568,827.02
3	Federal income tax withheld from wages, tips, and other compensation			3	6,347,508.61
4	If no wages, tips, and other compensation are subject to social security or Medicare tax				[ ] Check and go to line 6.
		Column 1		Column 2	
5a	Taxable social security wages	35,247,858.25	× 0.124 =	4,370,734.42	
5b	Taxable social security tips	.	× 0.124 =	.	
5c	Taxable Medicare wages & tips	36,915,815.21	× 0.029 =	1,070,558.64	
5d	Taxable wages & tips subject to Additional Medicare Tax withholding	528,560.30	× 0.009 =	4,757.04	
5e	Add Column 2 from lines 5a, 5b, 5c, and 5d			5e	5,446,050.10
5f	Section 3121(q) Notice and Demand—Tax due on unreported tips (see instructions)			5f	.
6	Total taxes before adjustments. Add lines 3, 5e, and 5f			6	11,793,558.71
7	Current quarter's adjustment for fractions of cents			7	(.19)
8	Current quarter's adjustment for sick pay			8	.
9	Current quarter's adjustments for tips and group-term life insurance			9	.
10	Total taxes after adjustments. Combine lines 6 through 9			10	11,793,558.52
11	Qualified small business payroll tax credit for increasing research activities. Attach Form 8974			11	.
12	Total taxes after adjustments and credits. Subtract line 11 from line 10			12	11,793,558.52
13	Total deposits for this quarter, including overpayment applied from a prior quarter and overpayments applied from Form 941-X, 941-X (PR), 944-X, or 944-X (SP) filed in the current quarter			13	11,793,558.52
14	Balance due. If line 12 is more than line 13, enter the difference and see instructions			14	.
15	Overpayment. If line 13 is more than line 12, enter the difference . Check one [ ] Apply to next return [ ] Send a refund				

▶ You MUST complete both pages of Form 941 and SIGN it. Next ▶

ISA For Privacy Act and Paperwork Reduction Act Notice, see the back of the Payment Voucher. Form 941 (Rev 1-2019)

950217

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 2: Tell us about your deposit schedule and tax liability for this quarter.

If you are unsure about whether you are a monthly schedule depositor or a semiweekly schedule depositor, see section 11 of Pub. 15.

16 Check one: ☐ **Line 12 on this return is less than $2,500 or line 12 on the return for the prior quarter was less than $2,500, and you didn't incur a $100,000 next-day deposit obligation during the current quarter.** If line 12 for the prior quarter was less than $2,500 but line 12 on this return is $100,000 or more, you must provide a record of your federal tax liability. If you are a monthly schedule depositor, complete the deposit schedule below; if you are a semiweekly schedule depositor, attach Schedule B (Form 941) Go to Part 3.

☐ **You were a monthly schedule depositor for the entire quarter.** Enter your tax liability for each month and total liability for the quarter, then go to Part 3.

Tax liability:	**Month 1**	.
	Month 2	.
	Month 3	.
Total liability for quarter		.

Total must equal line 12.

☒ **You were a semiweekly schedule depositor for any part of this quarter.** Complete Schedule B (Form 941), Report of Tax Liability for Semiweekly Schedule Depositors, and attach it to Form 941

Part 3: Tell us about your business. If a question does NOT apply to your business, leave it blank.

17 **If your business has closed or you stopped paying wages** ☐ Check here, and

enter the final date you paid wages

18 **If you are a seasonal employer and you don't have to file a return for every quarter of the year** ☐ Check here

Part 4: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details

☐ Yes Designee's name and phone number

Select a 5-digit Personal Identification Number (PIN) to use when talking to the IRS

☐ No.

Part 5: Sign here. You MUST complete both pages of Form 941 and SIGN it.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X **Sign your name here**

Print your name here JENNIFER RAY JARVIS

Print your title here PAYROLL TAX SUPERVISOR

Date 10/10/2019

Best daytime phone (614) 716-1565

Paid Preparer Use Only

Check if you are self-employed ☐

Preparer's name		PTIN	
Preparer's signature		Date	
Firm's name (or yours if self-employed)		EIN	
Address		Phone	
City	State	ZIP code	

960311

Schedule B (Form 941):

Report of Tax Liability for Semiweekly Schedule Depositors

(Rev January 2017) Department of the Treasury — Internal Revenue Service

OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Calendar year 2019 (Also check quarter)

Report for this Quarter... (Check one.)

- [ ] 1: January February, March
- [ ] 2: April, May, June
- [X] 3: July, August, September
- [ ] 4: October, November, December

Use this schedule to show your TAX LIABILITY for the quarter; don't use it to show your deposits. When you file this form with Form 941 or Form 941-SS, don't change your tax liability by adjustments reported on any Forms 941-X or 944-X. You must fill out this form and attach it to Form 941 or Form 941-SS if you're a semiweekly schedule depositor or became one because your accumulated tax liability on any day was $100,000 or more. Write your daily tax liability on the numbered space that corresponds to the date wages were paid. See Section 11 in Pub. 15 for details

Month 1

1	1,020.45	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	1,712,508.01	27	.
4	.	12	.	20	.	28	.
5	1,615,791.62	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	1,560,472.80	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 1: 4,889,792.88

Month 2

1	1,020.41	9	.	17	.	25	.
2	1,296,452.72	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	9,791.26	30	1,397,487.80
7	.	15	.	23	.	31	.
8	.	16	1,275,901.58	24	.		

Tax liability for Month 2: 3,980,653.77

Month 3

1	1,020.47	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	1,335,558.69
4	.	12	.	20	.	28	.
5	.	13	1,586,532.71	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 3: 2,923,111.87

Fill in your total liability for the quarter (Month 1 + Month 2 + Month 3) ▶
Total must equal line 12 on Form 941 or Form 941-SS.

Total liability for the quarter: 11,793,558.52

For Paperwork Reduction Act Notice, see separate instructions. IRS.gov/form941 Schedule B (Form 941) (Rev 1-2017)

ISA

Form **941 for 2019: Employer's QUARTERLY Federal Tax Return** 950117
(Rev January 2019) Department of the Treasury — Internal Revenue Service OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name (if any)

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Report for this Quarter of 2019 (Check one.)

- [ ] 1: January, February, March
- [ ] 2 April, May, June
- [ ] 3 July, August, September
- [X] 4 October, November, December

Go to *www irs gov/Form941* for instructions and the latest information

Read the separate instructions before you complete Form 941 Type or print within the boxes

Part 1: Answer these questions for this quarter.

1	Number of employees who received wages, tips, or other compensation for the pay period including: *Mar. 12* (Quarter 1), *June 12* (Quarter 2), *Sept. 12* (Quarter 3), or *Dec. 12* (Quarter 4)	1	1,463
2	Wages, tips, and other compensation	2	29,813,706.23
3	Federal income tax withheld from wages, tips, and other compensation	3	3,834,575.55
4	If no wages, tips, and other compensation are subject to social security or Medicare tax		[ ] Check and go to line 6.

		Column 1		Column 2
5a	Taxable social security wages	28,948,798.05	× 0 124 =	3,589,650.96
5b	Taxable social security tips	.	× 0 124 =	.
5c	Taxable Medicare wages & tips	31,910,165.17	× 0 029 =	925,394.79
5d	Taxable wages & tips subject to Additional Medicare Tax withholding	769,939.85	× 0 009 =	6,929.46

5e	Add Column 2 from lines 5a, 5b, 5c, and 5d	5e	4,521,375.21
5f	Section 3121(q) Notice and Demand—Tax due on unreported tips (see instructions)	5f	.
6	Total taxes before adjustments. Add lines 3, 5e, and 5f	6	8,356,550.76
7	Current quarter's adjustment for fractions of cents	7	.23
8	Current quarter's adjustment for sick pay	8	.
9	Current quarter's adjustments for tips and group-term life insurance	9	.
10	Total taxes after adjustments. Combine lines 6 through 9	10	8,356,550.99
11	Qualified small business payroll tax credit for increasing research activities. Attach Form 8974	11	.
12	Total taxes after adjustments and credits. Subtract line 11 from line 10	12	8,356,550.99
13	Total deposits for this quarter, including overpayment applied from a prior quarter and overpayments applied from Form 941-X, 941-X (PR), 944-X, or 944-X (SP) filed in the current quarter	13	8,356,550.99
14	Balance due. If line 12 is more than line 13, enter the difference and see instructions	14	.
15	Overpayment. If line 13 is more than line 12, enter the difference . Check one [ ] Apply to next return [ ] Send a refund		

▶ You MUST complete both pages of Form 941 and SIGN it. Next ▶

ISA For Privacy Act and Paperwork Reduction Act Notice, see the back of the Payment Voucher. Form **941** (Rev 1-2019)

950217

Name *(not your trade name)*	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 2: Tell us about your deposit schedule and tax liability for this quarter.

If you are unsure about whether you are a monthly schedule depositor or a semiweekly schedule depositor, see section 11 of Pub. 15.

16 Check one: ☐ **Line 12 on this return is less than $2,500 or line 12 on the return for the prior quarter was less than $2,500, and you didn't incur a $100,000 next-day deposit obligation during the current quarter.** If line 12 for the prior quarter was less than $2,500 but line 12 on this return is $100,000 or more, you must provide a record of your federal tax liability If you are a monthly schedule depositor, complete the deposit schedule below, if you are a semiweekly schedule depositor, attach Schedule B (Form 941) Go to Part 3

☐ **You were a monthly schedule depositor for the entire quarter.** Enter your tax liability for each month and total liability for the quarter, then go to Part 3

Tax liability: Month 1 _____ .

Month 2 _____ .

Month 3 _____ .

Total liability for quarter _____ . **Total must equal line 12.**

☒ **You were a semiweekly schedule depositor for any part of this quarter.** Complete Schedule B (Form 941), Report of Tax Liability for Semiweekly Schedule Depositors, and attach it to Form 941

Part 3: Tell us about your business. If a question does NOT apply to your business, leave it blank.

17 **If your business has closed or you stopped paying wages** ☐ Check here, and

enter the final date you paid wages _____

18 **If you are a seasonal employer and you don't have to file a return for every quarter of the year** ☐ Check here

Part 4: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details

☐ Yes Designee's name and phone number _____ _____

Select a 5-digit Personal Identification Number (PIN) to use when talking to the IRS _____

☐ No

Part 5: Sign here. You MUST complete both pages of Form 941 and SIGN it.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X **Sign your name here** _____

Print your name here JENNIFER RAY JARVIS

Print your title here PAYROLL TAX SUPERVISOR

Date 01/10/2020

Best daytime phone (614) 716-1565

Paid Preparer Use Only

Check if you are self-employed ☐

Preparer's name		PTIN	
Preparer's signature		Date	
Firm's name (or yours if self-employed)		EIN	
Address		Phone	
City	State	ZIP code	

960311

Schedule B (Form 941):

Report of Tax Liability for Semiweekly Schedule Depositors

(Rev January 2017) Department of the Treasury — Internal Revenue Service

OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Calendar year 2019 (Also check quarter)

Report for this Quarter... (Check one.)

- [ ] 1: January, February, March
- [ ] 2: April, May, June
- [ ] 3: July, August, September
- [x] 4: October, November, December

Use this schedule to show your TAX LIABILITY for the quarter; don't use it to show your deposits. When you file this form with Form 941 or Form 941-SS, don't change your tax liability by adjustments reported on any Forms 941-X or 944-X. You must fill out this form and attach it to Form 941 or Form 941-SS if you're a semiweekly schedule depositor or became one because your accumulated tax liability on any day was $100,000 or more. Write your daily tax liability on the numbered space that corresponds to the date wages were paid. See Section 11 in Pub. 15 for details.

Month 1

1	1,020.41	9	.	17	.	25	1,411,551.18
2	.	10	315.44	18	.	26	3,004.66
3	12,790.07	11	1,367,198.08	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 1: 2,795,879.84

Month 2

1	1,020.43	9	292.30	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	1,371,175.91	30	.
7	.	15	.	23	.	31	.
8	1,550,388.97	16	.	24	.		

Tax liability for Month 2: 2,922,877.61

Month 3

1	1,020.45	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	602.79
4	.	12	.	20	1,322,814.85	28	.
5	.	13	.	21	.	29	.
6	1,313,345.89	14	.	22	.	30	.
7	.	15	.	23	.	31	9.56
8	.	16	.	24	.		

Tax liability for Month 3: 2,637,793.54

Fill in your total liability for the quarter (Month 1 + Month 2 + Month 3) ▶
Total must equal line 12 on Form 941 or Form 941-SS.

Total liability for the quarter: 8,356,550.99

For Paperwork Reduction Act Notice, see separate instructions. IRS gov/form941 Schedule B (Form 941) (Rev 1-2017)

ISA

Form **941 for 2020: Employer's QUARTERLY Federal Tax Return** 950117
(Rev January 2020) Department of the Treasury — Internal Revenue Service OMB No 1545-0029

Employer Identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name (if any)

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Report for this Quarter of 2020 (Check one.)

- [X] 1: January, February, March
- [ ] 2: April, May, June
- [ ] 3. July, August, September
- [ ] 4. October, November, December

Go to www.irs.gov/Form941 for instructions and the latest information

Read the separate instructions before you complete Form 941 Type or print within the boxes

Part 1: Answer these questions for this quarter.

1	Number of employees who received wages, tips, or other compensation for the pay period including: *Mar. 12* (Quarter 1), *June 12* (Quarter 2), *Sept. 12* (Quarter 3), or *Dec. 12* (Quarter 4)			1	1,477
2	Wages, tips, and other compensation			2	51,322,035.73
3	Federal income tax withheld from wages, tips, and other compensation			3	8,074,274.22
4	If no wages, tips, and other compensation are subject to social security or Medicare tax				[ ] Check and go to line 6.
		Column 1		Column 2	
5a	Taxable social security wages	53,520,384.12	× 0.124 =	6,636,527.63	
5b	Taxable social security tips	.	× 0.124 =	.	
5c	Taxable Medicare wages & tips	55,415,083.84	× 0.029 =	1,607,037.43	
5d	Taxable wages & tips subject to Additional Medicare Tax withholding	1,301,984.99	× 0.009 =	11,717.86	
5e	Add Column 2 from lines 5a, 5b, 5c, and 5d			5e	8,255,282.92
5f	Section 3121(q) Notice and Demand—Tax due on unreported tips (see instructions)			5f	.
6	Total taxes before adjustments Add lines 3, 5e, and 5f			6	16,329,557.14
7	Current quarter's adjustment for fractions of cents			7	(.42)
8	Current quarter's adjustment for sick pay			8	.
9	Current quarter's adjustments for tips and group-term life insurance			9	.
10	Total taxes after adjustments. Combine lines 6 through 9			10	16,329,556.72
11	Qualified small business payroll tax credit for increasing research activities. Attach Form 8974			11	.
12	Total taxes after adjustments and credits. Subtract line 11 from line 10			12	16,329,556.72
13	Total deposits for this quarter, including overpayment applied from a prior quarter and overpayments applied from Form 941-X, 941-X (PR), 944-X, or 944-X (SP) filed in the current quarter			13	16,329,556.72
14	Balance due. If line 12 is more than line 13, enter the difference and see instructions			14	.
15	Overpayment. If line 13 is more than line 12, enter the difference . Check one [ ] Apply to next return [ ] Send a refund.				

▶ You MUST complete both pages of Form 941 and SIGN it. Next ▶

ISA For Privacy Act and Paperwork Reduction Act Notice, see the back of the Payment Voucher. Form 941 (Rev 1-2020)

950217

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 2: Tell us about your deposit schedule and tax liability for this quarter.

If you are unsure about whether you are a monthly schedule depositor or a semiweekly schedule depositor, see section 11 of Pub. 15.

16 Check one: ☐ **Line 12 on this return is less than $2,500 or line 12 on the return for the prior quarter was less than $2,500, and you didn't incur a $100,000 next-day deposit obligation during the current quarter.** If line 12 for the prior quarter was less than $2,500 but line 12 on this return is $100,000 or more, you must provide a record of your federal tax liability. If you are a monthly schedule depositor, complete the deposit schedule below, if you are a semiweekly schedule depositor, attach Schedule B (Form 941). Go to Part 3

☐ **You were a monthly schedule depositor for the entire quarter.** Enter your tax liability for each month and total liability for the quarter, then go to Part 3

Tax liability:	**Month 1**	.
	Month 2	.
	Month 3	.
Total liability for quarter		.

Total must equal line 12.

☒ **You were a semiweekly schedule depositor for any part of this quarter.** Complete Schedule B (Form 941), Report of Tax Liability for Semiweekly Schedule Depositors, and attach it to Form 941

Part 3: Tell us about your business. If a question does NOT apply to your business, leave it blank.

17 **If your business has closed or you stopped paying wages** ☐ Check here, and

enter the final date you paid wages

18 **If you are a seasonal employer and you don't have to file a return for every quarter of the year** ☐ Check here

Part 4: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details

☐ Yes Designee's name and phone number

Select a 5-digit Personal Identification Number (PIN) to use when talking to the IRS

☐ No

Part 5: Sign here. You MUST complete both pages of Form 941 and SIGN it.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X **Sign your name here**

Print your name here: JENNIFER RAY JARVIS

Print your title here: PAYROLL TAX SUPERVISOR

Date: 04/10/2020

Best daytime phone: (614) 716-1565

Paid Preparer Use Only

Check if you are self-employed ☐

Preparer's name		PTIN	
Preparer's signature		Date	
Firm's name (or yours if self-employed)		EIN	
Address		Phone	
City	State	ZIP code	

960311

Schedule B (Form 941):

Report of Tax Liability for Semiweekly Schedule Depositors

(Rev January 2017) Department of the Treasury — Internal Revenue Service

OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Calendar year 2020 (Also check quarter)

Report for this Quarter... (Check one.)

- [X] 1: January, February, March
- [ ] 2: April, May, June
- [ ] 3: July, August, September
- [ ] 4: October, November, December

Use this schedule to show your TAX LIABILITY for the quarter; don't use it to show your deposits. When you file this form with Form 941 or Form 941-SS, don't change your tax liability by adjustments reported on any Forms 941-X or 944-X. You must fill out this form and attach it to Form 941 or Form 941-SS if you're a semiweekly schedule depositor or became one because your accumulated tax liability on any day was $100,000 or more. Write your daily tax liability on the numbered space that corresponds to the date wages were paid. See Section 11 in Pub. 15 for details.

Month 1

1	1,020.43	9	.	17	1,374,772.13	25	.
2	.	10	.	18	.	26	.
3	1,294,919.33	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	1,528,484.33
8	.	16	.	24	.		

Tax liability for Month 1: 4,199,196.22

Month 2

1	1,130.15	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	1,282,628.14
5	.	13	.	21	513,205.05	29	.
6	.	14	1,315,457.01	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 2: 3,112,420.35

Month 3

1	1,018.54	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	1,330,020.02
4	.	12	.	20	.	28	.
5	.	13	1,301,809.95	21	.	29	.
6	6,381,297.81	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	3,793.83	24	.		

Tax liability for Month 3: 9,017,940.15

Fill in your total liability for the quarter (Month 1 + Month 2 + Month 3) ▶
Total must equal line 12 on Form 941 or Form 941-SS.

Total liability for the quarter: 16,329,556.72

For Paperwork Reduction Act Notice, see separate instructions. IRS gov/form941 Schedule B (Form 941) (Rev 1-2017)

ISA

Form **941 for 2020: Employer's QUARTERLY Federal Tax Return** 950120
(Rev April 2020) Department of the Treasury — Internal Revenue Service OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name *(not your trade name)* SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name *(if any)*

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Report for this Quarter of 2020 (Check one.)

- ☐ 1: January February, March
- ☒ 2: April, May, June
- ☐ 3: July, August, September
- ☐ 4: October, November, December

Go to *www irs gov/Form941* for instructions and the latest information

Read the separate instructions before you complete Form 941 Type or print within the boxes

Part 1: Answer these questions for this quarter.

1	Number of employees who received wages, tips, or other compensation for the pay period including: *June 12* (Quarter 2), *Sept. 12* (Quarter 3), or *Dec. 12* (Quarter 4)	1	1,460
2	Wages, tips, and other compensation	2	29,768,154.61
3	Federal income tax withheld from wages, tips, and other compensation	3	3,778,427.53
4	If no wages, tips, and other compensation are subject to social security or Medicare tax	☐ Check and go to line 6.	

		Column 1		Column 2
5a	Taxable social security wages	31,216,975.09	× 0 124 =	3,870,904.91
5a	(i) Qualified sick leave wages	.	× 0 062 =	.
5a	(ii) Qualified family leave wages	.	× 0 062 =	.
5b	Taxable social security tips	.	× 0 124 =	.
5c	Taxable Medicare wages & tips	32,136,018.71	× 0 029 =	931,944.54
5d	Taxable wages & tips subject to Additional Medicare Tax withholding	501,058.35	× 0 009 =	4,509.53

5e	Total social security and Medicare taxes Add Column 2 from lines 5a, 5a(i), 5a(ii), 5b, 5c, and 5d	5e	4,807,358.98
5f	Section 3121(q) Notice and Demand—Tax due on unreported tips (see instructions)	5f	.
6	Total taxes before adjustments. Add lines 3, 5e, and 5f	6	8,585,786.51
7	Current quarter's adjustment for fractions of cents	7	.52
8	Current quarter's adjustment for sick pay	8	.
9	Current quarter's adjustments for tips and group-term life insurance	9	.
10	Total taxes after adjustments. Combine lines 6 through 9	10	8,585,787.03
11a	Qualified small business payroll tax credit for increasing research activities. Attach Form 8974	11a	.
11b	Nonrefundable portion of credit for qualified sick and family leave wages from Worksheet 1	11b	.
11c	Nonrefundable portion of employee retention credit from Worksheet 1	11c	.

▶ You MUST complete all three pages of Form 941 and SIGN it. Next ▶

950220

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 1: Answer these questions for this quarter. *(continued)*

11d	Total nonrefundable credits. Add lines 11a 11b, and 11c	11d	0.00
12	Total taxes after adjustments and nonrefundable credits. Subtract line 11d from line 10	12	8,585,787.03
13a	Total deposits for this quarter, including overpayment applied from a prior quarter and overpayments applied from Form 941-X, 941-X (PR), 944-X, or 944-X (SP) filed in the current quarter	13a	7,966,402.50
13b	Deferred amount of the employer share of social security tax	13b	619,384.53
13c	Refundable portion of credit for qualified sick and family leave wages from Worksheet 1	13c	.
13d	Refundable portion of employee retention credit from Worksheet 1	13d	.
13e	Total deposits, deferrals, and refundable credits. Add lines 13a, 13b, 13c and 13d	13e	8,585,787.03
13f	Total advances received from filing Form(s) 7200 for the quarter	13f	.
13g	Total deposits, deferrals, and refundable credits less advances. Subtract line 13f from line 13e	13g	8,585,787.03
14	Balance due. If line 12 is more than line 13g, enter the difference and see instructions	14	.

15 Overpayment. If line 13g is more than line 12 enter the difference . Check one ☐ Apply to next return ☐ Send a refund

Part 2: Tell us about your deposit schedule and tax liability for this quarter.

If you're unsure about whether you're a monthly schedule depositor or a semiweekly schedule depositor, see section 11 of Pub. 15.

16 Check one:

☐ **Line 12 on this return is less than $2,500 or line 12 on the return for the prior quarter was less than $2,500, and you didn't incur a $100,000 next-day deposit obligation during the current quarter.** If line 12 for the prior quarter was less than $2,500 but line 12 on this return is $100 000 or more, you must provide a record of your federal tax liability. If you're a monthly schedule depositor, complete the deposit schedule below, if you're a semiweekly schedule depositor, attach Schedule B (Form 941) Go to Part 3

☐ **You were a monthly schedule depositor for the entire quarter.** Enter your tax liability for each month and total liability for the quarter, then go to Part 3

Tax liability:	Month 1	.
	Month 2	.
	Month 3	.
Total liability for quarter		.

Total must equal line 12.

☒ **You were a semiweekly schedule depositor for any part of this quarter.** Complete Schedule B (Form 941). Report of Tax Liability for Semiweekly Schedule Depositors, and attach it to Form 941 Go to Part 3

▶ You MUST complete all three pages of Form 941 and SIGN it. Next ▶

950920

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 3: Tell us about your business. If a question does NOT apply to your business, leave it blank.

17 If your business has closed or you stopped paying wages . . ☐ Check here, and enter the final date you paid wages [ ], also attach a statement to your return See instructions

18 If you're a seasonal employer and you don't have to file a return for every quarter of the year ☐ Check here

19	Qualified health plan expenses allocable to qualified sick leave wages	19	.
20	Qualified health plan expenses allocable to qualified family leave wages	20	.
21	Qualified wages for the employee retention credit	21	.
22	Qualified health plan expenses allocable to wages reported on line 21 . .	22	.
23	Credit from Form 5884-C, line 11, for this quarter	23	.
24	Qualified wages paid March 13 through March 31, 2020, for the employee retention credit (use this line only for the second quarter filing of Form 941)	24	.
25	Qualified health plan expenses allocable to wages reported on line 24 (use this line only for the second quarter filing of Form 941) .	25	.

Part 4: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details

☐ Yes Designee's name and phone number [ ] [ ]

Select a 5-digit personal identification number (PIN) to use when talking to the IRS [ ]

☐ No

Part 5: Sign here. You MUST complete all three pages of Form 941 and SIGN it.

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X Sign your name here [signature]

Print your name here JENNIFER RAY JARVIS

Print your title here PAYROLL TAX SUPERVISOR

Date 07/10/2020

Best daytime phone (614) 716-1565

Paid Preparer Use Only

Check if you're self-employed ☐

Preparer's name		PTIN	
Preparer's signature		Date	
Firm's name (or yours if self-employed)		EIN	
Address		Phone	
City	State	ZIP code	

960311

Schedule B (Form 941):

Report of Tax Liability for Semiweekly Schedule Depositors

(Rev January 2017) Department of the Treasury — Internal Revenue Service

OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Calendar year 2020 (Also check quarter)

Report for this Quarter (Check one.)

- [ ] 1. January, February, March
- [x] 2: April, May, June
- [ ] 3 July, August September
- [ ] 4· October, November, December

Use this schedule to show your TAX LIABILITY for the quarter; don't use it to show your deposits. When you file this form with Form 941 or Form 941-SS, don't change your tax liability by adjustments reported on any Forms 941-X or 944-X. You must fill out this form and attach it to Form 941 or Form 941-SS if you're a semiweekly schedule depositor or became one because your accumulated tax liability on any day was $100,000 or more Write your daily tax liability on the numbered space that corresponds to the date wages were paid. See Section 11 in Pub. 15 for details

Month 1

1	1,018.58	9	.	17	816.75	25	.
2	.	10	1,300,649.47	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	1,549,266.39		

Tax liability for Month 1: 2,851,791.19

Month 2

1	1,018.56	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	70,978.38	13	.	21	.	29	.
6	.	14	.	22	1,375,313.97	30	.
7	.	15	.21	23	.	31	.
8	1,570,807.13	16	.	24	.		

Tax liability for Month 2: 3,018,118.25

Month 3

1	1,018.56	9	702.05	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	1,320,446.33	27	.
4	.	12	.	20	.	28	.
5	1,393,750.65	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 3: 2,715,917.59

Fill in your total liability for the quarter (Month 1 + Month 2 + Month 3) ▶
Total must equal line 12 on Form 941 or Form 941-SS.

Total liability for the quarter: 8,585,787.03

For Paperwork Reduction Act Notice, see separate instructions IRS gov/form941 Schedule B (Form 941) (Rev 1-2017)

ISA

Form **941 for 2020: Employer's QUARTERLY Federal Tax Return** 950120
(Rev. July 2020) Department of the Treasury – Internal Revenue Service OMB No. 1545-0029

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name (if any)

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Report for this Quarter of 2020 (Check one.)

- [ ] 1: January, February, March
- [ ] 2: April, May, June
- [X] 3: July, August, September
- [ ] 4: October, November, December

Go to www.irs.gov/Form941 for instructions and the latest information.

Read the separate instructions before you complete Form 941. Type or print within the boxes.

Part 1: Answer these questions for this quarter.

1	Number of employees who received wages, tips, or other compensation for the pay period including: *Sept. 12* (Quarter 3) or *Dec. 12* (Quarter 4)			1	1,460
2	Wages, tips, and other compensation			2	37,325,392.00
3	Federal income tax withheld from wages, tips, and other compensation			3	4,979,313.99
4	If no wages, tips, and other compensation are subject to social security or Medicare tax				[ ] Check and go to line 6.
		Column 1		Column 2	
5a	Taxable social security wages	38,191,791.63	× 0.124 =	4,735,782.16	
5a	(i) Qualified sick leave wages	.	× 0.062 =	.	
5a	(ii) Qualified family leave wages	.	× 0.062 =	.	
5b	Taxable social security tips	.	× 0.124 =	.	
5c	Taxable Medicare wages & tips	40,112,685.98	× 0.029 =	1,163,267.89	
5d	Taxable wages & tips subject to Additional Medicare Tax withholding	822,667.06	× 0.009 =	7,404.00	
5e	Total social security and Medicare taxes. Add Column 2 from lines 5a, 5a(i), 5a(ii), 5b, 5c, and 5d			5e	5,906,454.05
5f	Section 3121(q) Notice and Demand—Tax due on unreported tips (see instructions)			5f	.
6	Total taxes before adjustments. Add lines 3, 5e, and 5f			6	10,885,768.04
7	Current quarter's adjustment for fractions of cents			7	.
8	Current quarter's adjustment for sick pay			8	.
9	Current quarter's adjustments for tips and group-term life insurance			9	.
10	Total taxes after adjustments. Combine lines 6 through 9			10	10,885,768.04
11a	Qualified small business payroll tax credit for increasing research activities. Attach Form 8974			11a	.
11b	Nonrefundable portion of credit for qualified sick and family leave wages from Worksheet 1			11b	.
11c	Nonrefundable portion of employee retention credit from Worksheet 1			11c	.

▶ You MUST complete all three pages of Form 941 and SIGN it. Next ▶

For Privacy Act and Paperwork Reduction Act Notice, see the back of the Payment Voucher. Form **941** (Rev. 7-2020)
ISA

950220

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 1: Answer these questions for this quarter. *(continued)*

11d	**Total nonrefundable credits.** Add lines 11a, 11b, and 11c	11d	0.00
12	**Total taxes after adjustments and nonrefundable credits.** Subtract line 11d from line 10	12	10,885,768.04
13a	Total deposits for this quarter, including overpayment applied from a prior quarter and overpayments applied from Form 941-X, 941-X (PR), 944-X, or 944-X (SP) filed in the current quarter	13a	8,517,876.86
13b	Deferred amount of social security tax	13b	2,367,891.18
13c	Refundable portion of credit for qualified sick and family leave wages from Worksheet 1	13c	.
13d	Refundable portion of employee retention credit from Worksheet 1	13d	.
13e	**Total deposits, deferrals, and refundable credits.** Add lines 13a, 13b, 13c, and 13d	13e	10,885,768.04
13f	**Total advances received from filing Form(s) 7200 for the quarter**	13f	.
13g	**Total deposits, deferrals, and refundable credits less advances.** Subtract line 13f from line 13e	13g	10,885,768.04
14	**Balance due.** If line 12 is more than line 13g, enter the difference and see instructions	14	.

15 **Overpayment.** If line 13g is more than line 12, enter the difference . Check one: ☐ Apply to next return ☐ Send a refund.

Part 2: Tell us about your deposit schedule and tax liability for this quarter.

If you're unsure about whether you're a monthly schedule depositor or a semiweekly schedule depositor, see section 11 of Pub. 15.

16 Check one: ☐ **Line 12 on this return is less than $2,500 or line 12 on the return for the prior quarter was less than $2,500, and you didn't incur a $100,000 next-day deposit obligation during the current quarter.** If line 12 for the prior quarter was less than $2,500 but line 12 on this return is $100,000 or more, you must provide a record of your federal tax liability. If you're a monthly schedule depositor, complete the deposit schedule below; if you're a semiweekly schedule depositor, attach Schedule B (Form 941). Go to Part 3.

☐ **You were a monthly schedule depositor for the entire quarter.** Enter your tax liability for each month and total liability for the quarter, then go to Part 3.

Tax liability:	**Month 1**	.
	Month 2	.
	Month 3	.
Total liability for quarter		. **Total must equal line 12.**

☒ **You were a semiweekly schedule depositor for any part of this quarter.** Complete Schedule B (Form 941), Report of Tax Liability for Semiweekly Schedule Depositors, and attach it to Form 941. Go to Part 3.

▶ **You MUST complete all three pages of Form 941 and SIGN it.** **Next** ▶

952920

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 3: Tell us about your business. If a question does NOT apply to your business, leave it blank.

17 If your business has closed or you stopped paying wages . . . . . ☐ Check here, and enter the final date you paid wages ____; also attach a statement to your return See instructions.

18 If you're a seasonal employer and you don't have to file a return for every quarter of the year . . . ☐ Check here

19	Qualified health plan expenses allocable to qualified sick leave wages	19
20	Qualified health plan expenses allocable to qualified family leave wages	20
21	Qualified wages for the employee retention credit	21
22	Qualified health plan expenses allocable to wages reported on line 21	22
23	Credit from Form 5884-C, line 11, for this quarter	23
24	Deferred amount of the employee share of social security tax included on line 13b . .	24
25	Reserved for future use	25

Part 4: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details

☐ Yes. Designee's name and phone number

Select a 5-digit personal identification number (PIN) to use when talking to the IRS

☐ No.

Part 5: Sign here. You MUST complete all three pages of Form 941 and SIGN it.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

X **Sign your name here**

Print your name here: JENNIFER RAY JARVIS

Print your title here: TAX SUPERVISOR

Date: 10/10/2020

Best daytime phone: (614) 716-1565

Paid Preparer Use Only

Check if you're self-employed . ☐

Preparer's name		PTIN	
Preparer's signature		Date	
Firm's name (or yours if self-employed)		EIN	
Address		Phone	
City	State	ZIP code	

960311

Schedule B (Form 941):

Report of Tax Liability for Semiweekly Schedule Depositors

(Rev January 2017) Department of the Treasury — Internal Revenue Service

OMB No 1545-0029

Employer identification number (EIN) 72-0323455

Name *(not your trade name)* SOUTHWESTERN ELECTRIC POWER COMPANY

Calendar year 2020 (Also check quarter)

Report for this Quarter... (Check one.)

- [ ] 1: January, February, March
- [ ] 2: April, May, June
- [X] 3: July, August, September
- [ ] 4: October, November, December

Use this schedule to show your TAX LIABILITY for the quarter; don't use it to show your deposits. When you file this form with Form 941 or Form 941-SS, don't change your tax liability by adjustments reported on any Forms 941-X or 944-X. You must fill out this form and attach it to Form 941 or Form 941-SS if you're a semiweekly schedule depositor or became one because your accumulated tax liability on any day was $100,000 or more. Write your daily tax liability on the numbered space that corresponds to the date wages were paid. See Section 11 in Pub. 15 for details.

Month 1

1	.	9	.	17	1,377,099.73	25	.
2	.	10	.	18	.	26	.
3	1,322,009.54	11	.	19	.	27	.
4	.	12	.	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	1,397,234.54
8	.	16	.	24	.		

Tax liability for Month 1: 4,096,343.81

Month 2

1	1,035.74	9	.	17	.	25	.
2	.	10	.	18	.	26	.
3	.	11	.	19	.	27	.
4	.	12	.	20	.	28	1,493,027.37
5	35,898.12	13	.	21	.	29	.
6	.	14	1,642,589.48	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 2: 3,172,550.71

Month 3

1	1,035.80	9	.	17	.	25	1,636,533.38
2	.	10	.	18	.	26	.
3	.	11	1,969,088.52	19	.	27	.
4	.	12	10,215.82	20	.	28	.
5	.	13	.	21	.	29	.
6	.	14	.	22	.	30	.
7	.	15	.	23	.	31	.
8	.	16	.	24	.		

Tax liability for Month 3: 3,616,873.52

Fill in your total liability for the quarter (Month 1 + Month 2 + Month 3) ▶

Total must equal line 12 on Form 941 or Form 941-SS.

Total liability for the quarter: 10,885,768.04

For Paperwork Reduction Act Notice, see separate instructions. IRS gov/form941 **Schedule B (Form 941) (Rev 1-2017)**

ISA

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-5:

Please provide a copy of the Company's most recently filed FUTA tax Form 940.

Response No. Staff 5-5:

See Staff 5-5 Attachment 1 for the 2019 Form 940.

Prepared By: Randall W. Hamlett Title: Dir Regulatory Acctg Svcs

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

Form **940 for 2019: Employer's Annual Federal Unemployment (FUTA) Tax Return**
Department of the Treasury — Internal Revenue Service

850113

OMB No 1545-0028

Employer identification number (EIN) 72-0323455

Name (not your trade name) SOUTHWESTERN ELECTRIC POWER COMPANY

Trade name (if any)

Address P.O.BOX 16428 AEP TAX DEPARTMENT 15TH FLOOR - PAYROLL
Number Street Suite or room number

COLUMBUS OH 43216-6428
City State ZIP code

Foreign country name Foreign province/county Foreign postal code

Type of Return (Check all that apply.)

- [ ] a. Amended
- [ ] b. Successor employer
- [ ] c. No payments to employees in 2019
- [ ] d. Final: Business closed or stopped paying wages

Go to *www.irs.gov/Form940* for instructions and the latest information

Read the separate instructions before you complete this form Please type or print within the boxes

Part 1: Tell us about your return. If any line does NOT apply, leave it blank. See instructions before completing Part 1.

1a If you had to pay state unemployment tax in one state only, enter the state abbreviation . 1a

1b If you had to pay state unemployment tax in more than one state, you are a multi-state employer . . . 1b [X] Check here Complete Schedule A (Form 940)

2 If you paid wages in a state that is subject to CREDIT REDUCTION 2 [ ] Check here Complete Schedule A (Form 940)

Part 2: Determine your FUTA tax before adjustments. If any line does NOT apply, leave it blank.

3 Total payments to all employees . . 3 160,103,553.91

4 Payments exempt from FUTA tax 4 8,298,204.11

Check all that apply 4a [X] Fringe benefits 4c [ ] Retirement/Pension 4e [ ] Other
4b [X] Group-term life insurance 4d [X] Dependent care

5 Total of payments made to each employee in excess of $7,000 . . . 5 140,623,420.90

6 Subtotal (line 4 + line 5 = line 6) 6 148,921,625.01

7 Total taxable FUTA wages (line 3 – line 6 = line 7) See instructions 7 11,181,928.90

8 FUTA tax before adjustments (line 7 x 0.006 = line 8) . . . 8 67,091.57

Part 3: Determine your adjustments. If any line does NOT apply, leave it blank.

9 If ALL of the taxable FUTA wages you paid were excluded from state unemployment tax, multiply line 7 by 0.054 (line 7 × 0 054 = line 9) Go to line 12 . 9 .

10 If SOME of the taxable FUTA wages you paid were excluded from state unemployment tax, OR you paid ANY state unemployment tax late (after the due date for filing Form 940), complete the worksheet in the instructions Enter the amount from line 7 of the worksheet 10 .

11 If credit reduction applies, enter the total from Schedule A (Form 940) 11 .

Part 4: Determine your FUTA tax and balance due or overpayment. If any line does NOT apply, leave it blank.

12 Total FUTA tax after adjustments (lines 8 + 9 + 10 + 11 = line 12) 12 67,091.57

13 FUTA tax deposited for the year, including any overpayment applied from a prior year 13 67,091.57

14 Balance due. If line 12 is more than line 13, enter the excess on line 14
- If line 14 is more than $500, you must deposit your tax
- If line 14 is $500 or less, you may pay with this return See instructions . 14 0.00

15 Overpayment. If line 13 is more than line 12, enter the excess on line 15 and check a box below 15 .

▶ You MUST complete both pages of this form and SIGN it Check one: [ ] Apply to next return [ ] Send a refund

Next ▶

SA For Privacy Act and Paperwork Reduction Act Notice, see the back of the Payment Voucher. Form 940 (2019)

850212

Name (not your trade name)	Employer identification number (EIN)
SOUTHWESTERN ELECTRIC POWER COMPANY	72-0323455

Part 5: Report your FUTA tax liability by quarter only if line 12 is more than $500. If not, go to Part 6.

16 Report the amount of your FUTA tax liability for each quarter; do NOT enter the amount you deposited. If you had no liability for a quarter, leave the line blank.

16a	**1st quarter** (January 1 – March 31)	16a	63,801.81
16b	**2nd quarter** (April 1 – June 30)	16b	1,062.65
16c	**3rd quarter** (July 1 – September 30)	16c	1,047.22
16d	**4th quarter** (October 1 – December 31)	16d	1,179.89
17	**Total tax liability for the year** (lines 16a + 16b + 16c + 16d = line 17)	17	67,091.57

Total must equal line 12.

Part 6: May we speak with your third-party designee?

Do you want to allow an employee, a paid tax preparer, or another person to discuss this return with the IRS? See the instructions for details.

☐ **Yes.** Designee's name and phone number

Select a 5-digit Personal Identification Number (PIN) to use when talking to IRS

☐ **No.**

Part 7: Sign here. You MUST complete both pages of this form and SIGN it.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that no part of any payment made to a state unemployment fund claimed as a credit was, or is to be, deducted from the payments made to employees Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X Sign your name here

Date 01/15/2020

Print your name here JENNIFER RAY JARVIS

Print your title here PAYROLL TAX SUPERVISOR

Best daytime phone (614) 716-1565

Paid Preparer Use Only

Check if you are self-employed ☐

Preparer's name

PTIN

Preparer's signature

Date

Firm's name (or yours if self-employed)

EIN

Address

Phone

City

State

ZIP code

860312

Schedule A (Form 940) for 2019:

Multi-State Employer and Credit Reduction Information

Department of the Treasury — Internal Revenue Service

OMB No 1545-0028

Employer identification number (EIN) 72-0323455

Name *(not your trade name)* SOUTHWESTERN ELECTRIC POWER COMPANY

See the instructions on page 2 File this schedule with Form 940.

Place an "X" in the box of EVERY state in which you had to pay state unemployment tax this year. For the U.S. Virgin Islands, enter the FUTA taxable wages and the reduction rate (see page 2). Multiply the FUTA taxable wages by the reduction rate and enter the credit reduction amount. Don't include in the *FUTA Taxable Wages* box wages that were excluded from state unemployment tax (see the instructions for Step 2). If any states don't apply to you, leave them blank.

Postal Abbreviation	FUTA Taxable Wages	Reduction Rate	Credit Reduction	Postal Abbreviation	FUTA Taxable Wages	Reduction Rate	Credit Reduction
☐ AK	.		.	☐ NC	.		.
☐ AL	.		.	☐ ND	.		.
☒ AR	.		.	☒ NE	.		.
☐ AZ	.		.	☐ NH	.		.
☐ CA	.		.	☐ NJ	.		.
☐ CO	.		.	☐ NM	.		.
☐ CT	.		.	☐ NV	.		.
☐ DC	.		.	☐ NY	.		.
☐ DE	.		.	☐ OH	.		.
☐ FL	.		.	☐ OK	.		.
☐ GA	.		.	☐ OR	.		.
☐ HI	.		.	☐ PA	.		.
☐ IA	.		.	☐ RI	.		.
☐ ID	.		.	☐ SC	.		.
☐ IL	.		.	☐ SD	.		.
☐ IN	.		.	☐ TN	.		.
☐ KS	.		.	☒ TX	.		.
☐ KY	.		.	☐ UT	.		.
☒ LA	.		.	☐ VA	.		.
☐ MA	.		.	☐ VT	.		.
☐ MD	.		.	☐ WA	.		.
☐ ME	.		.	☐ WI	.		.
☐ MI	.		.	☐ WV	.		.
☐ MN	.		.	☐ WY	.		.
☐ MO	.		.	☐ PR	.		.
☐ MS	.		.	☐ VI	.		.
☐ MT	.		.				

Total Credit Reduction. Add all amounts shown in the *Credit Reduction* boxes Enter the total here and on Form 940, line 11 . . . . . [.]

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 940.

Schedule A (Form 940) 2019

ISA

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-6:

For the tax form provided in Staff 1-5 above, provide the number of employees for which the FUTA tax was paid.

Response No. Staff 5-6:

The 2019 FUTA tax Form 940 provided in the Company's response to Staff's 5th, Question 5 was related to taxes for 1,636 employees.

Prepared By: Randall W. Hamlett Title: Dir Regulatory Acctg Svcs

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-7:

Has the Company, its affiliates, or other entities with which it jointly owns property protested property tax valuations in Texas, Arkansas, or Louisiana in the past five years? If yes, provide the results of such protests and any documents related to the result.

Response No. Staff 5-7:

Very few protests are ever filed by the Company and if filed, they involved either incorrect personal property valuations or increased real property assessments. All were either withdrawn or settled with the local authorities prior to billing; none went to formal hearing. This only occurred in Texas.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-8:

For any current or test year property tax valuation protest(s), provide documents related to such protest(s) including all documents and arguments provided with respect to the valuation of the Knox Lee, Lone Star, Lieberman, or Dolet Hills plants for property tax purposes.

Response No. Staff 5-8:

Not applicable - see Staff 5-7

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-9:

Provide the test year amount of property taxes incurred or paid by SWEPCO on the Knox Lee, Lone Star, Lieberman, and Dolet Hills plants.

Response No. Staff 5-9:

Property taxes on the listed plants are not directly assigned to them, but can be allocated to them. For the test year, property taxes incurred or paid by SWEPCO on the plants requested are as follows:

- Knox Lee: $443,600
- Lone Star: $54,000
- Lieberman: $505,300
- Dolet Hills: $2,835,700

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-10:

Provide the amount of property taxes included in the Company's requested revenue requirement related to the Knox Lee, Lone Star, Lieberman, and Dolet Hills plants.

Response No. Staff 5-10:

The amounts listed in Staff 5-9 are part of the overall revenue requirement requested by the Company for each respective plant.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-11:

With regards to the calculation of property tax expense, does the Company apply a CWIP and/or obsolescence factor to its gross plant in service balance? If yes, provide those factors for the test year and previous four calendar years.

Response No. Staff 5-11:

Tax expense is calculated based on a settled assessed value, which in turn is based on book value, including CWIP, and income projections. In Texas, CWIP is rendered using a 50% factor compared to in-service utility plant. This has been consistently applied over the previous four years. Though CWIP is rendered at 50%, this reduces the resultant tax on the CWIP assets, not the overall tax assessed and paid by the Company. There is no obsolescence factor applied by the Company.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-12:

Is the Company's CWIP subject to any ad valorem taxes? If so, state whether the taxes are expensed or capitalized. Provide the amount of requested ad valorem tax associated with any CWIP balances. If the Company has included the associated expense in its requested revenue requirement, please identify where.

Response No. Staff 5-12:

As stated in Staff 5-11, the Company's CWIP is subject to ad valorem taxes. These taxes are capitalized into the CWIP balances. CWIP is not included in rate base, thus these capitalized taxes are not part of the requested revenue requirement.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-13:

Does the Company pay taxes on plant leased to others? If so, is the Company reimbursed for these taxes?

Response No. Staff 5-13:

The Company does not have utility plant assets recorded in FERC Account 104 – Electric plant leased to others. Any leased utility plant assets would be immaterial and the lease revenue generated would be used to reduce the revenue requirement in rate proceedings.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-14:

Does the Company's request for ad valorem taxes include taxes on property values deemed imprudent by the Commission? If so, please identify the amount of taxes and the associated plant balance.

Response No. Staff 5-14:

No.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-15:

Does the Company's request for ad valorem taxes include taxes on property (for instance the retired Welsh Unit 2) which is not used and useful in providing utility service? If so, please identify the amount of taxes included and the associated plant balance.

Response No. Staff 5-15:

The Company's request for ad valorem taxes does not include taxes on property which is not used and useful in providing utility services. Welsh Unit 2 was retired from the books and recorded as a regulatory asset in compliance with the Commission's order in Docket No. 46449 and is not included in SWEPCO's ad valorem assessments.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-16:

Provide the following regarding the Company's ad valorem taxes:
a) Total taxes paid for the prior three calendar years in Texas and all other jurisdictions.
b) Accounting distribution of taxes paid in each state for the prior three calendar years (expense, capital, and other by FERC account).
c) Total gross and net book values upon which such taxes were assessed and paid in each jurisdiction for the prior three calendar years. Distinguish by component as applicable (plant in service, materials and supplies, CWIP, etc.)
d) Property taxes charged to electric expenses for each month of the test year by FERC account.

Response No. Staff 5-16:

See attached Staff 5-16 Attachment 1.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

Staff's 5th Assignments
SOUTHWESTERN ELECTRIC POWER COMPANY
Ad Valorem Taxes
For the Test Year Ended March 31, 2020

Staff 5-16, Item a

State	Tax Year Liability Account	2017 Taxes Paid	2018 Taxes Paid	2019 Taxes Paid	Total Paid in Tax Year
Arkansas[1]	236000816	14,205,386			14,205,386
	236000817		15,295,608		15,295,608
	236000818			15,101,580	15,101,580
Louisiana[2]	236000816	8,682			8,682
	236000817	23,349,248	289,620		23,638,868
	236000818		25,641,944		25,641,944
	236000819			26,460,026	26,460,026
Oklahoma[3]	236000816	119,139			119,139
	236000817	150,832	265,659		416,490
	236000818		165,484	285,783	451,266
	236000819			173,672	173,672
	236003317	183			183
	236003318		198		198
	236003319			202	202
Texas[4]	236000816	15,662,690	-		15,662,690
	236000817	7,805,777	14,427,337		22,233,114
	236000818		4,354,765	17,014,006	21,368,771
	236000819			6,771,620	6,771,620
	236003316	98,899			98,899
	236003317	47,163	146,055	(41,452)	151,766
	236003318		42,966	104,556	147,522
	236003319			36,587	36,587
Colorado	2360008xx	2,593	-	3,221	5,814
Indiana	2360008xx	-	-	3	3
Montana	2360008xx	29,703	39,886	37,061	106,650
West Virginia	2360008xx	5,429	1,561	4,904	11,894
Wyoming	2360008xx	8,723	9,473	9,109	27,304
Totals		61,494,445	60,680,555	65,960,877	188,135,877

[1] *Arkansas taxes paid in year following the Tax Year (year liability incurred)*

[2] *Louisiana taxes paid primarily paid by 12/31 of the Tax Year (year liability incurrred)*

[3] *Oklahoma taxes paid 1/2 in Tax Year (year liability incurrred) and 1/2 in following year*

[4] *Texas taxes paid partially in October of the Tax Year (year liability incurrred) and balance due 1/31 of the following year*

Staff's 5th Assignments
SOUTHWESTERN ELECTRIC POWER COMPANY
Ad Valorem Taxes
For the Test Year Ended March 31, 2020

Staff 5-16, Item b

State	Tax Year Expense Account	2017 Expensed to 408.1	2017 Capitalized to GL 1070001	2017 Railcar or Non-Utility 408.2 Expense	2018 Expensed to 408.1	2018 Capitalized to GL 1070001	2018 Railcar or Non-Utility 408.2 Expense	2019 Expensed to 408.1	2019 Capitalized to GL 1070001	2019 Railcar or Non-Utility 408.2 Expense	Amount Recorded Outside of 2016-2019 Calendar Period	Total Check to Paid	Comment
Arkansas[1]	408100516	199,572		5,814							14,000,000	14,205,386	Expensed or capitalized in 2016
	408100517	14,951,750	159,250		180,378		4,230					15,295,608	
	408100518				14,741,710	458,290		-102,520		4,100		15,101,580	
Louisiana[2]	408100516	(34)									8,716	8,682	Expensed in 2016
	408100517	23,253,433	371,800	13,630	5							23,638,868	
	408100518				25,299,390	329,320	13,234					25,641,944	
	408100519							26,009,149	438,010	12,868		26,460,026	
Oklahoma[3]	408100516										119,139	119,139	Expensed in 2016
	408100517	416,673			-183							416,490	
	408100518				446,464		5,000	-198				451,266	
	408100519							465,914	1,000		-293,243	173,672	2019 taxes paid in 2020
	408102917	183										183	
	408102918							198				198	
	408102919							202				202	
Texas[4]	408100516	22,539									15,640,151	15,662,690	Expensed or capitalized in 2016
	408100517	22,679,530	149,200		-595,616							22,233,114	
	408100518				20,927,588	416,200		24,983				21,368,771	
	408100519							20,204,940	375,060		-13,808,380	6,771,620	2019 tax paid/True-up of expense in 2020
	408102916	(8,547)									107,446	98,899	Expensed in 2016
	408102917	145,000			50,474			-43,708				151,766	
	408102918				173,000			-25,478				147,522	
	408102919							165,000			-128,413	36,587	2019 tax paid/True-up of expense in 2020
Colorado				2,593			0			3,221		5,814	
Indiana				0			0			3		3	
Montana				29,703			39,886			37,061		106,650	
West Virginia				5,429			1,561			4,904		11,894	
Wyoming				8,723			9,473			9,109		27,304	
Totals		61,660,099	680,250	65,891	61,223,210	1,203,810	73,384	46,698,482	814,070	71,265	15,645,416	188,135,877	

[1] *Arkansas taxes paid in year following the Tax Year (year liability incurred)*
[2] *Louisiana taxes paid primarily paid by 12/31 of the Tax Year (year liability incurrred)*
[3] *Oklahoma taxes paid 1/2 in Tax Year (year liability incurrred) and 1/2 in following year*
[4] *Texas taxes paid partially in October of the Tax Year (year liability incurrred) and balance due 1/31 of the following year*

Staff's 5th Assignments
SOUTHWESTERN ELECTRIC POWER COMPANY
Ad Valorem Taxes
For the Test Year Ended March 31, 2020

Staff 5-16, Item c

Calendar Year 2017, Balances as of 1/1/2017

	Total	AR	LA	NE	OK	TX
Utility Plant	8,654,541,288	3,076,076,220	2,294,571,594	9,992,283	45,631,251	3,228,269,940
CWIP	113,703,337	17,400,536	51,999,611	6,530	45,010	44,251,650
Less: Depreciation	(2,731,204,485)	(511,344,803)	(836,606,154)	(8,121,669)	(17,345,051)	(1,357,786,809)
NBV	6,037,040,139	2,582,131,953	1,509,965,051	1,877,144	28,331,210	1,914,734,781
M&S	68,402,199	18,955,816	15,717,845	1,366,808	-	32,361,731
Fuel	70,585,486	23,002,291	10,636,063	-	-	36,947,132

Calendar Year 2018, Balances as of 1/1/2018

	Total	AR	LA	NE	OK	TX
Utility Plant	8,887,519,332	3,104,405,043	2,417,140,404	9,979,818	45,656,791	3,310,337,276
CWIP	220,763,745	49,938,311	46,059,158	11,717	284,101	124,470,458
Less: Depreciation	(2,882,207,801)	(568,994,030)	(864,298,724)	(8,230,129)	(18,479,545)	(1,422,205,373)
NBV	6,226,075,276	2,585,349,324	1,598,900,838	1,761,406	27,461,347	2,012,602,361
M&S	67,822,933	18,292,337	16,009,478	1,645,226	-	31,875,893
Fuel	80,574,650	18,704,252	29,930,220	-	-	31,940,178

Calendar Year 2019, Balances as of 1/1/2019

	Total	AR	LA	NE	OK	TX
Utility Plant	9,273,629,188	3,220,092,376	2,517,684,451	9,985,058	46,164,781	3,479,702,522
CWIP	194,666,171	15,756,194	60,988,847	17,938	36,799	117,866,393
Less: Depreciation	(3,009,162,259)	(620,957,219)	(885,025,507)	(8,328,504)	(19,627,508)	(1,475,223,521)
NBV	6,459,133,100	2,614,891,351	1,693,647,792	1,674,492	26,574,072	2,122,345,394
M&S	67,519,057	18,368,135	16,258,179	1,654,851	-	31,237,891
Fuel	83,159,278	21,741,461	31,063,948	-	-	30,353,870

Staff's 5th Assignments
SOUTHWESTERN ELECTRIC POWER COMPANY
Ad Valorem Taxes
For the Test Year Ended March 31, 2020

Staff 5-16, Item d
Property taxes charged to electric expense for test year

Month-Year	408100517	408100518	408100519	408100520	408102917	408102919	408102920	Grand Total
Apr-19	10,459.70		5,413,399.00		-11,473.67	13,750.00		**5,426,135.03**
May-19	10,459.70	-102,519.93	5,413,399.00			13,750.00		**5,335,088.77**
Jun-19	10,459.70		5,013,397.00			13,750.00		**5,037,606.70**
Jul-19	10,459.70		5,346,730.00			13,750.00		**5,370,939.70**
Aug-19	10,459.70	15.74	5,346,730.00			13,750.00		**5,370,955.44**
Sep-19	10,459.70		5,346,730.00			13,750.00		**5,370,939.70**
Oct-19	10,459.70	0.01	5,346,730.00			13,750.00		**5,370,939.71**
Nov-19	10,459.70		5,346,730.00			13,750.00		**5,370,939.70**
Dec-19	10,459.70		3,614,810.76			13,952.00		**3,639,222.46**
Jan-20	10,459.70			5,429,460.00			13,750.00	**5,453,669.70**
Feb-20	10,459.70		-211,486.02	5,429,460.00		-22,784.43	13,750.00	**5,219,399.25**
Mar-20	10,459.70		0.00	5,429,460.00			9,750.00	**5,449,669.70**
Total	**125,516.40**	**-102,504.18**	**45,977,169.74**	**16,288,380.00**	**-11,473.67**	**101,167.57**	**37,250.00**	**62,415,505.86**

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-17:

Describe in detail by Texas and other states the assessment of property taxes on fuel as well as materials and supplies.

Response No. Staff 5-17:

Fuel and materials and supplies are included in the cost method used by all of SWEPCO's territory states. However, Louisiana exempts the Louisiana-based fuel balance from the final assessed value. Therefore, all states assess and tax materials and supplies and all states except Louisiana assess and tax fuel.

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-18:

Refer to electronic workbook WP A-3.13.1 (ad valorem). Provide a detailed explanation of what the amount in cell E62 labeled DH GAAP Depreciation is and provide a detailed justification for why it is included in the plant balance subject to ad valorem taxes.

Response No. Staff 5-18:

Please see the response to Staff 5-59.

Prepared By: James D. Spring Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-19:

What amount for the Dolet Hills plant is included in the plant in service balance for purposes of calculating requested ad valorem taxes? Has SWEPCO actually been assessed ad valorem taxes on the Dolet Hills generating plant by the taxing authority or are they assessed to another party? If assessed to another party, identify the party. If assessed to SWEPCO, provide the amount assessed for the last three years.

Response No. Staff 5-19:

Dolet Hills portion of Plant in Service for the Test Year is $343,577,300. SWEPCO has actually been assessed ad valorem taxes on the value Dolet Hills generating plant contributes to the overall Company value - it is not assessed individually as a separate asset. SWEPCO's portion of Dolet Hills generating plant's ownership is not assessed to another party. Each co-owner is assessed and pays on their portion of their ownership.

Test Year	$2,835,700
TY2019	$2,806,058
TY2018	$2,884,903
TY2017	$2,741,960

Prepared By: Thomas F. Johnson Title: Property Taxes Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-20:

Refer to electronic workbook WP A-3.13.1 (ad valorem) and confirm that the amounts in cells E42 through E54 represent amounts that were disallowed in Docket No. 46449. If not, explain what these items are.

Response No. Staff 5-20:

Yes, the amounts in cells E42 through E54 of WP A-3.13.1 represent amounts that were disallowed in Docket No. 46449.

Prepared By: James D. Spring Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-21:

Refer to the electronic workbook for WP A-3.13.1 (ad valorem) and provide a description of each leased property included in the amounts in cells E30 through E35. Please also: a) Provide the amounts for each of these items on SWEPCO's books at the end of the test year in Docket No. 46449 and the amount included in ad valorem taxes in that case related to each. b) If the treatment of these items by the taxing jurisdictions have changed since Docket No. 46449, provide a detailed explanation of the different treatment. c) Confirm that these amounts were removed from rate base on Schedule B-1.5.1 because "these lease payments are included in cost of service, as discussed in the testimony of Mr. Michael Baird." d) Provide evidence that such leased properties are considered tangible property subject to ad valorem taxes in the applicable taxing jurisdiction and that SWEPCO is the party assessed the tax separately from the lease payments included in operating expense as discussed in the testimony of Mr. Baird at page 43.

Response No. Staff 5-21:

See attached Staff 5-21 Attachment 1.

Prepared By: James D. Spring Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

Staff's 5th Assignments
SOUTHWESTERN ELECTRIC POWER COMPANY
Ad Valorem Taxes
For the Test Year Ended March 31, 2020

Staff 5-21

Q. Provide a description of each leased property included in the amounts cells E30 through E35

A. There are thousands of individual leased assets on SWEPCo's books included in the accounts below. Generally they consist of tangible personal property or improvements that can be categorized as follows:

Leased Batteries and Power Systems
Leased Communication Equipment
Leased Computers and Computing Equipment, including Servers
Leased LAN/WAN Networks
Leased Miscellaneous Equipment
Leased Miscellaneous Power Plant Equipment
Leased Office Furniture and Equipment
Leased Radio Systems
Leased Railroad Cars
Leased SCADA
Leased Structure and Improvements
Leased Telephone Systems
Leased Transport Networks
Leased Transportation Equipment

Staff 5-21, Item a

		03-31-2020 Plant Balance Subject To Ad valorem Tax	06-30-2016 Plant Balance Subject To Ad valorem Tax
1011001	Capital Leases	53,016,644	48,393,074
1011006	Prov-Leased Assets	-23,188,269	-18,190,122
1011012	Accrued Capital Leases	229,699	116,381
1011031	Operating Lease*	52,090,397	0
1011032	Accrued Operating Leases*	506,079	0
1011036	Prov - Operating Lease Assets*	-7,877,254	0

*In 2016, FERC did not require the Company to record operating leases on the books. The Company had taxable assets on operating leases in 2016, but they were not required to be filed on the FERC Form 1, but they were filed on ad valorem tax renditions

Staff 5-21, Item b

The tax treatment of these items by taxing jurisdiction has not changed since Docket No. 46449, they were taxable then and they continue to be taxable, only the FERC Form 1 reporting of these assets has changed.

Staff 5-21, Item c

These amounts were removed from rate base on Schedule B-1.5.1. However WP A-3.13.1 concerns ad valorem taxes assessed on these assets which are not included in the lease payments.

Staff 5-21, Item d

All of the Company's territory states tax real and tangible personal property. The equipment recorded in these accounts is primarily tangible personal property. As for proof of taxability, the Company offers the following from Texas Tax Code:

Texas Tax Code, *Title 1. Property Tax Code, Subtitle A. General Provisions, Chapter 1. General Provisions, Section 1.04. Definitions*

(1) "Property" means any matter or thing capable of private ownership.
(2) "Real property" means:
  (A) land;
  (B) an improvement;
  (C) a mine or quarry;
  (D) a mineral in place;
  (E) standing timber;
  (F) an estate or interest, other than a mortgage or deed of trust creating a lien...
(3) "Improvement" means:
  (A) a building, structure, fixture, or fence erected on or affixed to land;
  (B) a transportable structure...
(4) "Personal property" means property that is not real property.
(5) "Tangible personal property" means personal property that can be seen, weighed, measured, felt, or otherwise perceived by the senses, but does not include a document or other perceptible object that constitutes evidence of a valuable interest, claim, or right and has negligible or no intrinsic value.

Texas Tax Code, *Title 1. Property Tax Code, Subtitle C. Taxable Property and Exemptions, Chapter 11. Taxable Property and Exemptions, Section 11.01. Real and Tangible Personal Property*

(a) All real and tangible personal property that this state has jurisdiction to tax is taxable unless exempt by law.
(b) This state has jurisdiction to tax real property if located in this state.
(c) This state has jurisdiction to tax tangible personal property if the property is:
  (1) located in this state for longer than a temporary period;...

This equipment is leased using financial lease agreements with various lessors. The Company is always contractually responsible for any ad valorem taxes on the equipment regardless of whether it is filed on the Company's tax renditions or filed by the lessors.

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-22:

Refer to the electronic workbook for WP A-3.13.1 (ad valorem) at cell E10. Please provide a detailed explanation and reference to other rate filing package schedules for each value included in the formula in this cell. Please also reconcile the value in this cell to Schedule G-9 as referenced.

Response No. Staff 5-22:

Please see Staff 5-22 Attachment 1 Ad Valorem.

Prepared By: Randall W. Hamlett Title: Dir Regulatory Acctg Svcs

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

WP A-3.13.1 Ad Valorem		January 1, 2019 Net Electric Plant Subject to Ad Valorem Tax Cell E10	NEPIS Schedule G-9	
1010001	Plant in Service	8,822,227,314 60	8,822,227,314.60	Cell G13
1060001	Const Not Classifd	364,639,271 70	364,639,271.70	Cell G13
1070001	CWIP - Project	194,666,171 15	194,666,171 15	Cell H13
1080001	A/P for Deprec of Plt	(2,557,733,343.18)	(2,557,733,343 18)	Cell I 13
1080005	RWIP - Project Detail	12,724,513 56	12,724,513 56	Cell I 13
1080011	Cost of Removal Reserve	(444,907,524.86)	(444,907,524.86)	Cell I 13
1080013	ARO Removal Deprec - Accretion	7,075,414.73	7,075,414 73	Cell I 13
1080155	Unrecovered Plant	50,276,783.68	50,276,783.68	Cell I 13
1110001	A/P for Amort of Plt	(50,977,524.16)	(50,977,524.16)	Cell I 13
1160007	OthElecPltAdjTurkImprmnt-EPIS	(58,411,747 11)		
1160008	TurkAFUDCReverseTXCap-EPIS	(1,313,076 50)		
1160009	AmortTurkImprmnt&AFUDCReversal	6,546,227 84		
1160012	Turk Imprmnt-AuxBoiler	(18,500,000 00)		
1160013	Turk Imprmnt-AuxBoiler Amort	2,138,096 00		
1160016	TX Trans Veg Mgmt Cost Wrteoff	(1,543,538 61)		
1160017	TX Distr Veg Mgmt Cost Wrteoff	(4,103,577 10)		
1160018	TX Dist Veg Mgt WriteOff Amort	356,359 27		
1160019	TX Tran Veg Mgt WriteOff Amort	71,835 85		
1160020	TX Trans Costs - SERP	(159,865.70)		
1160021	TX Distr Costs - SERP	(48,245 39)		
1160022	TX Gen Costs - SERP	(299,406 09)		
1160023	TX CWIP FinBased Incen - Trans	(1,892,898 49)		
1160024	TX CWIP FinBased Incen - Distr	(2,264,368 51)		
1160025	TX CWIP FinBased Incen - Gen	(2,574,754 32)		
1160026	TX RWIP FinBased Incen - Trans	(65,227.75)		
1160027	TX RWIP FinBased Incen - Distr	(96,563 07)		
1160028	TX RWIP FinBased Incen - Gen	(96,013 34)		
CWIP not Included			(194,666,171.15)	
	Cell E10	6,315,734,314.19	6,203,324,906.07	Cell J13

These account balances are as of 01/01/2019 (the assesment date for the 2019 Ad Valrem tax assessment) and as such are not referenced in the filing as of that date.

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-23:

Refer to the electronic workbook for WP A-3.13.1 (ad valorem). For each amount included in cells E29 through E65, provide the January 1, 2019 balance of each.

Response No. Staff 5-23:

Please see Staff 5-23 Attachment 1 Book Balances.

Prepared By: James D. Spring Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOUTHWESTERN ELECTRIC POWER COMPANY

Line #	Acount / Description		01/01/2019 Book Balance
1	1010001	Plant In Service	8,822,227,315
2	1011001	Capital Leases	46,439,145
3	1011006	Prov-Leased Assets	(20,762,078)
4	1011012	Accrued Capital Leases	164,893
5	1011031	Operating Lease	-
6	1011032	Accrued Operating Leases	-
7	1011036	Prov - Operating Lease Assets	-
8	1060001	Const Not Classified	364,639,272
9	1160007	Other Elec Plt Adj- Turk Impairment	(58,411,747)
10	1160008	Turk AFUDC Reverse TC Cap - EPIStOther Elec Plt Adj- Turk Impairment	(1,313,077)
11	1160009	AmortTurkImprmnt&AFUDCReversal	6,546,228
12	1160012	Turk Imprmnt-AuxBoiler	(18,500,000)
13	1160013	Turk Imprmnt-AuxBoiler Amort	2,138,096
14	1160016	TX Trans Veg Mgmt Cost Wrteoff	(1,543,539)
15	1160017	TX Distr Veg Mgmt Cost Wrteoff	(4,103,577)
16	1160018	TX Dist Veg Mgt WriteOff Amort	356,359
17	1160019	TX Tran Veg Mgt WriteOff Amort	71,836
18	1160020	Trans Costs - SERP	(159,866)
19	1160021	Distr Costs - SERP	(48,245)
20	1160022	Gen Costs - SERP	(299,406)
21	1160023	CWIP FinBased Incen - Trans	(1,892,898)
22	1160024	CWIP FinBased Incen - Distr	(2,264,369)
23	1160025	CWIP FinBased Incen - Gen	(2,574,754)
24	1160026	RWIP FinBased Incen - Trans	(65,228)
25	1160027	RWIP FinBased Incen - Distr	(96,563)
26	1160028	RWIP FinBased Incen - Gen	(96,013)
27	1070001	CWIP - Project	194,666,171
28	1080001	Accum Prov For Depr of Plt	(2,557,733,343)
29	1080005	RWIP Project Detail	12,724,514
30	1080011	Cost of Removal Reserve	(444,907,525)
31	1080013	ARO Removal Depreciation - Accretion	7,075,415
32	1080155	Unrecovered Plant	50,276,784
33	1080160	AR Plt Retire-Unrecover Plant	-
34	1080161	DH GAAP Depreciation	-
35	1110001	Accum Prov for Amortization of Plant - Intangible	(50,977,524)
36	1110007	Cloud Implement - A/P Amrt Plt	-
37	Schd B-1 Pro Forma Adjustments to Net Electric Plant in Service		N/A
38			6,341,576,275

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-24:

Has the Company experienced any reductions in force since the end of the test year? Does the Company anticipate any reductions in force between now and the end of the rate year? If the answer to either question is yes, please describe and quantify.

Response No. Staff 5-24:

Beginning June 8, 2020 through July 6, 2020, the company did offer a retirement incentive package to certain employees across the service company and SWEPCO. Only one SWEPCO employee accepted the retirement incentive package and a total of 189 employees reporting to AEPSC accepted the package.

Prepared By: Christopher N. Martel Title: Regulatory Consultant Sr

Sponsored By: Lynn M. Ferry-Nelson Title: Dir Regulatory Svcs

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-25:

Reference Schedules G-1.1 through G-1.6. Provide the annual total amount for each category of requested information by schedule for calendar year 2019.

Response No. Staff 5-25:

See Staff 5-25 Attachment 1 for the requested calendar year 2019 information.

Prepared By: Frances K. Bourland Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOUTHWESTERN ELECTRIC POWER COMPANY
Payroll Information Reported on Schedules G-1 1 through G-1 6
For the year 2019

Schedule G-1 1 Regular and Overtime Payroll

(1) Month	(2) Regular Payroll	(3) Overtime Payroll	(4) Other	(5) Total Payroll
Prior Year 2019	$ 120,999,775	$ 19,758,696	$ 19,636,676	$ 160,395,146

Schedule G-1 2 Regular Payroll by Category

(1) Month	(2) Union Payroll	(3) Non-union Payroll	(4) Total Regular Payroll
Prior Year 2019	63,152,758	57,847,017	120,999,775

Schedule G-1.3 Payroll Capitalized vs Expensed

(1) Month	(2) Payroll Expensed	(3) Payroll Capitalized	(4) Other Payroll	(5) Total Payroll
Prior Year 2019	107,692,516	44,743,248	7,959,382	160,395,146

Schedule G-1 4 Payroll by Company

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
	Company's Share of Payroll			Other Participant's Share of Payroll			Total Payroll		
Month	Union	Non-Union	Total	Union	Non-Union	Total	Union	Non-Union	Total
Prior Year 2019	80,473,956	72,197,802	152,671,758	3,240,215	4,483,173	7,723,388	83,714,171	76,680,975	160,395,146

Schedule G-1 5 Number of Employees

(1) Month	(2) Full-time Employees	(3) Part-time Employees	(4) Total Employees	(5) % Change
Prior Year 2019	1,463	-	1463	

Schedule G-1 6 Payments Other Than Standard Pay

(1) Month	(2) Employee Awards	(3) Severance Pay	(4) Relocation	(5) Other Lump Sum Payments	(6) Total
Prior Year 2019	20,917,837	381,590	185,722	359,631	21,844,780

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-26:

Refer to the testimony of Michael A. Baird at page 20, lines 7 through 10, which states: "The annualized base payroll calculation also accounts for the billings of labor cost to participants in the Flint Creek, Pirkey, and Turk power plants, which results in the appropriate SWEPCO amount being included in the cost of service." Please explain how SWEPCO bills expenses for payroll and other operations and maintenance costs to the other participants in these plants and how such billings to and payments from the other participants are reflected on SWEPCO's books and in the requested revenue requirement.

Response No. Staff 5-26:

Billings to co-owners of the joint plants are facilitated using the workorder accounting field. Workorder numbers are designated to capture capital costs and costs of operating and maintaining the jointly owned facilities. Those costs are accumulated and the co-owners are billed the following month for their portion of the capital costs and operations and maintenance expenses based on their percentage of ownership. These billings are recorded as a reduction to capital or operations and maintenance expenses on SWEPCO's books, thus leaving only SWEPCO's portion on its books in the capital and operation and maintenance expense accounts. These billings and subsequent payments are recorded on an accounts receivable account on the Company's general ledger.

Prepared By: Frances K. Bourland Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-27:

Provide the most recent payroll annualized by FERC account separately for SWEPCO and for the amounts allocated to SWEPCO by AEPSC and include a detailed explanation of the calculations.

Response No. Staff 5-27:

Please refer to Staff 5-27 Attachment 1 for most recent payroll annualized by FERC account for amounts allocated to SWEPCO by AEPSC. The Company repeated the same process as was done for proforma adjustment calculation. The Company took the most recent payroll in October 2020 and calculated the base labor that was allocated to SWEPCO by AEPSC and then annualized that base labor amount. The Company then compared that to the test year base labor that was allocated to SWEPCO by AEPSC to calculate the proforma adjustment.

Please see Staff 5-27 Attachment 2 for the annualization of SWEPCO base payroll as of October 31, 2020. The Company used the same process in preparing this response as was used in its payroll proforma adjustment. Using the employees on the payroll roles as of October 31, 2020, the amounts were reduced to reflect the SWEPCO percentage of ownership for those locations they share ownership with other companies. This amount was distributed to FERC accounts based on the historic regular pay for the test year and a variance was calculated by comparing those two amounts.

Prepared By: Frances K. Bourland Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

Sponsored By: Brian J. Frantz Title: Dir Accounting

SOUTHWESTERN ELECTRIC POWER COMPANY
October 2020 Payroll Annualized in Cost of Service
Billed from AEPSC to SWEPCO by FERC Account

FERC Account	Test Year	Annualized	Proforma Adjustment
5000 - Oper Supervision & Engineering	6,060,375.10	5,949,260.76	(111,114.34)
5010 - Fuel	419,862.33	412,222.96	(7,639.37)
5020 - Steam Expenses	96,350.64	94,550.80	(1,799.84)
5050 - Electric Expenses	2,492.59	2,446.58	(46.01)
5060 - Misc Steam Power Expenses	397,196.25	389,950.47	(7,245.78)
5100 - Maint Supv & Engineering	214,753.73	210,763.24	(3,990.49)
5110 - Maintenance of Structures	339,063.62	332,601.47	(6,462.15)
5120 - Maintenance of Boiler Plant	734,161.40	720,276.40	(13,885.00)
5130 - Maintenance of Electric Plant	188,963.43	185,411.24	(3,552.19)
5140 - Maintenance of Misc Steam Plt	69,068.41	67,753.36	(1,315.05)
5240 - Misc Nuclear Power Expenses	0.47	0.46	(0.01)
5280 - Maint Supv & Engineering	3,604.55	3,538.00	(66.55)
5290 - Maintenance of Structures	211.67	207.62	(4.05)
5300 - Maint of Reactor Plant Equip	10.84	10.96	0.12
5310 - Maintenance of Electric Plant	8.00	7.84	(0.16)
5350 - Oper Supervision & Engineering	2,728.00	2,678.15	(49.85)
5370 - Hydraulic Expenses	264.36	259.55	(4.81)
5390 - Misc Hydr Power Generation Exp	3,067.85	3,009.48	(58.37)
5450 - Maint of Misc Hydraulic Plant	575.02	565.76	(9.26)
5510 - Maint Supv & Engineering	(8.53)	(8.62)	(0.09)
5530 - Maintenance of Generating Plt	6,650.75	6,527.88	(122.87)
5560 - Sys Control & Load Dispatching	827,358.88	811,947.07	(15,411.81)
5570 - Other Expenses	1,854,343.84	1,819,890.09	(34,453.75)
5600 - Oper Supervision & Engineering	3,575,130.52	3,510,075.06	(65,055.46)
5612 - Load Dispatch-Mntr&Op TransSys	557,248.07	546,966.41	(10,281.66)
5615 - Reliability,Plng&Stds Develop	131,426.82	128,986.32	(2,440.50)
5620 - Station Expenses	3,969.95	3,901.33	(68.62)
5630 - Overhead Line Expenses	10,627.08	10,433.32	(193.76)
5660 - Misc Transmission Expenses	793,724.23	779,153.36	(14,570.87)
5670 - Rents	74.16	72.83	(1.33)
5680 - Maint Supv & Engineering	5,397.51	5,298.84	(98.67)
5690 - Maintenance of Structures	25.45	24.99	(0.46)
5691 - Maint of Computer Hardware	5,177.00	5,080.69	(96.31)
5692 - Maint of Computer Software	82,685.28	81,183.90	(1,501.38)
5700 - Maint of Station Equipment	116,016.70	113,909.41	(2,107.29)
5710 - Maintenance of Overhead Lines	12,529.73	12,306.37	(223.36)
5730 - Maint of Misc Trnsmssion Plt	694.80	682.15	(12.65)
5800 - Oper Supervision & Engineering	666,519.38	655,111.27	(11,408.11)
5820 - Station Expenses	40,189.52	39,446.57	(742.95)
5830 - Overhead Line Expenses	230.46	226.63	(3.83)
5840 - Underground Line Expenses	7,870.79	7,738.83	(131.96)
5860 - Meter Expenses	109,722.20	107,844.12	(1,878.08)
5880 - Miscellaneous Distribution Exp	628,152.20	617,285.96	(10,866.24)
5900 - Maint Supv & Engineering	4,924.23	4,841.55	(82.68)
5920 - Maint of Station Equipment	49,481.30	48,581.07	(900.23)
5930 - Maintenance of Overhead Lines	27,399.55	26,932.76	(466.79)

5970 - Maintenance of Meters	201.36	197.87	(3.49)
9010 - Supervision - Customer Accts	47,205.35	46,401.75	(803.60)
9020 - Meter Reading Expenses	82,431.18	80,986.10	(1,445.08)
9030 - Cust Records & Collection Exp	5,635,793.08	5,542,904.90	(92,888.18)
9050 - Misc Customer Accounts Exp	16,735.85	16,433.82	(302.03)
9070 - Supervision - Customer Service	72,732.56	71,451.97	(1,280.59)
9080 - Customer Assistance Expenses	43,067.93	42,257.63	(810.30)
9100 - Misc Cust Svc&Informational Ex	10,697.72	10,583.25	(114.47)
9120 - Demonstrating & Selling Exp	1,650.01	1,618.72	(31.29)
9200 - Administrative & Gen Salaries	13,644,440.10	13,419,094.98	(225,345.12)
9210 - Office Supplies and Expenses	5,233.69	5,288.84	55.15
9220 - Administrative Exp Trnsf - Cr	(0.00)	-	0.00
9230 - Outside Services Employed	636.32	626.04	(10.28)
9250 - Injuries and Damages	8,333.32	8,179.64	(153.68)
9260 - Employee Pensions & Benefits	14,125.21	13,877.24	(247.97)
9280 - Regulatory Commission Exp	961,172.93	943,113.55	(18,059.38)
9301 - General Advertising Expenses	207.44	203.72	(3.72)
9302 - Misc General Expenses	111,977.54	110,047.08	(1,930.46)
9350 - Maintenance of General Plant	114,368.52	112,472.02	(1,896.50)
	38,821,330.24	38,145,694.38	(675,635.86)

SOUTHWESTERN ELECTRIC POWER COMPANY
CALCULATION OF PAYROLL ANNUALIZATION
AS OF 10/31/2020

Test year actual regular pay
less joint billings

FERC	Total	Annualized Payroll	Adjustment
1070	30,831,093 27	31,944,893 50	1,113,800 23
1080	5,789,925 40	5,999,091 52	209,166 12
1510	426,890 48	442,312 27	15,421 79
1520	3,911,766 75	4,053,082 75	141,316 00
1850	169,598 01	175,724 89	6,126 88
1860	230,580 73	238,910 66	8,329 93
1880	(1,060 85)	(1,099 17)	(38 32)
4010	5,581 07	5,782 69	201 62
4264	212,821 72	220,510 09	7,688 37
4265	80,420 87	83,326 14	2,905 27
4560	(46,033.45)	(47,696 44)	(1,663 00)
5000	5,273,165 54	5,463,663 26	190,497 72
5010	50,682 31	52,513 25	1,830 94
5020	6,857,893 21	7,105,640 60	247,747 39
5050	7,117,975 61	7,375,118 71	257,143 10
5060	3,180,083 01	3,294,966 29	114,883 28
5100	3,928,391 88	4,070,308 47	141,916 59
5110	831,627 27	861,670 54	30,043 26
5120	8,195,282 43	8,491,344 18	296,061 75
5130	1,748,176 30	1,811,330 70	63,154.40
5140	1,847,164 41	1,913,894 84	66,730 43
5420	196 70	203 80	7 11
5440	1,125 43	1,166 09	40 66
5480	206,327 41	213,781 17	7,453 76
5520	985 67	1,021 28	35 61
5530	312,657 10	323,952 11	11,295 01
5600	1,453,938 91	1,506,463 72	52,524 82
5612	694 47	719 56	25 09
5620	242,444 96	251,203 50	8,758 54
5630	20,394 23	21,130 99	736 76
5660	296,121 33	306,818 98	10,697 64
5680	3,234 79	3,351 65	116 86
5690	4,743 03	4,914 38	171 35
5700	1,013,440 12	1,050,051 53	36,611 41
5710	365,267 36	378,462 96	13,195 60
5800	655,175 46	678,844 25	23,668 79
5820	305,878 90	316,929 05	11,050.14
5830	(1,386,700 78)	(1,436,796 56)	(50,095 78)
5840	618,150 40	640,481 62	22,331 22
5850	25,818 41	26,751 13	932 71
5860	2,568,021 78	2,660,793 81	92,772 03
5870	261,908 04	271,369 70	9,461 66
5880	9,303,951 96	9,640,065 37	336,113 41
5900	122,227 37	126,642 94	4,415 57
5910	6,926 67	7,176 90	250 23
5920	676,655.72	701,100 50	24,444 78
5930	5,680,297 86	5,885,503 59	205,205 73
5940	184,702 21	191,374 74	6,672 53
5950	72,805 36	75,435 52	2,630 16
5960	133,719.10	138,549 82	4,830 72
5970	343,708 51	356,125 28	12,416 77
5980	203,351 12	210,697 35	7,346 24
9010	462,558 57	479,268 90	16,710 33
9020	1,741,188 93	1,804,090 91	62,901 97
9030	2,438,820 00	2,526,924 50	88,104 51
9070	975,873 86	1,011,128 16	35,254 30
9080	1,886,333 21	1,954,478 65	68,145 44
9200	4,325,627 85	4,481,894 95	156,267 09
9220	(2,057,087 95)	(2,131,402 05)	(74,314 10)
9250	203,305 74	210,650 33	7,344.60
9280	1,994 70	2,066 76	72 06
9302	110,133 05	114,111 70	3,978 65
9350	1,590,352 34	1,647,805 21	57,452 87
Grand Total	116,019,295 87	120,210,594 48	4,191,298 61

Base payroll - joint plant billings

	(5,825,400 11)
G-1 1 base	121,844,695 98

SOUTHWESTERN ELECTRIC POWER COMPANY
BASE WAGES PER EMPLOYEE AS OF OCTOBER 31, 2020

GLBU	AsOfDate	REG_TEMP	FULL_PART	ANNUAL_RT	GL_PAY_TYPE	Flag	F/P/T	Row Match	Increase Type	SAL_ADMIN_PLAN
168	10/31/2020	R	F	122529 97	11202		P	905591		SP20
168	10/31/2020	R	F	159621 44	10647			905592		SP20
168	10/31/2020	R	F	108661 9	11202		P	905593		SP20
168	10/31/2020	R	F	145996 5	12810		T	905594		SP20
168	10/31/2020	R	F	86382 4	11202	Y	P	905595		U074
168	10/31/2020	R	F	122488 13	10572		F	905596		SP20
168	10/31/2020	R	F	91353 6	10474	Y		905597		U074
168	10/31/2020	R	F	84073 6	10474	Y		905598		U074
168	10/31/2020	R	F	81473 6	10821	Y		905599		U074
168	10/31/2020	R	F	120966 76	10067			905600		SP20
168	10/31/2020	R	F	88004 8	10067	Y		905601		U074
168	10/31/2020	R	F	174930	10474			905602		SP20
168	10/31/2020	R	F	108708 43	10474			905603		SP20
168	10/31/2020	R	F	119937 895	10474			905604		SP20
168	10/31/2020	R	F	62441 6	11503	Y		905605		U074
168	10/31/2020	R	F	85800	10474	Y		905606		U074
168	10/31/2020	R	F	126028 36	10647			905607		SP20
168	10/31/2020	R	F	115912 92	11202		P	905608		SP20
168	10/31/2020	R	F	81473 6	10067	Y		905609		U074
168	10/31/2020	R	F	114898 9	10572		F	905610		SP20
168	10/31/2020	R	F	111038 8	10474			905611		SP20
168	10/31/2020	R	F	91353 6	10474	Y		905612		U074
168	10/31/2020	R	F	92268 8	10647	Y		905613		U072
168	10/31/2020	R	F	124125 76	10474			905614		SP20
168	10/31/2020	R	F	86382 4	11202	Y	P	905615		U074
168	10/31/2020	R	F	143949 91	10820			905616		SP20
168	10/31/2020	R	F	81473 6	10821	Y		905617		U074
168	10/31/2020	R	F	35432 1	11210			905618		SP20
168	10/31/2020	R	F	86382 4	11202	Y	P	905619		U074
168	10/31/2020	R	F	84073 6	10474	Y		905620		U074
168	10/31/2020	R	F	91353 6	10474	Y		905621		U074
168	10/31/2020	R	F	91353 6	10474	Y		905622		U074
168	10/31/2020	R	F	133686 22	11202		P	905623		SP20
168	10/31/2020	R	F	128017 44	10474			905624		SP20
168	10/31/2020	R	F	65904 661	10410			905625		WTUN
168	10/31/2020	R	F	111400 9	10474			905626		SP20
168	10/31/2020	R	F	83948 8	10474	Y		905627		U074
168	10/31/2020	R	F	92268 8	10067	Y		905628		U074
168	10/31/2020	R	F	101752 14	10572		F	905629		SP20
168	10/31/2020	R	F	79747 2	10410			905630		N005
168	10/31/2020	R	F	136442 76	11110			905631		SP20
168	10/31/2020	R	F	63377 6	10264	Y		905632		U072
168	10/31/2020	R	F	87235 2	11202	Y	P	905633		U074
168	10/31/2020	R	F	83948 8	11202	Y	P	905634		U074
168	10/31/2020	R	F	113992 7	11202		P	905635		SP20
168	10/31/2020	R	F	106954 27	12810		T	905636		SP20
168	10/31/2020	R	F	83948 8	11202	Y	P	905637		U074
168	10/31/2020	R	F	83948 8	11202	Y	P	905638		U074
168	10/31/2020	R	F	104202 53	11202		P	905639		SP20
168	10/31/2020	R	F	81473 6	10067	Y		905640		U074
168	10/31/2020	R	F	83948 8	11202	Y	P	905641		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905642		U074
168	10/31/2020	R	F	92268 8	10067	Y		905643		U074
168	10/31/2020	R	F	107913 7	11202		P	905644		SP20
168	10/31/2020	R	F	83948 8	11202	Y	P	905645		U074
168	10/31/2020	R	F	89759 5	11202		P	905646		SP20
168	10/31/2020	R	F	112489 46	11202		P	905647		SP20
168	10/31/2020	R	F	122661 76	12810		T	905648		SP20
168	10/31/2020	R	F	104946 83	11202		P	905649		SP20
168	10/31/2020	R	F	86382 4	11202	Y	P	905650		U074
168	10/31/2020	R	F	79747 2	10410			905651		N005
168	10/31/2020	R	F	86798 4	10820	Y		905652		U072
168	10/31/2020	R	F	87235 2	11202	Y	P	905653		U074
168	10/31/2020	R	F	92268 8	10821	Y		905654		U074
168	10/31/2020	R	F	42577 6	10474	Y		905655		U074
168	10/31/2020	R	F	83969 6	11202	Y	P	905656		U074
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168	10/31/2020	R	F	88004 8	11210	Y		905658		U072
168	10/31/2020	R	F	117828 89	11210			905659		SP20
168	10/31/2020	R	F	171632 7	11110			905660		SP20
168	10/31/2020	R	F	92268 8	10647	Y		905661		U072
168	10/31/2020	R	F	117323 18	11210			905662		SP20
168	10/31/2020	R	F	87235 2	10572	Y	F	905663		U073
168	10/31/2020	R	F	91353 6	10474	Y		905664		U074
168	10/31/2020	R	F	90729 6	10474	Y		905665		U074
168	10/31/2020	R	F	85800	10474	Y		905666		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905667		U074
168	10/31/2020	R	F	108105 07	11202		P	905668		SP20
168	10/31/2020	R	F	114002 95	11202		P	905669		SP20
168	10/31/2020	R	F	108226 78	11202		P	905670		SP20
168	10/31/2020	R	F	92268 8	10821	Y		905671		U074
168	10/31/2020	R	F	112831 08	10474			905672		SP20
168	10/31/2020	R	F	85800	10474	Y		905673		U074
168	10/31/2020	R	F	101900	12810		T	905674		SP20
168	10/31/2020	R	F	86382 4	11202	Y	P	905675		U074

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168	10/31/2020	R	F	124042 89	11202		P	905679		SP20
168	10/31/2020	R	F	84073 6	11202	Y	P	905680		U074
168	10/31/2020	R	F	86382 4	11202	Y	P	905681		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905682		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905683		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905684		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905685		U074
168	10/31/2020	R	F	92268 8	10067	Y		905686		U074
168	10/31/2020	R	F	109474 94	11202		P	905687		SP20
168	10/31/2020	R	F	154262 43	10474			905688		SP20
168	10/31/2020	R	F	84073 6	10474	Y		905689		U074
168	10/31/2020	R	F	91353 6	10474	Y		905690		U074
168	10/31/2020	R	F	118479 19	10474			905691		SP20
168	10/31/2020	R	F	111208 28	10474			905692		SP20
168	10/31/2020	R	F	144337 46	10410			905693		SP20
168	10/31/2020	R	F	101635 21	10410			905694		SP20
168	10/31/2020	R	F	88608	10647	Y		905695		U072
168	10/31/2020	R	F	57009 02	11110			905696		SP20
168	10/31/2020	R	F	126166 97	10647			905697		SP20
168	10/31/2020	R	F	95655	11949			905698		SP20
168	10/31/2020	R	F	92268 8	11210	Y		905699		U072
168	10/31/2020	R	F	85051 2	10821	Y		905700		U074
168	10/31/2020	R	F	91353 6	10474	Y		905701		U074
168	10/31/2020	R	F	83948 8	10474	Y		905702		U074
168	10/31/2020	R	F	111961 44	10572		F	905703		SP20
168	10/31/2020	R	F	88608	10067	Y		905704		U074
168	10/31/2020	R	F	101557 29	10647			905705		SP20
168	10/31/2020	R	F	91353 6	10474	Y		905706		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905707		U074
168	10/31/2020	R	F	104018 95	11096		P	905708		SP20
168	10/31/2020	R	F	110948 86	10091		P	905709		SP20
168	10/31/2020	R	F	42577 6	11202	Y	P	905710		U074
168	10/31/2020	R	F	92268 8	10647	Y		905711		U072
168	10/31/2020	R	F	85800	10572	Y	F	905712		U073
168	10/31/2020	R	F	91353 6	10474	Y		905713		U074
168	10/31/2020	R	F	73704 089	10410			905714		WTUN
168	10/31/2020	R	F	91353 6	12810		T	905715		N027
168	10/31/2020	R	F	100442 26	10474			905716		SP20
168	10/31/2020	R	F	100020 3	10474			905717		SP20
168	10/31/2020	R	F	92268 8	10647	Y		905718		U072
168	10/31/2020	R	F	88608	10067	Y		905719		U074
168	10/31/2020	R	F	88004 8	10067	Y		905720		U074
168	10/31/2020	R	F	55677 06	10474			905721		SP20
168	10/31/2020	R	F	92268 8	11210	Y		905722		U072
168	10/31/2020	R	F	42577 6	11202	Y	P	905723		U074
168	10/31/2020	R	F	85800	10572	Y	F	905724		U073
168	10/31/2020	R	F	83948 8	11202	Y	P	905725		U074
168	10/31/2020	R	F	139472 84	11110			905726		SP20
168	10/31/2020	R	F	165491 17	10091		P	905727		SP20
168	10/31/2020	R	F	88004 8	10067	Y		905728		U074
168	10/31/2020	R	F	111117 79	10474			905729		SP20
168	10/31/2020	R	F	88608	10821	Y		905730		U074
168	10/31/2020	R	F	88608	10067	Y		905731		U074
168	10/31/2020	R	F	92268 8	10067	Y		905732		U074
168	10/31/2020	R	F	41329 6	10820	Y		905733		U072
168	10/31/2020	R	F	84073 6	10572	Y	F	905734		U073
168	10/31/2020	R	F	127375 38	11110			905735		SP20
168	10/31/2020	R	F	88608	10067	Y		905736		U074
168	10/31/2020	R	F	91395 2	11210	Y		905737		U072
168	10/31/2020	R	F	104737 5	10067			905738		SP20
168	10/31/2020	R	F	84073 6	11202	Y	P	905739		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905740		U074
168	10/31/2020	R	F	83948 8	12810		T	905741		N027
168	10/31/2020	R	F	84073 6	11202	Y	P	905742		U074
168	10/31/2020	R	F	83948 8	11202	Y	P	905743		U074
168	10/31/2020	R	F	84073 6	10474	Y		905744		U074
168	10/31/2020	R	F	175443 27	11202		P	905745		SP20
168	10/31/2020	R	F	85800	10474	Y		905746		U074
168	10/31/2020	R	F	122262 75	12810		T	905747		SP20
168	10/31/2020	R	F	103287 55	11503			905748		SP20
168	10/31/2020	R	F	96468 74	10821			905749		SP20
168	10/31/2020	R	F	83948 8	10474	Y		905750		U074
168	10/31/2020	R	F	117661 38	10572		F	905751		SP20
168	10/31/2020	R	F	119847 22	11202		P	905752		SP20
168	10/31/2020	R	F	135293 1	11110			905753		SP20
168	10/31/2020	R	F	90729 6	11202	Y	P	905754		U074
168	10/31/2020	R	F	112404 97	12810		T	905755		SP20
168	10/31/2020	R	F	105421 08	11110			905756		SP20
168	10/31/2020	R	F	91353 6	12810		T	905757		N027
168	10/31/2020	R	F	156397 81	11210			905758		SP20
168	10/31/2020	R	F	105700 12	12810		T	905759		SP20
168	10/31/2020	R	F	189424 39	12810		T	905760		SP20

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168	10/31/2020	R	F	111362 94	12810		T	905763		SP20
168	10/31/2020	R	F	240000	11528			905764		SP20
168	10/31/2020	R	F	116338 62	12810		T	905765		SP20
168	10/31/2020	R	F	85800	10474	Y		905766		U074
168	10/31/2020	R	F	131943	12810		T	905767		SP20
168	10/31/2020	R	F	80912	11202	Y	P	905768		U074
168	10/31/2020	R	F	88608	10067	Y		905769		U074
168	10/31/2020	R	F	113886 58	11528			905770		SP20
168	10/31/2020	R	F	90729 6	10572	Y	F	905771		U073
168	10/31/2020	R	F	138012 27	11110			905772		SP20
168	10/31/2020	R	F	116211 83	10474			905773		SP20
168	10/31/2020	R	F	104852 39	10474			905774		SP20
168	10/31/2020	R	F	88608	10821	Y		905775		U074
168	10/31/2020	R	F	83512	10821	Y		905776		U074
168	10/31/2020	R	F	92268 8	10647	Y		905777		U072
168	10/31/2020	R	F	96820	10821			905778		SP20
168	10/31/2020	R	F	100665 57	10401			905779		SP20
168	10/31/2020	R	F	88608	10821	Y		905780		U074
168	10/31/2020	R	F	117090	11949			905781		SP20
168	10/31/2020	R	F	98580	10572		F	905782		SP20
168	10/31/2020	R	F	106012 82	11202		P	905783		SP20
168	10/31/2020	R	F	92268 8	10821	Y		905784		U074
168	10/31/2020	R	F	106303 13	11202		P	905785		SP20
168	10/31/2020	R	F	91353 6	11202	Y	P	905786		U074
168	10/31/2020	R	F	91353 6	12810		T	905787		N027
168	10/31/2020	R	F	83512	10647	Y		905788		U072
168	10/31/2020	R	F	81473 6	11210	Y		905789		U072
168	10/31/2020	R	F	80912	11202	Y	P	905790		U074
168	10/31/2020	R	F	83948 8	10474	Y		905791		U074
168	10/31/2020	R	F	90729 6	10474	Y		905792		U074
168	10/31/2020	R	F	82326 4	10820	Y		905793		U072
168	10/31/2020	R	F	83948 8	11202	Y	P	905794		U074
168	10/31/2020	R	F	91353 6	11202	Y	P	905795		U074
168	10/31/2020	R	F	90688	12803	Y		905796		U073
168	10/31/2020	R	F	80912	11202	Y	P	905797		U074
168	10/31/2020	R	F	90256 07	10647			905798		SP20
168	10/31/2020	R	F	90729 6	10474	Y		905799		U074
168	10/31/2020	R	F	90729 6	10474	Y		905800		U074
168	10/31/2020	R	F	83948 8	10474	Y		905801		U074
168	10/31/2020	R	F	91353 6	10474	Y		905802		U074
168	10/31/2020	R	F	104578 15	10572		F	905803		SP20
168	10/31/2020	R	F	81473 6	10821	Y		905804		U074
168	10/31/2020	R	F	84073 6	10474	Y		905805		U074
168	10/31/2020	R	F	83948 8	11202	Y	P	905806		U074
168	10/31/2020	R	F	114920	10067			905807		SP20
168	10/31/2020	R	F	65291 2	10401			905808		N057
168	10/31/2020	R	F	65291 2	10401			905809		N057
168	10/31/2020	R	F	63377 6	10401	Y		905810		U074
168	10/31/2020	R	F	64708 8	11210	Y		905811		U072
168	10/31/2020	R	F	64355 2	10474	Y		905812		U074
168	10/31/2020	R	F	91353 6	10474	Y		905813		U074
168	10/31/2020	R	F	92268 8	11210	Y		905814		U072
168	10/31/2020	R	F	91353 6	11202	Y	P	905815		U074
168	10/31/2020	R	F	91395 2	10821	Y		905816		U074
168	10/31/2020	R	F	80912	12810		T	905817		N027
168	10/31/2020	R	F	91353 6	12810		T	905818		N027
168	10/31/2020	R	F	87235 2	10474	Y		905819		U074
168	10/31/2020	R	F	62441 6	13141		T	905820		N027
168	10/31/2020	R	F	104500	11528			905821		SP20
168	10/31/2020	R	F	83948 8	11202	Y	P	905822		U074
168	10/31/2020	R	F	87235 2	10474	Y		905823		U074
168	10/31/2020	R	F	87235 2	10474	Y		905824		U074
168	10/31/2020	R	F	83948 8	10474	Y		905825		U074
168	10/31/2020	R	F	106575	10821			905826		SP20
168	10/31/2020	R	F	126270	10572		F	905827		SP20
168	10/31/2020	R	F	55187 1	10474			905828		SP20
168	10/31/2020	R	F	105093 02	12810		T	905829		SP20
168	10/31/2020	R	F	105501 87	10474			905830		SP20
168	10/31/2020	R	F	83948 8	10474	Y		905831		U074
168	10/31/2020	R	F	92268 8	11210	Y		905832		U072
168	10/31/2020	R	F	94244 8	10474	Y		905833		U074
168	10/31/2020	R	F	90729 6	10474	Y		905834		U074
168	10/31/2020	R	F	106714 04	10474			905835		SP20
168	10/31/2020	R	F	65291 2	11503	Y		905836		U074
168	10/31/2020	R	F	84073 6	10572	Y	F	905837		U073
168	10/31/2020	R	F	83948 8	10572	Y	F	905838		U073
168	10/31/2020	R	F	85051 2	10647	Y		905839		U072
168	10/31/2020	R	F	127363 15	11528			905840		SP20
168	10/31/2020	R	F	168000	10572		F	905841		SP20
168	10/31/2020	R	F	83948 8	10474	Y		905842		U074
168	10/31/2020	R	F	90729 6	11202	Y	P	905843		U074
168	10/31/2020	R	F	90688	12803	Y		905844		U073
168	10/31/2020	R	F	109410 01	10572		F	905845		SP20

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168	10/31/2020	R	F	101613 83	10042		F	905847		SP20
168	10/31/2020	R	F	128113 74	11202		P	905848		SP20
168	10/31/2020	R	F	84073 6	10572	Y	F	905849		U073
168	10/31/2020	R	F	84073 6	10572	Y	F	905850		U073
168	10/31/2020	R	F	92268 8	11210	Y		905851		U072
168	10/31/2020	R	F	162503	10821			905852		SP20
168	10/31/2020	R	F	81473 6	10647	Y		905853		U072
168	10/31/2020	R	F	86798 4	10820	Y		905854		U072
168	10/31/2020	R	F	80912	11202	Y	P	905855		U074
168	10/31/2020	R	F	94244 8	10572	Y	F	905856		U073
168	10/31/2020	R	F	86798 4	10647	Y		905857		U072
168	10/31/2020	R	F	104643	11110			905858		SP20
168	10/31/2020	R	F	87235 2	10572	Y	F	905859		U073
168	10/31/2020	R	F	99000	12803			905860		SP20
168	10/31/2020	R	F	83948 8	10572	Y	F	905861		U073
168	10/31/2020	R	F	104703 31	10572		F	905862		SP20
168	10/31/2020	R	F	87235 2	10572	Y	F	905863		U073
168	10/31/2020	R	F	64668 748	10410			905864		WTUN
168	10/31/2020	R	F	65291 2	11503	Y		905865		U074
168	10/31/2020	R	F	104126 4	10572		F	905866		SP20
168	10/31/2020	R	F	99571 53	10474			905867		SP20
168	10/31/2020	R	F	87235 2	11202	Y	P	905868		U074
168	10/31/2020	R	F	91353 6	10474	Y		905869		U074
168	10/31/2020	R	F	90729 6	10474	Y		905870		U074
168	10/31/2020	R	F	91395 2	10647	Y		905871		U072
168	10/31/2020	R	F	112850 36	10572		F	905872		SP20
168	10/31/2020	R	F	88608	11210	Y		905873		U072
168	10/31/2020	R	F	94244 8	11202	Y	P	905874		U074
168	10/31/2020	R	F	125569 5	10572		F	905875		SP20
168	10/31/2020	R	F	84073 6	10474	Y		905876		U074
168	10/31/2020	R	F	87235 2	10474	Y		905877		U074
168	10/31/2020	R	F	90729 6	10474	Y		905878		U074
168	10/31/2020	R	F	84073 6	10474	Y		905879		U074
168	10/31/2020	R	F	63377 6	10768	Y		905880		U072
168	10/31/2020	R	F	80912	10572	Y	F	905881		U073
168	10/31/2020	R	F	80912	11202	Y	P	905882		U074
168	10/31/2020	R	F	81473 6	10647	Y		905883		U072
168	10/31/2020	R	F	83948 8	10474	Y		905884		U074
168	10/31/2020	R	F	83948 8	10572	Y	F	905885		U073
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168	10/31/2020	R	F	93264 43	11110			905887		SP20
168	10/31/2020	R	F	85800	10474	Y		905888		U074
168	10/31/2020	R	F	88608	10647	Y		905889		U072
168	10/31/2020	R	F	154875	11528			905890		SP20
168	10/31/2020	R	F	97670 56	13255			905891		SP20
168	10/31/2020	R	F	88608	10647	Y		905892		U072
168	10/31/2020	R	F	87235 2	10474	Y		905893		U074
168	10/31/2020	R	F	88608	11210	Y		905894		U072
168	10/31/2020	R	F	88608	10647	Y		905895		U072
168	10/31/2020	R	F	91353 6	10474	Y		905896		U074
168	10/31/2020	R	F	90688	12803	Y		905897		U073
168	10/31/2020	R	F	94244 8	10572	Y	F	905898		U073
168	10/31/2020	R	F	91409 75	10572		F	905899		SP20
168	10/31/2020	R	F	55007 56	10572		F	905900		SP20
168	10/31/2020	R	F	131091	11110			905901		SP20
168	10/31/2020	R	F	87235 2	10572	Y	F	905902		U073
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168	10/31/2020	R	F	66622 4	10572	Y	F	905904		U073
168	10/31/2020	R	F	91353 6	10572	Y	F	905905		U073
168	10/31/2020	R	F	88004 8	10647	Y		905906		U072
168	10/31/2020	R	F	105890	11949			905907		SP20
168	10/31/2020	R	F	84073 6	12810		T	905908		N027
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168	10/31/2020	R	F	91395 2	10647	Y		905910		U072
168	10/31/2020	R	F	80912	11202	Y	P	905911		U074
168	10/31/2020	R	F	88608	11210	Y		905912		U072
168	10/31/2020	R	F	85800	10474	Y		905913		U074
168	10/31/2020	R	F	107491 77	12810		T	905914		SP20
168	10/31/2020	R	F	91353 6	10474	Y		905915		U074
168	10/31/2020	R	F	87235 2	10474	Y		905916		U074
168	10/31/2020	R	F	84073 6	11202	Y	P	905917		U074
168	10/31/2020	R	F	84073 6	10572	Y	F	905918		U073
168	10/31/2020	R	F	88004 8	10821	Y		905919		U074
168	10/31/2020	R	F	84073 6	10474	Y		905920		U074
168	10/31/2020	R	F	86798 4	10821	Y		905921		U074
168	10/31/2020	R	F	54979 15	10474			905922		SP20
168	10/31/2020	R	F	87235 2	11202	Y	P	905923		U074
168	10/31/2020	R	F	101570	11949			905924		SP20
168	10/31/2020	R	F	118680	10474			905925		SP20
168	10/31/2020	R	F	87235 2	11202	Y	P	905926		U074
168	10/31/2020	R	F	86798 4	10067	Y		905927		U074
168	10/31/2020	R	F	119480	11528			905928		SP20
168	10/31/2020	R	F	54138 33	12810		T	905929		SP20
168	10/31/2020	R	F	91353 6	11202	Y	P	905930		U074

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168	10/31/2020	R	F	106136 21	12810		T	905933		SP20
168	10/31/2020	R	F	90729 6	11202	Y	P	905934		U074
168	10/31/2020	R	F	97982 89	12810		T	905935		SP20
168	10/31/2020	R	F	90729 6	12810		T	905936		N027
168	10/31/2020	R	F	91353 6	11202	Y	P	905937		U074
168	10/31/2020	R	F	83948 8	12810		T	905938		N027
168	10/31/2020	R	F	78499 2	10067	Y		905939		U074
168	10/31/2020	R	F	91353 6	12810		T	905940		N027
168	10/31/2020	R	F	91353 6	12810		T	905941		N027
168	10/31/2020	R	F	91353 6	12810		T	905942		N027
168	10/31/2020	R	F	91353 6	12810		T	905943		N027
168	10/31/2020	R	F	106357 6	12810		T	905944		SP20
168	10/31/2020	R	F	87235 2	12810		T	905945		N027
168	10/31/2020	R	F	91353 6	10474	Y		905946		U074
168	10/31/2020	R	F	60264 699	10410			905947		WTUN
168	10/31/2020	R	F	83948 8	12810		T	905948		N027
168	10/31/2020	R	F	87235 2	10474	Y		905949		U074
168	10/31/2020	R	F	110259 45	12810		T	905950		SP20
168	10/31/2020	R	F	80912	11202	Y	P	905951		U074
168	10/31/2020	R	F	87576 88	13141		T	905952		SP20
168	10/31/2020	R	F	87235 2	12810		T	905953		N027
168	10/31/2020	R	F	102527 4	12810		T	905954		SP20
168	10/31/2020	R	F	111225	11110			905955		SP20
168	10/31/2020	R	F	87235 2	12810		T	905956		N027
168	10/31/2020	R	F	85800	12810		T	905957		N027
168	10/31/2020	R	F	91353 6	12810		T	905958		N027
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168	10/31/2020	R	F	91353 6	12810		T	905960		N027
168	10/31/2020	R	F	83948 8	12810		T	905961		N027
168	10/31/2020	R	F	76668 8	10572	Y	F	905962		U073
168	10/31/2020	R	F	80912	12810		T	905963		N027
168	10/31/2020	R	F	84073 6	12810		T	905964		N027
168	10/31/2020	R	F	91353 6	12810		T	905965		N027
168	10/31/2020	R	F	68307 2	11202	Y	P	905966		U074
168	10/31/2020	R	F	101900	12810		T	905967		SP20
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168	10/31/2020	R	F	91353 6	12810		T	905969		N027
168	10/31/2020	R	F	80912	12810		T	905970		N027
168	10/31/2020	R	F	84073 6	12810		T	905971		N027
168	10/31/2020	R	F	83948 8	12810		T	905972		N027
168	10/31/2020	R	F	85800	12810		T	905973		N027
168	10/31/2020	R	F	83948 8	10474	Y		905974		U074
168	10/31/2020	R	F	83948 8	12810		T	905975		N027
168	10/31/2020	R	F	83948 8	12810		T	905976		N027
168	10/31/2020	R	F	80912	12810		T	905977		N027
168	10/31/2020	R	F	83948 8	12810		T	905978		N027
168	10/31/2020	R	F	91353 6	12810		T	905979		N027
168	10/31/2020	R	F	84073 6	12810		T	905980		N027
168	10/31/2020	R	F	80912	10572	Y	F	905981		U073
168	10/31/2020	R	F	108275 22	10474			905982		SP20
168	10/31/2020	R	F	80912	12810		T	905983		N027
168	10/31/2020	R	F	84073 6	12810		T	905984		N027
168	10/31/2020	R	F	62441 6	11096	Y	P	905985		U074
168	10/31/2020	R	F	86798 4	10821	Y		905986		U074
168	10/31/2020	R	F	84073 6	10474	Y		905987		U074
168	10/31/2020	R	F	84073 6	12810		T	905988		N027
168	10/31/2020	R	F	84073 6	12810		T	905989		N027
168	10/31/2020	R	F	84073 6	12810		T	905990		N027
168	10/31/2020	R	F	85800	12810		T	905991		N027
168	10/31/2020	R	F	84660	12810		T	905992		SP20
168	10/31/2020	R	F	80912	12810		T	905993		N027
168	10/31/2020	R	F	83948 8	12810		T	905994		N027
168	10/31/2020	R	F	83948 8	12810		T	905995		N027
168	10/31/2020	R	F	83948 8	12810		T	905996		N027
168	10/31/2020	R	F	80912	12810		T	905997		N027
168	10/31/2020	R	F	83948 8	12810		T	905998		N027
168	10/31/2020	R	F	100205	11949			905999		SP20
168	10/31/2020	R	F	80912	11202	Y	P	906000		U074
168	10/31/2020	R	F	85800	10474	Y		906001		U074
168	10/31/2020	R	F	87235 2	11202	Y	P	906002		U074
168	10/31/2020	R	F	78499 2	10647	Y		906003		U072
168	10/31/2020	R	F	90600 13	12810		T	906004		SP20
168	10/31/2020	R	F	64715	11528			906005		SP20
168	10/31/2020	R	F	64489 972	10410			906006		WTUN
168	10/31/2020	R	F	64920 918	10410			906007		WTUN
168	10/31/2020	R	F	80912	12810		T	906008		N027
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168	10/31/2020	R	F	88608	10821	Y		906010		U074
168	10/31/2020	R	F	87235 2	10474	Y		906011		U074
168	10/31/2020	R	F	83512	10821	Y		906012		U074
168	10/31/2020	R	F	78499 2	10647	Y		906013		U072
168	10/31/2020	R	F	86798 4	11210	Y		906014		U072
168	10/31/2020	R	F	88608	10067	Y		906015		U074

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168	10/31/2020	R	F	68279 56	11110			906018		SP20
168	10/31/2020	R	F	84073 6	10572	Y	F	906019		U073
168	10/31/2020	R	F	87235 2	10474	Y		906020		U074
168	10/31/2020	R	F	83948 8	10474	Y		906021		U074
168	10/31/2020	R	F	86382 4	11202	Y	P	906022		U074
168	10/31/2020	R	F	86382 4	11202	Y	P	906023		U074
168	10/31/2020	R	F	84073 6	10572	Y	F	906024		U073
168	10/31/2020	R	F	84073 6	12810		T	906025		N027
168	10/31/2020	R	F	65291 2	13141		T	906026		N027
168	10/31/2020	R	F	80912	11202	Y	P	906027		U074
168	10/31/2020	R	F	87235 2	12810		T	906028		N027
168	10/31/2020	R	F	114292 1	10091		P	906029		SP20
168	10/31/2020	R	F	93077 35	10091		P	906030		SP20
168	10/31/2020	R	F	98580	10572		F	906031		SP20
168	10/31/2020	R	F	87235 2	12810		T	906032		N027
168	10/31/2020	R	F	87235 2	12810		T	906033		N027
168	10/31/2020	R	F	87235 2	12810		T	906034		N027
168	10/31/2020	R	F	87235 2	10572	Y	F	906035		U073
168	10/31/2020	R	F	85800	10474	Y		906036		U074
168	10/31/2020	R	F	87235 2	10474	Y		906037		U074
168	10/31/2020	R	F	79823 34	11110			906038		SP20
168	10/31/2020	R	F	82451 2	10572	Y	F	906039		U073
168	10/31/2020	R	F	85800	10474	Y		906040		U074
168	10/31/2020	R	F	78499 2	10067	Y		906041		U074
168	10/31/2020	R	F	86798 4	10067	Y		906042		U074
168	10/31/2020	R	F	85800	10474	Y		906043		U074
168	10/31/2020	R	F	137277 03	10091		P	906044		SP20
168	10/31/2020	R	F	65100	12810		T	906045		SP20
168	10/31/2020	R	F	87235 2	10572	Y	F	906046		U073
168	10/31/2020	R	F	98977 03	10474			906047		SP20
168	10/31/2020	R	F	84073 6	10572	Y	F	906048		U073
168	10/31/2020	R	F	84073 6	12810		T	906049		N027
168	10/31/2020	R	F	91353 6	11202	Y	P	906050		U074
168	10/31/2020	R	F	84073 6	12810		T	906051		N027
168	10/31/2020	R	F	87235 2	10572	Y	F	906052		U073
168	10/31/2020	R	F	87235 2	10572	Y	F	906053		U073
168	10/31/2020	R	F	80912	11202	Y	P	906054		U074
168	10/31/2020	R	F	88662 5	10821			906055		SP20
168	10/31/2020	R	F	91353 6	10572	Y	F	906056		U073
168	10/31/2020	R	F	83969 6	11202	Y	P	906057		U074
168	10/31/2020	R	F	80912	10572	Y	F	906058		U073
168	10/31/2020	R	F	54991 24	11202		P	906059		SP20
168	10/31/2020	R	F	86700	11949			906060		SP20
168	10/31/2020	R	F	80912	10572	Y	F	906061		U073
168	10/31/2020	R	F	87235 2	10572	Y	F	906062		U073
168	10/31/2020	R	F	85051 2	10821	Y		906063		U074
168	10/31/2020	R	F	93297 2	11202		P	906064		SP20
168	10/31/2020	R	F	80912	10572	Y	F	906065		U073
168	10/31/2020	R	F	84073 6	12810		T	906066		N027
168	10/31/2020	R	F	91353 6	10572	Y	F	906067		U073
168	10/31/2020	R	F	94244 8	12810		T	906068		N027
168	10/31/2020	R	F	87235 2	12810		T	906069		N027
168	10/31/2020	R	F	91825 94	10091		P	906070		SP20
168	10/31/2020	R	F	57930 7	10572		F	905580		SP20
168	10/31/2020	R	F	136945	11110			905581		SP20
168	10/31/2020	R	F	167214 71	10474			905582		SP20
168	10/31/2020	R	F	133707 64	11110			905583		SP20
168	10/31/2020	R	F	85800	10474	Y		905584		U074
168	10/31/2020	R	F	85800	10474	Y		905585		U074
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168	10/31/2020	R	F	143074 14	10572		F	905587		SP20
168	10/31/2020	R	F	92268 8	10067	Y		905588		U074
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168	10/31/2020	R	F	83948 8	10474	Y		905590		U074
168	10/31/2020	R	F	87235 2	10572	Y	F	906071		U073
168	10/31/2020	R	F	87235 2	10572	Y	F	906072		U073
168	10/31/2020	R	F	87235 2	10572	Y	F	906073		U073
168	10/31/2020	R	F	80912	11202	Y	P	906074		U074
168	10/31/2020	R	F	87235 2	10474	Y		906075		U074
168	10/31/2020	R	F	91353 6	10572	Y	F	906076		U073
168	10/31/2020	R	F	84073 6	10572	Y	F	906077		U073
168	10/31/2020	R	F	56159 7	10572		F	906078		SP20
168	10/31/2020	R	F	84073 6	10572	Y	F	906079		U073
168	10/31/2020	R	F	83948 8	10572	Y	F	906080		U073
168	10/31/2020	R	F	84073 6	10474	Y		906081		U074
168	10/31/2020	R	F	84073 6	10572	Y	F	906082		U073
168	10/31/2020	R	F	87235 2	10474	Y		906083		U074
168	10/31/2020	R	F	80912	10572	Y	F	906084		U073
168	10/31/2020	R	F	91353 6	12810		T	906085		N027
168	10/31/2020	R	F	87235 2	10474	Y		906086		U074
168	10/31/2020	R	F	84073 6	12810		T	906087		N027
168	10/31/2020	R	F	84073 6	12810		T	906088		N027
168	10/31/2020	R	F	80912	10572	Y	F	906089		U073

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GLBU	AsOfDate	REG_TEMP	FULL_PART	ANNUAL_RT	GL_PAY_TYPE	Flag	F/P/T	Row Match	Increase Type	SAL_ADMIN_PLAN
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168	10/31/2020	R	F	84073 6	12810		T	906092		N027
168	10/31/2020	R	F	84073 6	12810		T	906093		N027
168	10/31/2020	R	F	101970	12810		T	906094		SP20
168	10/31/2020	R	F	84073 6	10572	Y	F	906095		U073
168	10/31/2020	R	F	84073 6	11202	Y	P	906096		U074
168	10/31/2020	R	F	91353 6	10572	Y	F	906097		U073
168	10/31/2020	R	F	80912	10572	Y	F	906098		U073
168	10/31/2020	R	F	80912	10572	Y	F	906099		U073
168	10/31/2020	R	F	94244 8	12810		T	906100		N027
168	10/31/2020	R	F	65291 2	10042	Y	F	906101		U073
168	10/31/2020	R	F	65291 2	11096	Y	P	906102		U074
168	10/31/2020	R	F	82326 4	10820	Y		906103		U072
168	10/31/2020	R	F	91353 6	10572	Y	F	906104		U073
168	10/31/2020	R	F	80912	10572	Y	F	906105		U073
168	10/31/2020	R	F	87235 2	12810		T	906106		N027
168	10/31/2020	R	F	77220	11110			906107		SP20
168	10/31/2020	R	F	84073 6	12810		T	906108		N027
168	10/31/2020	R	F	84073 6	11202	Y	P	906109		U074
168	10/31/2020	R	F	87235 2	12810		T	906110		N027
168	10/31/2020	R	F	80912	11202	Y	P	906111		U074
168	10/31/2020	R	F	82451 2	10572	Y	F	906112		U073
168	10/31/2020	R	F	96500	10820			906113		SP20
168	10/31/2020	R	F	80912	11202	Y	P	906114		U074
168	10/31/2020	R	F	78499 2	11210	Y		906115		U072
168	10/31/2020	R	F	78499 2	10647	Y		906116		U072
168	10/31/2020	R	F	78499 2	11210	Y		906117		U072
168	10/31/2020	R	F	87235 2	12810		T	906118		N027
168	10/31/2020	R	F	84073 6	10474	Y		906119		U074
168	10/31/2020	R	F	68307 2	10474	Y		906120		U074
168	10/31/2020	R	F	82451 2	11202	Y	P	906121		U074
168	10/31/2020	R	F	87235 2	12810		T	906122		N027
168	10/31/2020	R	F	78499 2	10647	Y		906123		U072
168	10/31/2020	R	F	91105 56	10572		F	906124		SP20
168	10/31/2020	R	F	80912	10572	Y	F	906125		U073
168	10/31/2020	R	F	78499 2	10821	Y		906126		U074
168	10/31/2020	R	F	42087 03	12810		T	906127		SP20
168	10/31/2020	R	F	66622 4	10572	Y	F	906128		U073
168	10/31/2020	R	F	56736 28	11210			906129		SP20
168	10/31/2020	R	F	87235 2	10474	Y		906130		U074
168	10/31/2020	R	F	84073 6	10474	Y		906131		U074
168	10/31/2020	R	F	84073 6	10474	Y		906132		U074
168	10/31/2020	R	F	78499 2	10821	Y		906133		U074
168	10/31/2020	R	F	84073 6	12810		T	906134		N027
168	10/31/2020	R	F	70470 4	10474	Y		906135		U074
168	10/31/2020	R	F	87235 2	11202	Y	P	906136		U074
168	10/31/2020	R	F	80912	11202	Y	P	906137		U074
168	10/31/2020	R	F	80912	11202	Y	P	906138		U074
168	10/31/2020	R	F	82368	11202	Y	P	906139		U074
168	10/31/2020	R	F	83948 8	10474	Y		906140		U074
168	10/31/2020	R	F	80912	10474	Y		906141		U074
168	10/31/2020	R	F	85051 2	10067	Y		906142		U074
168	10/31/2020	R	F	87235 2	12810		T	906143		N027
168	10/31/2020	R	F	87235 2	11202	Y	P	906144		U074
168	10/31/2020	R	F	46375 8	11202		P	906145		SP20
168	10/31/2020	R	F	53851 2	11512	Y		906146		U074
168	10/31/2020	R	F	80912	12810		T	906147		N027
168	10/31/2020	R	F	78499 2	11210	Y		906148		U072
168	10/31/2020	R	F	84073 6	11202	Y	P	906149		U074
168	10/31/2020	R	F	66622 4	12810		T	906150		N027
168	10/31/2020	R	F	70512	12810		T	906151		N027
168	10/31/2020	R	F	70512	12810		T	906152		N027
168	10/31/2020	R	F	90688	12803	Y		906153		U073
168	10/31/2020	R	F	72404 8	12810		T	906154		N027
168	10/31/2020	T	F	51001 6	12493			906155		UNGR
168	10/31/2020	R	F	80912	12810		T	906156		N027
168	10/31/2020	R	F	80912	10572	Y	F	906157		U073
168	10/31/2020	R	F	66622 4	10572	Y	F	906158		U073
168	10/31/2020	R	F	66331 2	10820	Y		906159		U072
168	10/31/2020	R	F	64708 8	10067	Y		906160		U074
168	10/31/2020	R	F	61193 6	10410			906161		N005
168	10/31/2020	R	F	37348 58	12810		T	906162		SP20
168	10/31/2020	R	F	72404 8	11202	Y	P	906163		U074
168	10/31/2020	R	F	79955 2	11210	Y		906164		U072
168	10/31/2020	R	F	87235 2	10474	Y		906165		U074
168	10/31/2020	R	F	83948 8	10474	Y		906166		U074
168	10/31/2020	R	F	65291 2	10410			906167		N005
168	10/31/2020	R	F	61193 6	10410			906168		N005
168	10/31/2020	R	F	83948 8	10474	Y		906169		U074
168	10/31/2020	R	F	83948 8	10474	Y		906170		U074
168	10/31/2020	R	F	58572 8	10820	Y		906171		U072
168	10/31/2020	R	F	97375	10572		F	906172		SP20
168	10/31/2020	R	F	76668 8	11202	Y	P	906173		U074
168	10/31/2020	R	F	55473 6	10042	Y	F	906174		U073

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168	10/31/2020	R	F	68307 2	12810		T	906175		N027
168	10/31/2020	R	F	60091 2	10410			906176		N005
168	10/31/2020	R	F	68307 2	12810		T	906177		N027
168	10/31/2020	R	F	64355 2	11202	Y	P	906178		U074
168	10/31/2020	R	F	66622 4	10572	Y	F	906179		U073
159	10/31/2020	R	F	124328 64	11750			906180		SP20
159	10/31/2020	R	F	175980	13644			906181		SP20
159	10/31/2020	R	F	149073 14	13038			906182		SP20
159	10/31/2020	R	F	135000	13075			906183		SP20
159	10/31/2020	R	F	148342 32	10824			906184		SP20
159	10/31/2020	R	F	146239 63	12458			906185		SP20
159	10/31/2020	R	F	97822 4	11281	Y		906186		U072
159	10/31/2020	R	F	57904 88	10696			906187		SP20
159	10/31/2020	R	F	65332 8	10137	Y		906188		U073
159	10/31/2020	R	F	90833 6	11578	Y		906189		U073
159	10/31/2020	R	F	122600 48	12451			906190		SP20
159	10/31/2020	R	F	105575 74	12450			906191		SP20
159	10/31/2020	R	F	172061	10494			906192		SP20
159	10/31/2020	R	F	108025 36	11236			906193		SP20
159	10/31/2020	R	F	94328	11762	Y		906194		U072
159	10/31/2020	R	F	92455 89	10696			906195		SP20
159	10/31/2020	R	F	113000	12202			906196		SP20
159	10/31/2020	R	F	90833 6	11578	Y		906197		U073
159	10/31/2020	R	F	166440 25	13645			906198		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906199		U072
159	10/31/2020	R	F	90833 6	10696	Y		906200		U073
159	10/31/2020	R	F	87318 4	11764	Y		906201		U072
159	10/31/2020	R	F	104146 8	11236			906202		SP20
159	10/31/2020	R	F	105751 39	10160			906203		SP20
159	10/31/2020	R	F	87318 4	11281	Y		906204		U072
159	10/31/2020	R	F	82000	12952			906205		SP20
159	10/31/2020	R	F	117203 75	11762			906206		SP20
159	10/31/2020	R	F	75600 23	12416			906207		SP20
159	10/31/2020	R	F	87318 4	11281	Y		906208		U072
159	10/31/2020	R	F	56620	12451			906209		SP20
159	10/31/2020	R	F	101383 77	12450			906210		SP20
159	10/31/2020	R	F	141686 2	13038			906211		SP20
159	10/31/2020	R	F	94328	11765	Y		906212		U072
159	10/31/2020	R	F	60948 71	13164			906213		SP20
159	10/31/2020	R	F	146380 12	13038			906214		SP20
159	10/31/2020	R	F	126790 09	12202			906215		SP20
159	10/31/2020	R	F	87318 4	11281	Y		906216		U072
159	10/31/2020	R	F	94328	11762	Y		906217		U072
159	10/31/2020	R	F	94328	11762	Y		906218		U072
159	10/31/2020	R	F	94328	11764	Y		906219		U072
159	10/31/2020	R	F	113027 2	11765			906220		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906221		U072
159	10/31/2020	R	F	96010 41	12703			906222		SP20
159	10/31/2020	R	F	93368 99	12751			906223		SP20
159	10/31/2020	R	F	110065 8	11108			906224		SP20
159	10/31/2020	R	F	82589 73	10494			906225		SP20
159	10/31/2020	R	F	167226 38	10114			906226		SP20
159	10/31/2020	R	F	103208 16	12450			906227		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906228		U072
159	10/31/2020	R	F	235923 06	12416			906229		SP20
159	10/31/2020	R	F	69150 4	12422			906230		SP20
159	10/31/2020	R	F	125484 87	11765			906231		SP20
159	10/31/2020	R	F	103837 6	13038			906232		SP20
159	10/31/2020	R	F	74487 74	11236			906233		SP20
159	10/31/2020	R	F	104223 63	11236			906234		SP20
159	10/31/2020	R	F	125000	12952			906235		SP20
159	10/31/2020	R	F	142413 98	12449			906236		SP20
159	10/31/2020	R	F	115791 22	12421			906237		SP20
159	10/31/2020	R	F	119844 4	13581			906238		SP20
159	10/31/2020	R	F	90833 6	11578	Y		906239		U073
159	10/31/2020	R	F	90833 6	11108	Y		906240		U072
159	10/31/2020	R	F	110097 57	12450			906241		SP20
159	10/31/2020	R	F	90833 6	13086	Y		906242		U072
159	10/31/2020	R	F	103646 17	12202			906243		SP20
159	10/31/2020	R	F	75830 79	12419			906244		SP20
159	10/31/2020	R	F	130408 23	12421			906245		SP20
159	10/31/2020	R	F	134769 55	11805			906246		SP20
159	10/31/2020	R	F	97822 4	11281	Y		906247		U072
159	10/31/2020	R	F	61918 83	12635			906248		SP20
159	10/31/2020	R	F	118171 88	11805			906249		SP20
159	10/31/2020	R	F	340000	12415			906250		SP20
159	10/31/2020	R	F	67163 2	11765	Y		906251		U072
159	10/31/2020	R	F	94328	11764	Y		906252		U072
159	10/31/2020	R	F	65124 8	10919	Y		906253		U073
159	10/31/2020	R	F	96971 3	10494			906254		SP20
159	10/31/2020	R	F	77479 39	12415			906255		SP20
159	10/31/2020	R	F	60302 58	12426			906256		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906257		U072
159	10/31/2020	R	F	92493 57	10748			906258		SP20
159	10/31/2020	R	F	159260 3	12450			906259		SP20

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159	10/31/2020	R	F	166549 08	13038			906260		SP20
159	10/31/2020	R	F	90833 6	10696	Y		906261		U073
159	10/31/2020	R	F	90833 6	13668	Y		906262		U073
159	10/31/2020	R	F	174869 55	13038			906263		SP20
159	10/31/2020	R	F	84676 71	11236			906264		SP20
159	10/31/2020	R	F	116757 93	11805			906265		SP20
159	10/31/2020	R	F	69139 2	13137	Y		906266		U072
159	10/31/2020	R	F	65332 8	10160	Y		906267		U072
159	10/31/2020	R	F	95610	12202			906268		SP20
159	10/31/2020	R	F	137923	12420			906269		SP20
159	10/31/2020	R	F	65124 8	12281	Y		906270		U072
159	10/31/2020	R	F	96299 53	10694			906271		SP20
159	10/31/2020	R	F	48339 2	11488	Y		906272		U072
159	10/31/2020	R	F	48339 2	11488	Y		906273		U072
159	10/31/2020	R	F	59053 31	13668			906274		SP20
159	10/31/2020	R	F	88804 52	12952			906275		SP20
159	10/31/2020	R	F	128895 91	11750			906276		SP20
159	10/31/2020	R	F	65332 8	10137	Y		906277		U073
159	10/31/2020	R	F	135000	12751			906278		SP20
159	10/31/2020	R	F	94328	11770	Y		906279		U073
159	10/31/2020	R	F	111853 09	12488			906280		SP20
159	10/31/2020	R	F	65332 8	10160	Y		906281		U072
159	10/31/2020	R	F	79747 2	11356	Y		906282		U072
159	10/31/2020	R	F	115364 66	13673			906283		SP20
159	10/31/2020	R	F	90833 6	10696	Y		906284		U073
159	10/31/2020	R	F	90833 6	11578	Y		906285		U073
159	10/31/2020	R	F	61356 26	12420			906286		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906287		U072
159	10/31/2020	R	F	55854 55	12204			906288		SP20
159	10/31/2020	R	F	83491 2	13140	Y		906289		U073
159	10/31/2020	R	F	94328	11763	Y		906290		U072
159	10/31/2020	R	F	109300 89	12202			906291		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906292		U072
159	10/31/2020	R	F	107086 6	12996			906293		SP20
159	10/31/2020	R	F	106000	11579			906294		SP20
159	10/31/2020	R	F	90833 6	11579	Y		906295		U073
159	10/31/2020	R	F	90833 6	10696	Y		906296		U073
159	10/31/2020	R	F	87318 4	11770	Y		906297		U073
159	10/31/2020	R	F	57738 4	13038			906298		SP20
159	10/31/2020	R	F	259611	13038			906299		SP20
159	10/31/2020	R	F	145000	12449			906300		SP20
159	10/31/2020	R	F	65332 8	10160	Y		906301		U072
159	10/31/2020	R	F	94328	11770	Y		906302		U073
159	10/31/2020	R	F	94328	11770	Y		906303		U073
159	10/31/2020	R	F	115156 33	10694			906304		SP20
159	10/31/2020	R	F	109156 08	13668			906305		SP20
159	10/31/2020	R	F	103589 8	12991			906306		SP20
159	10/31/2020	R	F	122115	11676			906307		SP20
159	10/31/2020	R	F	94328	11764	Y		906308		U072
159	10/31/2020	R	F	87318 4	11764	Y		906309		U072
159	10/31/2020	R	F	120484 71	11763			906310		SP20
159	10/31/2020	R	F	57580 48	13673			906311		SP20
159	10/31/2020	R	F	94328	11762	Y		906312		U072
159	10/31/2020	R	F	102642 75	11749			906313		SP20
159	10/31/2020	R	F	110746 59	12952			906314		SP20
159	10/31/2020	R	F	93840	11762			906315		SP20
159	10/31/2020	R	F	87318 4	11770	Y		906316		U073
159	10/31/2020	R	F	116390	12202			906317		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906318		U072
159	10/31/2020	R	F	129359 79	12449			906319		SP20
159	10/31/2020	R	F	229248 6	12422			906320		SP20
159	10/31/2020	R	F	125000	13752			906321		SP20
159	10/31/2020	R	F	89939 2	12560	Y		906322		U073
159	10/31/2020	R	F	87318 4	11770	Y		906323		U073
159	10/31/2020	R	F	65332 8	10160	Y		906324		U072
159	10/31/2020	R	F	131253 75	13038			906325		SP20
159	10/31/2020	R	F	94328	11770	Y		906326		U073
159	10/31/2020	R	F	92852 17	12751			906327		SP20
159	10/31/2020	R	F	101675 67	12451			906328		SP20
159	10/31/2020	R	F	56993 08	11281			906329		SP20
159	10/31/2020	R	F	176162 45	13038			906330		SP20
159	10/31/2020	R	F	90493 71	11749			906331		SP20
159	10/31/2020	R	F	87318 4	11764	Y		906332		U072
159	10/31/2020	R	F	61900 8	12996	Y		906333		U072
159	10/31/2020	R	F	89939 2	11397	Y		906334		U072
159	10/31/2020	R	F	74065 56	13646			906335		SP20
159	10/31/2020	R	F	95129	11805			906336		SP20
159	10/31/2020	R	F	90833 6	13672	Y		906337		U073
159	10/31/2020	R	F	87318 4	10511	Y		906338		U073
159	10/31/2020	R	F	87318 4	11770	Y		906339		U073
159	10/31/2020	R	F	68829 7	12450			906340		SP20
159	10/31/2020	R	F	64474 7	11295			906341		SP20
159	10/31/2020	R	F	83491 2	13137	Y		906342		U072
159	10/31/2020	R	F	87318 4	11281	Y		906343		U072
159	10/31/2020	R	F	60630 62	11752			906344		SP20

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159	10/31/2020	R	F	82180 18	11752			906346		SP20
159	10/31/2020	R	F	87318 4	11765	Y		906347		U072
159	10/31/2020	R	F	95036 56	12202			906348		SP20
159	10/31/2020	R	F	94328	13672	Y		906349		U073
159	10/31/2020	R	F	90833 6	10696	Y		906350		U073
159	10/31/2020	R	F	93215	12635			906351		SP20
159	10/31/2020	R	F	90833 6	13086	Y		906352		U072
159	10/31/2020	R	F	90833 6	11108	Y		906353		U072
159	10/31/2020	R	F	90833 6	11578	Y		906354		U073
159	10/31/2020	R	F	123201 7	13164			906355		SP20
159	10/31/2020	R	F	48883 54	12473			906356		SP20
159	10/31/2020	R	F	94328	13672	Y		906357		U073
159	10/31/2020	R	F	79747 2	10696	Y		906358		U073
159	10/31/2020	R	F	90833 6	11108	Y		906359		U072
159	10/31/2020	R	F	109103 83	11805			906360		SP20
159	10/31/2020	R	F	94328	11764	Y		906361		U072
159	10/31/2020	R	F	87318 4	11764	Y		906362		U072
159	10/31/2020	R	F	112084 07	11578			906363		SP20
159	10/31/2020	R	F	90833 6	10696	Y		906364		U073
159	10/31/2020	R	F	98756 4	12202			906365		SP20
159	10/31/2020	R	F	48489 69	10110			906366		SP20
159	10/31/2020	R	F	50097 88	12204			906367		SP20
159	10/31/2020	R	F	58422 91	11356			906368		SP20
159	10/31/2020	R	F	65332 8	13461	Y		906369		U072
159	10/31/2020	R	F	91975 46	11805			906370		SP20
159	10/31/2020	R	F	87318 4	11770	Y		906371		U073
159	10/31/2020	R	F	90833 6	11108	Y		906372		U072
159	10/31/2020	R	F	68952	12281	Y		906373		U072
159	10/31/2020	R	F	61900 8	12473	Y		906374		U072
159	10/31/2020	R	F	119700	11805			906375		SP20
159	10/31/2020	R	F	112000	10696			906376		SP20
159	10/31/2020	R	F	90833 6	11551	Y		906377		U072
159	10/31/2020	R	F	87318 4	11765	Y		906378		U072
159	10/31/2020	R	F	87318 4	11765	Y		906379		U072
159	10/31/2020	R	F	90833 6	11551	Y		906380		U072
159	10/31/2020	R	F	143800	11356			906381		SP20
159	10/31/2020	R	F	87318 4	13086	Y		906382		U072
159	10/31/2020	R	F	61900 8	12996	Y		906383		U072
159	10/31/2020	R	F	91083 2	11397	Y		906384		U072
159	10/31/2020	R	F	65332 8	11676	Y		906385		U073
159	10/31/2020	R	F	62551 34	13673			906386		SP20
159	10/31/2020	R	F	65332 8	10160	Y		906387		U072
159	10/31/2020	R	F	54400 55	10824			906388		SP20
159	10/31/2020	R	F	86311 02	12281			906389		SP20
159	10/31/2020	R	F	51377 36	13644			906390		SP20
159	10/31/2020	R	F	44711 44	10110			906391		SP20
159	10/31/2020	R	F	89939 2	11397	Y		906392		U072
159	10/31/2020	R	F	85535 21	12426			906393		SP20
159	10/31/2020	R	F	107549 42	11236			906394		SP20
159	10/31/2020	R	F	108742 25	13086			906395		SP20
159	10/31/2020	R	F	150256 4	11749			906396		SP20
159	10/31/2020	R	F	46798 21	10110			906397		SP20
159	10/31/2020	R	F	96686 64	11805			906398		SP20
159	10/31/2020	R	F	106108 78	13287			906399		SP20
159	10/31/2020	R	F	86030	11805			906400		SP20
159	10/31/2020	R	F	127321 31	13414			906401		SP20
159	10/31/2020	R	F	89939 2	12560	Y		906402		U073
159	10/31/2020	R	F	48365 62	11579			906403		SP20
159	10/31/2020	R	F	107674	11762			906404		SP20
159	10/31/2020	R	F	76793 6	13140	Y		906405		U073
159	10/31/2020	R	F	148306 95	11805			906406		SP20
159	10/31/2020	R	F	81311	13673			906407		SP20
159	10/31/2020	R	F	87318 4	11762	Y		906408		U072
159	10/31/2020	R	F	90833 6	13668	Y		906409		U073
159	10/31/2020	R	F	93179 89	11805			906410		SP20
159	10/31/2020	R	F	87318 4	11402	Y		906411		U073
159	10/31/2020	R	F	87318 4	11281	Y		906412		U072
159	10/31/2020	R	F	97705 8	12202			906413		SP20
159	10/31/2020	R	F	55625 53	12204			906414		SP20
159	10/31/2020	R	F	90833 6	11551	Y		906415		U072
159	10/31/2020	R	F	78537 66	11805			906416		SP20
159	10/31/2020	R	F	94328	11763	Y		906417		U072
159	10/31/2020	R	F	90833 6	11551	Y		906418		U072
159	10/31/2020	R	F	116269 98	11108			906419		SP20
159	10/31/2020	R	F	65332 8	11676	Y		906420		U073
159	10/31/2020	R	F	78080 93	11236			906421		SP20
159	10/31/2020	R	F	65332 8	10160	Y		906422		U072
159	10/31/2020	R	F	90833 6	13668	Y		906423		U073
159	10/31/2020	R	F	65332 8	10160	Y		906424		U072
159	10/31/2020	R	F	91312 32	11488			906425		SP20
159	10/31/2020	R	F	94328	13672	Y		906426		U073
159	10/31/2020	R	F	90833 6	13668	Y		906427		U073
159	10/31/2020	R	F	87318 4	10511	Y		906428		U073
159	10/31/2020	R	F	75977 31	11805			906429		SP20

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159	10/31/2020	R	F	76793 6	13140	Y		906432		U073
159	10/31/2020	R	F	90833 6	11108	Y		906433		U072
159	10/31/2020	R	F	87318 4	13672	Y		906434		U073
159	10/31/2020	R	F	68952	12282	Y		906435		U073
159	10/31/2020	R	F	172061	12419			906436		SP20
159	10/31/2020	R	F	90833 6	11579	Y		906437		U073
159	10/31/2020	R	F	87318 4	13672	Y		906438		U073
159	10/31/2020	R	F	61174 5	13673			906439		SP20
159	10/31/2020	R	F	55796	13646			906440		SP20
159	10/31/2020	R	F	86189 66	10694			906441		SP20
159	10/31/2020	R	F	121320 8	13673			906442		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906443		U072
159	10/31/2020	R	F	87318 4	11765	Y		906444		U072
159	10/31/2020	R	F	76793 6	13137	Y		906445		U072
159	10/31/2020	R	F	72550 4	11770	Y		906446		U073
159	10/31/2020	R	F	99240 5	12202			906447		SP20
159	10/31/2020	R	F	90833 6	11108	Y		906448		U072
159	10/31/2020	R	F	109664 45	11762			906449		SP20
159	10/31/2020	R	F	94328	11762	Y		906450		U072
159	10/31/2020	R	F	90833 6	11108	Y		906451		U072
159	10/31/2020	R	F	91707 2	11281	Y		906452		U072
159	10/31/2020	R	F	59758 4	12281	Y		906453		U072
159	10/31/2020	R	F	61900 8	12996	Y		906454		U072
159	10/31/2020	R	F	65332 8	10160	Y		906455		U072
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159	10/31/2020	R	F	65332 8	10160	Y		906460		U072
159	10/31/2020	R	F	89915 06	12717			906461		SP20
159	10/31/2020	R	F	97023	10694			906462		SP20
159	10/31/2020	R	F	97026	10694			906463		SP20
159	10/31/2020	R	F	75400	11805			906464		SP20
159	10/31/2020	R	F	97680 6	11295			906465		SP20
159	10/31/2020	R	F	87318 4	10511	Y		906466		U073
159	10/31/2020	R	F	59758 4	12282	Y		906467		U073
159	10/31/2020	R	F	89378 4	11805			906468		SP20
159	10/31/2020	R	F	169149 9	12420			906469		SP20
159	10/31/2020	R	F	56691 6	11295			906470		SP20
159	10/31/2020	R	F	99454 89	12717			906471		SP20
159	10/31/2020	R	F	134550	10494			906472		SP20
159	10/31/2020	R	F	64860	12450			906473		SP20
159	10/31/2020	R	F	88162	11805			906474		SP20
159	10/31/2020	R	F	139465 27	13425			906475		SP20
159	10/31/2020	R	F	94328	11762	Y		906476		U072
159	10/31/2020	R	F	90833 6	11108	Y		906477		U072
159	10/31/2020	R	F	94328	11762	Y		906478		U072
159	10/31/2020	R	F	90833 6	11551	Y		906479		U072
159	10/31/2020	R	F	55442 16	12635			906480		SP20
159	10/31/2020	R	F	87318 4	13091	Y		906481		U072
159	10/31/2020	R	F	90833 6	13079	Y		906482		U072
159	10/31/2020	R	F	87318 4	13079	Y		906483		U072
159	10/31/2020	R	F	70782 4	13122	Y		906484		U072
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159	10/31/2020	R	F	65124 8	13112	Y		906486		U072
159	10/31/2020	R	F	64916 8	13086	Y		906487		U072
159	10/31/2020	R	F	116395 01	13079			906488		SP20
159	10/31/2020	R	F	65332 8	13461	Y		906489		U072
159	10/31/2020	R	F	60715 76	13075			906490		SP20
159	10/31/2020	R	F	89939 2	11397	Y		906491		U072
159	10/31/2020	R	F	90080 9	12450			906492		SP20
159	10/31/2020	R	F	90833 6	13091	Y		906493		U072
159	10/31/2020	R	F	48006 4	13091	Y		906494		U072
159	10/31/2020	R	F	101054 61	13122			906495		SP20
159	10/31/2020	R	F	70782 4	13122	Y		906496		U072
159	10/31/2020	R	F	99194 15	13425			906497		SP20
159	10/31/2020	R	F	94328	13091	Y		906498		U072
159	10/31/2020	R	F	94328	13079	Y		906499		U072
159	10/31/2020	R	F	90833 6	13079	Y		906500		U072
159	10/31/2020	R	F	90833 6	13091	Y		906501		U072
159	10/31/2020	R	F	90833 6	13091	Y		906502		U072
159	10/31/2020	R	F	90833 6	13086	Y		906503		U072
159	10/31/2020	R	F	87318 4	13079	Y		906504		U072
159	10/31/2020	R	F	70782 4	13122	Y		906505		U072
159	10/31/2020	R	F	90833 6	13086	Y		906506		U072
159	10/31/2020	R	F	69139 2	13115	Y		906507		U072
159	10/31/2020	R	F	94328	13079	Y		906508		U072
159	10/31/2020	R	F	71445 09	13461			906509		SP20
159	10/31/2020	R	F	90833 6	13079	Y		906510		U072
159	10/31/2020	R	F	55556 8	13079	Y		906511		U072
159	10/31/2020	R	F	94328	13091	Y		906512		U072
159	10/31/2020	R	F	87318 4	13091	Y		906513		U072
159	10/31/2020	R	F	94328	13086	Y		906514		U072

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159	10/31/2020	R	F	87318 4	13086	Y		906517		U072
159	10/31/2020	R	F	65512 652	13122			906518		SP20
159	10/31/2020	R	F	109290 63	13091			906519		SP20
159	10/31/2020	R	F	64916 8	13079	Y		906520		U072
159	10/31/2020	R	F	87318 4	13079	Y		906521		U072
159	10/31/2020	R	F	55556 8	11752	Y		906522		U072
159	10/31/2020	R	F	90833 6	13079	Y		906523		U072
159	10/31/2020	R	F	90833 6	13079	Y		906524		U072
159	10/31/2020	R	F	94328	13079	Y		906525		U072
159	10/31/2020	R	F	82637 83	13461			906526		SP20
159	10/31/2020	R	F	87318 4	13079	Y		906527		U072
159	10/31/2020	R	F	60325	13673			906528		SP20
159	10/31/2020	R	F	90833 6	13091	Y		906529		U072
159	10/31/2020	R	F	90833 6	13091	Y		906530		U072
159	10/31/2020	R	F	65332 8	13461	Y		906531		U072
159	10/31/2020	R	F	90833 6	11108	Y		906532		U072
159	10/31/2020	R	F	103602 87	11750			906533		SP20
159	10/31/2020	R	F	87318 4	12473	Y		906534		U072
159	10/31/2020	R	F	80745 6	11281	Y		906535		U072
159	10/31/2020	R	F	100000	13461			906536		SP20
159	10/31/2020	R	F	87318 4	11763	Y		906537		U072
159	10/31/2020	R	F	49374 12	10694			906538		SP20
159	10/31/2020	R	F	44572 26	10110			906539		SP20
159	10/31/2020	R	F	44945 28	10110			906540		SP20
159	10/31/2020	R	F	87318 4	11764	Y		906541		U072
159	10/31/2020	R	F	65332 8	10160	Y		906542		U072
159	10/31/2020	R	F	87318 4	13079	Y		906543		U072
159	10/31/2020	R	F	145045 17	12952			906544		SP20
159	10/31/2020	R	F	87318 4	13091	Y		906545		U072
159	10/31/2020	R	F	87318 4	13086	Y		906546		U072
159	10/31/2020	R	F	87318 4	11762	Y		906547		U072
159	10/31/2020	R	F	90958 4	13122	Y		906548		U072
159	10/31/2020	R	F	87318 4	11762	Y		906549		U072
159	10/31/2020	R	F	87318 4	11763	Y		906550		U072
159	10/31/2020	R	F	66010 77	10694			906551		SP20
159	10/31/2020	R	F	87318 4	11765	Y		906552		U072
159	10/31/2020	R	F	59758 4	12281	Y		906553		U072
159	10/31/2020	R	F	56123 1	11356			906554		SP20
159	10/31/2020	R	F	48198 28	11752			906555		SP20
159	10/31/2020	R	F	94328	13671	Y		906556		U073
159	10/31/2020	R	F	65332 8	10160	Y		906557		U072
159	10/31/2020	R	F	87027 2	12560	Y		906558		U073
159	10/31/2020	R	F	101868 38	11749			906559		SP20
159	10/31/2020	R	F	54558 4	11488	Y		906560		U072
159	10/31/2020	R	F	48216	11356			906561		SP20
159	10/31/2020	R	F	93268 56	11805			906562		SP20
159	10/31/2020	R	F	90833 6	13086	Y		906563		U072
159	10/31/2020	R	F	93964 36	13038			906564		SP20
159	10/31/2020	R	F	40934 4	11488	Y		906565		U072
159	10/31/2020	R	F	91595	13581			906566		SP20
159	10/31/2020	R	F	94328	13086	Y		906567		U072
159	10/31/2020	R	F	47129	13646			906568		SP20
159	10/31/2020	R	F	87318 4	11763	Y		906569		U072
159	10/31/2020	R	F	89000	11805			906570		SP20
159	10/31/2020	R	F	65686 4	11762	Y		906571		U072
159	10/31/2020	R	F	43802	10110			906572		SP20
159	10/31/2020	R	F	87318 4	11764	Y		906573		U072
159	10/31/2020	R	F	87318 4	11762	Y		906574		U072
159	10/31/2020	R	F	90833 6	11108	Y		906575		U072
159	10/31/2020	R	F	65332 8	10137	Y		906576		U073
159	10/31/2020	R	F	90833 6	11108	Y		906577		U072
159	10/31/2020	R	F	94328	11772	Y		906578		U073
159	10/31/2020	R	F	48006 4	13091	Y		906579		U072
159	10/31/2020	R	F	76793 6	13137	Y		906580		U072
159	10/31/2020	R	F	87318 4	13672	Y		906581		U073
159	10/31/2020	R	F	65332 8	11676	Y		906582		U073
159	10/31/2020	R	F	87318 4	11762	Y		906583		U072
159	10/31/2020	R	F	87318 4	13079	Y		906584		U072
159	10/31/2020	R	F	87318 4	11764	Y		906585		U072
159	10/31/2020	R	F	69540 93	11236			906586		SP20
159	10/31/2020	R	F	76793 6	13137	Y		906587		U072
159	10/31/2020	R	F	86140 84	11805			906588		SP20
159	10/31/2020	R	F	104545	10694			906589		SP20
159	10/31/2020	R	F	65332 8	10160	Y		906590		U072
159	10/31/2020	R	F	89597 97	12420			906591		SP20
159	10/31/2020	R	F	77666 4	13038			906592		SP20
159	10/31/2020	R	F	87318 4	11772	Y		906593		U073
159	10/31/2020	R	F	87318 4	11763	Y		906594		U072
159	10/31/2020	R	F	94328	11772	Y		906595		U073
159	10/31/2020	R	F	39520	10110			906596		SP20
159	10/31/2020	R	F	87318 4	11762	Y		906597		U072
159	10/31/2020	R	F	87318 4	13671	Y		906598		U073
159	10/31/2020	R	F	80620 8	13115	Y		906599		U072

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159	10/31/2020	R	F	53414 4	11770	Y		906603		U073
159	10/31/2020	R	F	93000	12426			906604		SP20
159	10/31/2020	R	F	85404 8	11397	Y		906605		U072
159	10/31/2020	R	F	76793 6	13137	Y		906606		U072
159	10/31/2020	R	F	79143 75	11805			906607		SP20
159	10/31/2020	R	F	67666 45	12426			906608		SP20
159	10/31/2020	R	F	47095 46	11752			906609		SP20
159	10/31/2020	R	F	66950	12751			906610		SP20
159	10/31/2020	R	F	63003 2	13672	Y		906611		U073
159	10/31/2020	R	F	89000	11805			906612		SP20
159	10/31/2020	R	F	87318 4	13672	Y		906613		U073
159	10/31/2020	R	F	91841 58	10694			906614		SP20
159	10/31/2020	R	F	75400	11805			906615		SP20
159	10/31/2020	R	F	42840	11752			906616		SP20
159	10/31/2020	R	F	46314	13646			906617		SP20
159	10/31/2020	R	F	70988 82	11236			906618		SP20
159	10/31/2020	R	F	53414 4	13086	Y		906619		U072
159	10/31/2020	R	F	79783 7	11236			906620		SP20
159	10/31/2020	R	F	127335 75	11236			906621		SP20
159	10/31/2020	R	F	65000	12451			906622		SP20
159	10/31/2020	R	F	72550 4	13079	Y		906623		U072
159	10/31/2020	R	F	69846 4	11762	Y		906624		U072
159	10/31/2020	R	F	46649 12	11295			906625		SP20
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159	10/31/2020	R	F	76793 6	13137	Y		906627		U072
159	10/31/2020	R	F	63606 4	13115	Y		906628		U072
159	10/31/2020	R	F	69314 64	11236			906629		SP20
159	10/31/2020	R	F	68275 2	11236			906630		SP20
159	10/31/2020	R	F	61196	12426			906631		SP20
159	10/31/2020	R	F	69846 4	11762	Y		906632		U072
159	10/31/2020	R	F	69846 4	11762	Y		906633		U072
159	10/31/2020	R	F	69846 4	11762	Y		906634		U072
159	10/31/2020	R	F	69846 4	11762	Y		906635		U072
159	10/31/2020	R	F	69846 4	11762	Y		906636		U072
159	10/31/2020	R	F	44428 8	12282	Y		906637		U073
159	10/31/2020	R	F	65686 4	13086	Y		906638		U072
159	10/31/2020	R	F	54558 4	11488	Y		906639		U072
159	10/31/2020	R	F	57408	12281	Y		906640		U072
159	10/31/2020	R	F	142526 25	12456			906641		SP20
159	10/31/2020	R	F	55224	12281	Y		906642		U072
159	10/31/2020	R	F	65686 4	13091	Y		906643		U072
159	10/31/2020	R	F	69846 4	11762	Y		906644		U072
159	10/31/2020	R	F	65686.4	13079	Y		906645		U072
159	10/31/2020	R	F	57311 81	11295			906646		SP20
159	10/31/2020	R	F	54558 4	11676	Y		906647		U073
159	10/31/2020	R	F	54558 4	10137	Y		906648		U073
159	10/31/2020	R	F	55224	12282	Y		906649		U073
159	10/31/2020	R	F	65686 4	11762	Y		906650		U072
159	10/31/2020	R	F	65686 4	11762	Y		906651		U072
159	10/31/2020	R	F	46226 4	12635			906652		SP20
159	10/31/2020	R	F	87318 4	11762	Y		906653		U072
159	10/31/2020	R	F	87318 4	13086	Y		906654		U072
159	10/31/2020	R	F	64417 6	11762	Y		906655		U072
159	10/31/2020	R	F	64417 6	11762	Y		906656		U072
159	10/31/2020	R	F	60000	11676			906657		SP20
159	10/31/2020	R	F	69846 4	11402	Y		906658		U073
159	10/31/2020	R	F	83900	12420			906659		SP20
159	10/31/2020	R	F	48551	10696			906660		SP20
159	10/31/2020	R	F	54558 4	11676	Y		906661		U073
159	10/31/2020	R	F	63003 2	13671	Y		906662		U073
159	10/31/2020	R	F	65686 4	13091	Y		906663		U072
159	10/31/2020	R	F	85404 8	11397	Y		906664		U072
159	10/31/2020	R	F	87210	12751			906665		SP20
159	10/31/2020	R	F	71820	12751			906666		SP20
159	10/31/2020	R	F	64417 6	11772	Y		906667		U073
159	10/31/2020	R	F	50107.2	11508	Y		906668		U073
159	10/31/2020	R	F	68500	11805			906669		SP20
159	10/31/2020	R	F	68500	11805			906670		SP20
159	10/31/2020	R	F	64417 6	11762	Y		906671		U072
159	10/31/2020	R	F	63003 2	11762	Y		906672		U072
159	10/31/2020	R	F	63003.2	11762	Y		906673		U072
159	10/31/2020	R	F	63003 2	11762	Y		906674		U072
159	10/31/2020	R	F	50107 2	13112	Y		906675		U072
159	10/31/2020	R	F	47200	11578			906676		SP20
159	10/31/2020	R	F	68000	11805			906677		SP20
159	10/31/2020	R	F	68000	11805			906678		SP20
159	10/31/2020	R	F	63003 2	11762	Y		906679		U072
159	10/31/2020	R	F	65000	12952			906680		SP20
159	10/31/2020	R	F	61588 8	11762	Y		906681		U072
159	10/31/2020	R	F	61588 8	11762	Y		906682		U072
159	10/31/2020	R	F	61588 8	11762	Y		906683		U072
159	10/31/2020	R	F	61588 8	11762	Y		906684		U072

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159	10/31/2020	R	F	87318 4	13672	Y		906685		U073
159	10/31/2020	R	F	61588 8	11762	Y		906686		U072
159	10/31/2020	R	F	72550 4	13671	Y		906687		U073
159	10/31/2020	R	F	46072	11488	Y		906688		U072
159	10/31/2020	R	F	64417 6	13091	Y		906689		U072
159	10/31/2020	T	F	39520	11762			906690		UNGR
159	10/31/2020	R	F	64500	11236			906691		SP20
159	10/31/2020	R	F	64500	11236			906692		SP20
159	10/31/2020	R	F	61588 8	13461	Y		906693		U072
159	10/31/2020	R	F	61588 8	13461	Y		906694		U072
159	10/31/2020	R	F	61588 8	11772	Y		906695		U073
159	10/31/2020	R	F	61588 8	11281	Y		906696		U072
159	10/31/2020	R	F	61588 8	13671	Y		906697		U073
159	10/31/2020	R	F	61588 8	13671	Y		906698		U073
194	10/31/2020	R	F	59758 4	13714	Y		906699		U074
194	10/31/2020	R	F	83664 24	13714			906700		SP20
194	10/31/2020	R	F	95600	10906			906701		SP20
194	10/31/2020	R	F	90833 6	13565	Y		906702		U073
194	10/31/2020	R	F	90833 6	10906	Y		906703		U074
194	10/31/2020	R	F	116000	13153			906704		SP20
194	10/31/2020	R	F	125012 89	11316			906705		SP20
194	10/31/2020	R	F	54600	13154			906706		SP20
194	10/31/2020	R	F	110000	13154			906707		SP20
194	10/31/2020	R	F	80492 99	13714			906708		SP20
194	10/31/2020	R	F	70000	13714			906709		SP20
194	10/31/2020	R	F	129528 34	13318			906710		SP20
194	10/31/2020	R	F	103103	10633			906711		SP20
194	10/31/2020	R	F	103000	10633			906712		SP20
194	10/31/2020	R	F	115952 66	10633			906713		SP20
194	10/31/2020	R	F	68952	13714	Y		906714		U074
194	10/31/2020	R	F	120511 61	13491			906715		SP20
194	10/31/2020	R	F	87318 4	13329	Y		906716		U074
194	10/31/2020	R	F	96623 57	13278			906717		SP20
194	10/31/2020	R	F	102205 87	10633			906718		SP20
194	10/31/2020	R	F	95679 39	13329			906719		SP20
194	10/31/2020	R	F	95826 96	10915			906720		SP20
194	10/31/2020	R	F	126020 5	10633			906721		SP20
194	10/31/2020	R	F	93275	10915			906722		SP20
194	10/31/2020	R	F	95826 96	13565			906723		SP20
194	10/31/2020	R	F	87318 4	13565	Y		906724		U073
194	10/31/2020	R	F	134000	10633			906725		SP20
194	10/31/2020	R	F	110800	10906			906726		SP20
194	10/31/2020	R	F	95997 52	13330			906727		SP20
194	10/31/2020	R	F	90833 6	13565	Y		906728		U073
194	10/31/2020	R	F	117600	13490			906729		SP20
194	10/31/2020	R	F	90833 6	10906	Y		906730		U074
194	10/31/2020	R	F	56561 68	13153			906731		SP20
194	10/31/2020	R	F	96844 8	13318	Y		906732		U073
194	10/31/2020	R	F	95768 826	13565			906733		SP20
194	10/31/2020	R	F	116564	10633			906734		SP20
194	10/31/2020	R	F	82659	10633			906735		SP20
194	10/31/2020	R	F	94750	10906			906736		SP20
194	10/31/2020	R	F	70000	13714			906737		SP20
194	10/31/2020	R	F	107800	10915			906738		SP20
194	10/31/2020	R	F	87318 4	13330	Y		906739		U074
194	10/31/2020	R	F	90833 6	13565	Y		906740		U073
194	10/31/2020	R	F	87318 4	10915	Y		906741		U072
194	10/31/2020	R	F	90833 6	10915	Y		906742		U072
194	10/31/2020	R	F	96844 8	11158	Y		906743		U074
194	10/31/2020	R	F	116564	10633			906744		SP20
194	10/31/2020	R	F	96844 8	13490	Y		906745		U072
194	10/31/2020	R	F	83200	13491			906746		SP20
194	10/31/2020	R	F	96500	13153			906747		SP20
194	10/31/2020	R	F	96357 01	13278			906748		SP20
194	10/31/2020	R	F	90833 6	10915	Y		906749		U072
194	10/31/2020	R	F	93146 39	10633			906750		SP20
194	10/31/2020	R	F	87318 4	13565	Y		906751		U073
194	10/31/2020	R	F	95750	13329			906752		SP20
194	10/31/2020	R	F	87318 4	13565	Y		906753		U073
194	10/31/2020	R	F	80745 6	13329	Y		906754		U074
194	10/31/2020	R	F	74380 8	10906	Y		906755		U074
194	10/31/2020	R	F	87318 4	10906	Y		906756		U074
194	10/31/2020	R	F	87318 4	10915	Y		906757		U072
194	10/31/2020	R	F	80745 6	13329	Y		906758		U074
194	10/31/2020	R	F	87318 4	13329	Y		906759		U074
194	10/31/2020	R	F	87318 4	13330	Y		906760		U074
194	10/31/2020	R	F	74380 8	10915	Y		906761		U072
194	10/31/2020	R	F	87318 4	13565	Y		906762		U073
194	10/31/2020	R	F	80454 4	13490	Y		906763		U072
194	10/31/2020	R	F	96844 8	13318	Y		906764		U073
194	10/31/2020	R	F	83054 4	13318	Y		906765		U073
194	10/31/2020	R	F	96844 8	13490	Y		906766		U072
194	10/31/2020	R	F	82950 4	13565	Y		906767		U073
194	10/31/2020	R	F	87318 4	13565	Y		906768		U073
194	10/31/2020	R	F	87318 4	10906	Y		906769		U074

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194	10/31/2020	R	F	76564 8	10915	Y		906771		U072
194	10/31/2020	R	F	82950 4	13565	Y		906772		U073
194	10/31/2020	R	F	77979 2	10906	Y		906773		U074
194	10/31/2020	R	F	78124 8	11316	Y		906774		U073
194	10/31/2020	R	F	77937 6	13329	Y		906775		U074
194	10/31/2020	R	F	83054 4	13490	Y		906776		U072
194	10/31/2020	R	F	83054 4	11158	Y		906777		U074
194	10/31/2020	R	F	83054 4	11158	Y		906778		U074
194	10/31/2020	R	F	77979 2	10906	Y		906779		U074
194	10/31/2020	R	F	77979 2	13565	Y		906780		U073
194	10/31/2020	R	F	65790 4	11158	Y		906781		U074
194	10/31/2020	R	F	76564 8	10915	Y		906782		U072
194	10/31/2020	R	F	74963 2	13491			906783		SP20
194	10/31/2020	R	F	80454 4	13318	Y		906784		U073
194	10/31/2020	R	F	77979 2	10906	Y		906785		U074
194	10/31/2020	R	F	100117	10633			906786		SP20
194	10/31/2020	R	F	67953 6	10915	Y		906787		U072
194	10/31/2020	R	F	80454 4	13318	Y		906788		U073
194	10/31/2020	R	F	106800	13565			906789		SP20
194	10/31/2020	R	F	72217 6	10906	Y		906790		U074
194	10/31/2020	R	F	71448	11316	Y		906791		U073
194	10/31/2020	R	F	65790 4	13318	Y		906792		U073
194	10/31/2020	R	F	74380 8	13565	Y		906793		U073
194	10/31/2020	R	F	69264	11158	Y		906794		U074
194	10/31/2020	R	F	117600	11158			906795		SP20
194	10/31/2020	R	F	69264	13490	Y		906796		U072
194	10/31/2020	R	F	64417 6	13329	Y		906797		U074
194	10/31/2020	R	F	67537 6	11158	Y		906798		U074
194	10/31/2020	R	F	67537 6	13490	Y		906799		U072
194	10/31/2020	R	F	107800	13565			906800		SP20
194	10/31/2020	R	F	67537 6	11316	Y		906801		U073
194	10/31/2020	R	F	64022 4	11158	Y		906802		U074
194	10/31/2020	R	F	47236 8	13714	Y		906803		U074
194	10/31/2020	R	F	65790 4	11316	Y		906804		U073
194	10/31/2020	R	F	65790 4	13318	Y		906805		U073
194	10/31/2020	R	F	65790 4	11316	Y		906806		U073
194	10/31/2020	R	F	64022 4	13490	Y		906807		U072
194	10/31/2020	R	F	82000	13491			906808		SP20
194	10/31/2020	R	F	69971 2	13565	Y		906809		U073
194	10/31/2020	R	F	69692	10633			906810		SP20
161	10/31/2020	R	F	143374 37	12457			906811		SP20
161	10/31/2020	R	F	188789 04	12923			906812		SP20
161	10/31/2020	R	F	90833 6	11573	Y		906813		U074
161	10/31/2020	R	F	52686 48	11768			906814		SP20
161	10/31/2020	R	F	69700 8	11494	Y		906815		U074
161	10/31/2020	R	F	99358 12	12450			906816		SP20
161	10/31/2020	R	F	90833 6	11573	Y		906817		U074
161	10/31/2020	R	F	87318 4	11402	Y		906818		U074
161	10/31/2020	R	F	63371 34	12702			906819		SP20
161	10/31/2020	R	F	110817 67	10551			906820		SP20
161	10/31/2020	R	F	56013 01	11571			906821		SP20
161	10/31/2020	R	F	90833 6	11573	Y		906822		U074
161	10/31/2020	R	F	59987 2	13669	Y		906823		U074
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161	10/31/2020	R	F	90833 6	11494	Y		906825		U074
161	10/31/2020	R	F	99945 61	10551			906826		SP20
161	10/31/2020	R	F	126852 23	11768			906827		SP20
161	10/31/2020	R	F	94587 11	10551			906828		SP20
161	10/31/2020	R	F	65332 8	11268	Y		906829		U074
161	10/31/2020	R	F	40934 4	10137	Y		906830		U074
161	10/31/2020	R	F	65332 8	11268	Y		906831		U074
161	10/31/2020	R	F	66950	12751			906832		SP20
161	10/31/2020	R	F	102198 9	10551			906833		SP20
161	10/31/2020	R	F	110562 72	12488			906834		SP20
161	10/31/2020	R	F	90833 6	11494	Y		906835		U074
161	10/31/2020	R	F	109676 77	11571			906836		SP20
161	10/31/2020	R	F	84801 6	11570	Y		906837		U073
161	10/31/2020	R	F	90833 6	13676	Y		906838		U073
161	10/31/2020	R	F	64765 23	10346			906839		SP20
161	10/31/2020	R	F	87318 4	10505	Y		906840		U074
161	10/31/2020	R	F	90833 6	11494	Y		906841		U074
161	10/31/2020	R	F	110481 77	13676			906842		SP20
161	10/31/2020	R	F	89919	12952			906843		SP20
161	10/31/2020	R	F	126550 5	12449			906844		SP20
161	10/31/2020	R	F	65332 8	10137	Y		906845		U073
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161	10/31/2020	R	F	108042 64	10551			906847		SP20
161	10/31/2020	R	F	120826 54	11750			906848		SP20
161	10/31/2020	R	F	102585 71	13414			906849		SP20
161	10/31/2020	R	F	90833 6	11494	Y		906850		U074
161	10/31/2020	R	F	83491 2	11499	Y		906851		U074
161	10/31/2020	R	F	110977 49	11574			906852		SP20
161	10/31/2020	R	F	110500	10137			906853		SP20
161	10/31/2020	R	F	90833 6	11494	Y		906854		U074

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161	10/31/2020	R	F	90833 6	11570	Y		906857		U073
161	10/31/2020	R	F	109904 98	11494			906858		SP20
161	10/31/2020	R	F	94328	13669	Y		906859		U074
161	10/31/2020	R	F	108127 58	11750			906860		SP20
161	10/31/2020	R	F	90833 6	11574	Y		906861		U074
161	10/31/2020	R	F	90679 83	12450			906862		SP20
161	10/31/2020	R	F	110166 59	11768			906863		SP20
161	10/31/2020	R	F	93464 48	12750	Y		906864		U074
161	10/31/2020	R	F	94328	11768	Y		906865		U074
161	10/31/2020	R	F	97026 72	12703			906866		SP20
161	10/31/2020	R	F	90833 6	11573	Y		906867		U074
161	10/31/2020	R	F	89104 95	12952			906868		SP20
161	10/31/2020	R	F	107640	11268			906869		SP20
161	10/31/2020	R	F	65332 8	10137	Y		906870		U073
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161	10/31/2020	R	F	90833 6	11573	Y		906872		U074
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161	10/31/2020	R	F	76793 6	13138	Y		906875		U073
161	10/31/2020	R	F	94328	11766	Y		906876		U073
161	10/31/2020	R	F	90833 6	11571	Y		906877		U074
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161	10/31/2020	R	F	90833 6	11494	Y		906879		U074
161	10/31/2020	R	F	90833 6	11574	Y		906880		U074
161	10/31/2020	R	F	65332 8	11268	Y		906881		U074
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161	10/31/2020	R	F	112141 2	11573			906883		SP20
161	10/31/2020	R	F	94328	13670	Y		906884		U074
161	10/31/2020	R	F	102299 79	12280			906885		SP20
161	10/31/2020	R	F	45917 21	12280			906886		SP20
161	10/31/2020	R	F	90833 6	11571	Y		906887		U074
161	10/31/2020	R	F	90833 6	11571	Y		906888		U074
161	10/31/2020	R	F	150882 22	10346			906889		SP20
161	10/31/2020	R	F	90833 6	13676	Y		906890		U073
161	10/31/2020	R	F	90833 6	11494	Y		906891		U074
161	10/31/2020	R	F	47250	11574			906892		SP20
161	10/31/2020	R	F	102000	12952			906893		SP20
161	10/31/2020	R	F	90833 6	11574	Y		906894		U074
161	10/31/2020	R	F	90833 6	11574	Y		906895		U074
161	10/31/2020	R	F	85280	12450			906896		SP20
161	10/31/2020	R	F	115325 72	10551			906897		SP20
161	10/31/2020	R	F	49039 42	11494			906898		SP20
161	10/31/2020	R	F	87016 9	12751			906899		SP20
161	10/31/2020	R	F	90833 6	11494	Y		906900		U074
161	10/31/2020	R	F	87318 4	11766	Y		906901		U073
161	10/31/2020	R	F	65124 8	12280	Y		906902		U074
161	10/31/2020	R	F	90833 6	11494	Y		906903		U074
161	10/31/2020	R	F	90833 6	11571	Y		906904		U074
161	10/31/2020	R	F	81946 8	10551			906905		SP20
161	10/31/2020	R	F	65332 8	11268	Y		906906		U074
161	10/31/2020	R	F	90833 6	11571	Y		906907		U074
161	10/31/2020	R	F	76793 6	11499	Y		906908		U074
161	10/31/2020	R	F	80059 13	10551			906909		SP20
161	10/31/2020	R	F	87318 4	11402	Y		906910		U073
161	10/31/2020	R	F	89939 2	12560	Y		906911		U074
161	10/31/2020	R	F	40934 4	10137	Y		906912		U073
161	10/31/2020	R	F	84004 9	12703			906913		SP20
161	10/31/2020	R	F	133678 83	11832			906914		SP20
161	10/31/2020	R	F	65332 8	11268	Y		906915		U074
161	10/31/2020	R	F	97650	13414			906916		SP20
161	10/31/2020	R	F	110192 56	11570			906917		SP20
161	10/31/2020	R	F	85807 76	12703			906918		SP20
161	10/31/2020	R	F	121484	13164			906919		SP20
161	10/31/2020	R	F	76793 6	13138	Y		906920		U073
161	10/31/2020	R	F	59758 4	12280	Y		906921		U074
161	10/31/2020	R	F	59758 4	12280	Y		906922		U074
161	10/31/2020	R	F	59758 4	10919	Y		906923		U073
161	10/31/2020	R	F	65920	11268			906924		SP20
161	10/31/2020	R	F	90833 6	11571	Y		906925		U074
161	10/31/2020	R	F	76793 6	11499	Y		906926		U074
161	10/31/2020	R	F	115949 49	12703			906927		SP20
161	10/31/2020	R	F	94328	13670	Y		906928		U074
161	10/31/2020	R	F	90833 6	11494	Y		906929		U074
161	10/31/2020	R	F	65686 4	13670	Y		906930		U074
161	10/31/2020	R	F	82774 95	13673			906931		SP20
161	10/31/2020	R	F	90833 6	11574	Y		906932		U074
161	10/31/2020	R	F	94328	13667	Y		906933		U074
161	10/31/2020	R	F	111263 4	12750			906934		SP20
161	10/31/2020	R	F	95507 489	12750	Y		906935		U074
161	10/31/2020	R	F	90833 6	12750	Y		906936		U074
161	10/31/2020	R	F	76793 6	11499	Y		906937		U074
161	10/31/2020	R	F	68952	10919	Y		906938		U073
161	10/31/2020	R	F	65686 4	13677	Y		906939		U073

SOUTHWESTERN ELECTRIC POWER COMPANY
BASE WAGES PER EMPLOYEE AS OF OCTOBER 31 2020

GLBU	AsOfDate	REG_TEMP	FULL_PART	ANNUAL_RT	GL_PAY_TYPE	Flag	F/P/T	Row Match	Increase Type	SAL_ADMIN_PLAN
161	10/31/2020	R	F	89939 2	12560	Y		906940		U073
161	10/31/2020	R	F	95264	13581			906941		SP20
161	10/31/2020	R	F	90833 6	11570	Y		906942		U073
161	10/31/2020	R	F	85404 8	12560	Y		906943		U074
161	10/31/2020	R	F	87318 4	11768	Y		906944		U074
161	10/31/2020	R	F	90833 6	11570	Y		906945		U073
161	10/31/2020	R	F	59758 4	12280	Y		906946		U074
161	10/31/2020	R	F	73388 11	12451			906947		SP20
161	10/31/2020	R	F	87027 2	12560	Y		906948		U074
161	10/31/2020	R	F	94328	13677	Y		906949		U073
161	10/31/2020	R	F	90833 6	13676	Y		906950		U073
161	10/31/2020	R	F	54558 4	11268	Y		906951		U074
161	10/31/2020	R	F	59758 4	10919	Y		906952		U073
161	10/31/2020	R	F	90833 6	11494	Y		906953		U074
161	10/31/2020	R	F	94328	11766	Y		906954		U073
161	10/31/2020	R	F	94328	11768	Y		906955		U074
161	10/31/2020	R	F	48662 78	11768			906956		SP20
161	10/31/2020	R	F	90833 6	13676	Y		906957		U073
161	10/31/2020	R	F	90833 6	11494	Y		906958		U074
161	10/31/2020	R	F	89939 2	12560	Y		906959		U073
161	10/31/2020	R	F	87318 4	12750	Y		906960		U074
161	10/31/2020	R	F	94328	11768	Y		906961		U074
161	10/31/2020	R	F	101098	12952			906962		SP20
161	10/31/2020	R	F	87318 4	11768	Y		906963		U074
161	10/31/2020	R	F	94328	13670	Y		906964		U074
161	10/31/2020	R	F	87318 4	13677	Y		906965		U073
161	10/31/2020	R	F	87318 4	12750	Y		906966		U074
161	10/31/2020	R	F	87318 4	11768	Y		906967		U074
161	10/31/2020	R	F	76793 6	11499	Y		906968		U074
161	10/31/2020	R	F	94328	13667	Y		906969		U074
161	10/31/2020	R	F	81440 53	10494			906970		SP20
161	10/31/2020	R	F	94328	11768	Y		906971		U074
161	10/31/2020	R	F	137695 16	13038			906972		SP20
161	10/31/2020	R	F	87318 4	13667	Y		906973		U074
161	10/31/2020	R	F	94328	13677	Y		906974		U073
161	10/31/2020	R	F	44773 44	13676			906975		SP20
161	10/31/2020	R	F	65415 59	12426			906976		SP20
161	10/31/2020	R	F	94328	13669	Y		906977		U074
161	10/31/2020	R	F	87318 4	13677	Y		906978		U073
161	10/31/2020	R	F	61588 8	10505	Y		906979		U074
161	10/31/2020	R	F	65956 8	11499	Y		906980		U074
161	10/31/2020	R	F	87318 4	11768	Y		906981		U074
161	10/31/2020	R	F	87318 4	11768	Y		906982		U074
161	10/31/2020	R	F	87318 4	11768	Y		906983		U074
161	10/31/2020	R	F	87318 4	13677	Y		906984		U073
161	10/31/2020	R	F	87318 4	13677	Y		906985		U073
161	10/31/2020	R	F	72550 4	11766	Y		906986		U073
161	10/31/2020	R	F	72550 4	13669	Y		906987		U074
161	10/31/2020	R	F	72550 4	13670	Y		906988		U074
161	10/31/2020	R	F	59758 4	12280	Y		906989		U074
161	10/31/2020	R	F	87318 4	11766	Y		906990		U073
161	10/31/2020	R	F	87318 4	11766	Y		906991		U073
161	10/31/2020	R	F	73881 6	10505	Y		906992		U074
161	10/31/2020	R	F	65956 8	13138	Y		906993		U073
161	10/31/2020	R	F	54558 4	10137	Y		906994		U073
161	10/31/2020	R	F	65332 8	11268	Y		906995		U074
161	10/31/2020	R	F	87318 4	13669	Y		906996		U074
161	10/31/2020	R	F	64417 6	12750	Y		906997		U074
161	10/31/2020	R	F	87318 4	11768	Y		906998		U074
161	10/31/2020	R	F	72550 4	11766	Y		906999		U073
161	10/31/2020	R	F	65686 4	13670	Y		907000		U074
161	10/31/2020	R	F	65686 4	11768	Y		907001		U074
161	10/31/2020	R	F	65686 4	11768	Y		907002		U074
161	10/31/2020	R	F	65686 4	11768	Y		907003		U074
161	10/31/2020	R	F	65834 08	12426			907004		SP20
161	10/31/2020	R	F	61152	11268	Y		907005		U074
161	10/31/2020	R	F	83707 68	12952			907006		SP20
161	10/31/2020	R	F	94328	11766	Y		907007		U073
161	10/31/2020	R	F	90833 6	11570	Y		907008		U073
161	10/31/2020	R	F	52644 8	10919	Y		907009		U074
161	10/31/2020	R	F	64417 6	13667	Y		907010		U074
161	10/31/2020	R	F	64417 6	13669	Y		907011		U074
161	10/31/2020	R	F	82000	10551			907012		SP20
161	10/31/2020	R	F	135000	12449			907013		SP20
161	10/31/2020	R	F	69846 4	11768	Y		907014		U074
161	10/31/2020	R	F	87318 4	13670	Y		907015		U074
161	10/31/2020	R	F	64417 6	13670	Y		907016		U074
161	10/31/2020	R	F	64417 6	11768	Y		907017		U074
161	10/31/2020	R	F	65000	12703			907018		SP20
161	10/31/2020	R	F	64417 6	11766	Y		907019		U073
161	10/31/2020	R	F	87318 4	13670	Y		907020		U074
161	10/31/2020	R	F	63003 2	13667	Y		907021		U074
161	10/31/2020	R	F	72550 4	13667	Y		907022		U074
161	10/31/2020	R	F	72550 4	13667	Y		907023		U074
161	10/31/2020	R	F	71000	10551			907024		SP20

SOUTHWESTERN ELECTRIC POWER COMPANY
BASE WAGES PER EMPLOYEE AS OF OCTOBER 31, 2020

GLBU	AsOfDate	REG_TEMP	FULL_PART	ANNUAL_RT	GL_PAY_TYPE	Flag	F/P/T	Row Match	Increase Type	SAL_ADMIN_PLAN
161	10/31/2020	R	F	46072	11268	Y		907025		U074
161	10/31/2020	R	F	73000	12703			907026		SP20
161	10/31/2020	R	F	63003 2	10505	Y		907027		U074
161	10/31/2020	R	F	65000	12450			907028		SP20
161	10/31/2020	R	F	87318 4	11768	Y		907029		U074
161	10/31/2020	T	F	39520	13670			907030		UNGR
161	10/31/2020	R	F	61588 8	13667	Y		907031		U074
161	10/31/2020	R	F	41828 8	11268	Y		907032		U074
161	10/31/2020	T	F	39520	11768			907033		UNGR

Sum of ANNUAL_	Column Labels				
Row Labels	**F**	**P**	**T**	**(blank)**	**Grand Total**
10/31/2020	**7,604,060**	**9,861,768**	**10,058,467**	**100,557,580**	**128,081,875**
N005				467,355	467,355
N027			7,178,829		7,178,829
N057				130,582	130,582
SP20	2,526,613	3,325 264	2,879,638	43,362,670	52,094,186
U072				21,334,019	21,334,019
U073	5,077,446			11,943,152	17,020,598
U074		6,536,504		22,691,806	29,228,310
UNGR				169,562	169,562
WTUN				458,434	458,434
Grand Total	**7,604,060**	**9,861,768**	**10,058,467**	**100,557,580**	**128,081,875**
	50 000%	85 936%	73 333%	100 000%	
SWEPCO portion	3,802,030	8,474,809	7,376,176	100,557,580	120,210,594

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-28:

Refer to WP Schedule A-3.2, page 2 of 3, and provide detailed step-by-step explanations of the calculations of the amounts excluded as financial goals and ½ of funding for the annual incentive compensation adjustment.

Response No. Staff 5-28:

See Staff 5-28 Attachment 1 for the information requested.

Prepared By: Frances K. Bourland Title: Regulatory Acctg Case Mgr

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

The SWEPCO annual incentive compensation proforma adjustment presented on WP Schedule A-3.2 was developed as follows:

a. Historic annual incentive compensation loading amounts were gathered by incentive plan, FERC account, fiscal year and month.
b. 1.0 target amounts by fiscal year and plan were reduced to the portion attributable to the test year (9/12 of 2019 and 3/12 of 2020).
c. 1.0 target amounts related to union employees by year and plan were reduced to the portion attributable to the test year (9/12 of 2019 and 3/12 of 2020).
d. 1.0 target amounts related to union employees by year and plan were reduced to reflect billings to co-owners for union employees located at the Flint Creek, Pirkey and Turk facilities to determine the net target amounts attributable to union employees.
e. The target amounts by fiscal year and plan (part b) are reduced by the net target amounts attributable to union employees (part d) resulting in the target non-union annual incentive amounts by fiscal year and plan.
f. Based on each plan's specific goals for each year, the percentage of financial based goals are determined and removed from the target non-union annual incentive amounts.
g. The resulting non-financial based non-union annual incentive amounts (part f) are then reduced by one half of the funding measure for each plan and year. This remaining amount of annual incentive compensation is then added to the net target amounts attributable to union employees (part d) to become the adjusted test year annual incentive compensation.
h. The distribution of the historic annual incentive compensation information (part a) to FERC accounts is used to distribute the adjusted test year annual incentive compensation.
i. The difference between the historic amounts (part a) and the adjusted test year amounts (part h) for the O&M FERC accounts become the proforma adjustment on WP Schedule A-3.2.

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PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-29:

Please refer to the testimony of Andrew R. Carlin at page 31, lines 5-7 which states "The Companies' STI has averaged 154% of target over the last 5 years, which is well above the target level. It is the target level of STI that brings Total Compensation to reasonable and market-competitive levels." Based on the fact that the Companies' STI has averaged 154% above the target level for the past 5 years, quantify how much above market-competitive levels the Companies' employees have earned in each of the last 5 years.

Response No. Staff 5-29:

It is both a generally accepted compensation practice and the Company's practice to measure the market competitiveness of compensation based on target incentive opportunity, not actual payouts. This is likely because actual payouts can and generally do vary based on many factors that cannot be adequately captured in market compensation surveys, such as the degree of difficulty of STI goals; the totality of individual employee, department, group and company performance for all comparators; and externalities that effect STI goal performance at each of these levels, such as commodity prices. Therefore, the Company does not consider the use of actual STI payouts to be good way to measure the market competitiveness of compensation and has not performed such an analysis so this information does not exist.

In addition, unlike base pay, the target level of STI compensation represents an economic opportunity. Both current and potential employees understand that their actual STI compensation could be lower or higher depending on many factors. It is employees' expectations that they are likely to receive at least the target level of STI compensation on average over time that enables the Company to use STI compensation as an integral component of the market competitive total compensation it offers, rather than as a bonus on top of already market competitive compensation. These employee expectations are bolstered by the Company's history of above target payouts, which better enables the company to attract and retain suitable employees and this is in customers' interests.

Furthermore, the Company is requesting recovery of the 1.0 target level of STI in this case, not any above target portion.

Prepared By: Anthony J. Sutor — Title: Regulatory Consultant Prin

Sponsored By: Andrew R. Carlin — Title: Dir Comp & Executive Benefits

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-30:

Refer to the testimony of Michael A. Baird at page 26, lines 11-13. Where is SWEPCO's direct supplemental pension plan expense removed in the Company's request? Provide cites to the relevant pro forma adjustment that includes the amounts removed by FERC account (both expensed and capitalized).

Response No. Staff 5-30:

The supplemental pension plan expense was removed on Adjustment 3.10. Please see WP A-3.10, provided as Staff 5-30 Attachment 1. As can be seen on this workpaper, SERP amounts of $7,966 and $85,215 were removed from FERC Account 926.

Prepared By: Randall W. Hamlett Title: Dir Regulatory Acctg Svcs

Sponsored By: Michael A. Baird Title: Mng Dir Acctng Policy & Rsrch

SOUTHWESTERN ELECTRIC POWER COMPANY
Pension Expense
For the Test Year Ended March 31, 2020

Line No	(1) Description	(2) Schedule A Reference	(3) Workpaper Reference	(4) Acct Reference	(5) Exp Amt As Adjusted	(6) TY Amt Per Books	(7) Total Adjustment
1	Pension Expense	Sch A, ln 4	WP/A-3 10	926	6,970,767	4,320,953	$2,649,813
2	Total				6,970,767	4,320,953	2,649,813

Justification for requested adjustment
Adjust pension expense to reflect 2019 actuarial study as described in the testimonies of Mr Michael Baird

ASC 715-30 (SFAS 87) Pension Expense			
Test Year Pension Expense			
A/C 9260003 - Pension Plan - Service Cost		8,879,378	
A/C 9260062 - Pension Plan Non Service Cost		(1,433,783)	
A/C 9260050 - Overhead Loading-Pension		(3,031,460)	
		4,414,135	
A/C 9260037 - SERP - Service Costs, Remove from TY Expense		(7,966)	
A/C 9260042 - SERP Non Service Costs, Remove from TY Expense		(85,215)	
Total Pension Per Books Expense, Excluding SERP		4,320,953	
Actuarial Report			
2019 ASC 715-30 (SFAS 87) Pension Expense	Service Cost	9,882,001	
2019 ASC 715-30 (SFAS 87) Pension Expense	Non Service Cost	117,360	
		9,999,361	
Payroll O&M Percentage	G-1 3	69 71%	
Pro-Forma Pension Expense		6,970,767	
Pro-Forma Adjustment		2,649,813	9260

Sponsored by Michael Baird

SOAH DOCKET NO. 473-21-0538
PUC DOCKET NO. 51415

SOUTHWESTERN ELECTRIC POWER COMPANY'S RESPONSE TO COMMISSION STAFF'S FIFTH REQUEST FOR INFORMATION

Question No. Staff 5-31:

Please provide separately by FERC account, the dollar amount of affiliate/allocated payroll expensed and capitalized by affiliate during the test year, the adjustments to such amounts, and the total requested amount.

Response No. Staff 5-31:

Please refer to Staff 5-31 Attachment 1 for requested information.

Prepared By: Brian J. Frantz Title: Dir Accounting

Sponsored By: Brian J. Frantz Title: Dir Accounting